

## **06.3 HHS PIA Summary for Posting (Form) / CDC Global Position Management System (GPMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-4

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Global Position Management System (GPMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** The Global Position Management System (GPMS) is a web-based enterprise application designed to be used by various HHS OPDIVs (e.g. CDC, FDA, etc.) for managing position and people data for the respective agencies. The application will be available via the CDC intranet and access is controlled via user roles assigned in the FAME system. The application will provide a mechanism for data entry of position and people data and reporting of any data entered. The GPMS application will also interface with other CDC enterprise applications such as CDC Neighborhood and People Processing.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No – No PII data is shared

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The GPMS system will collect Name, DOB, Personal Address, Personal Phone Number, and Personal Email address of employees as well as Names and DOB's for the employees dependents. The application does contain PII and the submission is mandatory. This system uses this information for tracking of employees assigned to international positions.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This information is pulled from People Processing and CDC Neighborhood. Users are notified that their personal information will be used and shared when they voluntarily enter data into CDC Neighborhood annually.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges.

Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC 122 Cities Mortality Reporting (122 Cities) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1

**7. System Name (Align with system Item name):** 122 Cities Mortality Reporting System (CMRS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lynnette Brammer

**10. Provide an overview of the system:** The proposed 122 Cities Mortality Reporting System Release 1.0 will be a web-based application available to City/County Health Officers or Vital Statistics Registrar/Reporters of the 122 cities in the United States via the Internet. Authorized individuals at CDC headquarters will also access the data via the Intranet. This system will provide a simpler means of capturing and reporting the required mortality information that is gathered on a weekly basis. It will make the review and consolidation of the mortality information easier and will allow for more accurate and timely reporting.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will provide a simpler means of capturing and reporting the required mortality information that is gathered on a weekly basis. Authorized individuals at CDC headquarters will also access the data via the Intranet. Ad-hoc requests can be written by CDC Admin Staff and other CDC users in SAS. User ID and password to the authorized users, which is monitored by the 122 CMRS Data Manager. 122 Cities Mortality Reporting System Release 2.0 compiles summary mortality data by age group for all-causes and pneumonia and influenza as reported by Vital Statistic Registrars and Reporters within 122 U.S. cities. This reporting process occurs on a weekly basis through either fax or voice mail. These provisional data are disseminated weekly in Table III of CDC's Morbidity and Mortality Weekly Report. Public health professionals use these data to evaluate mortality patterns to determine if any unusual trends are occurring. Researchers have also used these data to forecast or to predict the number of deaths in defined regional areas. The Influenza Branch of the Division of Viral and Rickettsial Diseases, National Center for Infectious Diseases, CDC, uses these data as part of its influenza surveillance efforts.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A, but a system notice can be sent if desired

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** CDC Policy: User Identification and password Authentication for business contact information

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>



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## **06.3 HHS PIA Summary for Posting (Form) / CDC Access to Activities (Aact) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Access to Activities

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Morris Campbell

**10. Provide an overview of the system:** Access to Activities is used to give users access to the CDC/IS mainframe activities. In order to access or perform any action on any applications on the mainframe, you must go through Access to Activities. Access rights include: perform, approve, delegate (assign). The supervisor of the user contacts the MISO Help Desk and is granted mainframe access to the specific activities that user requires., ie. Travel, PMAP, etc..

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – No PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system collects Name

and UserID and is federal contact data only. This system does not collect PII. This information is used to grant access to activities on the mainframe.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 2/3/2011

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Active Bacterial Core Surveillance [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 9/1/2011

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number: 009-20-01-02-02-9721-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC# 4

7. System Name (Align with system Item name): Active Bacterial Core surveillance (ABCs)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Gayle Langley Fischer

10. Provide an overview of the system: ABCs is an active, population- and laboratory-based surveillance system conducted in ten Emerging Infections Program sites (EIPs): California, Colorado, Connecticut, Georgia, Maryland, Minnesota, New Mexico, New York, Oregon, and Tennessee. Surveillance is conducted for invasive bacterial diseases due to pathogens of public health importance. For each case of invasive disease in the study population, a case report with basic demographic information is completed and, in most cases, bacterial isolates from a normally sterile site from patients are sent for further laboratory characterization. ABCs data are used to determine the incidence and epidemiologic characteristics of invasive disease due to the pathogens under surveillance and to provide an infrastructure for further research, such as special studies aimed at identifying risk factors for disease, post-licensure evaluation of vaccine efficacy, and monitoring effectiveness of prevention policies.

Data originates at the state level and aggregate, de-identified data is sent to CDC. Data are not retrieved by any unique identifier.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Aggregate data are shared in electronic form with other divisions within CDC for the purpose of generating reports and manuscripts.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** For each case of invasive disease in the surveillance population, a standard case report form with basic demographic and clinical information is completed. These data are used to determine the incidence and epidemiologic characteristics of invasive disease due to Haemophilus influenzae, Neisseria meningitidis, group A streptococcus, group B streptococcus, Streptococcus pneumoniae, and methicillin-resistant Staphylococcus aureus in several large populations; to determine molecular epidemiologic patterns and microbiologic characteristics of public health relevance for isolates causing invasive infections from select pathogens; to provide an infrastructure for further research, such as special studies aimed at identifying risk factors for disease, post-licensure evaluation of vaccine efficacy, and monitoring effectiveness of prevention policies.

IIF collected is date of birth, race, ethnic origin, sex, age, weight, height, and whether individual is nursing home resident. System does not contain, name, SSN or other unique identifier.

States voluntarily submit aggregate, de-identified data to CDC.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Notification and consent takes place at the state level. CDC receives only de-identified, aggregate data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: ABCs data are stored in aggregate form on the agency's mainframe. Access to aggregate datasets is restricted to approved CDC users. Approved users are granted read only access through the agency's mainframe system by the database administrator. Host system security and physical controls for IT infrastructure and services are established in the Service Level Agreement between the Information Technology Services Office (ITSO) and CDC.

Technical controls: user ID, passwords, firewall, intrusion detection system, common access card and smart cards.

Physical controls: guards, ID badges, key cards, and close circuit TV.

PII collected is date of birth; race, ethnic origin, sex, age, weight, height, and whether individual is nursing home resident. System does not contain, name, SSN or other unique identifier.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Active Directory - External Partner Domain (N/A) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Active Directory – External Partner Domain

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Crawley

**10. Provide an overview of the system:** The External Partner Domain Controllers provide the following within the DMZ:

1. User authentication for external users
2. Active Directory Domain Services
3. Supports DNS

Group Policy Management

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Active Directory - External Resource Domain (N/A) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Active Directory – External Resource Domain

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Crawley

**10. Provide an overview of the system:** The External Resource Domain Controllers provide the following services within the DMZ;

1. Used for DFS replication
2. Server authentication
3. Supports DNS for External server
4. Active Directory Domain Services

Group Policy Management

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Active Directory Rights Management Service (ADRMS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Active Directory Rights Management Service (ADRMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lew Newlin

**10. Provide an overview of the system:** Active Directory Rights Management Services (AD RMS), a component of Windows Server 2008 R2, is available to help make sure that only those individuals who need to view a file can do so. AD RMS can protect a file by identifying the rights that a user has to the file. Rights can be configured to allow a user to open, modify, print, forward, or take other actions with the rights-managed information

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** User profiles

To identify the rights that a user has to the file

No PII Collected

No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Activity Based Costing (ABC) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/21/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1776

**7. System Name (Align with system Item name):** Activity Based Costing (ABC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Donnie McDaniel

**10. Provide an overview of the system:** The Division of the Strategic National Stockpile (DSNS) has recently undertaken an effort to design and build a solution and processes that aims to provide the division with better cost visibility around its missions and provide insight for improved cost analysis and cost management.

The specific goal of this effort is to help DSNS in its efforts to build a capability to:

Improve cost analysis, cost evaluation, planning, and financial management

Project future financial impact or new projects and new missions.

ABC provides the ability to collect and track costs associated with DSNS projects and activities.

No Personally Identifiable Information (PII) is contained within the ABC system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Division project information by activity with associated costs, 2) to provide Division management the ability to perform cost analysis, cost evaluation, planning, and financial management & to project future financial impact or new projects and new missions, 3) no PII is contained within the system, 4) N/A – no PII is contained within the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A - no PII is contained within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A - no PII is contained within the system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/21/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ADB Diagnostics Sample Database (ADBDS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1481-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 64

**7. System Name (Align with system Item name):** ADB Diagnostics Sample Database (ADBDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robert S Lanciotti

**10. Provide an overview of the system:** The system tracks laboratory diagnostic samples & resulting test data & generates reports with patient information. The results are provided to State Laboratories. The system is an essential resource for clinicians who deal with diagnosing obscure Arboviral organisms, & supports the research of the Coordinating Center for Infectious Diseases, Division of Vector-Borne Infectious Diseases, Arbovirus Diseases Branch (CCID/DVBID/ADB). It also functions under the auspices of the World Health Organization (WHO) Reference Center for Arboviruses.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes. State Health Departments. Data originates from the State Health Department and is tested. After testing, reports of results are returned to the Health Department providing the sample data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system tracks laboratory diagnostic samples & resulting test data & generates reports with patient information. The results are provided to State Laboratories. The system is an essential resource for clinicians who deal with diagnosing obscure Arboviral organisms, & supports the research of the Coordinating Center for Infectious Diseases, Division of Vector-Borne Infectious Diseases, Arbovirus Diseases Branch (CCID/DVBID/ADB). It also functions under the auspices of the World Health Organization (WHO) Reference Center for Arboviruses. The information collected and processed includes PII data. CDC obtains information from State Health Departments, so CDC does not control or is aware of voluntary nature of data provided from patient participants.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** Only aggregate test results data is provided to State Health Departments. Exception is the State Health Department that originally provided the patients samples. For health departments that provided the sample data, the patient's name, age, and sex is provided.

CDC obtains information from the State Health Departments, so CDC does not control or is aware of voluntary nature of data provided from patients' participants..

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Access to applications is limited to authorized individuals and authentication of individual is achieved at two levels: Windows Active Directory authentication, and Microsoft Access authentication. Access to workstation and application server is physically restricted to CDC badge employees and contractors.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Befverly E Walker

**Sign-off Date:** 2/7/2012



**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC ADB Inventory [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1481-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 948

**7. System Name (Align with system Item name):** Arbovirus Diseases Branch Inventory (ADBI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Roger Nasci

**10. Provide an overview of the system:** This is an Access Program, totally. The front end is Access and the back end is Access. The system resides on a file server in Fort Collins (fcid-vbi-1). The system stores scientific data and tracks virus seeds, antibodies, and antigens of the ADB Virus collection along with their storage location. The system increments and decrements the supply of antigen as it is used by Branch Researchers in order to flag supply for restock.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This is an Access Program. The front end is Access and the back end is Access. The system resides on a file server in Fort Collins (fcid-vbi-1). The system stores scientific data and tracks virus seeds, antibodies, and antigens of the ADB Virus collection along with their storage location. The system increments and decrements the supply of antigen as it is used

by Branch Researchers in order to flag supply for restock.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ADG Application Lifecycle Management (ALM) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/29/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** ADG Application Lifecycle Management (ALM) System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug Correll

**10. Provide an overview of the system:** The ADG Application Lifecycle Management (ALM) System is an NCHHSTP Collaborative System Development Environment which allows project teams to focus on the core development tasks while increasing collaboration via an integrated set of tools. The ADG teams need integrated tools to enhance productivity, efficiency and traceability. The ALM Infrastructure deployed on APP-ADGDOCS is a set of tools and processes linked to create a unified development environment. The ADG Teams support over 75 projects that are complete or parts of systems that undergo Certification and Accreditation.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

PII no

Risk Analysis date: 7/14/2010

E-Auth Level: N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC African American MSM Testing [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/27/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1476

**7. System Name (Align with system Item name):** African American Men who have Sex with Men (AAMSM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Purcell

**10. Provide an overview of the system:** The purpose of AAMSM data collection system is to permit funded sites to perform the following activities:

- Manage venue information and venue testing activities for alternate venue testing and targeted outreach strategies
- Manage interview data and other information about at-risk individuals who are nominated via the social networks and PCRS strategies
- Manage clients' demographic, HIV risk, HIV CTR, and strategy-specific information
- Manage time and cost information collected for each strategy
- Manage project staff details (e.g., time spent on a specific strategy activity, hourly rate)
- Generate custom reports that summarize project data (by and across strategies) and facilitate effective program monitoring and evaluation

The desired impact of this project is to improve the public's health by reducing the number of new HIV infections occurring each year in the United States. The goals of this project are to increase the proportion of HIV-infected African American MSM in the U.S. who are aware of their status and linked to appropriate prevention, care and treatment services. To accomplish these goals, project staff and grantees will evaluate the relative effectiveness of testing strategies based on existing models (e.g., mobile testing and alternative venue testing to make testing more accessible, using social networks of HIV-infected persons to refer at-risk peers for testing, and partner counseling and referral services). This project supports the following CDC Health Protection goal: Healthy People in Every Stage of Life. Although this project can potentially impact people in all life stages, the focus of the project is on improving the health of adults. The

target population is 18 – 24 year old African American MSM. AAMSM will continue from 6/2008 until 10/2010.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
AAMSM does not contain IIF

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The funded grantees will collect and maintain the following information

- Venue information and venue testing activities for alternate venue testing strategy
- Interview data and other information about at-risk individuals who are nominated via the social networks and PCRS strategies
- Clients' demographic, HIV risk, HIV CTR, and strategy-specific information
- Manage time and cost information collected for each strategy
- Project staff details for the staff who are involved in various activities of the project (e.g., time spent on a specific strategy activity, hourly rate)

The information collected at the sites will be sent to the CDC via secure data network (SDN) for analyzing the data collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) AAMSM does not contain IIF

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** AAMSM does not contain IIF.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/28/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Agreement Management and Tracking System (AMTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/8/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

v

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 9

**7. System Name (Align with system Item name):** Agreement Management and Tracking System (AMTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Blake-DiSpigna

**10. Provide an overview of the system:** The system provides a central area to collect multiple types of agreement data such as financial, scientific, and industry. It includes data for the following: Cooperative Research & Development Agreements (CRADAs), Biological Material License Agreements (BMLAs), Material Transfer Agreements (MTAs), Reimbursable/Non-reimbursable Agreements (IAAs), Participating Agency Services Agreements (PASAs), Technical Services Agreements (TSAs), Memorandum of Agreement (MOA), Memorandum of Understanding (MOU), Confidential Disclosure Agreements (CDAs), Letters of Agreement, & User Fee Transactions. The system also contains information about royalties.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = September 28, 2007

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/11/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC AIDS Inventory [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/19/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9324-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** AIDS Inventory

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dollene Hemmerlein

**10. Provide an overview of the system:** System inventories 30+ years of CDC specimens collected during investigations, outbreaks, congressionally mandated studies and CDC funded studies.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Testing labs and study investigators for results matching and use

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data collected is decided upon by investigator as relevant to study; mostly voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) All studies receive IRB approval and contain consent forms for collection and use of data and specimens

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All IIF is blocked from view except by authorized users and released only after permission of investigator

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/19/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Alerting Service (CDCAS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1685

**7. System Name (Align with system Item name):** CDC Alerting Service (CDCAS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darlyne Wright

**10. Provide an overview of the system:**

CDCAS is a secure, enterprise (SOA) service permitting all CDC offices and programs to issue and gather responses via email, voice (land-line, cell, satellite), fax, pager, and personal digital assistant (PDA) communication devices.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Email addresses and phone numbers are displayed on secure web sites accessible by CDC employees only with appropriate roles to send alerts and view reports.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) CDCAS does not collect

any PII data. The data is maintained in the PHIN Directory (PHINDIR) and read by CDCAS for recipient information;

(2) CDCAS uses this information to contact recipients of an alert message;

(3) PHINDIR is the source for PII data and CDCAS only acquires personal communication device information; and

(4) CDCAS is not responsible for collection of PII data. Users have the option of not providing PII or opting out of alerting. Users either enter their own information into a system which feeds into PHINDIR, or their representative enters their data into a system which feeds into PHINDIR.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** CDCAS is a transactional system and does not collect information. Users have the option of not providing PII or opting out of alerting. Either the data is entered into a system that feeds PHINDIR by the individual or his/her representative. The individual would only know his/her information is used by CDCAS when he/she receives an alert. No notification is given to the people receiving an alert other than the alerts themselves. Since the data is not stored in CDCAS, there is no mechanism to contact them outside of an alert.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** CDCAS is housed in a secured CDC facility (MTDC). Only authorized CDC users have access to CDCAS through I & A controls. All content is stored in secured servers and databases behind firewalls. Access to CDCAS is limited to CDC employees with secure roles required to send and view alert events.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E. Walker

**Sign-off Date:** 4/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Amyotrophic Lateral Sclerosis Web Portal [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 9/8/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-19-0001

**5. OMB Information Collection Approval Number:** 0923-0041

**6. Other Identifying Number(s):** ESC# 1581

**7. System Name (Align with system Item name):** Amyotrophic Lateral Sclerosis Web Portal (ALS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Oleg I. Muravov

**10. Provide an overview of the system:** The Amyotrophic Lateral Sclerosis Web Portal (ALS) is an online disease registry operated by the Agency for Toxic Substance and Disease Registry (ATSDR) / Office of the Director (OD) / Division of Health Studies (DHS). The purpose of the ALS Web Portal is to provide users with more information regarding the disease and to facilitate research for medical professionals and individual researchers.

The ALS Web Portal will help in completing the following:

- Collect ALS patient information as it relates to the patient's background information, occupational history, military history, smoking and alcohol habits, physical characteristics and activity, family history of disease, and the patient quality of life.
- Make available to the patients and general public educational materials about ALS.
- Identify the incidence and prevalence of ALS in the United States.
- Collect data important to the study of ALS.
- Promote a better understanding of ALS.
- Collect information that is important for research into the genetic and environmental factors that cause ALS.
- Strengthen the ability of a clearing house.
- Collect and disseminate research findings on environmental, genetic, and other causes of ALS and other motor neuron disorders that can be confused with ALS, misdiagnosed as ALS, and in some cases progress to ALS.
- Make available information to patients about research studies for which they may be eligible.
- Maintain information about clinical specialists and clinical trials on therapies.



Enhance efforts to find treatments and a cure for ALS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Users will only be allowed to view their own personal information. Any information shared will be general information and not identifiable information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The ALS Web Portal collects ALS patient information as it relates to the patient's background information, occupational history, military history, smoking and alcohol habits, physical characteristics and activity, family history of disease, and the patient's quality of life. The ALS Web Portal also collects minimal identifiable information from researchers and the general public such as name, affiliation, email and location.

(2) The purpose of the ALS Web Portal is to provide users with more information regarding the disease and to facilitate research for medical professionals and individual researchers.

(3) The ALS Web Portal does contain PII.

(4) Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) It is required that users give consent to ATSDR to use email as a point of contact. If consent is given, users will be notified by email when major changes occur to the system.

2) ALS Patients will be notified, before creating an account, how their data will be used in the ALS System. There will be a “Privacy Information” link provided on the “Create Account” page that will allow users to view an outline of the ALS Privacy Policy. There will also be a customized Privacy Notice and Consent Form that allows ALS patients to agree or disagree with ATSDR’s terms. The decision of the patient is voluntary and will determine whether or not an account is created.

3) PII is not accessible by anyone other than authorized individuals for official business. The ONLY information viewable by the general population is information on ALS and aggregate information. ATSDR may share information with appropriate ATSDR [CDC] administrative staff, scientists, and researchers in order to facilitate the creation of the ALS Registry and further research on ALS.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: Users are assigned unique roles and privileges depending on their user status. ALS patients are able to create an “ALS Patient” account, while all other public users are required to create a “Public” account. The ALS “System Administrator” can manage patient and public accounts and download data.

ALS Patients must also pass a validation process before creating an ALS Patient Account. The validation process is a series of questions that determine if a patient has ALS. The general public can create a Public account without going through a validation process.

ALS Patients can complete surveys and view educational materials (videos, webinars, and documents) about ALS. The general public will only be able to view educational materials (videos, webinars, and documents) about ALS. All users can edit their own profile information, create their usernames and passwords (with CDC criteria restrictions), and reset/ change their passwords. Users will not be allowed to change their username.

The ALS System Administrator can manage user accounts, manage roles and privileges, and download data. The system administrator panel is only accessible on the ALS Intranet Web Portal via the Administration menu. Users must be approved by ATSDR management and have administrative roles and privileges to access this menu. Also, all tasks performed on the Administration Panel must be pre-approved. The ALS System Administrator is not allowed to perform any administrative tasks outside of CDC grounds and/or access the ALS Web Portal via CITGO or Remote Desktop.

Technical: PII fields will be masked on the GUI depending on the sensitivity of the data. For example the last 5 numbers of the SSN will be masked. All PII including SSN will be encrypted using CDC approved methods. To encrypt/decrypt data in database columns designed to hold PII data, a user must be given access to open and close a symmetric key.

Physical Controls: Production and test servers are stored in a server room secured by the CDC. Access tools are in place to secure entry into CDC buildings (Guards, ID Badges, Key Card, Cipher Locks, and Closed Circuit TV).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/15/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Angola IT Infrastructure ( GAPAngola) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Angola GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Angola operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 6/6/09

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P kittels

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/29/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC APC/UPC Monitoring Server (APCMon) SYSTEM**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** None

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** APCMon

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vijande Burr

**10. Provide an overview of the system:** InfraStruXure Central (AP9465) provides the ITSO network engineers an efficient way to perform real-time monitoring, user-defined reports and graphs, and instant fault notification (critical, warning or normal) allowing for quick assessment and resolution of critical APC UPS events that can adversely affect IT system availability. Network engineers access system information utilizing a web page management interface. Management of the APC UPS devices is performed from a single InfraStruXure Central (AP9465) appliance.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to collect, process, or store PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to collect, process, or store PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** This system is not designed to collect, process, or store PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to collect, process, or store PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Approval Task List (ATL) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/13/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 294

**7. System Name (Align with system Item name):** Approval Task List (ATL)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** N/A – No PII is collected

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**



collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC APPSense Management Suite (APPSense) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** AppSense

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kenneth Puchosic

**10. Provide an overview of the system:** The AppSense Management Suite provides policy and personalization management, application entitlement and system resource entitlement for desktops/laptops and application delivery mechanisms (Citrix).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

EAAL = N/A

Risk Analysis Date = June 9, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Arbovirus Catalogue System (ArboCAT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 724

**7. System Name (Align with system Item name):** Arbovirus Catalogue System (ArboCAT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Richard Peterson

**10. Provide an overview of the system:** ArboCAT is an application that is designed to provide a web-based electronic version of the Arbovirus catalog. This information will be generally available to interested parties through an Internet-based interface. This Internet site will be designed to provide searching capabilities only. Content is managed through an Intranet-based interface accessible only within the CDC network and by users with appropriate User ID and Password access.

The application is utilizing Active Server Pages (ASP) and Hyper Text Mark-up Language (HTML) for the interface and a Microsoft Structured Query Language (SQL) Server platform for data storage and management.

ArboCAT is designed to fulfill a current need of disseminating Arboviral data to a specific audience in a manner that allows for real-time updates to applicable data and real-time searches available to this audience. By utilizing current CDC resources ArboCAT will integrate as appropriate with existing applications and data sources to allow for robust data content while minimizing maintenance effort.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The International Catalog of Arboviruses including certain other viruses of vertebrates is a registry for the benefit of those studying arboviruses. The Catalog is meant primarily for the description of those viruses biologically transmitted by arthropods in nature and actually or potentially infectious for humans or domestic animals. There is only professional business information in the database. All address, phone numbers, and email address of individuals in the system is work related.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF

Risk Analysis date: 12/22/2009

E-Auth Level = 1

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Archival Specimen & Tracking Retrieval Operations 21C (ASTRO 21C) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/11/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1030-00-110-219

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 22

**7. System Name (Align with system Item name):** Archival Specimen and Tracking Retrieval Operations 21C (ASTRO 21C)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John Murphy, Office of the Chief Science Officer

**10. Provide an overview of the system:** ASTRO 21C is a specimen inventory management system developed specifically for Centers for Disease Control and Prevention (CDC) labs and repositories. The system tracks the full life cycle of specimens and aliquots from creation through aliquoting, shipping, storage, and destruction. All physical aliquot data (location) down to the sub-box (cell) level are tracked. Organizational data, such as Project and Collection, and epidemiological (EPI) data are maintained. A history of each aliquot is recorded, detailing physical or organizational changes, as well as all the aliquot's movements, which supports chain of custody. Compatibility with CDC labs and the CDC ATSDR Specimen Packaging, Inventory and Repository (CASPIR) organization in the Office of the Director, CASPIR, allows for the exchange of specimens and data between them. Before CASPIR, labs at CDC used incompatible specimen managing systems. CASPIR mandated ASTRO into being so labs at CDC could more easily exchange specimens and data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system manages the full life cycle of specimens and aliquots from creation through aliquoting, shipping, storage, and destruction. All physical aliquot data (location) down to the sub-box (cell) level are managed. Organizational data, such as Project and Collection, and epidemiological (EPI) data are maintained. A history of each aliquot is recorded, detailing physical or organizational changes, as well as all the aliquot's movements, which supports chain of custody. No IIF is involved.

This information is used to track and locate specimens stored at the CDC. ASTRO21C is used to create ad-hoc reports about these specimens for a limited audience within CDC.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No. ASTRO 21C does not process or store PII. It inventories specimens. It does not test specimens, process test results, or maintain test results.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

No IIF

Risk Analysis Date: 4/12/2010

E-Auth Level = NA

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/11/2010



**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Archival Specimen Tracking and Retrieval Operations Catalog (ASTRO Catalog)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/16/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Archival Specimen and Tracking Retrieval Operations (ASTRO) Catalog

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John Murphy,

**10. Provide an overview of the system:** ASTRO Catalog, or “Catalog,” is a Web-based application, available on the CDC Intranet (at <http://astrocatalog.cdc.gov>), for publishing specimen collections from the ASTRO Catalog database. The collections in the Catalog database are replicated from the ASTRO 21C database. Therefore, while physically distinct, the ASTRO Catalog database receives replication data from the ASTRO 21C database.

The collections in the ASTRO 21C database are managed by “custodians”; these users are known as “Collection Custodians.” A Collection Custodian is assigned an application privilege set associated with the duties and responsibilities of this role. This group of users (i.e., the Collection Custodians) and its associated privilege set also are replicated to the ASTRO Catalog database from the ASTRO 21C database, along with the actual collection data as noted above. The Collection Custodians administer specimen publication, ultimately deciding on collection availability via catalog publication and how to represent that collection in the search results through visibility of collection metadata to the search engine.

The Web-based ASTRO Catalog application presents the user with a query interface for searching through the database of collections. The search results include a drill down feature for viewing the details of those results. The drill down feature returns high-level data about the collection such as collection and project names and description, geographic data for where the specimen was collected, collection dates, specimen origin and source, keywords, usage restrictions, institutional review board (IRB) conformance, and Collection Custodian contact information so that the user can inquire further about specimens of interest.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?:**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
System does not share or disclose IIF.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The system allows any CDC user the ability to search for high-level information regarding collections of samples and provides collection custodians' professional contact information should the user wish to inquire further. (2) The data stored in this information system consist of the following data elements; Collection Name (Title), Project, Collection Long Name, Description, Geographic Data, Usage Restrictions, Collection Dates, IRB, Specimen Origin, Specimen Source, Keywords, and custodians' professional contact information, including name, E-Mail address, and Phone. (3) No personal information or IIF is stored, collected or disseminated, so #4 does not apply.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No. ASTRO Catalog does not process or store IIF. It only provides high-level sample collection information available for searching and the contact information for collection custodians for further inquiry by the searcher.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 9/11/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Arctic Investigations Program Information Management Project [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 257

**7. System Name (Align with system Item name):** Arctic Investigations Program Information Management Project (AIP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Bulkow

**10. Provide an overview of the system:** The application is used to collect, store, process, and report medical research data. The system provides the IT platform for laboratory and epidemiologic work done by the Arctic Investigations Program (AIP). It provides the integrated system for tracking of laboratory specimens and associated epidemiologic study data which are not associated with specimens received at AIP. Medical patient data is collected, processed, and stored for research purposes.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
AIP does not share or disclose PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The application is used to collect, store, process, and report medical research data. The system provides the IT platform for laboratory and epidemiologic work done by the Arctic Investigations Program (AIP). It provides the integrated system for tracking of laboratory specimens and associated epidemiologic study data which are not associated with specimens received at AIP. Medical patient data is collected, processed, and stored for research purposes. Medical Patient information is collected directly from patients, who are advised of the purpose of the information. Patients sign privacy waiver before releasing information to CDC staff. CDC staff sign on to application via user-ID and password across Local Area Network (intranet) and enter data into application. PII is collected. Personal information is provided voluntarily.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) No Process in Place. 2) Personal information is collected directly from people that want to participate in studies. Participants voluntarily provide written consent by signing the consent form agreeing to participate in a research study. 3) All consent forms include a description of how the information is used.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only authorized individuals have access to PII data, and only for authorized tasks. Individuals permitted access must be logged into the CDC Authentication system in order to access. PII is encrypted and ITSO-SQL maintains the key. Server housing the application is physically protected with locked doors and limited access. Operating system is hardened to limit exposure to unauthorized access.

IIF Collected = Yes

E-Authentication Assurance Level = N/A

Risk Analysis Date = 01/23/2012

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Arthropod Pooling System (APS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/14/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 15

**7. System Name (Align with system Item name):** Arthropod Pooling System (APS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Harry Savage

**10. Provide an overview of the system:** This system is used by the division's entomologists and arbovirologists for the storage of data on mosquito pools. Data collected on mosquito pools are entered for the purpose of managing data on mosquito species and abundance and the presence of arboviruses. Mosquito pools are collected during research, surveillance, and outbreak activities. The system consists of a Microsoft Access front end and a Microsoft SQL Server back end. Only authorized division scientists have access to the system on the local area network.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: The data will be secured by logical access controls. Technical controls: Access to the data is controlled by user ID and password, firewall. Internal physical controls include security guards, ID badges, and cardkeys.

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =5/25/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Association of State and Territorial Dental Directors State Synopses [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/25/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** DOH ASTDD State Synopses

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris (CTR)

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ATSDR Geographic Information System (GIS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 8/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-01-1011-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A - System does not constitute a "System of Records" under the Privacy Act.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** ATSDR Geographic information System (GIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Andrew L. Dent

**10. Provide an overview of the system:** Geographic Information Systems (GIS) can provide a visual tool for identifying the location of events, the spatial relationship between incidents and the population they may impact. Mapping technology can also assist in the collection of information from exposed individuals to help identify the source of an unknown release. Proximity assessment, demographic characterization, and local resource identification (e.g., postal facilities, health care, fire, national guard) are also available through the use of spatial analysis techniques.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system maintains geospatial data such as basemap, emergency response, public health infrastructure, demographic, and environmental hazard data. The data will be used to generate cartographic products, support research, and analyze spatial relationships between features of interest in the public health domain. The system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 8/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ATSDR Records Management System (ARMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1755

**7. System Name (Align with system Item name):** ATSDR Records Management System (ARMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marianne Hartin

**10. Provide an overview of the system:** The ATSDR Records Management System (ARMS) is a records management and labeling application used to provide database record searching and produce labels. ARMS is designed to catalog and index official ATSDR records. The records are received from divisions within the Agency for Toxic Substances and Disease Registry (ATSDR) and are delivered to the ATSDR Records Center /NCEH-ATSDR Health Communications Science Office. The ATSDR Records Center uses ARMS to manage records for Superfund sites and other legal and official activities conducted by the agency. ARMS is used to meet federal records management regulations and requirements. ARMS is the primary source for the entire ATSDR Records Center in storing, searching and retrieving records. ARMS can be used for both physical and electronic records. All aspects of records management are included: lifecycle management, sensitivity levels, clearance, cross referencing of content keys, and linked labels. Security features include a comprehensive and intuitive system designed to electronically manage the lifecycle of all records and respect the existing IT security conventions already in place.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) ARMS contains label numbers, file names and file descriptions.

(2) ARMS is used to catalog and index official ATSDR records, provide database record searching, and produce labels. ARMS is also used as the primary source for the entire ATSDR Records Center in storing, searching and retrieving records.

(3) The system data are public record and contain no PII or sensitive data of any type.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** (1) N/A. The system does not contain any PII.

(2) N/A. The system does not contain any PII.

(3) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =9/3/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/4/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Automated Immunization and Medical Surveillance System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/29/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0018

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1548

**7. System Name (Align with system Item name):** Automated Immunization and Medical Surveillance System (AIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Art Tallman

**10. Provide an overview of the system:** Tracks immunizations and medical surveillance programs that involve CDC personnel

Automatically generates e-mail for scheduling appointments

Provides an online reference guide for immunizations and medical surveillance programs for all CDC personnel

Permits viewing and printing of immunization history for CDC personnel

Permits immunization or medical surveillance program requests by employee, supervisors or appropriate administrative personnel via automated Form 697

Provides a decision support tool for supervisors and administrative personnel in CIOs that have immunization or medical surveillance requirements

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

Tracks immunizations and medical surveillance programs that involve CDC personnel

Automatically generates e-mail for scheduling appointments

Provides an online reference guide for immunizations and medical surveillance programs for all CDC personnel

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Data collected pertains to immunization and medical surveillance tracking that involve CDC personnel without any distinguishing identifiable information.

2) Permits viewing and printing of immunization history for CDC personnel

3) The following IIF is being collected:

- Name
- SSN
- Mailing Address
- Phone Number

4) Voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) IIF is collected, disseminated or maintained in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** User ID

Passwords (with expiration)

Firewall

Guards

ID Badges

Key Cards  
CCTV

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 2 Sep 09

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Automated Royalty Tracking System (ARTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0024

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1640

**7. System Name (Align with system Item name):** Automated Royalty Tracking System (ARTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Martin Vincent

**10. Provide an overview of the system:** CDC's Automated Royalty Tracking System (ARTS) is a web-based application designed to enable the processing, tracking, and reporting of CDC royalty revenue distribution payments. The users of this system are the Coordinating Center for Infectious Diseases (CCID) Technology Transfer Specialists; Division Approvers from CCID, National Center for Environmental Health (NCEH), the National Institute of Occupational Safety and Health (NIOSH); and the Financial Management Office (FMO) Budget Analysts. ARTS will provide authorized users with the ability to approve the distribution of royalty payments to Inventors, calculate the amount to be paid, notify Inventors and Laboratories of payment, and report on payment activities. ARTS will collect Personable Identifiable Information (PII), such as names, SSN (Non-CDC employees), Web URL's, and both personal and business related email addresses.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** CDC's Automated Royalty Tracking System (ARTS) is a web-based application designed to enable the processing, tracking, and reporting of CDC royalty revenue distribution payments. The users of this system are the Coordinating Center for Infectious Diseases (CCID) Technology Transfer Specialists; Division Approvers from CCID, National Center for Environmental Health (NCEH), the National Institute of Occupational Safety and Health (NIOSH); and the Financial Management Office (FMO) Budget Analysts. ARTS will provide authorized users with the ability to approve the distribution of royalty payments to Inventors, calculate the amount to be paid, notify Inventors and Laboratories of payment, and report on payment activities. ARTS will collect Personable Identifiable Information (PII), such as names, SSN (Non-CDC employees), Web URL's, and both personal and business related email addresses.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC's Automated Royalty Tracking System (ARTS) is a web-based application designed to enable the processing, tracking, and reporting of CDC royalty revenue distribution payments. The users of this system are the Coordinating Center for Infectious Diseases (CCID) Technology Transfer Specialists; Division Approvers from CCID, National Center for Environmental Health (NCEH), the National Institute of Occupational Safety and Health (NIOSH); and the Financial Management Office (FMO) Budget Analysts. ARTS will provide authorized users with the ability to approve the distribution of royalty payments to Inventors, calculate the amount to be paid, notify Inventors and Laboratories of payment, and report on payment activities. ARTS will collect Personable Identifiable Information (PII), such as names, SSN (Non-CDC employees), Web URL's, and both personal and business related email addresses.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The individual has already included their information within the vendor file. It is from this file that individual payouts are processed. An ACH form is filled out by the vendor in advance if they want to receive payments. There is no notification to the vendor if there are any changes to the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system uses standard ITSO controls.

The server is located in a locked room. AD access is required to access the information, and the administrator periodically reviews information as it is logged in.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/9/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Automated Specimen Tracking (ASTRO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0106

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1188

**7. System Name (Align with system Item name):** Specimen Tracking and Results Laboratory Information Management System (StarLIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Genny Gallagher

**10. Provide an overview of the system:** Once fully implemented and integrated, the NCEZID StarLIMS system will be the NCEZID centralized Laboratory Information Management System (LIMS). This application is a Commercial Off the Shelf (COTS) application built by the StarLIMS Corporation in Hollywood, Florida. All information related to specimens and the analytical results of testing those specimens will be entered into the system and tracked using the system. Reports will be run and used to notify submitters of the test results. Aggregated reports may be reviewed by CDC management personnel. For specimens with legal or forensic ramifications the system will establish and track the Chain-of-Custody of the specimens. All users of the system will be internal to CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
StarLIMS shares information between Specimen Tracking and Results Reporting System

(STARRS), a NCPHI-developed web services application, and Laboratory User Network Application (LUNA), the web interface to STARRS. The integration between STARRS and StarLIMS lays critical groundwork for information exchange between CDC external public health laboratory partners and CDC labs using StarLIMS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The system collects name, DOB, and gender.

2) The information is used to associate a specimen with a particular person.

3) PII is collected for a specimen in some cases but not always.

4) Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Processes in place for obtaining PII are controlled outside of this agency by submitters of specimen data

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System data is secured with role based security. Users can only see/view data based on personal viewer identification set up for each user that only allows users to see data related to their specific lab.

IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = Feb 2, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote



**Sr. Official for Privacy Name:** Thomas P MAdden

**Sign-off Date:** 4/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Automated Task Order Monitoring System (ATOMS) [Systems]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/14/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2103

**7. System Name (Align with system Item name):** Automated Task Order Monitoring System (ATOMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Freeman

**10. Provide an overview of the system:** This system will be used to provide information for the upcoming 2012 DGHA Annual Meeting, providing a registration function by collecting federal contact data. This site will not request any personally identifiable information. The data collected will be used to produce conference name badges and schedule meetings with department leaders. No personal information is being requested. Only Federal and Business Contact data will be collected

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will be used for provide information for the upcoming 2012 DGHA Annual Meeting, providing a registration function by collecting federal contact data. This site will not request any personally identifiable information. The data collected will be use to produce conference name badges and schedule meetings with department leaders. No personal information is being requested.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII information is being requested or collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII information is being requested for this effort.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/14/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Automated Web Translation Services [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1752

**7. System Name (Align with system Item name):** MotionPoint

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** MotionPoint is an industry innovator in website language translation. MotionPoint develops and deploys a technology suite designed to perform automated website translation. The technologies leverage publicly available web content to perform the translation functions, while preserving the privacy and security of non-public data

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = July 27, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BDB Reference and Diagnostics (BDB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/22/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1480-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0160

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1198

**7. System Name (Align with system Item name):** BDB Reference and Diagnostics (BDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Charles Beard

**10. Provide an overview of the system:** This system is internal facing and is not a Web-based application. This system is an access database which contains critical diagnostic and select agent information. System provides national & international reference diagnostics for plague, tularemia, Lyme, relapsing fever, and BT investigations. The system tracks laboratory diagnostic samples & resulting test data & generates reports with patient information. After successful login and validation, fcid-vbi-1/BDB.mdb is loaded onto the user's workstation. This Access 2003 application consists of forms containing fields for data input. Once the data is entered it is written to the database portion of the Access .mdb file on the file server fcid-vbi-1. The system can output various reports to be used for analysis or for reporting test results. Only local scientists granted permission within the division/branch of DVVID/BDB have access to the data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** State Health Departments. Data originates from State Health Department, and is tested, and after testing, reports of results are returned to Health Department providing the sample data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Access database which contains critical diagnostic and select agent information.

(2) System provides national & international reference diagnostics for plague, tularemia, lyme, relapsing fever, and BT investigations. The system tracks laboratory diagnostic samples & resulting test data & generates reports with patient information. Patient information includes: name, age, and gender

(3) The information contains PII

(4) CDC obtains information from State Health Departments, so CDC does not control or is aware of voluntary nature of data provided from patient participants.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Only aggregate test results data is provided to State Health Departments. Exception is the State Health Department that originally provided the patient samples. For health departments that provided the sample data, the patient's name, age, and gender is provided.

State health departments collect the patient PII and obtains consent and notifies patients, when necessary.

State health departments would notify individuals.

State health departments will explain how the data is used or shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only authorized individuals have access to PII data, and only for authorized tasks. Individuals permitted access must submit proper user-ID/password to Operating System and then to application in order to access. Server housing the application is physically protected with locked doors and limited access. Operating system is

hardened to limit exposure to unauthorized access. Application users receive annual security awareness training

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/22/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Biologics Information and Ordering System (BIOS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 865

**7. System Name (Align with system Item name):** Biologics Information Ordering System (BIOS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Curtis Taylor

**10. Provide an overview of the system:** BIOS is a web-enabled java-based intranet application. The system is used by SRP Lab technicians to manage inventory and order product. Users have the ability to enter product orders, determine the number of lots to create for the order, and process the order for shipment. The system is considered essential for the technicians to perform their job, therefore down time must be kept to a minimum. BIOS data is contained within the system and does not interact with other CDC systems.

Users are grouped into system roles. Roles are defined with CDC LDAP. BIOS authenticates users against the LDAP before gaining access. BIOS roles include: administrator, manager, orderer, QA, supervisor, technician, and management reports. The system runs via the CDC Intranet. It uses an SSL certificate for data encryption.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Lab inventory and job order information. Maintains and tracks orders for lab items. No PII information is collected, processed, or stored within the application.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Biologics (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Biologics

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Brandt

**10. Provide an overview of the system:** Biologics Phase 1 is an internal, inward-facing microbiology and gene sequencing application. Personnel from the Mycotic Diseases Branch of the CDC use BioloMICS Pro v8.5 software to manage their collection of fungal isolates. Data stored in Biologics include basic isolate identifiers (human/animal/environmental, genus/species, etc.), image files of cultured isolates, DNA sequences, and references to publicly-available reference material for each isolate.

Personnel from the Mycotic Diseases Branch of the CDC use BioloMICS to input all information, sequences, and image files for each isolate in their collection. All data is stored in a MySQL database on a DSS server. Existing information is retrieved for display/modification through the BioloMICS front-end application. Data is exported for use in research projects and publications as needed.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Collects data on fungi. Allows the division to more efficiently collect and analyze samples. Used for public health research purposes and publications. No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BioSense (BioSense) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/4/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-21-01-1163-00-110-030

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** SORN 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** BioSense

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barry Rhodes

**10. Provide an overview of the system:** BioSense is a national initiative to support the advancement of incident awareness and early detection capabilities by promoting greater and timelier acquisition of relevant data and by advancing technologies associated with near real-time reporting of secondary data from multiple sources and analytics. At this time, BioSense does not attempt to predict when an event may occur in the future, but rather helps to establish the parameters of an event based on the reported data. As an example, BioSense will help establish if similar symptoms are reported at different cities across the United States.

To enhance consistency of public health surveillance nationally, BioSense facilitates the sharing of automated detection and visualization algorithms and approaches by promoting national standards and specifications developed by such initiatives as the Public Health Information Network (PHIN) and the eGov activities of Consolidated Health Informatics. CDC receives data voluntarily from facilities electronically. Data can be viewed by users (facilities, Local Health Department, State Health Department) using BioSense Web application which is hosted on CDC Secured Data Network.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** As of January 2009 , BioSense has hospitals transmitting data and commitments have been received from health systems and state health organizations representing hospitals and covering major metropolitan areas and 50 states. In addition, BioSense has three data sources which do not currently transmit data in real-time: Department of Defense (DoD) military treatment facilities, Department of Veterans Affairs (VA) treatment facilities, and Laboratory Corporation of American (LabCorp) test orders. Data are received and analyzed on a daily basis from these sources. Both the DoD and VA provide ambulatory care data in the form of ICD-9-CM diagnosis codes and CPT medical procedure codes. LabCorp provides test orders and ICD-9-CM codes associated with the reason for the orders. All data sources include additional information with each record such as patient age, gender, zip code of residence, and facility identifier and zip code.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** BioSense facilitates proper controls on its system to ensure the protection of IIF data and other non IIF data. BioSense is rated a High system per the FIPS 199 categorization. BioSense implements and facilitates the security controls following the NIST SP 800-53 for a High system which include the controls for administration, technical and physical. Under the administration controls, BioSense implements access controls.

Specific Controls Administrative: Role based access.

Technical Controls: User ID, passwords, firewall, encryption, IDS, CAC.

Physical Controls: Guards, ID badges, key cards, cipher locks, CCTV.

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = 3

Risk Analysis Date = December 12, 2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BioSense 2.0 (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/23/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** BioSense 2.0

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Taha Kass-Hout

**10. Provide an overview of the system:** The key aim of BioSense 2.0 is to accelerate and expand state and local syndromic surveillance systems. BioSense 2.0 will contribute to nationwide and regional (i.e., multi-state) situation awareness for all hazards health-related events (beyond bioterrorism), by allowing local and state stakeholders direct control and ownership of the system in order to paint a cohesive regional and national “picture.” This is consistent with the 2006 Pandemic All Hazards Preparedness Act (PAHPA), and 2007 Homeland Security Presidential Directive (HSPD-21), both of which call for regional and nationwide public health situation awareness, through enabling state and local situation awareness capability. Further, BioSense 2.0 aligns with the priorities identified by the National Biosurveillance Strategy for Human Health. Successful implementation of BioSense 2.0 will promote a national situation awareness picture that provides:

- Aggregate national view
- More detailed State/Local views and functionalities
- View neighboring localities and departments
- View contextualized alerts and assessments
- Accept new data sources

BioSense 2.0, governed by state and local stakeholders under the Association of State and Territorial Health Officials (ASTHO), creates an easy way to facilitate the sharing of public health surveillance data between health jurisdictions. Additionally, BioSense 2.0 will cease the collection and maintenance of raw clinical data at CDC as with the case with the original design of BioSense (BioSense 1.0). To achieve this, BioSense 2.0 will emphasize the direct reporting of data from hospitals to state and local health departments instead of CDC, if desired by jurisdictions



**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/23/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BioSense Operations & Maintenance (BioSense O&M) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** BioSense Operations & Maintenance (BioSense O&M)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alan Davis

**10. Provide an overview of the system:** BioSense O&M is a national initiative to support the advancement of incident awareness and early detection capabilities by promoting the improved acquisition of relevant data and advancing technologies associated with near real-time reporting of secondary data from multiple sources and analytics. BioSense does not attempt to predict when an event may occur in the future. The system helps establish the parameters of an event based on the reported data. The system uses medical notes, Birth Month/Year, Reported Age, Sex, Race, Ethnic Group, Occupation, state, county, and zip code information to help establish the scope and parameters of a public health event based on the reported data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. BioSense O&M does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** BioSense has hospitals transmitting data and commitments have been received from health systems and state health organizations representing hospitals and covering major metropolitan areas and 50 states. In addition, BioSense has three data sources which do not currently transmit data in real-time: Department of Defense (DoD) military treatment facilities, Department of Veterans Affairs (VA) treatment facilities, and Laboratory Corporation of American (LabCorp) test orders. Data are received and analyzed on a daily basis from these sources. Both the DoD and VA provide ambulatory care data in the form of ICD-9-CM diagnosis codes and CPT medical procedure codes. LabCorp provides test orders and ICD-9-CM codes associated with the reason for the orders. All data sources include additional information with each record such as patient age, gender, zip code of residence, and facility identifier and zip code.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Security controls in place on the system meet NIST SP 800-53 Rev 3 requirements for an information system with a moderate security rating.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Biotechnology Core Facility Job Tracking Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9422-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 26

**7. System Name (Align with system Item name):** Biotechnology Core Facility Job Tracking Database (BCFJOBS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Sammons

**10. Provide an overview of the system:** The Biotechnology Core Facility Branch (BCFB) provides custom products, synthetic DNA oligonucleotides and synthetic peptides, as well as other services to CDC laboratory researchers. Since 1993 the BCFB has employed an electronic relational database for inventory control and tracking data related to these activities. Paradox was the database product selected after evaluation of commercial products available at that time and is the product currently used by the BCFB

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII is contained within the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is contained within the system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Birth Cohort Evaluation to Advance Screening and Testing of Hepatitis C (BEST-C) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Birth Cohort Evaluation to Advance Screening and Testing of Hepatitis C (BEST-C)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Geoff Becker

**10. Provide an overview of the system:** The purpose of Birth Cohort Evaluation to Advance Screening and Testing of Hepatitis C (BEST-C) is to gain an understanding of how Primary Care Providers (PCPs) are identifying persons who are anti HCV+. CDC seeks professional services to collect retrospective patient data from the electronic medical records of four large PCPs related to HCV risk, risk assessment, and HCV testing practices, outcomes, and their related costs.

The four PCPs are Henry Ford Hospital (Detroit, MI), University of Alabama at Birmingham (Birmingham, AL), University of Texas at Houston (Houston, TX), and Mount Sinai Medical Center (NYC)

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) SAS datasets 2) Research 3) No personal identifiable information is collected 4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Blackstone ACD (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Blackstone ACD

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Bill McHarg

**10. Provide an overview of the system:** Contact Center Manager Server is the core contact center component that provides the intelligent

routing capability for voice contacts to be routed to the most qualified agent. Contact Center Manager Administration is a browser-based tool for contact center administrators and supervisors. You can use Contact Center Manager Administration to manage and configure a contact center and users, define access to data, and view real-time and historical reports.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Blood Requests [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9324-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** The PII collected is exempt due to the Business PII determination made in accordance with the HHS PIA SOP of February 2009

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 917

**7. System Name (Align with system Item name):** Blood Requests (BLDSERVICES)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Suzette Bartley

**10. Provide an overview of the system:** The Blood Requests system is used to create and track blood request made by CDC personnel. The system is also used to supply data for contract management. A CDC Investigator request for a fresh blood product is entered into the Blood Requests system. Requests are then sent to Emory University via fax. Emory has a contractual agreement with CDC to procure fresh blood products. Requested blood is sent to CDC usually with 24-48 hours. There are between 300-500 blood request forms submitted by CDC Investigators annually. The completion of the request is recorded in the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A, System does not share or disclose PII information

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1) product specifics: product type (whole blood, serum, etc.), blood type, amount, when needed, package type, where delivered, etc.; request specifics: investigator name, contact information, date requested

2) to track product requests and fulfillment

3) the information does not contain PII except business contact information

4) n/a

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) n/a – Business IIF only

2) n/a – there is no PII, just business contact information

3) The information is not shared. It is used to record requests of blood product. It is used to track request fulfillment.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A, System does not contain PII except business contact information

E-Authentication Assurance Level = N/A

Risk Analysis Date =2/13/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Body and Mind (BAM) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 876

**7. System Name (Align with system Item name):** Body and Mind (BAM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Mullins

**10. Provide an overview of the system:** BAM is a kid friendly web site for information on physical activity, bullying, nutrition and other health topics of interest to kids. The site allows for users to create a personal (but not personalized) activity calendar.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** BAM doesn't collect, maintain or disseminate data. No PII is collected through BAM.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Body Mass Index Calculators [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - BMI

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Calculator to help determine Body Mass Index.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Border Infectious Disease Surveillance Project (BIDS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 6/18/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 891

**7. System Name (Align with system Item name):** BORDER INECTIOUS DISEASE SURVEILLANCE SYSTEM v4.0 (BIDS4)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Steve Waterman

**10. Provide an overview of the system:** System would collect data about patient events in the border cities of US and Mexico. The data pertains to the following 3 syndromes: Febrile Exanthem, Hepatitis, Undifferentiated fever/neurological syndrome. Lab data about specimens collected from the patients would also be entered in the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** BIDS4 application stores

and processes non-personally identifying data about patient events in the border regions of US and Mexico for Hepatitis, Febrile Exanthem, and Neuro-Undifferentiated Syndromes. The application also allows the site administrators in these border sites to enter data about Lab specimens and test results for these patient events.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

PII no

E-Auth Level = 1

Risk Analysis date: 4/22/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Bibliography [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Bibliography

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Search tool for BRFSS publications.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Coordinators [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Coordinators

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** State BRFSS coordinators.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Data System Course [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Data Systems Course

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** BRFSS training web site.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Historical Questions [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Questions

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Query of questions from previous BRFSS surveys.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Maps [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GIS - BRFSS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Geographical display of BRFSS data.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained

within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Modules and State Added Questions [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Modules

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Listing of BRFSS questionnaire modules available by state and year.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Prevalence [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Prevalence

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** State BRFSS prevalence data.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Publications [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS Publications

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Citation information for official state publications that include BRFSS data, such as Healthy People 2000 reports and newsletters.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS SMART [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS SMART

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Selected Metropolitan/ Micropolitan Area Risk Trends (SMART) displays BRFSS data for selected metropolitan and micropolitan statistical areas.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC BRFSS Survey Operations Support [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1148

**7. System Name (Align with system Item name):** CDC DACH BRFSS Survey Operations Support

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Track status of data sets submitted by states.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Budget InSight (BIS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1802

**7. System Name (Align with system Item name):** Budget InSight

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Daniel J Hardee

**10. Provide an overview of the system:** The Budget InSight is a management financial reporting system, built for the Financial Management Office (FMO) by the Budget Operations Branch (BOB) at the Center for Disease Control (CDC). Budget InSight supports budget planning and execution by processing financial data from multiple systems and displaying it in summary level management views (dashboards) and detailed reports.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Budget InSight supports



budget planning and execution by processing financial data from multiple systems and displaying it in summary level management views (dashboards) and detailed reports. The information does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Budget InSight [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/22/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0013

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1674

**7. System Name (Align with system Item name):** Employee Base Line Information System (EBIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Art Tallman

**10. Provide an overview of the system:** EBIS is an internally developed system for tracking aliquots generated from blood samples received from Occupational Health and Safety (OHS). The donors are either CDC employees or visiting professionals of OHS. When the blood sample is received from an OHS office, it is "spun" and of 1 or 0.5 ml aliquot samples are created. These aliquots are stored in the Specimen Management Branch freezers. EBIS also ports an existing Fox Pro database to a MS SQL database. There are two types of samples sent to SMB. Samples from CDC employees with CDC User ID and CDC Visiting Fellows without a CDC User ID and the two are tracked using different type of identification information. CDC employees with CDC User ID are tracked using the four alphanumeric characters; CDC User ID. The visiting fellows are tracked using their name and date of birth. This identification data is used by the system to automatically generate a specimen tracking number which is affixed to the blood sample received from OHS and it is also affixed on the aliquots created from the samples. The system generated aliquot identification number contains information regarding from which original blood sample the aliquot is generated and the storage location of the aliquot in the freezer.

When an aliquot is released the system tracks the date of release, destination of the aliquot.

There are 17,000 aliquot identifications in the Fox Pro database that is being ported to an SQL database. 15,000 of these legacy data has CDC user ID's and they are tracked and managed using the CDC ID. The 2000 legacy users without CDC User IDs are tracked using name and social security number because these users does not a date birth so donor name and date of birth cannot be used to track the aliquots.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information Collected:

(1) First Name, Last Name, DOB and Social Security

(2) Used to track number of aliquot donors and identify which Aliquot Management Branch Freezer aliquot is stored. The information is collected from pre-AD retired CDC employees and visiting fellows to accurately identify donors.

(3) IIF is being collected.

(4) Personal information is voluntary but is required to track number of aliquot donors.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) Users are notified via a general OD announcement when changes occur in the system. Users are also asked to update and validate their information on a yearly basis. A privacy notice opens when the user first accesses their contact information.

(2) IIF is collected and maintained by CDC.

(3) IIF may be used to search for individual records, but never disclosed except by signed authorization.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only admin can change/delete/modify data and the administrative control controlled by the Chief, Specimen Management branch.

Users are added by the branch chief by opening ITSO service desk ticket for granting access.

IIF Collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 02/13/2012

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/22/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Budget Resource Allocation System (CBRA) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1803

**7. System Name (Align with system Item name):** CBRA - CDC Budget Resource Allocation

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kim E. Jennings

**10. Provide an overview of the system:** CBRA is a web-based application that serves to model and automate FMO's ceiling resource allocation process. CBRA allows the user to record funding details (e.g. appropriations, allotments, sub-allotments, adjustments) and generate ceiling memos as well as supporting documentation. The process within CBRA divides up large pools of money into smaller and smaller pools with each subdivision providing a more specific definition of where and for what purpose the funds will be used.

Features include:

- Secure, role-based access to information via Internet Explorer
- Rules-based calculations for Rescissions, Assessments, Splits, and Sub-Allocations
- Workflow that follows the Budget process from Planning through all Presidential and Congressional Marks to Provisional and Official Ceilings
- Generation of Ceiling Letters and all attachments
- Ad-Hoc versions for "What if?" analysis
- Tracking of all changes from multiple concurrent users

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**

**other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** FMO will collect and record budget funding details (e.g. appropriations, sub-allocations, adjustments) in order to generate ceiling memos for organizations receiving appropriations.

No PII will be recorded/collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII No

E- Auth Level = N/A

Risk Analysis date 08/18/10

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Buildings and Facilities Management System (BFMS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/11/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1821

**7. System Name (Align with system Item name):** Buildings and Facilities Management System (BFMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** Buildings and Facilities Management System (BFMS) is a web-based system designed to maintain CDC building and facility details, such as (building names, addresses, campus name, and number of floors per building). Users of this system can both add and/or update details regarding CDC occupied buildings. This information will then be utilized by other enterprise software applications, such as CDC Neighborhood, as a basis for building/facility details. This system contains no Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will contain data relating to CDC building and facility details, such as (building names, addresses, campus name, and number of floors per building). Users of this system can both add and/or update details regarding CDC occupied buildings.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

Risk Analysis date: 5/10/2010

E-Auth Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/11/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC BZB Diagnostic Samples [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1159

**7. System Name (Align with system Item name):** Bacterial Diseases Branch Diagnostic Samples (BDBDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rich Peterson

**10. Provide an overview of the system:** This system provides for the storage, tracking, and transfer of select agent and non select agent isolates within the bacterial zoonoses branch of the division. The inventory (freezer location, status, and history) of Lyophilized and frozen isolates are tracked via this database. The system consists of an Microsoft Access front end and a Microsoft SQL Server back end. Only authorized division scientists have access to the system on the local area network.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Firstname, Lastname, Country, State, County, Year Collected, Age, Sex are collected, not disseminated or shared. Information is used for molecular and epidemiological studies and for linking samples including those from subsequent investigations. Information submitted is voluntary via DASH form.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Information on this is contained on the DASH form the patient signs

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Access & user rights to the system are limited to authenticated Windows users using Windows and MS SqlServer security, administered by the local SA. Physically, the MS SqlServer is located behind two doors protected with card key locking systems.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CallPilot (CallPilot) SYSTEM**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CallPilot

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James Deberry

**10. Provide an overview of the system:** CallPilot provides Voice/FAX Messaging as well as Desktop Messaging capabilities through the users' desktop email. The additional server will house the CallPilot Manager Application along with the Call Pilot Reporter application. CallPilot Manager is used to manage day to day functions, such as adding and deleting users from the system. The CallPilot Reporter is used to provide reports of usage stats on the CallPilot system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Cambodia IT Infrastructure (GAP-Cambodia) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/17/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Cambodia IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Cambodia and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/20/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Cameroon IT Infrastructure (GAP-Cameroon) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Cameroon IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Cameroon and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**



disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =4/8/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Capacity Building Assistance Admin (CBA Admin) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1409

**7. System Name (Align with system Item name):** Capacity Building Assistance Admin (CBA Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rashad Burgess

**10. Provide an overview of the system:** CBA Admin does not collect, store, or use PII or SSN's. CBA Admin provides user and administrative reports for both the CRIS and GEMS systems. CBA Reports does not store any data within the system, but rather pulls the data from CRIS and GEMS and compiles it into reports. It has a report wizard where the users can design their own reports and choose the criteria that will be included in the reports.

CBA Admin has the capability to produce data for management level quarterly reports.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information used in the reports for CBA Admin is housed within the CRIS and GEMS systems, there is no information collected in the CBA Admin system.

CBA Admin displays reports that reflect the requests entered in the CRIS system. It reports the number of request's for Focus Area 1, the number of request's with status of Active and the number of request's for an organization.

CBA Admin does not contain any PII.

Submission of information in the CRIS and GEMS systems is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Capacity Building Assistance Evaluation (CBAE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-0017

**6. Other Identifying Number(s):** ESC# 1341

**7. System Name (Align with system Item name):** Capacity Building Assistance Evaluation (CBAE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rashad Burgess

**10. Provide an overview of the system:** CDC's Division of HIV/AIDS Prevention (DHAP) provides community-based organizations with more than \$300 million annually to conduct HIV prevention activities. In addition, DHAP's Capacity Building Branch (CBB) provides funds for Capacity Building Assistance (CBA) services in which considerable resources are allocated to serve community-based organizations (CBO), health departments, and community planning groups nationwide. The CBB provides national leadership and support for capacity building assistance to ensure that DHAP's grantee have the knowledge, skills and technology to effectively and efficiently conduct HIV prevention across the United States and its territories .

CBA is provided to support grantees in the implementation, monitoring and evaluation of evidence-based HIV prevention interventions and programs; building organizational infrastructure; and community mobilization to decrease stigma and increase HIV testing in high risk communities. Even though CBA has been funded for over 10 years, there has been no systematic assessment of CBA services provided to CBO and health department customers. There has not been a monitoring or evaluation processes to determine if CBA customer were satisfied with CBA services or if CBA services resulted in improved HIV prevention practices.

The purpose of this project, and system, is to provide program evaluation services to assist DHAP/CBB in the monitoring and evaluation of CBA Cooperative Agreement Program which services community-based organizations (CBO) directly and indirectly funded by CDC, health departments and their community planning groups (GPGs), and other community stakeholders providing HIV prevention services targeting high risk and racial/ethnic minority populations to increase their capacity to implement evidence-based prevention practices.

In September 2009, CBB funded a new round of five-year cooperative agreement with 30 CBA providers. The project focuses on the following monitoring, evaluation, and quality control questions: (1) How satisfied are CBB's CBA customers with the CBA services that they

provide? (2) Do the customers who receive CBA services, consider these services to be of benefit to them as they develop the capacity to implement evidence-based services over time? (3) How can customer feedback be utilized to improve CBA services over time? To collect the information, survey evaluation forms are completed by Technical Assistance and Training recipients at point of services, through 45 and 90-day follow-up surveys, and subsequent contact via phone for non-respondents.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

1) The Training PIF form is a paper form that training participants provide business contact information, including the individual full name (plus sudo-name, described below) and business contact information, including business name, business phone number, and business e-mail. In addition, the PIF application collects some basic demographic data on the person completing the PIF form, including, racial/ethnic background and gender. Each respondent creates a sudo-identifier composed of their first two letters of their first name, first two letters of their last name, two digit month of birth, and two digit day of birth. This paper form is sent to SciMetrika via UPS or priority mail. SciMetrika performs data entry into the CBAE application of all fields, except the individual's full name, instead entering the individual's sudo-name. Forms are shredded on-site after data entry.

2)The CBB provides national leadership and support for capacity building assistance to ensure that DHAP's grantee have the knowledge, skills and technology to effectively and efficiently conduct HIV prevention across the United States and its territories .

3) No PII COLLECTED.

4)Submission of information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) OMB Control Number 0920-0017 states Public Burden Statement: The information on this form is collected under the authority of 42 U.S.C., Section 243 (CDC). The requested information is used only to process your training registration and will be disclosed only upon your written request. Continuing education credit can only be provided when all requested information is submitted. Furnishing the information requested on this form is voluntary.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII is collected and stored. Data is secured by a variety of methods, including securely storing data at CDC contractor site via physically secure and controlled office spaces, encrypted storage of electronic media, and encrypted transmission of electronic data. Paper forms are shredded after data entry.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Capacity Building Assistance Request Information System 2009 (CRIS 2009) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/25/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1341

**7. System Name (Align with system Item name):** Capacity Building Assistance Request Information System 2009 (CRIS 2009)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rashad Burgess

**10. Provide an overview of the system:** CDC's Capacity Building Branch was having problems with communication and coordination among community based organizations (CBOs) and health departments (HDs), Capacity Building Assistance (CBA) providers, and Capacity Building Branch (CBB). There was a duplication of effort, slow service delivery, lack of visibility and status of ongoing activities, and general inefficiency in the development and delivery of CBA. A collaborative communication and tracking system that supports both requesters and providers was needed.

CRIS is a browser-based (Internet) application allowing CDC and its public partners to cooperate in the delivery of HIV/AIDS prevention services. The application will allow CDC-Funded community-based organizations and health departments to request CBA services and enable CDC to match these requests with CBA providers. CRIS will also allow providers to report on the status of capacity building activities, request additional services from other CBA providers, and provide visibility of activities to all participants.

The CRIS mission is to enable the CBB to reduce the manual administration of capacity building efforts that are aimed towards increasing the capacity of health departments and community based organizations to deliver HIV prevention intervention. The CRIS web-based application will be launched from the Capacity Building Assistance Portal (CBAP) bringing together CDC employees, CBA providers, directly funded CBO and HDs to a single online gateway to access CBA resources. CBAP is located at the following web site:

<http://wwwdev.cdc.gov/hiv/cba/default.htm>.

CRIS currently consists of two functional areas: a request submission portion that allows users to request capacity building assistance for themselves or others and a portion that allows CDC staff and CBA providers to work the request. CRIS requests are entered via a wizard-type process that walks the requestor through the process step by step. Once submitted, the CBA Coordinator

and project officer work the request. The CBA Coordinator tells the system to send an e-mail to the selected CBA provider about the request assignment. The CBA provider then enters contact times, plans for fulfilling the request, and other information. The system will also provide analytical and transactional reporting.

A highlight of CRIS essential system functions are as follows:

- CBA requests for individuals
- CBA requests for groups
- Triage
- Action plan for CBA providers
- Generation of reports

Administration of the system

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Organization Name and contact information will be shared with Capacity Building Assistance Providers who will be providing assistance.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1)The System collects the business contact information of the organization the person is employed by and uses it to send individuals their course completion certificates and customer satisfaction follow-up surveys and contact those who do not complete the survey to offer the opportunity to participate in the survey by telephone.

Business Contact information collected includes Name, Unique Identifier from the Participant Information Form (PIF), Organization, Work address (optional), Work city, state, zip code, Work email address, Work telephone number.

2)The information is needed to enroll individuals into specific courses and provide them their course completion certificates. Additionally the information is collected to allow the program to send out the consumer satisfaction follow-up surveys and contact those who do not complete the survey to offer the opportunity to participate in the survey by telephone.

3)The system does not collect personally identifiable information.



4)Submission of the information is voluntary, however, without the information the individual may not be able to sign up for courses or receive their certificate of completion.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/25/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Capital HR/EHRP Support Services (CapHR) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1655

**7. System Name (Align with system Item name):** CDC Capital HR/EHRP Support Services (CapHR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** CapHR is the standard HHS Human Resource System which is used to perform all federal employee actions. MISO has a data instance of CapHR which is used to feed data to other tracking systems such as PMAP, People Processing, CDC Neighborhood, WIZ, and EPATS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes – it supports other tracking systems to provide federal employee data necessary to fulfill the functions of each individual system. CDC Neighborhood, EPATS, PMAP, People Processing, and WIZ.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects, Name, DOB, Personal Mailing Address and Phone Numbers, Medical Notes, Medical Record Numbers, Financial Account Information, Certificates, Education Records, Military Status, Employment Status, and Foreign Activities. The information is collected as a data feed to support numerous other CDC personnel tracking systems such as EPATS, CDC Neighborhood, WIZ, People Processing, and PMAP. The information is mandatory as a condition of employment with the federal government.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) HHS HR collects the information and all federal employees are notified that the information they provide as a condition of employment is explained to them during in-processing and as a condition of the background investigation.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system uses Active Directory for authentication. The system is located in a locked room with guards in the building requiring key cards and ID cards for entry.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/23/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Car Pool Registry (CP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 1/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC System ID: 588

**7. System Name (Align with system Item name):** Car Pool Registry

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** SQL/ASP web based system used on the CDC Intranet/Connects for agency wide car pool registration. The system allows the user to associate a zip code based query to find available rides, carpools and vanpool opportunities. The government employee contact information (name, userid, office phone) is associated from the global address book which is matched to the existing carpool information by zip code.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:**

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Car Pool Registry [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/19/2007

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** Static HTML

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Carpool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** This is a carpool register. You can register for a carpool or search the database by area code and locations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Register information

For carpool

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/21/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCHHSTP Mozambique GAP Site**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Mozambique GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Mozambique IT Infrastructure with file server, exchange server, and webmail server. Authentication is performed via local AD that does not send or receive data from the main HHS/CDC Active directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =8/25/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felica P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/11/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCHHSTP Staff Tracking [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** FY 07: 009-20-01-09-02-0544-00-403-250  
FY 08: 009-20-01-09-02-0544-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 544

**7. System Name (Align with system Item name):** Electronic Staffing Tracking System (eStaff)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Thom Sukalac

**10. Provide an overview of the system:** eStaff is used by NCHHSTP personnel specialist to track detailed personnel and organizational information. Personnel and vacancy information is updated within E-Staff automatically via nightly downloads of CDC directory information and mainframe personnel data. Additional organizational and unit specific information is entered into the system manually. The resulting data set is used by E-Staff to generate up-to-date personnel reports for HRMO and Center senior staff. It is also used for populating the NCHHSTP organizational chart available on the CDC Intranet.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) eStaff collects detailed position and personnel information for the admin codes

2) To generate up-to-date reports for senior staff

3) N/A

4) No PII collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH Alcohol Related Disease Indicators (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH Alcohol Related Disease Indicators (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-Aging Work Group Voting**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-Aging Work Group Voting

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-BRFSS Abstract Submission Tool (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-BRFSS Abstract Submission Tool (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-BRFSS Bibliography (Admin)**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-BRFSS Bibliography (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-BRFSS Interview Training Guide**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-BRFSS Training Guide

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-BRFSS Training Guide**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-BRFSS Interview Training Guide

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

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**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-CHAPS Toolkit Admin**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-CHAPS Toolkit Admin

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH GA-Syndemics (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-Syndemics (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DACH PRC MIS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9022-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH PRC MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Business Contact information is shared with internal staff.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DASH GA-School Health Education Resources (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DASH GA-School Health Education Resources (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DASH GA-SHI (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DASH GA-SHI (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
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The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DASH MIS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DASH MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DCPC Cancer QT**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DCPC Cancer QT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DCPC Email Form**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DCPC Email Form

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DCPC GA-Issue Tracker**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DCPC GA-Issue Tracker

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DCPC GA-Program Contacts (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DCPC GA-Program Contacts (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DDT MIS (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DDT MIS (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DHDSP HDSP MIS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DHDSP HDSP MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DHDSP Legislative Database (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DHDSP Legislative Database (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DNPA GA-5-A-Day Recipes (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DNPA GA-5-A-Day Recipes (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DNPA GA-DNPA Program Directory (Admin)**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DNPA GA-DNPA Program Directory (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DNPA GA-DNPA Qualitative Research Inventory (Admin)**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DNPA GA-DNPA Qualitative Research Inventory (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DNPA GA-Legislative Database (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DNPA GA-Legislative Database (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DOH ASTDD State Synopses (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform DOH ASTDD State Synopses (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

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**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DOH GA - Documents**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform DOH GA - Documents

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
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**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

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**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DOH MIS (Admin)**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform DOH MIS (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Tracks objectives and activities of state based oral health programs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DOH Oral Health Data Resource Center (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform DOH Oral Health Data Resource Center (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform DOH PTS (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform DOH PTS (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Aids in the tracking and reporting of test data from participating water fluoride testing labs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform NCIPC Injury ACE MIS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform NCIPC Injury ACE MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Tracks objectives and activities of state based injury programs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform OD Customer Satisfaction Ratings (CSR)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform OD Customer Satisfaction Ratings (CSR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform OD EPMIS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1055-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform OD EPMIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform OD EPMIS - POSSI**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1055-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform OD EPMIS - POSSI

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform OD GA - BSU Report**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform OD GA - BSU Report

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CCID NCPDCID CoCHP Intranet Platform OD GA - Change Tracking**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform OD GA - Change Tracking

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Application Update Change Tracking.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Blogs [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1603

**7. System Name (Align with system Item name):** CDC Blogs

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Susan Wilkin

**10. Provide an overview of the system:** The Division of Informatics Outreach and Education (DIOE) provides blogging functionality for internal use at the CDC in order to facilitate collaboration around a range of areas from public health topics to general CDC internal topics. Blogs provide the ability for groups at the CDC to share information and receive feedback from interested CDC personnel.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC CCID NCHHSTP Sisters Empowered Sisters Aware - (SESA) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1839

**7. System Name (Align with system Item name):** Sisters Empowered, Sisters Aware (SESA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carolyn Guenther-Grey

**10. Provide an overview of the system:** Sisters Empowered, Sisters Aware (SESA) is a project designed to increase the number of African American women who know their HIV status (it is an HIV testing project). The project involves the evaluation of four HIV testing strategies designed to locate women with undiagnosed infection.

The SESA data collection system is a client/server application developed in C#.NET with Microsoft SQL server 2005 as backend. The system contains client-level demographic, testing strategy, and counseling/ testing/referral (CTR) data. The system will also collect data pertaining to cost-effectiveness analysis and allow site managers to run queries and reports that summarize data associated with a specific time period.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** HIV CTR, demographic, testing strategy, and cost-effectiveness data; does not contain any IIF.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** SESA does not contain any PII.

Risk Analysis Date = January 10, 2008

E-Authentication Assurance Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/8/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC CCID NCZVED National Outbreak Reporting System (NORS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** National Outbreak Reporting System (NORS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barbara Mahon

**10. Provide an overview of the system:** The National Outbreak Reporting System (NORS) provides collection and storage of aggregate outbreak data from State Health Departments. The data is studied and analyzed as a part of national surveillance. Aggregate outbreak data is entered into the system as individual incident reports via client web interface for study as a passive surveillance tool. This surveillance analysis normally occurs after an actual outbreak has occurred. State administrators have the ability to finalize and approve individual incident reports. The data is collected at the CDC in a normalized relational database. Separate applications to work with the surveillance data. Administration and individual incident record viewing is done through the web interface. Currently aFORS (analytical FORS) is the only additional module that has been integrated. NORS has several system interconnections and dependencies. NORS will share functionality with PulseNet and NARMS by automatically sending requests and response for data between the systems.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The National Outbreak Reporting System (NORS) provides collection and storage of aggregate outbreak data from State Health Departments. The data is studied and analyzed as a part of national surveillance. Aggregate outbreak data is entered into the system as individual incident reports via client web interface for study as a passive surveillance tool.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

E-Authentication Assurance Level = 2

Risk Analysis Date = November 4, 2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/12/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC CCID NCZVED OutbreakNet [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 1318

**7. System Name (Align with system Item name):** CDC CCID NCZVED OutbreakNet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Richard Williams

**10. Provide an overview of the system:** OutbreakNet is an external facing web application. The application collects both line list and outbreak data that relates to cases but does not contain PII. The data is used to enable reporting and hypothesis generation during a foodborne outbreak. From this data the system will generate reports and allow direct connectivity for statisticians within the CDC. Allowing for greater analysis and easier reporting to allow more focus on the science behind the outbreak.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** The application collects both line list and outbreak data that relates to cases but does not contain PII. The data is used to enable reporting and hypothesis generation during a foodborne outbreak. The data entered into the system is largely captured by state health departments and then shared voluntarily with the CDC. Once entered into OutbreakNet, states will not be able to download or read other states data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 2/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC CCID OD  
Coordinating Center for Infectious Disease (CCID) Informatics Customer  
Support (c.Support) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/20/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9309-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Coordinating Center for Infectious Disease (CCID) Informatics Customer Support (c.Support)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tonya Martin

**10. Provide an overview of the system:** c.Support® from GWI Software is a comprehensive help desk/customer support application that allows support organizations to coordinate and manage everyday support activities as well as track assets, build a knowledge base and provide customer self-help.

Designed and developed using the Microsoft® .NET Framework, c.Support provides the best overall value by leveraging our existing investment in Microsoft® systems, servers, and infrastructure. c.Support will integrate with Microsoft Active Directory®, Domino Directory, a Microsoft SQL database, and/or Microsoft® CRM.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Other CDC and CDC Contracted Expert Resources for Incident Resolution.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Records business email address, business phone, fax, and mailing address. Submission is mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Customer information is stored on a Microsoft SQL Server inside the firewall and protected by all CDC network protections.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 10/31/2008

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/24/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Content Services [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/13/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1737

**7. System Name (Align with system Item name):** CDC Content Services

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** The CDC Content Services will provide an application programming interface (API) for accessing publically available content from CDC.gov so that it can be easily incorporated into CDC Partners' websites in a controlled manner. The initial targeted service is the Content Syndication Service which will enhance the existing syndication functionality already provided by CDC by offering a registration component and a "self-serve" mechanism for getting the necessary code to syndicate CDC content. Syndication is used to synchronize CDC web content. This currently available content will be in an industry standard "open" format to allot for reuse off of the CDC Network. The syndication includes a self regulation tool as well other various support utilities.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No IIF collected.**

E-Authentication Assurance Level = 1

Risk Analysis Date = June 25, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/14/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DACH GA - State of Aging [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - State of Aging

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Reports the health status and health behaviors of U.S. adults aged 65 years and older.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DASH Evaluation Tutorials [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DASH Evaluation Tutorials

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** DASH evaluation training Web site.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DCPC GA - Issue Tracker [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DCPC GA - Issue Tracker

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Tracks user issues with Registry Plus software.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DCPC GA - Program Contacts [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DCPC GA - Program Contacts

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Contact information for CDC's Breast and Cervical Cancer Early Detection Program.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DCPC USCS [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DCPC USCS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides state-specific and regional data for cancer cases diagnosed and cancer deaths.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DHDSP GIS - DHDSP [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DHDSP GIS - DHDSP

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Geographical display of cardiovascular mortality data.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DHDSP GIS - DHDSP Policy Maps [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DHDSP GIS - DHDSP Policy Maps

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Geographical display of cardiovascular-related legislation. These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained



within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DHDSP Legislative Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/29/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** No cost involved; No ESC entry

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DHDSP Legislative Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Search for state-level bills related to heart disease and stroke prevention topics.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DHPIRS NCHHSTP Prevention Program Branch Support System (PPBSS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 11/14/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9323-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** Exempt due to business PII

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1342

**7. System Name (Align with system Item name):** Prevention Program Branch Support System (PPBSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendy Harrington-Lyon

**10. Provide an overview of the system:** The PPBSS environment was established in 2005 to address the recommendation of the McKing Consulting Firm for system automation within the Prevention Program Branch. Z-Tech developers created a general support system that will operationally support the future development of PPB applications. The system consists of a web server and an SQL server data repository that supports not only data collection, but, additional administrative and reporting needs for the branch. The development of PPBSS has facilitated the move from all paper field processes for grantee files to automated processing of information, thereby increasing system efficiency. It is accessible on the CDC Intranet as well as through remote access for field officers and organizations working with Health Departments and Community Based Organization (CBO) grantees.

The primary purpose of PPBSS is to process and manage grantee information and make such information available to all PPB staff, both on and off site.

The functions of the PPBSS environment will be as follows:

- Data collection
- Manage Grantee information
- Manage Agency information/contacts
- Manage proposed target populations

The majority of applications that will be operating within the PPBSS environment will have data flows as detailed below:

- User inputs information into system
- User manages collected information
- User runs reports to review submitted information

Manual processes within PPB have already been identified and plans to develop applications to replace those processes have already been documented.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PPBSS DOES NOT DISCLOSE ANY IIF

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PPBSS collects various business related information from Community Based Organizations and State Health Departments. This information is used to help them determine if an organization should be provided grant funding.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** PPBSS collects various business related information from Community Based Organizations and State Health Departments. This information is used to help them determine if an organization should be provided grant funding.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PPBSS IS ONLY ACCESSIBLE THROUGH THE CDC INTRANET BY USERID'S THAT ARE MANUALLY ENTERED IN

BY PPBSS ADMINISTRATORS. THE SERVERS ARE IN A SECURE FACILITY THAT HAS GUARDS AND LOCKED DOORS.

E-Authentication Level: N/A

Risk Analysis Date: 10/20/2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/14/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DHPIRS NCHHSTP PRS EBS System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1597

**7. System Name (Align with system Item name):** PRS Evidence Based Search (PRS EBS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carolyn Guenther-Grey

**10. Provide an overview of the system:** The PRS EBS project allows CDC employees to more readily locate pertinent evidence-based intervention documents, previously entered by hand into 'Fact Sheets,' and used by HIV intervention researchers. The PRS EBS software is an ASP.NET 2.0 application that stores its data on a SQL 2008 Server. All the documents involved with the PRS EBS application contain no PII/IIF nor does it contain any sensitive information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
NO PII/IIF COLLECTED

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** “Fact Sheets” used by HIV intervention researchers; All data is public information and does not store or process any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DNPA GA - Abstraction [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - Abstraction

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Used to gather public study abstracts and the data that supports those studies.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DNPA GA - DNPA Program Directory [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - DNPA Program Directory

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides information about physical activity programs involving state departments of health.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DNPA GA - PA Statistics [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DNPA GA - PA Statistics

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Displays physical activity-related BRFSS data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of

the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DNPA GA - US PA Guidelines [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/23/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DNPA GA - US PA Guidelines

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DOH GIS - DOH [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/23/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH GIS - DOH

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Geographical display of oral health indicators and preventive interventions for oral health.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DOH PTS [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH PTS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Aids in the tracking and reporting of test data from participating water fluoride testing labs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from

CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC DTE NCHHSTP Tuberculosis Genotyping Information Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/30/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** TBD

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** None

**6. Other Identifying Number(s):** ESC# 1773

**7. System Name (Align with system Item name):** TB Genotyping Information Management System (TB GIMS) Pilot

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Thomas Navin

**10. Provide an overview of the system:** TB Genotype Information Management System (TB GIMS) is for State TB Controllers who require timely access to centralized TB genotype results and TB cluster data eliminating the need for each state having to compile and maintain the genotyping data. The TB GiMS is a centralized IT solution hosted at CDC that will streamline the use of TB Genotyping data for effective use in TB control unlike the current labor intensive process involved. It will also help maintain integrity of the data required for analysis by CDC TB Program.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No IIF collected. Dummy data will be entered.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = 09/16/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Mike Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/3/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Financial Disclosure Management [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1768

**7. System Name (Align with system Item name):** CDC Financial Disclosure Management

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson

**10. Provide an overview of the system:** This system establishes an LDAP directory service for the purpose of authenticating CDC users to the U.S. Army Financial Disclosure Management system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Names, business phone and email address. Voluntary in nature.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Information is collected from individuals as members of Federal Advisory Committees and entered into the server Active Directory.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System is hosted in the DMZ; there is no direct access to directory except through Windows Server administration tools. Security is controlled by machine name, specific IP address and single user logon.

Risk Analysis Date = January 5, 2010

E-Authentication Assurance Level = N/A

IIF is used to authenticate user to Army FDM.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Identity Management Site (MASO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/14/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-10-1393-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0147

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1331

**7. System Name (Align with system Item name):** CDC IDMS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ronald Abernathy

**10. Provide an overview of the system:** The CDC Identity Management System (IDMS) is a SQL database with application front end internal to the CDC that will allow OSEP to consolidate information from other databases in order to produce report of projected numbers base on the data. This database will also serve as a collection point for the bulk load data that will be migrated to the CDC IDMS.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, with HHS Identity Management System, for the production of PIV II Smart Cards

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Mandatory,

Will be shared with HHS Identity Management System, for the production of PIV II Smart Cards

Full Name, User ID, Job Title, Grade, U.S. Citizen, Hire Date, Years of Service, Background Investigation Type, Status code, Adjudication code and Date

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Updated is gathered from the individual only at time of enrollment and renewal.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The Data is stored on the ITSO consolidated SQL server, that is hardened and secured by ITSO. The data is accessed internally via rolls.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/20/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Influenza Reagent Resource [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

009-20-01-06-02-9224-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1632

**7. System Name (Align with system Item name):** Influenza Reagents Resource Web Portal (IRR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Hoelscher

**10. Provide an overview of the system:** The IRR web portal is a General Support System (GSS) which is external to any CDC network or system. The web portal will be comprised of various hardware infrastructure and software applications that together form the functionality required for an electronic online catalog search and for an e-commerce storefront to be developed according to IRR requirements. The web portal will provide for electronic catalog searches, storefront for e-commerce functions, registration for qualified organizations, and electronic information source for the IRR program. The IRR web portal information system will provide a secure, interface for authorized organizations to access the information it contains.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** American Type Culture Collection (ATCC) will maintain day-to-day inventory to assure accurate storage and retrieval of all viral and bacterial agents, reagents and kits. This inventory will be maintained on the ATCC Enterprise Resource Planning (ERP) system, MFG/PRO from QAD, that has been in place at ATCC for more than 10 years. Our database systems will track all information related to the activity of the CDC-IRR including maintaining the inventory of agents, reagents and kits, recording QC tests and results, curating mailing lists and records of registered users and managing shipping and receiving information. Reporting functions can provide reports in spreadsheet formats, delimited text, database formats or hard copy.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = July 13, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Information Technology on the GO (CITGO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CDC Intranet on the GO (CITGO)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lee Eilers

**10. Provide an overview of the system:** CITGO provides secure connectivity to CDC resources from computer systems that have Internet connectivity and an appropriate web browser, where all CDC provided resources are available and secure.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/9/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC IS Auto Decals [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-0984-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** DOT/ALL8

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1638

**7. System Name (Align with system Item name):** Auto Decal

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tracy Hollis

**10. Provide an overview of the system:** Mainframe application used by the Office of Security and Emergency Preparedness to issue car decals for any vehicles parked on CDC premises or leased property by CDC workforce. The only system users are OSEP personnel, who enter information regarding a vehicle and the associated decal number and the owner's User ID. The information is manually typed from a signed form by the vehicle owner usually submitted to security personnel assigned to the user's workplace. The security staff issues the decal, and then submits the form to the security office in charge of entering the information from the form. This may take several days from the time the user is issued a decal until the information is entered into the Auto Decal system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Mainframe application used by the Office of Security and Emergency Preparedness to issue car decals for any vehicles parked on CDC premises or leased property by CDC workforce. The information collected is User ID and Vehicle Identifiers. The only system users are OSEP personnel, who enter information regarding a vehicle and the associated decal number and the owner's User ID. The information is manually typed from a signed form by the vehicle owner usually submitted to security personnel assigned to the user's workplace. The security staff issues the decal, and then submits the form to the security office in charge of entering the information from the form. This may take several days from the time the user is issued a decal until the information is entered into the Auto Decal system. The information is voluntary but mandatory for an Auto Decal.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Need to Know policy is enforced in the application. Only designated OSEP personnel can see the record. User Id's, Passwords (expire after a set period of time), Accounts are locked after a set period of inactivity, Minimum length of passwords is eight characters, Accounts are locked after a set number of incorrect attempts. Firewall protected.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/19/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Mailing Lists [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0041

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC System ID: 1772

**7. System Name (Align with system Item name):** CDC Mailing Lists (CDCML)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The mailing list system provides the means for operational unit personnel to create and maintain CDC publications mailing lists for foreign, domestic and internal CDC recipients. In addition, it is used to create email lists in the CDC Message Center for communications to all CDC personnel in support of approved CDC activities.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Mailing List administrator (MASO) and individual list creators in organization units.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Contact information (home

or business mailing addresses) is provided voluntarily by recipients and is used only at their request. Changes are managed by direct correspondence between recipient and list owner.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Same as previous answer (#30)

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII is maintained in CDC offices and data centers and subject to the standard record keeping controls already in place.

Yes IIF

Risk Analysis Date: 1/22/2010

E-Auth level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Mailstop Maintenance [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/18/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 1507

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1507

**7. System Name (Align with system Item name):** CDC Mailstop Maintenance

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The Mailstop System is an informational system that stores the mailstops of all the CDC locations..This is an intranet-based application used to maintain CDC Mailstop Data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/04/2011

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/18/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Neighborhood [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/16/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-0984-00-404-137

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1449

**7. System Name (Align with system Item name):** CDC Neighborhood (CDCN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** CDC Neighborhood is a contact and self-service profile application for all users at CDC. Users can look up public contact information for other CDC employees, contractors or affiliates. The application also functions as a self-service profile for each user at CDC, The primary goal of the Neighborhood is to create a user-friendly web-based search function and personnel information application to assist with emergency and non-emergency field staff deployment, as well as directory searches. The functionality will allow users to enter personal and work-related information that can be queried by separate applications. Such functionality will assist the CDC to comply with national and agency regulations related to security and administration of people with access to CDC and its resources.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Emergency Coordinators and those with the authority who need to know emergency contact or deployment-related information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will collect names of CDC personnel, personal phone numbers, email address, education records, military status, employment status, professional and personal emergency contact info, self-identified skills, experience, training, and other credentials, including supervisor names.

Submission of information is voluntary. The information collected does contain PII for the purposes of emergency contact and deployment purposes.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Users are notified via a general OD announcement when changes occur in the system. Users are also asked by the DAA to update and validate their information on a yearly basis. A privacy notice opens when the user first accesses their contact information.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 6/3/2010

Network and security controls for the web servers and databases are in place as well as network security monitoring and security audits. The system is only available on the intranet, mitigating the exposure outside the firewall. Access to the system and to specific information is controlled using Windows Integrated Authentication so users have to have a valid and active network profile before they are allowed system access.

***PIA Approval***

**PIA Reviewer Approval:** Promote



**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 6/15/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC New Ideas [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1527

**7. System Name (Align with system Item name):** CDC New Ideas

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** New Ideas serves as a portal for all of CDC employees to submit ideas and suggestions on how to improve CDC. MASO receives and process the new ideas and suggestions. Some ideas must be forwarded to a committee in OCOO for review and approval. The system serves only as a web portal for the submission of ideas; it stores the ideas in a database but performs no further data processing.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/04/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC NIOSH DART DART Applications (DARTApps) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9522-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC NIOSH DART DART Applications (DARTApps)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Williams J. Murphy

**10. Provide an overview of the system:** DARTApps consists of three applications:

Power Tools Import Utility and Web Search (Power Tools)

The Power Tools system provides information on a tool's sound power level, sound pressure level exposure, hand vibration exposure, and information on test and analysis methods used when gathering the above information.

Noise Reduction Rating Calculator (NRRCalc)

The Noise Reduction Rating Calculator (NRRCalc) is developed for the National Institute for Occupational Safety and Health (NIOSH) and is supported by the U.S. EPA Interagency Agreement DW-75-92197301-0. NRRCalc provides calculation of the Noise Reduction Rating for the following hearing protection devices: passive linear, hearing enhancement, active noise reduction, and customized.

Hearing Protector Device Compendium (Compendium)

The Hearing Protection Device Compendium system provides a searchable interface for users to search for hearing protection devices based on manufacturer, model, protector style and the protection devices protection rating.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OCOO ITSO Multi User Share Tool - (MUST) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** No

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** Multi-User Share Tool(MUST)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** MUST provide the ability of Active Directory Member Group Data Stewards to manage user access to specified file shares in the CDC domain. The system will provide a quicker turnaround time for the CDC User (customer) and eliminate having to impact multiple technicians throughout the infrastructure to make modifications to AD groups.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC OCOO MASO  
Organization & Function - (OF) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/2/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 603

**7. System Name (Align with system Item name):** Organizations and Functions

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** The Organizations and Functions system accesses the Reorganization Database for all the data relating to reorganization proposals. It tracks the status of reorganization proposals. It provides search capabilities and data can be viewed by all of CDC. MASO maintains the data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
NNo

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Does not collect information; only displays Information.



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** David Knowles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/6/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OCOO MASO Policy Management - (PM) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/15/2007

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** POLICY MANAGEMENT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** The POLICY MANAGEMENT database provides users with copies of CDC Policies which are available by a key word and/or function search. The website and search feature will be reviewed and redesigned in 2007 to add functionality.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

POLICY MANAGEMENT does not collect or share IIF

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The POLICY MANAGEMENT system provides a search of CDC policies.

POLICY MANAGEMENT does not collect or share IIF.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) POLICY MANAGEMENT does not collect or share IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** POLICY MANAGEMENT does not collect or share IIF.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/22/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OCOO MISO Web Services Logger [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-0984-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1675

**7. System Name (Align with system Item name):** Web Services Logger (WSLogger)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** WSLogger is a component that can be attached to any MISO web service to transparently begin capturing utilization information about that web service. The Component registers usage and benchmark information into the SQL database for management reporting. Information captured is limited to few data elements such as User-ID of caller, date and time, name of the web service, elapsed time, and name of the method. No PII is collected.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** This system collects/stores User-ID of caller, date and time, name of the web service, elapsed time, and name of the method. No PII is collected and the information is mandatory. The Component registers usage and benchmark information into the SQL database for management reporting. Information captured is limited to few data elements.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OD ITSO Voice Over IP - (VoIP) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-1152-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CDC Internal VoIP (VoIP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Richard Self

**10. Provide an overview of the system:** CS1000E is a scalable and robust IP PBX that offers support of IP based applications using SIP protocols, while providing an industry leading set of telephony features and applications. The CS1000E allows customers to distribute a large number of telephony users throughout their QoS-managed IP network, controlled by a redundant call server. The current redundant core and redundant NRS are located in Chamblee Bldg 106 and Clifton Bldg 21. The PBX systems at Clifton 6, Century Center and Chamblee 101 are all IP ready. These systems provide support multiple applications which include IP telephones, Media Gateways, and soft clients for desktops. This system supports VoIP services to all CDC locations with access to the CDC network.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OD ITSO WAN Video Conferencing System - (ENVISION) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 3/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** ENVISION

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Thomas Erves

**10. Provide an overview of the system:** The Envision system will provide video conferencing capabilities to the CDC network and Internet by CDC employees. The addition of the Tandberg Border Controller will allow Internal CDC sites to conferencing capabilities with external H.323 sites. The Envision system will multipoint control unit device for video conferencing capabilities throughout the CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 3/24/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC OD  
OCOO\_Congenital Anomaly Surveillance Electronic  
System\_Umbrella\_CASES [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/28/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1032-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 137 CDC IRB 1955

**7. System Name (Align with system Item name):** Congenital Anomaly Surveillance Electronic System (CASES)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The Congenital Anomaly Surveillance Electronic System (CASES) is the data collection tool used for the Metropolitan Atlanta Congenital Defects Program (MACDP). MACDP is a population-based surveillance program which monitors birth defects. MACDP has been collecting, analyzing and interpreting birth defects surveillance data since 1968. CASES is used by abstractors in the field to collect relevant data. Data are identified by medically trained abstractors at birth hospitals, pediatric hospitals, laboratories, prenatal clinics, and other sources. Because the data comes from multiple sources, it is necessary to be able to recognize when the same case has been identified in two different places. For this reason, sufficient identifying information is collected to recognize duplicates, even in the case of twins.

CASES is run independently on the abstractors laptop with a web front end and a SQL server back end. CASES is self contained on each abstractors laptop. Although a web interface is used for PHIN compliance reasons, the laptops do not connect to the Internet. Instead, the laptops run IIS and desktop SQL Server software. Abstractors use their browser to access the application, but everything runs on the laptops.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
CDC participates in the National Birth Defects Prevention Study (NBDPS) as the Georgia study center for MACDP. CASES is the data collection tool used for NBDPS. NBDPS uses the PII to contact cases and controls to participate in the study. More information about NBDPS can be found at <http://www.nbdps.org/index.html>

MADDSP is another CDC surveillance program that tracks children with developmental disabilities. Since children with birth defects often have developmental disabilities, we serve as an ascertainment source for them and our data on the specific defects with which a child is diagnosed becomes part of their data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Collect, analyze and interpret birth defects surveillance data;

2) birth defects surveillance

3) Data includes PII

4) Data is extracted from medical records. Data collection is authorized under HIPAA.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) There is no process in place to specifically notify individuals. The data is collected directly from medical records.

2) The patient is not specifically notified that the data has been collected. Data collection is authorized under HIPAA.

3) The PII is used to contact cases and controls to participate in NBDPS.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System follows all applicable administrative, technical, and physical controls required by CDC & NIST.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/28/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OD OCOO\_Public Comment Review Website\_Umbrella\_NPCRW [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/27/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9523-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1833

**7. System Name (Align with system Item name):** NCPDCID Public Comment Review Website (NPCRW)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Craig Oliver

**10. Provide an overview of the system:** NCEZID Public Comment Review Website (NPCRW) is an internet based system that provides web users with the public comments of certain CDC draft documents. These documents are publicly available at <http://www.regulations.gov/>. This is part of the Federal Register public review process and is mandated. The comments will be sent via email to CDC from [regulations.gov](http://www.regulations.gov) where the comments will be uploaded from within the CDC network environment and stored on a CDC SQL database. The privacy policy of the [regulations.gov](http://www.regulations.gov) website informs individuals that comments provided to a Federal Department or Agency through [Regulations.gov](http://www.regulations.gov) are collected voluntarily and may be publicly disclosed in a rulemaking docket or on the Internet.

All outward facing content is read-only, accessible to everyone, and there is no data entered by the public user. The comments will be screened for personal identifying information and obscene language before being placed within the database. Comments with such content will not be entered and will be deleted. Authentication for internal CDC staff access will be via Active Directory.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** NCEZID Public Comment Review Website (NPCRW) is an internet based system that provides web users with the public comments of certain CDC draft documents. These documents are publicly available at <http://www.regulations.gov/>. This is part of the Federal Register public review process and is mandated.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 4/19/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 10/28/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC OD  
OCOO\_Suspense Tracking and Reporting\_Umbrella\_STAR [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9509-00

(OMB reduced the UPI to 17 digits for FY 2008)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1409

**7. System Name (Align with system Item name):** Suspense Tracking and Reporting (STAR) System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Theresa Larkin

**10. Provide an overview of the system:** STAR provides limited information on both budget and program status which is needed in DHAP at all levels. When someone needs more detailed information they can access other systems. STAR serves multiple purposes depending on where the user sits in the organization.

Functions of STAR at the Branch:

- Reduce data entry – information entered into STAR at the branch level is feed into HIV Lead and other systems
- Reduce the need to query the Branch regarding target populations, age groups, risk behavior, etc.
- Submit and track new projects for funding
- Provide a listing of all projects in a branch
- Provide information on projects in other branches in DHAP
- Provide tracking system for the yearly funding cycle
- Provide a historical record of all project funding

Functions of STAR at Division:

- Complete listing of all projects in DHAP



- Provide system to submit new projects to be funded
- Standardization of data elements between different systems
- Provide database of information to produce reproducible reports that are requested by NCHSTP, CDC, grantees, constituents, Congress, and the general public
- Increase accountability of HIV funding
- Define need for data
- Define use of data
- Intramural projects

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** All information is related to the process of handling suspense requests. Examples of information are, Program Announcement (FOA) Number, Grant number, Grantee name (organization), Date of Grantee Letter, Date Received in PGO, Request Type, Description, Branch Contact, Program Recommendation and Approval, as well as all timestamp data related to the process.

STAR collects and maintains limited budget and program data for the purpose of managing grants.

No PII is stored, processed, received or sent by the STAR system.

No personal information is stored within the STAR system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OID: Electronic HIV/AIDS Reporting System(eHARS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/29/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9122-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** HIV/AIDS Reporting System (HARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sam Costa

**10. Provide an overview of the system:** HARS is a multipurpose surveillance system designed to monitor the total number of reported HIV/AIDS cases from public, private, and government reporting facilities. This surveillance system monitors the total number of AIDS cases reported in the 50 States, DC, six separately funded cities, US territories and possessions, and HIV cases in States that require reporting of persons with HIV (not AIDS) . The database is cumulative, containing all case reports since 1981

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Public health data only. Case reports are received from providers who voluntarily report to the local surveillance program by phone with a surveillance representative completing the case report form and from surveillance representatives who abstract medical records in hospitals and private physicians' offices to complete the case report form. Data is either manually entered or imported into HARS at the state or local level. Data is transferred to CDC monthly through the filtering of new and updated records. The transfer process removes identifying information (IIF) from the transfers, encrypts the file using SEAL and submits to CDC through the use of the Secure Data Network (SDN) file upload procedure. CDC produces national datasets quarterly, which are used to produce the annual national HIV/AIDS surveillance report, as well as numerous other epidemiological analyses.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** DOB only IIF within datasets. Access to the network is controlled with standard CDC IT security policies. Additionally, datasets are secured on a secure data store with limited user rights.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/27/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OSH GA - FAQ [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH GA - FAQ

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OSH GA - Health Consequences SGR Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH GA - Health Consequences SGR Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained

within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC OSH QIT [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC OSH QIT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Categorizes tobacco-related survey questions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are

business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC OSH STATES [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/29/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC OSH STATES

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Contains up-to-date and historical state-level data on tobacco use prevention and control; designed to integrate many data sources to provide comprehensive summary data and facilitate research and consistent data interpretation.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational

institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC PERFORMS [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/2/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-04-00-02-1290-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1290

**7. System Name (Align with system Item name):** State and Local Preparedness Program Management Information System (PERFORMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Prachi Mehta

**10. Provide an overview of the system:** The Coordinating Office for Terrorism Preparedness and Emergency Response has maintained a management information system on CDC's Secure Data Network (SDN) since FY 2004. This system is used to receive, process, monitor, and evaluate cooperative agreements of over \$800 million per year for 62 grantees. These funds are used to establish critical systems to prepare for and respond to terrorism, outbreaks of infectious diseases, and other public health threats and emergencies. Use of the PERFORMS is mandatory for submission of progress reports, applications and budget information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** System will collect detailed information about the grantees workplan and the budget, which describes how money will be allocated and expended. Workplan contains project level activities. The budget is broken down into object classes including personnel, equipment, supplies, contracts, etc. Personnel includes employee Names, Employee salaries. Submission of this information is mandated by the CDC PHEP cooperative agreement for states wishing to receive funding. In a separate system, a module includes work phone numbers, email and work addresses for grantees that are users of the system. These are 2 different modules. This information is FOI able.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** David Knowles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/6/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Resource Index [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/12/2007

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** The PII collected is exempt due to the Business PII determination made in accordance with the HHS PIA SOP of February 2009

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1512

**7. System Name (Align with system Item name):** RESOURCE INDEX (RI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson

**10. Provide an overview of the system:** This system is a subject driven searchable database used by CDC employees. CDC employees refer inquiries from the public to the correct offices within CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

RESOURCE INDEX Does Not collect or share IIF.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Names and Phone numbers and office locations are collected. Voluntary in nature.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Information is collected from local C/I/O administrators.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System is hosted within the firewall; information is disseminated by administrative personnel; there is no direct access to database except through technical stewards validated via active directory.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/17/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDC Wikis [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1606

**7. System Name (Align with system Item name):** CDC Wikis

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robert Swain

**10. Provide an overview of the system:** CDC Wikis will provide internally facing wiki functionality for any CDC groups that desire it. Wiki functionality allows authorized users to edit web pages collaboratively to produce better information and create and capture knowledge. This system will eventually replace the wiki functionality available within the Knowledge Management Technical Infrastructure.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) CDC Wikis allows CDC staff to create, update, and maintain wiki communities for discussion and collaboration on various topics at the agency. Examples include a CCHISPreparednessWiki, used as a

communication channel for preparedness updates, exercises, and events; a NCHMGlossaryWikiHome, for a glossary of terms used in health marketing science and practice; and JavaCopWiki, for java developer collaboration; Only Federal and business contact information is used. (2) The information in each wiki is used by the staff who have an interest in that topic, to further discussion and collaboration. (3) No PII is collected or maintained (4) All information and participation is voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 13, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC CDC WONDER [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1010-00-110-246 (009-20-01-21-01-1010-00)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/No

**6. Other Identifying Number(s):** ESC# 311

**7. System Name (Align with system Item name):** CDC WONDER

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sigrid Economou

**10. Provide an overview of the system:** The CDC WONDER system provides data dissemination and web-based analysis, visualization and reporting for scientific datasets (collections) produced by CDC programs and partners. Access to information, summary statistics and micro-data is provided to the general public, public health policy makers and analysts, epidemiologists and researchers. The data collections on the public web site are public use data. No user accounts or registrations are required to access the public use data or public web site. The web site and data collections are relied on by state, local and community health programs, and CDC programs and partners for publication of these data collections, data sharing and analysis. An average of 20,000 persons, measured as "distinct hosts" or unique computer addresses, access the web site each week.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDC WONDER system does not collect data. CDC WONDER does maintain and disseminate public use data collections. CDC WONDER maintains these data collections at the direction of the data steward for each collection. The data stewards for each data collection ensure privacy issues are met before release, that all information in identifiable form (IIF) or personal identifiers such as names, health record numbers, locations below the county level, birth or death dates are removed from the data before the data are submitted for inclusion in the CDC WONDER system. CDC WONDER receives regular updates to the data collections, some datasets are updated weekly, some annually. Previous data releases are available as “archive” data. The data are disseminated on a public web site. The CDC WONDER web-based software provides data query access, summary statistics, micro-data extracts and visual analysis tools. The data are used for analysis and evidence-based public health practice, by CDC programs and partners, public health analysts, epidemiologists and researchers. The CDC WONDER system is used to facilitate data sharing and data dissemination.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = N/A

E-Auth = N/A

Risk Assessment Date = 7/13/10

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 8/16/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CDCGlobalHealth.net [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/15/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Global Migration Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gary Brunette

**10. Provide an overview of the system:** The Global Migration project is an effort to gather air traffic data for modeling and analysis purposes. A data feed has been established with the Federal Aviation Administration's (FAA) Enhanced Traffic Management System (ETMS). DGMQ receives a daily summary of flight information pulled from the archive process supported by the ETMS system. This feed is public data and available to and used by a number of commercial air traffic websites. The unique and powerful aspect of this project for CDC is the collection of the daily data feed into one large database (dataset) for statistical and situational analysis. At this point there is no user interface, the database servers as an air traffic warehouse to be accessed by statisticians, data analysts and queried for situation driven information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Global Migration project is an effort to gather air traffic data, from the Federal Aviation Administration (FAA), for modeling and analysis purposes. DGMQ receives a daily summary of flight information pulled from the archive process supported by the ETMS system. This feed is public data and available to and used by a number of commercial air traffic websites.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/15/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Central Indicator Database (CID) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2057

**7. System Name (Align with system Item name):** Central Indicator Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dale Stratford

**10. Provide an overview of the system:** The Central Indicator Database (CID) does not store, collect, or transmit Personally Identifiable Information (PII) or Social Security Number (SSN) data. CID is a web-based tool for managing, analyzing, interpreting, and reporting on HIV prevention performance indicators from multiple data sources, including qualitative context information. All indicator data imported into CID is derived from various data sources, meaning it has had some form of analysis and/or calculation applied to it. The CID system does NOT connect to any of the raw data sources to obtain data.

The National HIV/AIDS Strategy highlights the importance of developing improved mechanisms for monitoring and reporting on progress toward achieving national prevention goals. CID will facilitate the Division of HIV/AIDS Prevention (DHAP's) ability to integrate and synthesize indicator data and other sources of information in order to:

- ¿ generate reports that provide a comprehensive picture of the HIV epidemic nationally and at the jurisdiction or agency levels;
- ¿ assess or examine the results of our efforts to reduce incidence and improve health outcomes;
- ¿ assist with rigorous evaluation of current programs and redirect resources to the most effective programs;
- ¿ build on an evolving evidence base of what works in order to refine our response to the epidemic over time.

CID will include an on-line help menu to guide users. In addition, a CID User Guide will provide a detailed description of CID functions and procedures. These materials will be made available as part of a phased CID roll-out plan that will include user orientation and training.



CID is being developed in three phases:

- ∩ Phase I (July 2010—June 2011) — Modules 1 and 2
- ∩ Phase II (July 2011—June 2012) — Modules 3 and 4
- ∩ Phase III (July 2012—June 2013) — Enhancements/updates, and migration to CDC server

CID is being developed by the Manila Consulting Group under the Indicator Analysis Project Task Order. During Phases I-II, CID will reside on a Manila server. Throughout Phases II-III, Manila will provide technical support for CID development, management, and report production. During this time, Manila will work closely with staff in DHAP's Program Evaluation Branch (PEB) to build capacity and ensure a smooth transition of CID to a CDC-hosted server

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** All of the data used by the CID system is derived HIV statistical data, meaning it is not the raw data but rather data that has had some form of analysis or scientific calculations made to it. There is no direct connection back to the original source of the data.

This information will be used to respond to the National HIV/AIDS Strategy goals and enable the CDC to better manage available resources.

CID does not contain PII or SSN information.

No personal information is included in the CID system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Cessation Resource Center [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH GA - Cessation Resource Center

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Chemical Hazardous Tracking system (CHaTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1278

**7. System Name (Align with system Item name):** Chemical Hazardous Tracking system (CHaTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sunil Patel

**10. Provide an overview of the system:** 1)Provides CDC employees with information (MSDS's?) on all hazardous chemicals that are brought onto the facilities In compliance with:

a)EPA's Emergency Planning and Community Right-To-Know Act

b)OSHA's Hazard Communication Program

2) Ensures that all hazardous material containers are bar-coded with a unique tracking identification number. Provides ability to quickly locate detailed information about a specific chemical - generates inventory reports

The following are available menu options in CHaTS:

View inventory - lists chemical inventory by week, month, or all

Search chemical - finds location of a chemical

Print inventory - prints a list of current inventory

Move inventory - inactivates or relocates a chemical

Surplus inventory - views surplus inventory

#### Menu/Function Summary

1)Docks

a)Prints labels and updates inventory

b)Add Users

c)Add Chemicals to master list

2)Movement & Query Tool

- a)Inventory Query
- b)Inactivate
- c)Xfer to another individual
- d)Surplus
- e)Lookup MSDS's
- 3)Admin
- a)Queries
- b)Add users for Xfer by lab people
- c)Add MSDS's
- d)Identify ingredients for chemicals (all) – m/b able to identify location of ingredients at CDC per EPA reg.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No IIF is collected, disseminated or maintained on the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 18 September 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Childhood Blood-Lead Poisoning Surveillance System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/20/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-19-0001

**5. OMB Information Collection Approval Number:** 0920-0337

**6. Other Identifying Number(s):** ESC# 50

**7. System Name (Align with system Item name):** Childhood Blood Lead Surveillance System (CBLS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lem Turner

**10. Provide an overview of the system:** Childhood Blood Lead Surveillance System (CBLS) is a surveillance and analysis system used to maintain and report on de-identified childhood blood lead surveillance data submitted to the CDC Childhood Lead Poisoning Prevention branch from state health departments across the United States.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** CBLS does not share or disclose PII. Only the data provider can see their own data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** 1. County, City, State, ZIP Code, Date of birth, Race, Gender, Date of Blood test

2. Research and analysis for Public Health

3. CBLS contains PII

4. The submission of the PII is voluntary by the State Public Health Departments

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1. Data is collected by the state; State is responsible

2. State is responsible

3. Data is collected by the state; State is responsible

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: The HHS Rules of Behavior govern the data protection, integrity and general use of the system and data rights.

Technical: Only users with proper access privileges (CDC/NCEH/LPPB staff) have active directory rights to access the network location where the executable application is located.

Physical: Production and test servers are stored in a server room secured by the CDC. Access tools are in place to secure entry into CDC buildings (Guards, ID Badges, Key Card, Smart card, Closed Circuit TV).

IIF collected for research purposes

EAAL = N/A

Risk Analysis Date = 03/23/2009

Physical: Production and test servers are stored in a server room secured by the CDC. Access tools are in place to secure entry into CDC buildings (Guards, ID Badges, Key Card, Smart card, Closed Circuit TV).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/21/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Children's Mental Health Metadata Webpage (CMHMW) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1518

**7. System Name (Align with system Item name):** Children's Mental Health Metadata Webpage (CMHMW)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Patricia Pastor

**10. Provide an overview of the system:** The data used in this project are all published PUBLIC USE METADATA (information about survey questions). We will only provide access to PUBLIC USE METADATA, that is information available from the public use home web pages of NCHS surveys. There will be no information obtained from individual survey respondents or any type of confidential data or information used in the development of the website or available from the website.

The goal of this project is to develop a website designed around a database search application that makes information about child mental health measures from various NCHS data systems easily available to mental health researchers, policy analysts, program administrators, and government officials. A web-based source of child mental health measures will also provide a valuable tool for researchers and public health practitioners at the national, state, and local level who need to design surveys to monitor child mental health.

Proposed key features of the website include:

- An intuitive search algorithm with options and layering of information in ways that allow users to enter the process from several different starting points

- Options that allow users to customize or limit their searches to the types of information they are seeking – such measures based on a specific type of data such as ICD-9 codes, diagnosis, population, timeframe, etc.

- Report formats that organize information in a way that is relevant and useful – printable and/or save-able to hard drive of users' computers. Should be in a file format that allows users

to easily copy and paste the information, such as text of questions, into other programs and documents.

Search options/format that lets users view and compare information “across” and “within” NCHS data systems. For example: Users might select a question/measure and view its use across NCHS data systems AND the years of data collection for that measure within a specific NCHS data system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = June 1, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/11/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Children's Health after the Storms (CHATS) Automated Information System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** OMB in progress

**6. Other Identifying Number(s):** ESC 2025

**7. System Name (Align with system Item name):** Children's Health After the Storms (CHATS) Automated Information System (AIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gary Teague (gtr0)

**10. Provide an overview of the system:** The Children's Health after the Storms (CHATS) Automated Information System (AIS) will support the day to day operations of the CHATS project. The CHATS AIS will:

- Configure a system that obtains current locating information for study members.
- Design, develop/configure, and implement a system that provides efficient data collection, field management, and monitoring of study activities.
- Configure case management systems (CMS) on handheld devices and laptop computers to provide data for monitoring data collection activities and generating status reports.
- Design and develop applications to collect study data on handheld devices and laptop computers.
- Establish encrypted databases on handheld devices and laptop computers and use hard-disk encryption on the laptop computers.
- Efficiently and securely transmit data between RTI, handheld devices, laptop computers, and laboratories.
- Establish a CHATS environment in RTI's FIPS moderate network where project data will be stored for additional processing. Files containing SSNs will be encrypted.
- Build databases in accordance with CDC format specifications

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

**individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

- RTI staff assigned to CHATS AIS – manage the system, manage cases, and process data
- Batch tracing vendors – obtain current locating information for sample members
- Field interviewers – locate sample members, determine their eligibility to participate in the study, and conduct interviews
- Field supervisors – manage cases
- Nurses – conduct a health assessment and collect blood and urine
- Medical abstractors – obtain access to medical records for abstraction and abstract records
- Respondents – report the results of the health assessment and the results of tests performed on biological and environmental samples

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

- Locating information
  - o Will be used to select the sample for the study and to locate sample members
  - o Includes name and address information pre- and post- storms, telephone numbers, Social Security Numbers
  - o Data provided to RTI by FEMA through CDC and RTI assumes it was given voluntarily
- Screening data
  - o Will be used to screen selected households for eligibility into the study
  - o Includes name, address and telephone numbers
  - o Obtained voluntarily
- Environmental assessment data
  - o Data obtained by field interviewers through visual observation/inspection while in and around the respondents' homes and by using environmental monitoring devices
  - o Contains no PII
  - o Obtained voluntarily
- Survey data including GPS readings
  - o Contains responses to the interview and GPS readings
  - o Contains PII (GPS readings)
  - o Respondents must provide consent to having the GPS readings taken
- Health assessment data

- o Contains health information obtained from respondents
- o Contains no PII
- o Obtained voluntarily
  - Medical abstraction data
- o Contains information abstracted from respondents' medical records
- o Contains no PII
- o Respondents must consent to having their medical records abstracted
  - Results obtained from tests performed on blood and urine
- o Contains no PII
- o Respondents provide the blood and urine samples voluntarily
  - Results obtained from environmental monitoring
- o Contains no PII
- o Obtained voluntarily
  - Field management information
- o Used to manage field operations
- o Contains no PII
- o Obtained voluntarily
  - Environmental sample inventory
- o Maintains an inventory of environmental samples
- o Contains no PII
- o Obtained voluntarily
- o Biospecimen inventory
- o Maintains an inventory of blood and urine samples
- o Contains no PII
- o Obtained voluntarily

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) We will not notify respondents when major changes are made to the system.

(2) Respondents will be asked to sign consent forms at the time of data collection; consent forms will include information about how the data will be used.

(3) De-identified data will be used to construct analysis files and files for delivery to CDC. Respondents will receive a letter describing the results of tests performed on biological and environmental samples.



**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII will be stored on servers within RTI's Enhanced Security Network (ESN), which was designed to meet the NIST guidelines for moderate impact level systems.

Administrative controls include a system security plan, a risk assessment, personnel security, and vulnerability scanning.

Technical controls include user identification and authentication, passwords, firewalls, and encryption.

Physical controls include guards, identification badges, key cards and cipher locks.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Cholera and Other Vibrio Illness Surveillance [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/24/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1799

**7. System Name (Align with system Item name):** Cholera and Other Vibrio Illness Surveillance (COVIS) System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ezra Barzilay

**10. Provide an overview of the system:** Data from surveillance report forms (CDC form 52.79) that are sent to CDC are entered into a data entry form in Access. Data is reviewed and analyzed using forms and queries in Access. Sets of forms are used to summarize data for the annual summary and for generating line lists. The results from pre-made forms summarize the number of cases by species for each year, the number of cases by state and region, number of wound and foodborne cases, the number of cases with pre-existing conditions specific to vibriosis, the number of hospitalizations and deaths, the number of cases by site of isolation, and the number of cases by seafood items consumed. Queries are also commonly created to analyze other variables. The line lists that are generated contain the following variables: year and month of onset, species, wound status, death, gender, age, race, state, bivalve mollusk consumption, raw seafood consumption, seafood items consumed, lab identified species, serotype, toxin results, and virulence factors results.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDC maintains a voluntary surveillance system to collect data on culture-confirmed Vibrio infections in all 50 states. Investigators collect demographic, clinical, and epidemiologic data on case-patients. Data have been used to identify environmental risk factors, retail food outlets where high-risk exposures occur, and target groups that may benefit from consumer education. The system does collect PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No This is voluntary information obtained by the health departments in all 50 states.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only CDC users in AD have access to the system. The system is behind the firewall and the servers are managed by ITS0.

Yes IIF

Risk Analysis Date: 9/14/2007

E-Auth level = NA

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/24/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Chronic Disease Indicators [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DACH Chronic Disease Indicators

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides online information for chronic disease indicators and related statistics

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is retained until no longer needed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CIMS Dell Site Infrastructure (CIMS DSI) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CIMS Dell Site Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Leiblie

**10. Provide an overview of the system:** The Dell (Blackstone Office)/General Network is production network is a Windows based multi-user system with access to the CDC wide area network through a 10 MB NMLI connection. It utilizes the distributed star topology and Ethernet Switched 100 baseT as the network interface, and currently has about 80 systems connected to it. The facility is occupied by approximately 50 employees supporting the Information Technology Support Service (ITISS) contract, formerly the Microcomputer Support Service (MSS) contract in support of the Information Technology Services Office (ITSO) The services performed out of this office include Help Desk Support, Hardware Support, HP ServiceCenter, Administration, CDC Training Room and Dell Program Management for the overall contract.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A No PII Collected**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A No PII Collected**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CIMS Lockheed Martin Site Infrastructure (CIMS LMSI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/3/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2110

**7. System Name (Align with system Item name):** CIMS Lockheed Martin Site Infrastructure (CIMS LMSI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alvin Hall (axh2@cdc.gov)

**10. Provide an overview of the system:** The system is a general purpose computing network used by the Lockheed Martin CDC PMO to provide support for task orders under the CDC Information Management Services (CIMS) contract.

PMO staff consists of Lockheed Martin Program Managers, Contractors directly supporting various CDC task orders, administrative staff and LM Management providing services to CDC. Specifically, the following classes of users are based at the PMO:

- Executive Project Management (Director, Deputy Director) Administrative Support Staff
- Information Security/IT Support staff
- Program Managers
- Technical Advisory/Consulting Staff
- Programmers and Programming Leads, specific to CDC task orders

LM CDC PMO personnel provide general support for the task orders and more specialized support in CDC-related tasks as required. All CDC equipment used by LM personnel directly supporting CDC task orders are supplied, installed, configured, supported and maintained by CDC/ITSO personnel and complies with all FISMA, FIPS, ITSO and OCISO policies. All CDC-owned equipment at the LM CDC PMO is under the direct control of ITSO. LM CDC PMO administrators and personnel working on CDC task orders do not have administrative privilege to network equipment managed by CDC/ITSO. All LM CDC PMO computers fall under the ITSO SLA for services and support.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This**

question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not store or collect PII. It is used to transmit data between the CDC network and LM facilities. This data will not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) PII is not collected, contained, shared, or disclosed by this system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/3/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CIMS Northrop Grumman Site Infrastructure (CIMS NGSI) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/19/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2087

**7. System Name (Align with system Item name):** CIMS Northrop Grumman Site Infrastructure (CIMS NGSI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alvin Hall

**10. Provide an overview of the system:** The CIMS NGSI system is a network located at Northrop Grumman's Atlanta facilities that is directly connected to the CDC by a dedicated leased line. The primary function of the system is to transmit data between NG facilities and the CDC for staff supporting various CDC service contracts including CIMS and others. These 'contract-related' activities may be categorized as follows:

Information technology augmentation services including system design, development, administration, and support

Contract administration activities including program management, human resources, facilities, and system maintenance

Full lifecycle information system development

This system does not store or collect PII. It is used to transmit data between the CDC network and NG facilities. This data may or may not contain PII. Any PII it would transmit would be contained within the authorized system boundary of another CDC system e.g. CDC email.

All CDC contract-related activities are conducted using CDC-owned networks and systems (The CDC Delegated Network) and are subject to CDC policy requirements including:

CDC information security policies and procedures

CDC background investigation and personnel screening requirements for visitors and workers

CDC system and network administration policies and procedures

As such, Northrop Grumman has limited administrative control over contract-related networks, domains, and systems.

Northrop Grumman is responsible for the lease and maintenance of the CIMS NGS Atlanta facility workspaces and their physical security. Northrop Grumman also maintains, and is responsible for, physically separate LAN and Internet segments used by local personnel conducting non-CDC contract-related activities

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not store or collect PII. It is used to transmit data between the CDC network and NG facilities. This data will not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CITGO Profile Delete Tool (PDT)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Profile Deletion Tool (PDT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** The CITGO Profile Deletion Tool (PDT) is a web based application that will reset a users roaming profile should their existing roaming profile become corrupted. This tool will be hosted on the ITSO Tools Intranet Server. This will allow for first call resolution of this issue by the service desk and minimize the lost productivity while the user waits for their profile to be reset.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No data will be entered in or

collected for this. No information will be used as a result of this tool. This information does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF is collected, disseminated, or maintained in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = Feb 5, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** David Knowles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Clinical Laboratory Improvement Amendment Website (CLIA) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** HHS SORN 09-90-0059 Federal Advisory Committee Membership Files

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Clinical Laboratory Improvement Amendment (CLIA) Website

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth Weirich

**10. Provide an overview of the system:** The Centers for Medicare & Medicaid Services (CMS) regulates all laboratory testing (except research) performed on humans in the U.S. through the Clinical Laboratory Improvement Amendments (CLIA). In total, CLIA covers approximately 225,000 laboratory entities. The objective of the CLIA program is to ensure quality laboratory testing.

The CLIA website operated and hosted by CDC/OSELS/LSPPPO/DLSS supports regulatory functions of the CMS under an Interagency Agreement between CDC and CMS. Reports are used by interested parties to inform the States of laboratory regulations.

The CLIA website also supports the CLIA Advisory Committee (CLIAC) by posting agendas, minutes, and other information relevant to their regular meetings facilitated by DLSS. The CLIA website contains a data collection form that allows CLIAC members and other interested parties (federal and non-federal) to register for the semi-annual meetings. Limited PII is collected including name, title, organization, business address, phone number, e-mail address and emergency contact name and phone number.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII collected online is used internally by CDC/OSELS/LSPPPO/DLSS support staff for the purpose of planning periodic meetings of the CLIA Advisory Committee (CLIAC). This information is not disclosed to anyone else.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) DLSS collects information online from individuals wishing to attend the periodic CDC-sponsored CLIAC meetings. Individuals include other than Federal employees.

2) Information is used by internal DLSS support staff to plan the CLIAC meetings and to communicate with participants regarding those meetings.

3) Information collection contains PII including name, title, organization, address, phone number, e-mail address and emergency contact name and phone number. Note: Individuals may enter business or personal contact information.

4) Submission of the information is mandatory for individuals who wish to participate.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) No Process per HHS SORN

2) Per HHS SORN process

3) Per HHS SORN process

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Web forms used to collect and transmit PII are encrypted using SSL/TLS and stored in a secured SQL Server database. Only system administrators and users authorized by DLSS may access the database or run the data export component against the PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform DACH GA-BRFSS Publications (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH GA-BRFSS Publications (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform DASH QADS-Online Surveillance Mgmt (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DASH QADS-Online Surveillance Mgmt (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Customer Satisfaction**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Customer Satisfaction

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

CoCHP Satisfaction Survey Tool.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Data Release**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Data Release

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Data Release Plans Sharing for Publication.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Dataset Catalog**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Dataset Catalog

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Information about public health datasets and statistical code samples.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Issue Tracker (ADMIN)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Issue Tracker (ADMIN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Chronic Dev Team Issue Tracker Tool.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Legislative Database**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA -  
Legislative Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

OPEL Legislative Database.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Portals Course Registration**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Portals Course Registration

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Project Officer of the Future Course Registration.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Site Visits**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA - Site Visits

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Project Officer of the Future tool to add site visits to the CDC events calendar.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA - Upload Request Forms**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA-Upload Request Forms

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Forms used to request uploads for various stages of application development/deployment.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD GA-Staff List**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD GA-Staff List

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Provides staff list for NCCDPHP employees.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD HSR**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9022-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD HSR

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Human Subjects Review Tracking System.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD HSR-CPS**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9022-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD HSR-CPS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

CoCHP Project Search.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD NCCDPHP Intranet**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD  
NCCDPHP Intranet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD NCCDPHP Intranet - Events**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD  
NCCDPHP Intranet - Events

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.



The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OD NCCDPHP Intranet - Events Service**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OD  
NCCDPHP Intranet - Events Service

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Read/write Events from CDC Events used by CDC Connects.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OSH Clearinghouse**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1055-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OSH Clearinghouse

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CoCHP Intranet Platform OSH MCRC (Admin)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OSH MCRC (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Access to, and ordering support for, advertising campaigns for tobacco use prevention.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Codian Pilot Test (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Codian Pilot Test

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Sawyer

**10. Provide an overview of the system:** The Codian Pilot test will allow the MMT staff to evaluate Tandberg's current VTC infrastructure equipment in a non-production environment. The Codian Pilot test will provide a baseline for comparing our current infrastructure components performance in the areas of error handling, call management, audio quality, video quality and video format negotiation during high bandwidth connections. The Codian Pilot test will also provide the opportunity for the MMT group to explore the possibilities of conducting conferences in HD format.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) nNo

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Community Health Resources [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - CHAPS Toolkit

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Searchable listing of community health interventions & programs that address chronic disease & health disparities issues.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC CommVault Backup System (CVBS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 9/22/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CommVault Backup System (CVBS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** CommVault - Simpana ver 8 backup System is a consolidated system that backs up all servers managed by the DSS on a daily basis with a copy being stored off site weekly for disaster recovery purposes.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected

E-Authentication Assurance Level = (0) N/A

Risk Analysis Date = 09/07/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Conference Room Scheduling System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 6/30/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9309-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1364

**7. System Name (Align with system Item name):** Conference Room Scheduling System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Wilson

**10. Provide an overview of the system:** The Conference Room Scheduling System is required by ITSO to schedule conference rooms and the services that are available in Conference Rooms. It is in use across the CDC enterprise. The administrators are geographically dispersed and operate autonomously with little necessary contact with ITSO, system stewards, or developers. The system communicates with users and administrators through many different types of emails. It also integrates with the ITSO Peregrine system to make requests for equipment such as computers and projectors without phone calls. The system is, by far, the largest system of its type at CDC. As of January 2008 (since the February 2005 launch), there are over 120,000 reservations across nearly 50 buildings and more than 230 rooms which were created by 5,000 distinct persons. Envision video conference scheduling and LiveMeeting web conference scheduling is partially integrated, saving the CDC 10s of thousands a month in telecommunications costs by matching real needs and usage to telecom requests.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII data collected. Conference room scheduling information and voluntary user-id for contact event coordinators is collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No, System does not contain any PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No, System does not contain any PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E. Walker

**Sign-off Date:** 6/30/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Congressional Information Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1485

**7. System Name (Align with system Item name):** Congressional Information Management System (CIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Liza Veto

**10. Provide an overview of the system:** The Centers for Disease Washington D.C (CDC-W) Liaison office coordinates responses to inquiries, and other requests for information from congressional staffers on matters related to public health. The Congressional Information Management System (CIMS) is a contact management and inquiry tracking tool used to track communications between the CDC-W congressional liaison office and legislative entities within the U.S. capitol. The system provides a mechanism to effectively and efficiently manage, track, and report on critical correspondence between CDC Washington senior management, congressional staffers and other external partners on matters of national public health importance.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Weekly report is generated and sent to HHS Office of the Director.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system stores first names, last names, business mailing addresses, business email addresses, and business phone numbers of members of congress, congressional staff, committee staff, and non-governmental organization. All information is collected from the public domain or voluntarily from the individual submitting inquiries to the CDC Washington office.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A, Information is in public domain.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is collected on this system.

Administrative Controls: The system administrator in the CDC Washington Office adds users to the system when approved by the Deputy Director of CDC Washington. The system administrator will remove any users from the system that are no longer working for CDC Washington or no longer have a valid need to access to the system.

Technical Controls: User access to IIF is role base and controlled by AD groups and application specific accounts.

Physical Controls: IIS and SQL servers secured in ITSO facility at the CDC Roybal Campus in Atlanta.

Risk Analysis Date = July 20, 2007

E-Authentication Assurance Level = N/A

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Connects (N/A) [System]**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Connects

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** CDC Connects is a web-based intranet application that does not collect any PII. CDC Connects is an employee-centered portal that provides access and information about useful intranet resources, employee and project related stories, and an overall internal portal for searching the agency. It is an Intranet portal with stories, feature articles, photos, most important links and news about CDC and its employees. It also provides a communication vehicle for leadership. The CDC Connects Application is a way for CDC Connects staff to enter content and images through a Web based portal application.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC Connects is an Intranet

portal with stories, feature articles, photos, most important links and news about CDC and its employees. It also provides a communication vehicle for leadership. The CDC Connects Application is a way for CDC Connects staff to enter content and images through a Web based portal application.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Consolidated Intranet Web Services (CIWS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Consolidated Intranet Web Services (CIWS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** Consolidated Intranet Web Services provides internal facing web servers, services and applications within the consolidated DSS environment

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Consolidated Overseas Accountability Support Toolbox (COAST) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1844

**7. System Name (Align with system Item name):** Consolidated Overseas Accountability Support Toolbox - COAST

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Daniel J Hardee

**10. Provide an overview of the system:** The U.S. Department of State (DoS) provides accounting services for the CDC at international locations. DoS provides COAST software to allow its customers to view their accounting data in its accounting system. The source files are used to establish obligations (Status of Obligations report) in the Unified Financial Management System (UFMS) and then record expenditures (FMC 80 report) against those obligations.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** FMO's Financial Services Branch staff download COAST data files each business day from the Department of State and then, using a tool called Monarch, conduct edit checks to ensure the accuracy of the data. After this is completed, the obligation and expenditure data is uploaded into UFMS.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Consolidated Statistical Platform (CSP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/22/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0169

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Consolidated Statistical Platform (CSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** The CSP provides a centralized locus for the storage and processing of statistical data for internal CDC customers. The statistical tools are SAS and SAS-callable SUDAAN, but it is possible that other applications may be added in future revisions of the platform.

The CSP system resides on a logically-isolated and firewalled network segment (VLAN).

SAS Data Sets and the supporting documents and scripts reside in SAN storage connected to CSP Servers and in SQL databases located on the ITSO Consolidated SQL Platform.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 15 Apr 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/27/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Consolidated Tracking System (CTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/22/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0006

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CTS Service Center

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Bill McHarg

**10. Provide an overview of the system:** The essential system functions are: Enabling the CIO's a way to track requests and tasks associated with their Center's services, processes, or products; Support for incident, change, service request (interaction), self-service (ESS); Connectivity and integration with other HP software, as well as with LDAP directories, e-mail, and databases; Provides a central "triage" command center for all issues; Tracks IT work and responsibility, providing visibility into service support; Integration of ServiceCenter with CIO's intranet web pages

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A No PII is shared

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CTS Service Center System provides a central information point for processing service requests and resolutions for 5 CDC organizations. These organizations are (1) Strategic National Stockpile (DSNS), (2) Office of Safety, Health and Environment (OHS), (3) Public Health Information Network (PHIN) (4) Financial Management Office (FMO) (5) Human Capital Resource Management (AHRC). Requests are received via phone, web or email. A request is created in CTS Service Center as a Call/Incident either manually or email generated. Depending on the nature of the request the technician either resolves the call or escalates to a SME. Once the incident ticket is closed, then the call is closed and all information is logged into the database. The Human Capital Resource Management (AHRC) organization at times may collect PII data that is sent to them voluntarily (by email) from people looking for employment. They will voluntarily submit their resumes which may include personal information (phone numbers, email addresses, etc). This information is not disseminated to other agencies

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** Individuals are not asked to supply PII via this system only non-PII identifying information, i.e., application applied to and or issues of concern. Individuals submitting PII via this system have the safeguards of submitting their information to a government e-mail address contained and secured through customary information technology practices and procedures. Given that this is an inquiry system used to triage federal hiring and human resources matters, individuals grant consent to use of PII through other data collection systems that contain proper PII warnings and notifications, e.g., USAJOBS, USASTAFFING, as well as internal HHS human resources systems, i.e., CapitalHR, and WIZ. This information is not disseminated to other agencies

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured using Mandanten security within the application and using Active Directory for access to the application. Mandanten is set up based on the company of the user who called in the interaction, though it can be set up based on any value in any table that needs to be protected. Mandanten protection is set up on a per-table basis

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/22/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Content Services Reporting System (CSRS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/25/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Content Services Reporting System(CSRS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Mullins

**10. Provide an overview of the system:** The Content Services Reporting System (CSRS) is an internal facing web based system that will provide a basic reporting capability for various data sources, such as the CDC Media, DIOE CIT, and the CDC Percussion Web Content Management System. The system is only accessible via the CDC Intranet. There will be one-way data replication functionality from the target database to the Microsoft SQL Server Reporting Services (SSRS) platform within the CSRS. This system was created to provide reporting capabilities for information systems managing content within CDC.GOV.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Content Services Reporting System (CSRS) is an internal facing web based system that will provide a basic reporting capability for various data sources, such as the CDC Media, DIOE CIT, and the CDC Percussion Web Content Management System. This system was created to provide reporting capabilities for information systems managing content within CDC.GOV. There is no PII in the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/25/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Content Transformation System (CCTVS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Content Transformation and Validation System (CCTVS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Mullins

**10. Provide an overview of the system:** The CDC Content Transformation and Validation System (CCTVS) is based on a COTS product called Kapow Katalyst. Kapow Katalyst is an application integration platform that integrates with any layer in the application stack — the presentation layer, the application layer (APIs) or the database layer — and automates the processes of extracting, transforming, integrating and migrating data from virtually any application to any other. Kapow Katalyst Application Integration Platform eliminates the dependency on APIs, project delays, and the high risk of inaccurate data from manual processes.

Kapow Katalyst consists of four components, although we will only be using three of them. The components we are using are Management Studio, Design Studio, and Robo Server.

Management Console is a java web application which runs in a Tomcat servlet container. The server firewall will be configured so Management Console is not accessible to users outside of the server it is installed on. Robo Server and Design Studio are thick client java applications. Management Studio and Design Studio are GUIs for Robo Server. Robo Server will be configured via Management Studio and Design Studio to crawl cdc.gov websites, extract content, transform it, and load it into the WCMS system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**



through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CCTS will crawl cdc.gov websites, extract content, transform it, and load it into the WCMS system. There will be no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/21/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Cost Effectiveness HIV/AIDS Database (CHAD) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 2/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Cost Effectiveness HIV/AIDS Database (CHAD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tim Green

**10. Provide an overview of the system:** CHAD is a client server application that performs the following functions:

- Generates comparison tables of cost-effectiveness of HIV interventions by target population as defined by risk, age, gender, race or other setting.
- Updates aforementioned tables with minimal effort. Specifically, without undertaking any literature searches.
- Responds promptly to adhoc OD (or other) requests for information on the state of the art.
- Understand current gaps in the existing literature. For example, where effectiveness data may exist (according to PRS) but cost-effectiveness is lacking.
- Generate (write) systematic reviews of the literature with minimal effort given that the searches will be automated and the results parsed periodically.
- Sort and compare study results by type including Model-based, Research-based and Program-based.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Generates comparison tables of cost-effectiveness of HIV interventions by target population as defined by risk, age, gender, race or other setting.

- Updates aforementioned tables with minimal effort. Specifically, without undertaking any literature searches.
- Responds promptly to adhoc OD (or other) requests for information on the state of the art.
- Understand current gaps in the existing literature. For example, where effectiveness data may exist (according to PRS) but cost-effectiveness is lacking.
- Generate (write) systematic reviews of the literature with minimal effort given that the searches will be automated and the results parsed periodically.
- Sort and compare study results by type including Model-based, Research-based and Program-based.

No Personally Identifiable Information (information that can be used to identify an individual) will be collected, stored, or transmitted.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olsan

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** ThomasP Madden

**Sign-off Date:** 2/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Cote d'Ivoire IT Infrastructure (GAP-Cote d'Ivoire) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02--1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC Cote d'Ivoire IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Cote d'Ivoire and provides file server, exchange server, webmail server. Authentication is performed by a locally administered Active Directory for administering local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 12/07/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC COTPER C-support [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** Rolled-up under CDC PH Monitoring for Office of Terrorism # 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1579

**7. System Name (Align with system Item name):** OPHPR cSupport

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Tuten

**10. Provide an overview of the system:** OPHPR C-Support is based on the COTS product c.Support® from GWI Software. It is a comprehensive help desk/customer support application that allows support organizations to coordinate and manage everyday support activities as well as track assets, build a knowledge base and provide customer self-help.

Designed and developed using the Microsoft® .NET Framework, it provides the best overall value by leveraging our existing investment in Microsoft® systems, servers, and infrastructure. c.Support will integrate with Microsoft Active Directory®, Domino Directory, a Microsoft SQL database, and/or Microsoft® CRM.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** OPHPR C-Support collects non-PII, non-CUI data from internal OPHPR users only for entering and tracking OPHPR Service Request/HelpDesk tickets.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Countermeasure Tracking Systems (CTS) Inventory Management and Tracking System (IMATS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Countermeasure Tracking Systems (CTS) Inventory Management and Tracking System (IMATS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barbara Nichols

**10. Provide an overview of the system:** IMATS will not collect, maintain (store), disseminate and/or pass through SSNs(Social Security Numbers) within any database(s), record(s), file(s) or website(s) hosted by this IMATS; thus, Attachment A – SSN Elimination or Usage Approval Request is not required.

The IMATS system solution will provide the Division of Strategic National Stockpile (DSNS) and public health Project Areas (50 states plus specific major cities and territories) grantees with the ability to track and report multiple levels of countermeasure inventory. The system will allow enhanced coordination of countermeasure allocations at each level of public health Project Areas. The system will enable DSNS and Project Areas to enhance the existing process and increase capacity to manage and report on state and local inventory caches in all-hazards public health response efforts to an event.

To support the needs of the Project Areas, the IMATS system is capable of accepting counts of inventory items using two options:

- IMATS On-line - Project Area will collect and enter inventory counts data via CDC IMATS on-line to send to the CDC.
- Data Exchange - Project Areas that have their own inventory management system may send a file via the data exchange process to the CDC for inventory counts on-hand from their existing inventory management systems using either delimited text or extensible markup language (XML) format.

Each project area may select one option for providing requested aggregate inventory information to the Centers for Disease Control and Prevention (CDC).

IMATS is a 3-tier browser-based application utilizing the following:

- Client tier XHTML-generated HTML runs in a web browser IE8 and higher
- Web tier built using JSF2, Spring and JPA. Reporting functionality is delivered through BIRT reporting engine.
- Persistence or Data tier Microsoft SQL Server 2008.

IMATS will run on the following platform:

- Web Application Server: BEA Web Logic application server (11g)
- J2EE web-based application
- Database Server: Microsoft SQL 2008 Server
- Hosting Environment: MTDC

Data Exchange will run on the existing CTS Countermeasure Inventory Tracking (CIT) application.

Based on hosting IMATS in the MTDC, the MTDC Business Continuity Plan (BCP) will apply. IMATS authentication will be handled via SAMS using a tiered level 2 and 3 e-authentication (e-auth).

The maximum time the system can be down without adversely affecting CDC's mission performance is 48 hours.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
IMATS contains no PII, so it cannot share or disclose any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Per NIST 800-60, CTS IMATS will be solely concerned with Inventory Control of pharmaceuticals, vaccines, medical supplies, and medical equipment, which is defined as “the tracking of information related to procured assets and resources with regards to quantity, quality, and location” with a recommended security CIA categorization of a triple low for confidentiality, integrity, and availability (CIA).”

(2) The Division of the Strategic National Stockpile (DSNS) program provides pharmaceuticals, vaccines, medical supplies, and medical equipment to augment depleted state and local resources during response to terrorist attacks or other emergencies. CTS IMATS will provide inventory control about that materiel.

(3) IMATS contains no PII.

(4) IMATS contains no PII, so the question is N/A.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** (1) No individual’s data is in IMATS, so no notification and consent process is needed.

(2) No individual’s data is in IMATS, so no notification and consent process is needed.

(3) No PII is in IMATS, so no PII will be used or shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is in IMATS, so it requires no specific PII administrative, technical, and physical controls are necessary

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Countermeasure Tracking Systems Countermeasure Inventory Tracking (CTS CIT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/11/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1101

**7. System Name (Align with system Item name):** Countermeasure Tracking Systems (CTS)  
Countermeasure Inventory Tracking (CIT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barbara Nichols

**10. Provide an overview of the system:** No SSN's(Social Security Numbers) will be collected, maintained (stored), disseminated and/or pass through within any database(s), record(s), file(s) or website(s) hosted by CIT.

The purpose of CIT is to input countermeasures inventory related data received by the Division of Strategic National Stockpile (DSNS) from various manufacturers, distributors, and retailers. Countermeasures may include but not be limited to Tamiflu, Relenza, N95 Respirators, Surgical Masks. Information about countermeasure inventory is collected from state stockpiles and SNS as well. Apart from storing the data, the system provides a visualization to support situational awareness during the outbreaks (H1N1/fall 2009). The goal is to aid states in understanding where these countermeasures are located and to provide DSNS a tool for reporting and planning.. CIT is adaptable for all hazards.

System users log in the application via the SAMS portal website using Level 2 e-authentication. They then enter data directly into the application and submit it calling the CIT system to save it to the CDC CIT database hosted by ITSO DSS. DSNS has setup a mailbox (SNS-Dashboard) to receive standard spreadsheets from manufacturers, distributors, retailers, and states. CIT will pick up these spreadsheets by interfacing with Exchange/Outlook to read the sender and process the attachment. The data will then be stored in a CIT database. Data will be aggregated according to rules defined by DSNS and visualized to CDC, state, and commercial partner users.

CIT is a J2EE web application. It is accessible through a browser. CIT web application is hosted on BEA WebLogic application server. Microsoft SQL Server is used as a backend. CIT is hosted in the SDN/DSS environment. CIT is realized as 3-tier web application:

- Client tier. JSP generated HTML runs in a web browser:IE6 and higher.
- Web tier. It is build using Struts and Hibernate. Reporting functionality is delivered through BIRT reporting engine.
- Persistence Tier or Data Tier. Microsoft SQL Server.

SDN handles authentication via SAMS. User registration and authentication is governed by the CDC and SDN security standards. The application is hosted in the SDN/DSS environment.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
CIT does not share or disclose any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Countermeasure inventory related data received by the Division of Strategic National Stockpile (DSNS) from various manufacturers, distributors, and retailers. Countermeasures may include but not be limited to Tamiflu, Relenza, N95 Respirators, Surgical Masks. Information about countermeasure inventory is collected from state stockpiles and SNS as well. Apart from storing the data, the system provides a visualization to support situational awareness during the outbreaks (H1N1/fall 2009).

(2) To aid states in understanding where these countermeasures are located and to provide DSNS a tool for reporting and planning.

(3) CIT does not collect, maintain, or disseminate any PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. CIT does not collect, maintain, or disseminate any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. CIT does not collect, maintain, or disseminate any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/11/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Countermeasures Tracking Systems (CTS) Communication Portal (CP) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** Countermeasures Tracking Systems (CTS) Communication Portal (CP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barbara Nichols

**10. Provide an overview of the system:** SSN's(Social Security Numbers) and PI will not be collected, maintained (stored), disseminated and/or pass through within any database(s), record(s), file(s) or website(s) hosted by CTS CP, Attachment A – SSN Elimination or Usage Approval Request will not be required.

The Communications Portal system will provide a central place for the DSNS to disseminate critical information to DSNS partners and receive their feedback in a timely manner. Information specific to regulatory requirements and guidance of use of the supplies released from DSNS would be posted to the portal. Examples may include but not be limited to targeted information related to countermeasures dispensing and Emergency Use Agreement (EUA)/Investigational New Drug (IND) information and documentation.

It is envisioned that CP will integrate with the other CTS applications for the purposes of a single sign on or to pull non-critical data to display to users.

CP is a browser-based application using:

- Web Application Server: BEA Web Logic application server (9.2)
- Database Server: Microsoft SQL 2008 Server
- Hosting Environment: MTDC
- Liferay open source portal

It is envisioned that authentication will be handled via SAMS. User registration and authentication are governed by the CDC security standards.



**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
CP does not share or disclose any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The Communications Portal system will provide a central place for the DSNS to disseminate critical information to DSNS partners and receive their feedback in a timely manner. Information specific to regulatory requirements and guidance of use of the supplies released from DSNS would be posted to the portal. Examples may include but not be limited to targeted information related to countermeasures dispensing and Emergency Use Agreement (EUA)/Investigational New Drug (IND) information and documentation.

(2) To distribute in one place to DSNS partners and receive their feedback. Much of the information is on websites (e.g., FDA)

(3) CP does not collect, maintain, or disseminate any PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1), (2), (3) N/A. CP will not collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s).

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. CP will not collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s).

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Create-It [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1350

**7. System Name (Align with system Item name):** Create-IT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James Newman

**10. Provide an overview of the system:** Create-IT serves as the management system for DCS (Division of Communication Services) production activities. In this capacity, Create-IT manages three primary functions. The first of these functions is the intake of work requests from across the agency (internal use only). Create-IT provides a flexible service and question based query system to guide the user into selecting the appropriate request type, then gathers all the necessary information to initiate work on this request. Secondly, Create-IT functions as the management tracking system for DCS day to day workflow. Create-IT allows management of projects, assignment and tracking of staff, storage of project critical information, and extensive data reporting. Finally, Create-IT is responsible for collecting the satisfaction survey data at the end of projects. All of these functions are tightly integrated into the Create-IT system, and provided to DCS users through a simple to use web based environment.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system tracks graphics request data and the status of requested jobs. This would include information such as: requested service, important dates, project specifications, requesting center/division. No personal information is collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) No PII information is collected, stored, or processed.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DACH Block Grant MIS (BGMIS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 92

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH Block Grant MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DACH BRFSS Survey Operations Support Admin (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1534

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DACH BRFSS Survey Operations Support Admin

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Daily Announcements (CDCDA) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Daily Announcements (CDCDA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** A system designed to reduce CDC Email traffic by distributing all daily announcements in one consolidated email message. The system will also have a web-based interface where users can search for current and past announcements. There is no PII associated with this system. It provides a vehicle for the creation and maintenance of CDC announcements.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Data and Message Brokering (DMB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 7/8/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-00-01-0908-00 (009-20-01-00-01-0909-00)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A - System does not constitute a "System of Records" under the Privacy Act.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1469

**7. System Name (Align with system Item name):** Public Health Information Network (PHIN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lynn Gibbs-Scharf

**10. Provide an overview of the system:** The Public Health Information Network (PHIN) is a set of guidelines, standards, specifications, and collaborative relationships that will enable the consistent and reliable exchange of response, health, and disease tracking data between public health partners.

Currently there are multiple systems in place that support communications for public health labs, the clinical community, and state and local health departments. Each has demonstrated the importance of being able to exchange health information. However, many of these systems operate in isolation, not capitalizing on the potential for a cross-fertilization of data exchange. A crosscutting and unifying framework is needed to better monitor these data streams for early detection of public health issues and emergencies. The Public Health Information Network (PHIN) is this framework. Through defined data and vocabulary standards and strong collaborative relationships, the Public Health Information Network will enable consistent exchange of response, health, and disease tracking data between public health partners.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**

**other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This initiative does not collect personally identifiable information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not host a website.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Data Collection Application [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/22/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0167

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1746

**7. System Name (Align with system Item name):** H1N1 Data Collection Application (H1N1 DCA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Toby Slusher

**10. Provide an overview of the system:** This system will collect surveillance data related to the 2009-H1N1 flu outbreak in the United States. Relevant data collection includes flu case investigation and contact tracing.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system discloses and shares PII data for the purpose of case reporting and analysis. The data will be disclosed only to authorize parties.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Case reporting and contact

tracing collection forms attached. These forms are the basis for the data being collected by the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system will be hosted by the CDC Secure Data Network (SDN) and will utilize enterprise security controls provided by SDN including (but not limited to): user authentication and authorization, auditing, and monitoring.

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = 2

Risk Analysis Date = July 11, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/22/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Data Coordinating Center [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1663

**7. System Name (Align with system Item name):** Data Coordinating Center (DCC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dawn Gnesda

**10. Provide an overview of the system:** The CDC has developed and initiated two major surveillance systems: the National HIV Behavioral Surveillance (NHBS) and the Medical Monitoring Project (MMP/MRA). These surveillance systems are currently functioning in no more than 25 NHBS and 26 MMP sites. In order to support these surveillance systems, DHAP has contracted with a vendor for a Data Coordinating Center (DCC).

The states and local health departments are responsible for implementing the projects, collecting and monitoring data and sending data to CDC. CDC is responsible for technical assistance to project areas; data management and data report-generation for project areas; returning a 'clean' data set for project areas to use for their local analysis, and compiling and documenting a national database.

Primary objectives of the DCC are: 1.) receive data from no more than 25 NHBS and 26 MMP sites over a secure transport mechanism referred to as the SDN Replacement, 2.) Processing data for quality assurance, 3.) Creating and transferring cumulative and final data sets to CDC and to project areas, 4.) Providing ad-hoc technical assistance to NHBS and MMP project areas, 5.) Providing formal training sessions for NHBS and MMP project areas, 6.) Communication and reporting to CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**

**provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Data collected by the DCC is for 2 large surveillance systems, the National Behavioral Surveillance System (NHBS) and the Medical Monitoring Project (MMP). NHBS collects data from a minimum of 500 eligible participants per year. There are three sources of data for NHBS: recruitment data, behavioral survey data, and HIV testing data. The MMP collects both interview and medical record abstraction data on approximately 9000 participants each year.

2) The NHBS system monitors risk behaviors among populations at risk for HIV/AIDS infections. The MMP monitors behaviors and clinical outcomes among HIV infected persons. Information gathered from both surveys will be used to “strengthen the capacity nationwide to monitor the epidemic.”

3) Neither the NHBS nor the MMP collects information containing PII.

4) Participation in either the NHBS or MMP is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) DCC DOES NOT COLLECT PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote



**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Data Warehousing [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-01-0908-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** 0920-000

**6. Other Identifying Number(s):** ESC# 1345

**7. System Name (Align with system Item name):** Data Warehousing (DW)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robb Chapman

**10. Provide an overview of the system:** DW collects data pertaining to diseases across states with disparate systems into a repository used for surveillance and analysis. Data Warehouse collects surveillance data (individual case based data which includes clinical, epidemiologic, and interpretive laboratory questions as well as aggregate level data (summary data)) for National Notifiable Conditions (NNCs). The type of data varies depending on the disease and the data elements are defined by the CDC programs responsible for control of the specific conditions. The data elements are cleared through OMB. Specifications exist which indicate both structure and content of the data. The data are collected by state, local, and territorial public health entities and sent to CDC for national surveillance purposes. This process has been in place since 1951.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
DW is a non-major application that receives data, including PII for its clients systems within CDC, CCID and DISSS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects data on diseases designated as nationally notifiable by CDC and the Council of State and Territorial Epidemiologists (CSTE). CSTE is a national organization representing the jurisdictions which transmit data to this system (the National Notifiable Diseases Surveillance System or NNDSS). Through this system, the data are provided to the CDC Program Areas responsible for prevention and control activities. The CDC Program Areas utilize the data to determine trends, monitor effectiveness of interventions and preventive activities, determine epidemiologic characteristics of the diseases for formulating recommendations and guidelines, resource allocation, outbreak monitoring, and other policy decisions. NNDSS also serves as the nation's only source of statistics for national notifiable conditions. The data are published weekly in the Morbidity and Mortality Weekly Report (MMWR) as well as in the Annual Summary of Notifiable Diseases, also published by MMWR. The data does contain Personally Identifiable Information (PII). Specifically, the following PII data elements are collected: date of birth and birthplace (country level) for some conditions; age for all conditions; zip/county/state for all conditions; gender for all conditions; race and ethnicity for all conditions. Submission of the PII data to CDC is voluntary, however, all reporting jurisdictions participate. CDC Program areas provide funding to reporting jurisdictions. Some PII data elements are required as a condition of receiving cooperative agreement funding.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** DW is subject to certification and accreditation requirements of CDC "Moderate" security systems. It is subject to oversight from an assigned security professional, as well as OIG audit and OCISO requirements. The data are stored in databases which are accessible within the network by CDC subject matter experts who have responsibility for surveillance and control of the specific data. Who has access varies by disease. Requests for access to the data are submitted to the National Notifiable Diseases Surveillance System (NNDSS). They are co-approved by NNDSS and the CDC program lead for the disease to which access is being requested. Users sign a data use agreement which was jointly developed between CDC and the Council of State and Territorial Epidemiologists

(CSTE). CSTE represents the reporting jurisdictions which originally collected the data and sent it to CDC. Access is then granted to the database. CDC programs have had access to these types of data via NNDSS using this process since electronic feeds were established in 1990.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Data.cdc.gov [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1971

**7. System Name (Align with system Item name):** Socrata

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** Socrata will be a public facing website that will be accessed by the public. This site is designed to follow the lead already taken by HHS for open/transparent government. HHS and CDC believe that transparency and data sharing are of fundamental importance to our ability to achieve HHS's strategic goals of advancing the health and well-being of the United States. CDC's data can be utilized to help citizens understand what we do and hold us accountable, increase awareness of public health issues, generate insights on how to improve health and well being, and mobilize public and private sector action and innovation to improve performance. All data published by the CDC will contain no PII. All CDC users will access the system and authenticate via the Internet using a web browser, while Socrata engineers maintain the underlying infrastructure. Some features the public will be able to use while accessing the site include published data along with tools to view, filter, visualize, re-share, or program against using the Socrata Open Data API (SODA).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Socrata will be a public facing website that will be accessed by the public. This site is designed to follow the lead already taken by HHS for open/transparent government. HHS and CDC believe that transparency and data sharing are of fundamental importance to our ability to achieve HHS's strategic goals of advancing the health and well-being of the United States. CDC's data can be utilized to help citizens understand what we do and hold us accountable, increase awareness of public health issues, generate insights on how to improve health and well being, and mobilize public and private sector action and innovation to improve performance. All data published by the CDC will contain no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DCAS Dose Reconstruction [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/27/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0147

**5. OMB Information Collection Approval Number:** 0920-0530

**6. Other Identifying Number(s):** ESC# 1576

**7. System Name (Align with system Item name):** NIOSH Dose Reconstruction System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leroy Turner

**10. Provide an overview of the system:** NIOSH established the Office of Compensation Analysis and Support (OCAS) to assist with implementing a program created by the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA or The Act) which provides compensation and medical benefits for nuclear weapons workers who may have developed certain work-related illnesses. OCAS works closely with the Department of Energy (DOE), Department of Labor (DOL), and the Department of Justice (DOJ). The mission of the NIOSH Dose Reconstruction System is to determine eligibility for compensation and support the process of and track claims for compensation and medical benefits from the Department of Labor (DOL) for government nuclear weapons workers under the EEOICPA for radiation dose reconstruction

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
· Department of Labor (DOL) for the purpose of determining eligibility for compensation.

· Department of Justice (DOJ) for the purpose of enforcement of the law and defense of the interests of the United States according to the law and for notifying uranium workers eligible for benefits under the RECA that they may also receive compensation from DOL under The Act.

· DHHS/Office of the Secretary for approval of a special exposure cohort class definition.  
Oak Ridge Associated Universities (ORAU) – contractor for Dose Reconstruction Contract See for more information: <http://www.cdc.gov/NIOSH/OCAS/pdfs/orau/drcntrt.pdf>

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects PII information that is submitted by former government nuclear weapons workers and/or their families under the EEOICPA to facilitate radiation dose reconstruction to determine eligibility so that a claim for compensation and medical benefits can be filed with the Department of Labor. The mandatory PII information that we collect, maintain and disseminate, (name, date of birth, social security number, mailing address, phone number, medical records numbers, medical notes, legal documents, e-mail address, and employment status) is used to perform dose reconstruction under EEOICPA and other analysis required to process financial claims brought against the US government by individual claimants.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) There is no process to notify affected individuals when any system changes are made.

All PII contained in this system had previously been collected by the site where the individual worked. Release of their PII at that time was a condition of employment. Claimants under the EEOICPA act sign a Privacy Act advisement that provides notice that the project will store and use their PII data.

Department of Energy personnel access the Site Research Database (SRDB) to determine if there are any classification issues with the documents being stored. Upon request, we provide documents to the Department of Labor to support EEOICPA, Part E – chemical exposure. Documents that are accessed may contain PII data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**



**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** A unique ID and password is required to access the information on the CDC network. All users on the CDC network are required to take Privacy Act training prior to being granted access.

This is covered in DOSEREC Computer Security Plan and  
NIOSH Dose Reconstruction System Policies and Procedures Guide

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = N/A

Risk Analysis Date = May 1, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/27/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Delegations of Authorities Database [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/15/2007

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 593

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 593

**7. System Name (Align with system Item name):** Delegation of Authority

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** The Delegations of Authorities database contains all the data for the delegations of authority. It develops and processes the formed assignment of authorities to CDC senior managers. It makes recommendations concerning delegations. It has search capabilities and data can be viewed by all of CDC. MASO inputs the data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Abstracts of Delegations of Authority within CDC..

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/4/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Democratic Republic of Congo IT Infrastructure [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Democratic Republic of Congo IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Democratic Republic of Congo and provides a file servers, application servers, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Dengue Laboratory Sample Data Base [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1480-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 63

**7. System Name (Align with system Item name):** Dengue Laboratory Samples Database (DLSDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Harold Margolis

**10. Provide an overview of the system:** The Dengue Laboratory Samples Database is an internal client server system located on San Juan Dengue Branch's LAN. Dengue Laboratory Samples Database (DLSDB) is used for surveillance of dengue occurrences in Puerto Rico and other locations. The database contains patient information & laboratory results from the dengue diagnostic lab. It searches for previous samples from patients, stores data on the samples, & stores results of epidemiological evaluations. The data is used for epidemiologic analyses of disease activity in certain periods or locations by division scientists. Sample results are reported to the patients' health care provider.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The data is used for epidemiologic analyses and is shared with the patients' healthcare provider.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The data is used for epidemiologic analyses of disease activity in certain periods or locations by division scientists. Sample results are reported to the patients' health care provider. The information collected from the patients contains PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) Individuals who have their blood tested for dengue know that their name, address, sex, age and birthday is being provided to the PRDH and CDC on the DCIF form as they assist in filling out the form by answering the doctors or healthcare providers' questions.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The Database is housed in a secure environment. Badge access is required.

DLSDDB is internal facing system on the CDC network behind the firewall with no interconnections to any other outward facing system. Roll Based Access Controls (RBAC) and password controls are in place.

Only authorized and authenticated individuals can access PII inside of the Database

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DHAP Intranet (DHAP-I) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2077

**7. System Name (Align with system Item name):** DHAP Intranet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nick DeLuca

**10. Provide an overview of the system:** The DHAP Intranet System does not collect, process, store, or transmit PII or SSN data.

The Division of HIV/AIDS Prevention currently maintains the DHAP intranet site to inform and connect DHAP employees across the CDC. The intranet currently consists of static HTML sites and functions as a repository for documents produced by DHAP staff. Up until the start of this project, all development attention has been focused on this static framework and the ongoing content collection from branches across DHAP. The current intranet is designed within the bounds of the CDC's static HTML template guidelines and does not incorporate advanced searching capabilities of dynamic mapping or dynamic content management into the user interface. The current intranet infrastructure and its content is in compliance with 508 standards.

Enhancements included in the scope of the DHAP Intranet Database and Mapping Enhancement project will:

- 1) improve access to CDC DHAP content
- 2) establish a stable content framework for efficient maintenance
- 3) provide a robust user experience.

The intent of this project is to support DHAP objectives by enhancing the intranet site with mapping and database technology. The end product of this project will be an enhanced version of the existing DHAP intranet that utilizes technology to foster better communication and information sharing within the DHAP community.

These enhancements advance the current DHAP intranet, thus maximizing its potential to serve the DHAP community while still complying with current CDC intranet guidelines and 508 standards.

**13. Indicate if the system is new or an existing one being modified:** New



**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The DHAP Intranet system will collect, maintain, or disseminate information related to FAO Announcements, calendar of events, EPI category, Grantee's and their registry and funding information, Logs, Publication Authors, Publications, and state profiles.

This information is collected for tracking and funds management purposes.

Information obtained from individuals, grantees, and authors is for their business address, phone, etc. No Personally Identifiable Information is requested or stored on the DHAP Intranet system.

PubAuthors: Author Name

Calendar: Contact Name, Email Address

FOAGrantees: Project Officer Name, Office Phone, Office Fax, Email Address

Grantee: Name, Address, City, State, Zip, Office Phone, Office Fax

GranteeRegistry: Name, Address, City, State, Zip, Office Phone, Office Fax

StateProfile: Project Officer Name, Office Phone, Email Address

Core Surveillance Epidemiologist (CSE): Name, Phone, Email Address

Surveillance Public Health Advisor (SPHA): Name, Phone, Email Address

Submission of business contact information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DHAP: HIV Program Evaluation and Monitoring System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/26/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-21-01-02-02-1260-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-0696

**6. Other Identifying Number(s):** ESC# 1260

**7. System Name (Align with system Item name):** CDC HIV Prevention Program Evaluation and Monitoring System (PEMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dale Stratford

**10. Provide an overview of the system:** The primary purpose of PEMS is to provide a standardized data collection, analysis, and reporting tool for CDC HIV prevention program grantees. State and local governmental agencies, as well as the CDC, will use the data from PEMS for program monitoring and evaluation. Also community-based organizations (CBOs) and state and local health departments funded by CDC will use PEMS to report on HIV prevention activities they are funded to implement. PEMS will improve the ability of CDC-funded health departments and CBOs to collect and report HIV prevention program data. Data collected and reported via PEMS will be used to report on newly developed program performance indicators. These indicators will be used by CDC to monitor and report on the domestic HIV/AIDS prevention program. The use of PEMS and the data it will provide will be used to address deficiencies found using the Program Assessment Rating Tool (PART) by the Office of Management and Budget (OMB). The managers of these organizations and agencies along with federal project officers will use the data to improve programs and to monitor and assess the effects of the HIV prevention programs and any modifications.

The focus of PEMS is to provide the data necessary to analyze and assess the processes and effects of HIV prevention programs. This data will help CDC to promulgate best practices, redesign interventions that are inefficient or not effective in reducing risky behaviors that could result in HIV infection, and to identify grantees that need assistance to better deliver effective prevention services.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

**individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The list of PII variables in PEMS includes:

Encrypted Variables:

Name, Date of Birth, Physical description, Address, Phone numbers, Occupation and Employer, Local Client Unique ID.

Unencrypted Variables:

Local Client ID and Local PCRS IS.

Although the system collects the Names of Individuals, ONLY: Year of Birth, Local Client ID and Local PCRS ID are accessible by the CDC, all other PII is encrypted.

The data that is being collected in PEMS will be used to evaluate HIV Prevention Programs that are funded by the CDC. The data collected from Grantees is not mandatory, but if the required data is not furnished there may be consequences to Grantees in terms of future CDC funding availability. No PII data is required to be submitted by grantees to the CDC.

The system does contain PII, however the PII contained in this system is unavailable due to encryption. It has been officially determined that the Privacy Act is not applicable and No SORN is necessary.

Submission of all PII information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** The owners of the data (Grantees at the local level) will be notified if there are major changes to the system. Grantee agencies obtain information consent from each individual before collecting data at the local level. It is their responsibility to inform individuals of changes in Disclosure and Usage.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative Controls:

Triple DES Encryption by Grantees (Only grantee sites owning data have the ability to unencrypt), data confidentiality agreements.

Technical Controls:

User IDs, Passwords, Firewall, IDS and SSL encryption.

Physical Controls:

Guards, ID badges, Key Cards and CCTV.

The PEMS application will use SSL between web-browser clients and the web server that accepts data from users. Additional SSL Sessions secure data between the web server, the application server and the database server. Each of these sessions employs the same type of encryption used by all major financial services and electronic commerce sites today.

PEMS also supports persistent encryption of specific data variables (identified as sensitive by the CDC) using 3DES algorithm (encrypted by the Grantee's) and MS SQL Server 2008 Transparent Disk Encryption (TDE) at database level.

PEMS collects both client information and partner information. Users log into PEMS using a username and password after authenticating through the SAMS Portal.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/26/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Diabetes Management Information System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/25/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** DDT MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris (CTR)

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Diffusion of Effective Behavioral Interventions (DEBI) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID 2098

**7. System Name (Align with system Item name):** Diffusion of Effective Behavioral Interventions

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rashad Burgess

**10. Provide an overview of the system:** The Diffusion of Effective Behavioral Interventions (DEBI) system does not collect, process, store or transmit PII or SSN data. In July 2010, President Obama and the White House Office of National AIDS Policy released the country's first National HIV/AIDS Strategy, a blueprint for reducing HIV incidence and delivering high-quality, life-extending care to people living with HIV/AIDS. The second step of the strategy calls for expansion of targeted efforts to prevent HIV infection using a combination of effective, evidence-based approaches. These approaches include the diffusion of behavioral interventions demonstrated to be efficacious in reducing sex- or drug-related risk behaviors. Behavioral interventions that can be rapidly and successfully brought to scale, that focus on the prevention of onward transmission of the virus among individuals who are HIV-infected, and that reach members of groups who are at extremely high risk for HIV infection are emphasized. The Diffusion of Effective Behavioral Interventions (DEBI) project is responsive to the strategy and is designed to build the capacity of staff at community-based organizations (CBOs), Health Departments (HDs), and clinics to implement evidence-based behavioral prevention interventions with persons at very high risk for acquisition or transmission of HIV. The Capacity Building Branch (CBB) of the Division of HIV/AIDS Prevention (DHAP) is charged with providing effective behavioral HIV prevention interventions to prevention programs across the United States.

Providing support services to the national-level DEBI project requires managing several interrelated tasks for DEBI, a complex multilayered national diffusion project that has trained 19,000 individuals since 2003. The DEBI interventions are grounded in behavioral science and theory and are proven to be efficacious at reducing risk behaviors for transmitting or acquiring HIV and STDs. The DEBI national marketing and diffusion strategy is grounded in the theory of

diffusion of innovations. The national-level DEBI project operates through multiple partners who understand the process of selecting, adapting, training on, implementing, and conducting process and outcome monitoring on these science-based interventions.

Demand for training on DEBI interventions continues to grow due to communities' improved HIV prevention practice, Health Departments increasingly requiring the CBOs they fund to implement effective behavioral interventions, agency staff turnover, and new additions to the menu of effective behavioral interventions. As DEBI Trainings-of-Facilitators (TOFs) continue, HIV prevention practice at the community level will become increasingly science-based as CBO and other agency staffs are trained to implement effective behavioral prevention interventions.

DEBI is accessible via a web browser over the public internet. Users navigate to the "Training Calendar and Registration" tab and provide their registration information, which is all business information, no personal information is requested. Once they have an account, they can select the available trainings of interest.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The DEBI system collects agency contact data from individuals authorized to access the website and interested in taking various training courses available through the website.

Agency Data collected includes Race, First Name, MI, Last Name, Title, OrgID, Agency, Address, City, State, Zip, Country, Work Phone/ext, cell phone, fax, email, Gender, Hispanic Y/N.

This information is required for the registration process to be eligible to take the training courses available on the website.

The information collected is Agency contact information only, there is no PII in the DEBI system.

Submission of all information is strictly voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Dioxin and Persistent Organic Pollutants Laboratory [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1590

**7. System Name (Align with system Item name):** Dioxin and Persistent Organic Pollutants Laboratory (DOXPOP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cheryl McClure

**10. Provide an overview of the system:** DOXPOP is a data storage, generation and analysis report system. DOXPOP is non-web based and is designed to use DOXPOPs collected information into the DOXPOP database and used for storage, retrieval and analysis of data from projects of the DOXPOPs laboratory. The types of data are from the DOXPOP Laboratories. After the information from each section has been entered, the data sets can be merged for a complete report on each sample. DOXPOP data is aggregated at the Coordinating Center for Environmental Health and Injury Prevention (CCEHIP) National Center for Environmental Health (NCEH) / Division of Laboratory Sciences (DLS) / Organic Analytical Toxicology Branch (OATB). The purpose of the DOXPOP is to provide analysis of the collected information for the stakeholders and DOXPOPs is funded to serve the purpose of the NCEH/DLS/OATB managerial staff for decision making purposes. Only OATB/DOXPOP users access the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Data entries are made into four main tables containing:

- Project sample lists with accession information (example - CDC assigned study numbers, Sample ID, received date);
- Information from the sample clean-up process (example - Sample weight/volume, Sample type, Quality Control material used, Run number assigned, Internal standard added/amount);
- Mass spectrometry data (Analysis instrument, run number, analysis date, quantitative results of analysis as peak areas, retention times, final coding of data as reportable/below detection limits/non-reportable); and
- Lipid results (example - Sample ID, lipid values as total cholesterol, triglycerides).

(2) The purpose of the DOXPOP is to provide analysis of the collected information for the stakeholders and DOXPOPs is funded to serve the purpose of the NCEH/DLS/OATB managerial staff for decision making purposes.

(3) The information contains no PII or sensitive information of any type.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Disability and Health Data System (DHDS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/25/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Disability and Health Data System (DHDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Disabilities and Health Data System (DHDS) is a public facing web-based system that uses data from BRFSS and other CDC health data resources to facilitate the dissemination of health statistics. Using the COTS product InstantAtlas, DHDS provides a highly-interactive web-based mapping program that combines statistics and data to improve visualization, enhance communication, and engage people in more informed decision making.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

- submission of personal information is voluntary or mandatory:**
1. Disseminating publically available de-identified data about health risk behaviors, clinical preventive practices, and health care access and use primarily related to chronic diseases and injury.
  2. Identify emerging health problems; establish and track health objectives; develop, implement, and evaluate a broad array of disease prevention activities; and support health-related legislative efforts.
  3. No PII in the system.
  4. N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/25/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Disease Notification Analysis (DNA) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0169

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Disease Notification Analysis (DNA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Zanju Wang

**10. Provide an overview of the system:** DNA (Disease Notification Analysis) is a Microsoft SQL Server Analysis Services database that reports summary data of Class A† and Class B‡ conditions identified during the required overseas medical examinations of the U.S.-bound immigrants and refugees, domestic follow-up on Tuberculosis among the U.S.-bound immigrants and refugees, and demographic and arrival information of all the U.S.-bound refugees and only immigrants with medical conditions. DNA has data from 1994 to present. DNA was developed by Immigrant Refugee and Migrant Health Branch (IRMHB) of Division of Global Migration and Quarantine (DGMQ) of CDC.

† active, infectious

‡ active or inactive, noninfectious

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Notify states and local health departments of immigrants and refugees arriving in their jurisdictions with health conditions.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. The Immigration and Nationality Act requires health evaluations for all immigrants and refugees seeking permanent residence in the United States—which establish specific inadmissible conditions for which migrants can be excluded from entry into the United States. Migrants found to have inadmissible conditions are required to be treated for these diseases, or to obtain a medical waiver before they could migrate to the United States.

2. The US Refugee Act, a provision of the INA, grants the US DHHS/CDC an expanded role in health evaluations for refugees entering the United States. It delegates CDC responsibility for identifying and addressing conditions of public health significance—regardless of their designation as inadmissible conditions. In addition, the act delegates CDC responsibility for monitoring the quality of the health evaluations performed overseas.

3. Third, the Act also makes the CDC responsible for staffing ports of entry to meet arriving refugees, and to notify and transfer medical information to U.S. health departments so that refugees will receive appropriate follow-up and treatment in the US. Fourth, the act authorizes grants to U.S. State and local health departments to provide medical screening and treatment to refugees after arrival in the U.S.

4. Finally, through Federal Quarantine Regulations, the CDC Division of Global Migration and Quarantine has responsibility for preventing the importation of infectious diseases, through monitoring the health status of persons arriving in the U.S.

The information collected contains personal medical information which requires protecting the Confidentiality of the information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) The immigrants or refugees carry packets which consist of Department of State forms collecting personal medical information when migrating to United States. DGMQ staff enters the information at the port of entry Quarantine Stations using these forms. After the information is entered in the database a notification is sent to the state / local health department and the immigrant / refugee is notified by mail.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The data collected is secured via the application, database, network and server control mechanisms including userID and password, active directory, and physical restrictions for access to infrastructure components.

E-Authentication Assurance Level = N/A

Risk Analysis Date = September 30, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thmos P Madden

**Sign-off Date:** 1/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Distribution List Manager Tool (DLMT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/3/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** No

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Distribution List Manager Tool (DLMT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan Shaver

**10. Provide an overview of the system:** DLMT will allow CDC users to manage distribution lists in the active directory and exchange. The system will also allow for the creation of new DLs and handle routing, approvals, and renewals for existing and new DLs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A distribution lists in the active directory and exchange for the creation of new DLs ,handle routing, approvals, and renewals for existing and new DLs.

No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/3/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Division of Public Health Systems and Services Web Infrastructure Project (DWIP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0160

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Division of Public Health Systems & Services Web Infrastructure Project (DWIP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alan W. Schley

**10. Provide an overview of the system:** The Division of Public Health Systems & Services Web Infrastructure project provides the foundation for the Division's Internet and CDC Intranet communications portal. The Division will use the Internet to disseminate information to the general public regarding its activities to promote public health and support the goals and mission of the Centers for Disease Control. The Division website will be composed of sub-webs providing dynamic access to National Notifiable Disease information, Epi Info software download and product registration, advertisement of and pre-registration to Division sponsored seminars and public events, and other functionality in support of the objectives of the division. The CDC Intranet will be used to facilitate communication and support the work being done by each associate within the division via administrative utilities developed to serve specific needs such as website maintenance and change requests, status reporting and accountability, and internal material controls.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not disclose or share PII information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Optional e-mail addresses are collected to only notify product users of product updates or changes.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** Individuals are notified via disclaimers on the web form where optional email addresses are collected. This information is collected only to notify product users of product updates or changes, the information will not be shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Data will be encrypted in SQL.

IIF Collected.

E-Authentication Assurance Level = (0) N/A

Risk Analysis Date = 08/17/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Division of Scientific Resources Inventory System (DSR) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Division of Scientific Resources Inventory System (DSR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Angela Cox

**10. Provide an overview of the system:** The Division of Scientific Resources Inventory System (DSR) is an intranet application developed using ASP and SQL Server 2005. This system was built to allow DSR and its suppliers (CDC employees) real-time access to ordering and inventory information. The DSR system allows users to generate real-time reports and accessibility to inventory information and does not contain Personal Identifiable Information (PII). This version of the DSR Inventory System replaced the antiquated DOS based system called SBT.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Inventory Section allows certain user roles to view information on inventory (reagents, lots, reports), maintain inventory (add new items, edit/update/delete items, assemble kits, etc.), or maintain supplier information. The Maintenance Section allows user to update their profile information. Super users use this section to maintain advanced system features: Security (Access and Reports), User Maintenance, Supplier Maintenance, and Lookup Tables. DSR Inventory System is an external facing web application. From this data the system will generate reports and allow direct connectivity for statisticians within the CDC. No PII collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Division of Viral Diseases Surveillance Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1631

**7. System Name (Align with system Item name):** Division of Viral Diseases Surveillance Network (DVDSN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Aaron Curns

**10. Provide an overview of the system:** The DVDSN is a web based collection tool to modernize viral surveillance. Current collection method is a non-web based email and telephones which is inefficient.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The information the

agency will collect, maintain, or disseminate (clearly state if the information contained in the system ONLY represents federal contact data);

Laboratory Enterovirus test results for specimens.

(2) Why and for what purpose the agency will use the information;

For the surveillance of Enteroviruses.

(3) Explicitly indicate whether the information contains PII;

No PII is collected.

(4) Whether submission of personal information is voluntary or mandatory: ONLY represents federal contact data

Submission of information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII Collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No, System does not contain any PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DLS Electronic Laboratory Notebook (DLSELN) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A. The system is not subject to the Privacy Act and contains no PII.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1650

**7. System Name (Align with system Item name):** DLS Electronic Laboratory Notebook (DLSELN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Rollins

**10. Provide an overview of the system:** CambridgeSoft Corporation ([www.cambridgesoft.com](http://www.cambridgesoft.com)) has developed a desktop software application (E-Notebook) that the Division of Laboratory Science (DLS) has selected to use for electronically organizing information that is typically stored in paper notebooks. E-Notebook, referred by DLS as Electronic Laboratory E-Notebook (DLSELN) is an application designed for research, development, and manufacturing organizations for collaboration and knowledge sharing, regulatory compliance, and intellectual property protection, Laboratory Information Management Systems (LIMS), document management, project management, and diverse workflow support. E-Notebook is a configurable, multi-purpose and scalable application that provides a solution to a large set of requirements across research and development and manufacturing industries.

DLSELN will provide scientists with the ability to replace paper laboratory notebooks; including storing and sharing data between other scientists within the Division of Laboratory Science and the Emergency Response and Air Toxicants branch (DLS/ERAT). DLSELN will also enable users to enter content from Word, Excel, PowerPoint, Acrobat PDF, ChemDraw, and structured data in lists and tables. The forms can then be configured with any of these applications. E-Notebook will essentially provide users the flexibility of a shared drive with the compliance and search benefits of a database.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

**individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) DLSELN will electronically store Scientists' notes that are typically stored in paper notebooks. The notes contain no PII.

(2) DLSELN is an application designed for research, development, and manufacturing organizations for collaboration and knowledge sharing, regulatory compliance, and intellectual property protection, Laboratory Information Management Systems (LIMS), document management, project management, and diverse workflow support.

(3) The system does not contain PII.

(4) N/A. The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** (1) N/A. The system does not contain PII.

(2) N/A. The system does not contain PII.

(3) N/A. The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =8/11/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DLS FrontEnds [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1594

**7. System Name (Align with system Item name):** DLS FrontEnds (DLSFE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kathleen Caldwell

**10. Provide an overview of the system:** DLS FrontEnds (DLSFE) is an internally non-web based application designed to provide the quality control staff and supervising scientists with the ability to manage the receipt, analysis, and reporting of data associated with the specimen analysis work done in the Inorganic Radiological and Analytical Toxicology (IRAT) and Nutritional Biochemistry Branch (NBB) branches of the Division of Laboratory Sciences (DLS). The quality control staff and supervising scientists review the data using various DLSFE forms for consistency and accuracy and add comments and edit parameters as deemed necessary. The unexpected or out-of-range results may require additional specimen analysis, which would require a repeat of the previous steps minus initial login. If the quality control staff deems the data ready for reporting, then the data is flagged 'ready to report'.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: (1) DLSFE stores output results from lab instruments.**

(2) The data is used to provide the quality control staff and supervising scientists with the ability to manage the receipt, analysis, and reporting of data associated with the specimen analysis work done in the Inorganic Radiological and Analytical Toxicology (IRAT) and Nutritional Biochemistry Branch (NBB) branches of the NCEH Division of Laboratory Sciences (DLS).

(3) The system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DLS Intranet (DLSI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/10/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC 1748

**7. System Name (Align with system Item name):** DLS Intranet (DLSI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Justin Williams

**10. Provide an overview of the system:** The DLS Intranet (DLSI) is an internal information website operated by the National Center for Environmental Health (NCEH) / Division of Laboratory Sciences (DLS), the purpose of which is to provide information and resources for DLS personnel. DLSI is used to deliver content/reports of the Division in the form of PDF, Word and Excel Files. There is no data entry or data collection of any type. There is no PII of any type in DLSI.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. DLSI does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1. DLSI provides information and resources for DLS personnel.

2. DLSI is used to deliver content/reports of the Division in the form of JPEG Images, PDF, Word and Excel Files.

3. DLSI does not contain PII.

4. N/A. DLSI does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. DLSI does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. DLSI does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/13/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DNPA GA - 5-A-Day Recipes**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - 5-A-Day Recipes

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Calculator to help determine the amount of fruit and vegetable consumption based on gender and age.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DNPA GA - 5-A-Day Surveillance**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - 5-A-Day Surveillance

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Analyze and compare survey responses by state, year, and demographic group.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DNPA Legislative Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DNPA GA - Legislative Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Search for state-level bills related to nutrition and physical activity topics.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DOH Management Information System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Tracks objectives and activities of state based oral health programs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that

disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Dominican Republic IT Infrastructure (GAP-Dominican Republic) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/23/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC Dominican Republic GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Dominican Republic IT Infrastructure with file server, exchange server, and webmail server. Authentication is performed via local AD that does not send or receive data from the main HHS/CDC Active directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =9/9/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DSR Occupational Injury and Illness Classification System Coding Tool (OIICS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/9/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC NIOSH DSR Occupational Injury and Illness Classification System (OIICS) Coding Tool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Larry Jackson

**10. Provide an overview of the system:** The OIICS Coding tool is a website with the purpose of educating users about the structure and composition of the Occupational Injury and Illness Classification System and enhancing the accessibility of the coding system for experienced users. The website provides functionality for searching, click by click browsing, drill down exploration, and a downloadable version of the OIICS manual and application.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 2/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DSS Consolidated Internet Web Services (DCIWS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** DSS Consolidated Internet Web Services (DCIWS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** DSS Consolidated Internet Web Services provides internal facing web servers, services and applications within the consolidated DSS environment

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey I Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DSS DMZ Connection System (DDCS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** DSS DMZ Connection System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** DSS DMZ Connection System will utilize Microsoft Terminal servers will be used for accessing from the internal CDC network to the External CDC DMZ Zone. There are no special configuration other than the base 2008R2 install and Terminal server install.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DSS Virtual Infrastructure - External (DVI-E) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/6/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** DSS Virtual Infrastructure - External

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** DSS Virtual Infrastructure – External provides the infrastructure for external facing web servers, services and applications for the Internet.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

PII no

E-Auth Level = N/A

Risk Analysis date: 7/16/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DSS Virtual Infrastructure (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** DSS Virtual Infrastructure (DVI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jim Landers

**10. Provide an overview of the system:** DSS Virtual Infrastructure provides the infrastructure for internal facing web servers, services and applications for the Intranet.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 12/18/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DSTDP NCHHSTP HPV Impact Project Database (HPV)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/3/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** No

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC DSTDP NCHHSTP HPV Impact Project Database (HPV)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Stuart Berman

**10. Provide an overview of the system:** Not Web-based. Access database

The (HPV-IPDA) application will be a stand alone Access DB that provides a data collection and management tool for the local collaborators as well as analysis by all collaborators. The purpose of the project is to develop and pilot a system to monitor HPV vaccine impact through ongoing surveillance of CIN 2/3 and AIS and associated HPV types. In addition, a minimum of 250 randomly selected cases per year from each participating site will be investigated in more detail. Specifically, HPV vaccination history and relevant medical history will be obtained for these individuals, and diagnostic histology specimens related to the current diagnosis will be collected for histological evaluation and tested for a range of HPV types.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF or any other information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No IIF or any other information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF or any other information.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF or any other information.

No IIF collected.

E-Authentication = N/A

Risk Analysis Date = 10/6/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 9/3/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC DSTDP: STDNet [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9521-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1094

**7. System Name (Align with system Item name):** Sexually Transmitted Diseases Network (STDNet)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Greg Pierce

**10. Provide an overview of the system:** STDNet takes STD surveillance data that is reported through the National Electronic Telecommunications System for Surveillance (NETSS) maintained by NCPHI. STDNet provides menu driven access to STD surveillance data analysis and reporting. The STDNet application provides users an interface where, for example, they can query a disease by demographics, time and geographic location among other things (e.g. total number of syphilis cases by race, sex for Georgia in 2006). It provides users the ability to produce reports and graphs without having to know the mainframe SAS. The STD surveillance data is used to monitor for an epidemic of certain sexually transmitted diseases where notification is required and necessary.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** STD Surveillance data from the National Electronic Telecommunications System for Surveillance (NETSS). No PII data is process, stored or transmitted by STDNet.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DTBE Image Library [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9323-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1734

**7. System Name (Align with system Item name):** DTBE Image Library

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wanda Walton

**10. Provide an overview of the system:** A central storage and retrieval system for current and historical TB-related digital images for DTBE. The DTBE Image Library does not collect, store, process, or transmit PII or SSN information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF or any other information

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Images 2) for display on TB website 3) N/A 4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DVVID Reagent Ordering System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1481-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 954

**7. System Name (Align with system Item name):** DVVID Reagents Ordering System (DVVIDROS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Roger Nasci

**10. Provide an overview of the system:** The DVVIDROS information system consists of two components. The internal CDC component tracks reagents inventory and the external-facing web site is used by the Public Health Departments to process (ordering/requests) reagents. The requestor accesses the Internet site and requests a user name and password. Once the request for access is reviewed and approved by the divisions approving official, a user name and password is emailed to them. Once the requestor has their user name and password they can log into the Internet site and request reagents. Reagent requests are sent to Activity Chief's for approval and the requestor receives an order confirmation. Once the approval is granted the DVVID Shipping Clerk prepares invoices and declaration of dangerous goods and ships the reagent. Users are Public health officials/researchers and Universities reagent ordering/requests.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Ordering information for reagents and business contact information on individuals. The information is used to verify authorized people are placing orders. No PII. Information is mandatory to complete order form

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC DVD Batch Specimen Tracking System (BTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1582

**7. System Name (Align with system Item name):** DVD Batch Specimen Tracking System (DVD-BTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendi Kuhnert

**10. Provide an overview of the system:** This system serves as a central location or data source of specimen batches collected from all DVD laboratories. It will not have a dedicated user interface. This system only stores data so that it can be utilized for analytical purposes.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system serves as a central location or data source of specimen batches collected from all DVD laboratories. It will

not have a dedicated user interface. This system only stores data so that it can be utilized for analytical purposes. DVD BTS does not collect any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = August 09, 2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Early Aberration Reporting System (EARS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/13/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 934

**7. System Name (Align with system Item name):** Early Aberration Reporting System (EARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lori Hutwagner

**10. Provide an overview of the system:** The Early Aberration Reporting System (EARS) was pioneered as a method for monitoring bioterrorism during large-scale events. Various city, county, and state public health officials in the United States and abroad currently use EARS on syndromic data from emergency departments, 911 calls, physician office data, school and business absenteeism, and over-the-counter drug sales. The EARS program presents its analysis in a complete HTML Website containing tables and graphs linked through a home page. Viewing EARS output requires only a Web browser.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** EARS will voluntarily collect daily counts of syndromic information. This information will be used to monitor for possible aberrations or spread of disease such as ILI.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/12/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Early Detection Research Network EDRN-CCCEVC [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Early Detection Research Network-Cervical Cancer Clinical Epidemiology and Validation Center (EDRN-CCCEVC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth R. Unger PhD, MD

**10. Provide an overview of the system:** The Early Detection Research network - Cervical Cancer Clinical Epidemiology and Validation Center (EDRN-CCCEVC) system is designed to support and manage study information pertaining to cervical cancer research. Research data is manually entered into the database through SQL Server Management Studio. The database captures specific information on:

- biopsy results & reviews
- physical & colposcopic examination results
- patient responses to study questions

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** System is completely anonymous and links biologic samples with patient demographics and disease status. Data will be shared with ERNE investigators seeking to develop or validate biomarkers for cervical cancer screening. It is voluntary. Date of birth, race and gender are collected..

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** CDC did not and will not have contact information for study participants. Enrollment, follow-up and data collection was performed by clinical coordinators at several sites. The clinical sites retained this information and will not release it to CDC per protocol. Informed consent allows data collected in the study to be linked to biologic samples through coded study ID. The study is now closed to further visits. Remaining activities are to complete and validate data collection. Upon completion of this step, the links to participant identity will be destroyed at the clinical sites.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** - Data is stored on ITSO servers which are secured technically using authentication, and Microsoft SQL Server security protocols.

- Data is stored on ITSO servers which are secured physically using restricted badge-only access.

- The appl

**PIA Approval**

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC eGrants Application Tracking Information System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/19/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-04-00-02-1036-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A, System does not constitute a “system of records” under the Privacy Act.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1370

**7. System Name (Align with system Item name):** Grants Application Tracking Information System (eGrATIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Melissa Moore

**10. Provide an overview of the system:** Track immunization grants awarded to State and Local Health Departments from application through award and budget changes

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** eGrATIS will collect programmatic information from CDC grantees (state, cities and territories) through a common



internet interface. eGrATIS operationalizes the entire life cycle of the grants application process from inception to completion. The system generates reports, supports queries, standardizes reporting practices, and consolidates program information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No, System does not contain any PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No, System does not contain any PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC EHS-NET Information System (EHSNIS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 887

**7. System Name (Align with system Item name):** Environmental Health Specialists Network Information System (EHSNIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol Selman

**10. Provide an overview of the system:** The Environmental Health Specialists Network (EHSNIS) is a survey analysis tool used by CDC staff to conduct studies for the purpose of identifying environmental causes of food borne illnesses and related outbreaks. Surveys are designed by the CDC personnel allowing for collaboration with Food and Drug Administration (FDA) and participating states. Once data is collected and entered into the system, specified users may edit or delete the data. Studies are then conducted to determine causes of various food borne illnesses and related outbreaks, for research purposes.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) EHSNIS collects environmental assessment data regarding food and waterborne illnesses and related outbreaks. (2) Data is collected to conduct studies for the purpose of identifying environmental causes of food and waterborne illnesses and related outbreaks and to support EHS-Net activities, partners, and other Branch activities designed to support environmental health service programs. (3) No. The system does not contain any PII. (4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC EIP All Age Hospitalization Database (EIP AAHD) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1601

**7. System Name (Align with system Item name):** EIP All Ages Hospitalization(EIPAAHD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sang Kang

**10. Provide an overview of the system:** The Emerging Infections Programs (EIPs) is a population-based network of CDC and state health departments, working with collaborators (local health departments, public health laboratories, clinical laboratories, infection control practitioners, healthcare providers, academic institutions, and other federal agencies) to assess the public health impact of emerging infections and to evaluate methods for their prevention and control.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not share or disclose information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1. The information collected consists of DOB, Hospital Admission, Hospital Discharge Date, Nursing Home Resident (Y/N), Age, Sex, Ethnicity, Lab Results

2 The PII collected and used in demographical analysis.

3. Yes, the information contains PII

4. PII data is mandatory from state health department to CDC.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No Process in place

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** EIP data is stored in CDC file server with restricted access. Approved users are allowed access.

Technical controls: user ID, passwords. Physical controls: Guards, Identification Badges.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Control Correspondence [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-0984-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** A SORN is being developed.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1293

**7. System Name (Align with system Item name):** Executive Control Correspondence (ECC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ron Campbell

**10. Provide an overview of the system:** Users will use Executive Control Correspondence (ECC) to import electronic documents/files into a workflow, and index them with data and route for review and processing. It will be used to convert paper documents sent to the CDC Directors office into an electronic format. They are placed into electronic folders, and routed via a workflow engine to the appropriate centers for review and response. The response document is then printed signed and returned to the requester. A copy of the folder and contents is saved by the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** DIMES is responsible for dissemination and tracking of the information. Shared with all CDC Divisions. Sharing in order to craft a response to the correspondence that has come in.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information that is collected is voluntary and contains IIF. Individuals (public citizens) submit information to the CDC via email, USPS mail or fax to voice opinion, concern or have questions. The information that is submitted varies from health and product concerns to submission of invention ideas. The information is then forwarded to Subject Matter Experts (SME's). IIF can included on the correspondence sent to the CDC and is not requested but is voluntarily given. These documents are scanned into a PDF and may contain Name, DOB, Mailing Address, Phone Numbers, Legal Documents, Email Address, Military Status and/or Foreign Activities.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The information is voluntary and is not requested. There is not a process in place for notification.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** DBA – controls database, system admin controls the electronic files, UserID and Passwords. Documents are not stored in a database. They are stored on a file server.

Network and security controls for the web servers and databases are in place as well as network security monitoring and security audits. The system is only available on the intranet, mitigating the exposure outside the firewall. Access to the system and to specific information is controlled using Windows Integrated Authentication so users have to have a valid and active network profile before they are allowed system access.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Data Interchange (EDI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/23/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-0984-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1138

**7. System Name (Align with system Item name):** Electronic Data Interchange

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** Electronic Data Interchange (EDI) is a web-based system designed to support the Vaccine Tracking System (VTrcks) in order to convert SAP Intermediate Document (IDOC) files to the American National Standards Institute (ANSI) X-12 EDI files and the reverse, (X-12 to SAP IDOC files).

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – No PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The National Center for Immunization and Respiratory Diseases (NCIRD) provides vendor vaccine order information

from grantees (Immunization Programs and Health Departments nationwide) and manages the vaccine orders using VTrckS. EDI is the conduit between the VTrckS system at CDC and vaccine trading partners.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/23/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Disease Notification (EDN) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110-246 (CDC PH Monitoring for Infectious Disease Control Rollup)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09- 20 - 0136

**5. OMB Information Collection Approval Number:** 1405-0113

**6. Other Identifying Number(s):** ESC# 943

**7. System Name (Align with system Item name):** Electronic Disease Notification (EDN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Weigong Zhou

**10. Provide an overview of the system:** CDC assists state health departments and overall public health through an application called Electronic Disease Notification (EDN). Immigrants and refugees entering the United States are required by law to possess certain U.S. Department of State medical screening information and documentation as part of a visa request. Upon arriving at various U.S. points of entry, these immigrants and refugees provide medical information based on overseas examinations to customs and immigration officials on any of several health related conditions that may exist. The purpose of EDN is to document these health conditions and provide the case to the destination state health department for further follow up and tracking.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
State and Local Health Departments for federally mandated follow-up upon persons' arrival in their jurisdiction

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) EDN collects, maintains, and disseminates medical, US contact, and US arrival information. 2) It does so to fulfill CDC's federal mandate to notify state and local health departments of aliens which have arrived with conditions of public health interest and need follow-up by the health departments and/or who may seek care with state health services. 3) PII is contained and disseminated for the health departments to properly find and identify these aliens. 4) An alien may choose not to provide this information; however, it may impede the Department of State's granting them a visa for US entry.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** 1) None; 2.) Privacy Act Notice on the form states how the information is going to be used.; 3.) Privacy Act Notice on the form states how the information is going to be used.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Role-based security, digital certificates, and passwords

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Foodborne Outbreak Reporting System (Version 2) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1263

**7. System Name (Align with system Item name):** Electronic Foodborne Outbreak Reporting System 2.0 (eFORS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tarun Sethi

**10. Provide an overview of the system:** Electronic Food borne Outbreak Reporting System Version 2 (eFORS 2) collects and stores food borne illness outbreak data from State Health Departments. Fifty (50) states and fourteen (14) union territories enter food borne illness data as they occur using a web interface. Data analysis by CDC occurs after the outbreak and the outbreak is controlled. State and Territory, Health Department personnel enter data, finalize and approve data using a Web interface.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Electronic Food borne Outbreak Reporting System Version 2 (EFORS 2); collect, and store food borne illness outbreak data from State Health Departments. Fifty (50) states and fourteen (14) union territories enters food borne illness data as they occur using a web interface. There is no PII anywhere in the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Forms [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 594

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 594

**7. System Name (Align with system Item name):** Electronic Forms

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The Electronic Forms allows users to use CDC and other government fillable forms. You can search by category, form number or form name for government fillable forms..

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**



collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/03/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Resources (E-Resources) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/2/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Electronic Resources (E-Resources)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leslyn McNabb

**10. Provide an overview of the system:** SFX is an OpenURL compliant context-sensitive linking server. SFX accepts an OpenURL as input from an information source such as a database (source), parses that information and sends the patron to the correct full text resource. SFX also provides the ability to manage the library's electronic serials titles, by giving the library the ability to choose packages/coverage dates based on their subscriptions, turn resources "on/off", and provides services to users such as an AZ searchable list and a citation linker.

The library also pays for bX and MARCIt services. These are built-in services on the SFX server that we have chosen to pay to "activate." The bX service provides information in the SFX services menu that gives the user the feature "users who looked at this article also looked at these articles". The MARCIt service is a records enhancement service where we receive serial record bibliographic records already created that we can then import into our catalog. Both of these services require additional ports open on the SFX server in order to function.

EZProxy is a separate piece of software, installed on a separate server. EZProxy is a proxy server. It sits between SFX and the vendor's resources that SFX points to. The proxy server is utilized because of business reasons at the CDC. The library charges back to CIO's for GFE and we need a system for tracking usage of electronic resources. EZProxy tracks usage of electronic resources by capturing the user id and IP address from the machine of the person as they are leaving SFX but before they get to the vendor site. Once at the vendor site, their usage is tracked as well.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**

provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Electronic Risk Assessment Management Program(eRAMP) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/12/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1723

**7. System Name (Align with system Item name):** Electronic Risk Assessment Management Program(eRAMP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Frances Hard-Bennett

**10. Provide an overview of the system:** E-Ramp is an automated database system developed and designed to manage CDC health and safety data and to generate reports related to hazards identified within CDC's laboratory workplaces. This system incorporates the input of both the lab principal investigator, laboratory safety officer and the CDC OSHE Safety Risk Manager. It's developed to provide a measure of checks and balance by each entity and responsible party in order to establish a comprehensive inventory of hazards and a systematic approach to assessing the hazards and identifying the risk to the user/employee and the employer (supervisors and managers). The system is developed in a manner that addresses regulatory compliance of health, safety and environmental laws that impact CDC operations and to identify best operating procedures deem outside the scope of regulation yet necessary to protect the CDC work force.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No IIF Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF is collected, disseminated or maintained on the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = October 26, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/12/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Elevated Privileges (EPv) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Elevated Privileges (EPv)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** Elevated Privileges (EPv) is a dynamic application that provides an approval process for enhanced permissions on a CDC commodity workstation. This .Net application supports CDC OCISO standards and requirements for ensuring a least privilege model for CDC commodity workstations across the CDC enterprise.

The system provides a tertiary level of security by utilizing application data driven security categories, Active Directory authentication, and security group's authentication.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 1, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ELR Visualization (ELRVis) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC-2126

**7. System Name (Align with system Item name):** ELR Visualization (ELRVis)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Christopher Hall

**10. Provide an overview of the system:** The ELR Visualization system is a web-based visualization tool for the ELR Implementation Support and Monitoring information provided by the Epidemiology and Laboratory Capacity for Infectious Diseases Cooperative Agreement Affordable Care Act (ELC ACA) grantees about the current status of ELR implementation in their jurisdictions. This visualization will provide CDC with analytics to view the national and jurisdictional statuses of ELR implementation. The information will be analyzed by National Summary of ELR Implementation, Jurisdictional ELR Statuses, Denominators of Labs (all labs and those targeted to provide ELR), ELR Formats being received by disease category, Total Volume of Lab Reports for each jurisdiction and ELR Volume for each lab, both by disease category, and Technical Assistance Requests.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Collecting detailed information from 50 states, 6 cities, and 1 territory on Electronic Laboratory Reporting (ELR) implementation. For each of the 57 jurisdictions, this includes a list of reporting labs, whether each lab is sending ELR, how each lab is sending ELR and for what disease categories, the volume of ELR each lab is for each disease category, total volume for each disease category, how ELR are received, tools used to receive ELR, tools used to manage and process ELR, surveillance information systems using ELR and how each system uses ELR, other electronic feeds, and Health Information Exchange (HIE) status.

2) This data will be used to help provide additional ELR technical assistance to Jurisdictions. It will also be used in order to understand the status of ELR in the U.S.

3) The information contains no PII data.

4) N/A No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC EmailForms (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/18/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-0610-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1629

**7. System Name (Align with system Item name):** EmailForms

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Glenn Moore

**10. Provide an overview of the system:** Allows information submitted through web forms on designated CDC Internet sites to be sent via email to designated recipients. Examples include allowing web site users to submit questions or comments to the CDC via "Contact Us" web pages, or to submit requests to subscribe to CDC listservs to receive periodic notification of news or events.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Example uses of

EmailForms include allowing web site users to submit questions or comments to the CDC via “Contact Us” web pages, and submitting requests to subscribe to CDC listservs to receive periodic notification of news or events. CDC web form authors define what information will be requested on those web forms and submitted through email via EmailForms. Such information typically includes the user’s email address and questions or comments the user may have. Email addresses and other contact information are used solely for the purpose of contacting the user in order to answer questions or comments. EmailForms does not store information submitted via web forms in most cases. EmailForms only generates email messages containing submitted form data and sends those messages via the CDC SMTP gateway. No form data is stored within the EmailForms application. In the event the SMTP gateway cannot be reached, EmailForms will temporarily store email messages on its local file system until the SMTP gateway is available again, at which time the emails will be sent and will be deleted from the EmailForms file system. Sent email may remain in the CDC email system subject to the CDC’s email retention policies. Submission of information through EmailForms is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/24/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC EmailForms [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC OD GA - Email Form

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy allen

**10. Provide an overview of the system:** Allows the contents of Web pages to be e-mailed.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are

business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Emergency Medication Distribution System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/30/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0013

**5. OMB Information Collection Approval Number:** NA

**6. Other Identifying Number(s):** ESC# 1676

**7. System Name (Align with system Item name):** Emergency Medication Distribution System (EMDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Art Tallman

**10. Provide an overview of the system:** The system tracks medication on hand by logging doses of medication given to individuals during a medical emergency such as pandemic flu outbreak. Some medication is purchased with CDC funds directly from vendors, others via IAAs with other federal agencies (VA), some “donated” by HHS. The individuals who have their medication doses tracked are CDC personnel.

The main purpose of the system is for inventory tracking and control to avoid duplicate dispensing and also to identify recipients in case of adverse reactions, recalls etc. The system is anticipated for use only in large-scale CDC “emergency” events.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII shared or disclosed.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: Name, DOB**

The system records the number of meds on hand and the doses administered to individuals. They collect/store date of birth and it is needed for clinical purposes

The information collected by this system is PII.

Submission of PII is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) Users are notified via a general OD announcement when changes occur in the system. Users are also asked to update and validate their information on a yearly basis. A privacy notice opens when the user first accesses their contact information.

(2) PII is collected and maintained by CDC.

(3) PII may be used to search for individual records, but never disclosed except by signed authorization

Consent given implicitly by users when identifying themselves as recipients of meds.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Medical Director grants and removes system privileges. Only authorized users are granted by access by the director. System on Intranet only with all associated controls includes requiring membership in an AD group. Campus is physically secured by methods identified above.

PII Collected

EAAL = N/A

Risk Analysis Date = March 19, 2012

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/30/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Emergency Operations Management System (EOMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-19-0171

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1634

**7. System Name (Align with system Item name):** Emergency Operations Management System (EOMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Clarence Bloomfield

**10. Provide an overview of the system:** Emergency Operations Management System is a consolidation of current state applications and development of new functions and features. The applications being integrated are, DRS, DDSS, PWMS, UAS/IMS Communications, DCLA, RTS, CAP/AAR, COP and the EOC portal into EOMS. EOMS will have a home page, Common Operating Picture tab, Archive, Administrator, and IMS Communications. EOMS will provide charts, maps, link lists, document access, calendars that are similar to those in the EOC portal. The objective of EOMS is to consolidate the current applications and provide similar look and feel, while providing enhancements to enable users to interoperate within the consolidated applications.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
EOC Staff for the purpose of Situational Awareness and tracking people and resources.The

purpose of this information is to identify searchable criteria for building deployment teams and preparedness teams. In addition, information is used to alert people and teams about issues and events.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information is collected indirectly from feeds that contain user information. The purpose of this information is to identify searchable criteria for building deployment teams and preparedness teams. In addition, information is used to alert people, teams about issues and events.

The information contains PII data. The type of information collected is listed in the PII category in the document.

The information is voluntary and mandatory.

The information that comes from the CDC neighborhood is voluntary & government trip data is not voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Employees' (new hires) are informed and have given consent during the employee orientation process that their PII information will be used in order to support the CDC Director's Emergency Operations Center.

PII information is obtained by CDC Neighborhood and this information is provided voluntarily. Government trip data is not voluntary and is provided by EOC functional team leaders. Systems (ie. LifeGuard) that request information outside of what is collected from CDC Neighborhood or is provided by an EOC functional team leader do provide consent form (via electronic notification) requiring acknowledgement from users before proceeding.

EOMS also adheres to the HHS Rules of Behavior Document Number: HHS-OCIO-2008-0001.003S

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Portions of the application that use PII data are segmented from the rest of the system. Before one of these segments can be accessed, the portal must determine what rights the current user has to it.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Emerging Infections Program Clostridium Difficile Infection Incident Case Management System (EIP CDI ICMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/22/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1867

**7. System Name (Align with system Item name):** Emerging Infections Program Clostridium difficile Infection Incident Case Management System (EIPCDIICMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wenkai Li

**10. Provide an overview of the system:**

The Emerging Infection Program (EIP) Clostridium difficile Infection (CDI) Incident Case Management System (ICMS) is a web based .Net application that supports the operational activities of EIP CDI project incident case's data and information management including the integration of epidemiologic and laboratory information from CDI incident cases. Surveillance officers from external EIP sites manually import incident cases, complete Case Report Forms (CRF) and health interviews, as well as access incident case information from the ICMS web application. CDC lab staffs upload reference test results into the ICMS web application. The main ICMS functions are: 1) import incident case information from external EIP sites, 2) provide incident case information to external EIP sites, 3) perform incident case classification, 4) Capture Case Report Forms and Health Interview Information, 5) allow CDC labs to enter and view test results, 6) provide interfaces to generate datasets for CDC epidemiology group, CDC lab, and external EIP sites, 7) and facilitate specimen tracking. ICMS also provides a function to search for an incident case by; State ID, Patient ID, Incident Specimen Collection Date range or Case Last Updated Date range, Case Classification Status, and Case Processing Status.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**

**other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No. The system do not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects and maintains patient demographic information and clinical information. The collected demographic information is used to determine case eligibility, conduct sampling and analyze risk factors among different patient groups.

Determine the population-based incidence of community- and healthcare-associated CDI among participating EIP sites; Characterize C. difficile strains that are responsible for CDI in the population under surveillance with a focus on strains from community-associated cases; Describe the epidemiology of community- and healthcare-associated CDI and generate hypotheses for future research activities using EIP CDI surveillance infrastructure.

The collected demographic information includes: Date of Birth; Gender; Race and Ethnicity.

The information of Date of Birth, Gender, and Race and Ethnicity is required

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) There is not a process in place since the project is a surveillance project and data is received from EIP sites.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The information collected and maintained in the system is protected by administrative, technical and physical controls:

- Administrative controls: There are clearly defined policies and rules on how to access , use and change information in the system

- Technical controls: The system implements CDC recommended technical controls including user authentication and authorization, network firewalls, network intrusion detection, data encryption etc.
- Physical controls: The information is stored in secure database hosted at a secure location at CDC.

IIF Collected.

E-Authentication Assurance Level = 2

Risk Analysis Date = July 29, 2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Enhanced Tremolite Asbestos Registry (eTAR) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-19-0001

**5. OMB Information Collection Approval Number:** 0923-0039

**6. Other Identifying Number(s):** SYSTEM ID:2096

**7. System Name (Align with system Item name):** Enhanced Tremolite Asbestos Registry (eTAR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Larson, Theodore

**10. Provide an overview of the system:**

The Enhanced Tremolite Asbestos Registry (eTAR) system is an internal web-based application that includes a database of persons with possible exposure to asbestos-containing vermiculite in Libby, Montana. The eTAR is operated by the by the Agency for Toxic Substances and Disease Registry (ATSDR)/ Division of Toxicology and Human Health Sciences (DTHHS)/ Environmental Health Services Branch (EHSB). The purposes of the eTAR include serving as a communication conduit with registrants, tracking health trends, and facilitating research.

ATSDR and partners from Local and State Health Departments have collected data from asbestos victims over multiple years in SAS dataset format. The data contains very sensitive personal information about patients, including SSN and Health status. eTAR was developed to aggregate all of these datasets into one normalize database.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** ATSDR must provide subject identifiers from the eTAR system, including SSN, First Name, Last Name and Mailing Address to external sources (US Search, LexisNexis, the Social Security Death Index, and the National Death Index) for matching purposes in order to update subject data such as vital status and latest address/contact information. PII is needed for unique identification of the victim thus avoiding the risk of incorrect data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) eTAR will maintain very sensitive personal information about patients, including Name, Mailing Address, Phone Number, DoB, SSN and Medical Notes.

(2) ATSDR developed eTAR to aggregate all datasets into one normalized database. The purposes of the eTAR include serving as a communication conduit, tracking health trends, and facilitating research. PII is used to match data with external sources in order to update contact information and vital status. Contact information is used to provide information to registrants about the availability of federal programs as well as health education materials.

(3) Yes. The system contains PII (see (1) above).

(4) The eTAR system does not collect PII directly from individuals. ATSDR and partners from Local and State Health Departments have collected data, obtained by consent, from asbestos victims over multiple years and have included this dataset in the eTAR system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The system does not collect PII directly from individuals, however the State Health Departments have obtained consent from participants whose data resides in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: Users are assigned unique roles and privileges depending on their user status. The System Administrator can manage user accounts, manage roles and privileges.



Users must be approved by ATSDR management and have administrative roles and privileges to access the roles and rights. Also, all tasks performed under Administration group must be pre-approved.

Technical: PIV implementation (using Windows Integrated Authentication and Active Directory, Encryption (The PII will be encrypted in the database with the encryption compliant to the FIPS and encrypted on disk using the Pretty Good Privacy tool).

Physical: Production and test servers are stored in a server room secured by the CDC. Access tools are in place to secure entry into CDC buildings (Guards, ID Badges, Key Card, Cipher Locks, Closed Circuit TV).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Enterprise Reporting System (CERS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/30/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID 2109

**7. System Name (Align with system Item name):** CDC Enterprise Reporting System (CERS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Morris Campbell

**10. Provide an overview of the system:** The CDC Enterprise Reporting System is a web-based application designed to provide access to list of reports (i.e. staffing count) in MISO supported applications. Individual's at all organizational levels and job functions will have the ability to execute reports for all systems for which they have an established role. All MISO applications that have standard reports will be available for generation through the CERS as well as those systems that do not have reporting features.

For example, a CDC Management Official would like compliancy statistics for his/her organization (center, branch) for all employees required to file a Confidential Financial Disclosure report. The Management Official will access CERS and generate reports from the Ethics Program Activity Tracking application.

CERS is the platform and the technology that will display the data. However, reports generated by CERS would fall inside the certification boundary of the system using CERS e.g. CDC Global, Visitor Management System, etc. As the CDC Enterprise Reporting System is used by a system for reporting, a CR would be generated for that system. The CR would describe the report and the BSI of the system would be updated to list the CDC Enterprise Reporting System as dependent.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDC Enterprise Reporting System is a web-based application designed to provide detailed information (i.e. application statistics) that impacts decision-makers throughout the enterprise. Individual's at all organizational levels and job functions will have the ability to run MISO application reports for all systems for which they have an established role. All MISO applications that have standard reports will be available for generation through the CERS as well as those systems that do not have reporting features.

1) CERS will not collect, maintain, or disseminate PII.

2) N/A

3) There is no data and therefore no PII in CERS

4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This is performed by each supported system individually and it not handled by the CDC Enterprise Reporting System.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Each supported system is responsible for its own administrative, technical, and physical controls for PII. No records are retained in CERS.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/30/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Enterprise Systems Catalog (ESCII) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 3/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-0877-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1970

**7. System Name (Align with system Item name):** Enterprise Systems Catalog (ESC II)

Enterprise Systems Catalog (ESCII)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandra McGill

**10. Provide an overview of the system:** The system is used by CDC's CPIC office to address the responsibilities assigned to the CDC under HHS and Federal Guidelines for IT Capital Planning and Investment Control. All active CDC IT investments that store, analyze, process, manage, distribute, and/or provide access to electronic information are entered into ESC and this information is used by the CDC to accurately report and categorize IT spending.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** ESCII is available for stewards and system owners to see the Capital Planning Investment information and security information for their system. It lists the first and last name and User ID

of the individual stewards (security, technical, business) if there are any questions/issues surrounding that system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The ESC II captures detailed data as well as provides aggregate summary data for each CDC investment. The ESC II contains data on over 625 systems in which financial information is captured for each system. In addition ESC II also tracks all updates and prior information that can be retrieved at any time. The ESC II demonstrates the benefits of a consolidated database inventory supporting multiple CDC needs. The ESC II data is used by the CPIC office and CDC Centers and Offices as well as CDC Enterprise Architecture (e.g., EA for their metrics reports, Security for their Monthly Reports, and Possible Surveillance System Reports). Steward Information is collected for each investment and includes First and Last Name, and User ID and only represents federal contact data. All stewards are notified of their role as “stewards” before entry into ESC II. In addition the ESCII captures diseases and conditions, population characteristics, import and export and collection and dissemination. Diseases and conditions and population characteristics are required for surveillance. Information collected is mandatory for this system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** When a system is entered into the ESC II, it asks the employee and or contractor to list the names of the stewards of the system and their User ID. If there are changes to these names, the administrator can go into the system and make those corrections. The stewards are identified, notified and aware that they are listed as a steward in the ESC II for the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is collected.

Administrative Controls: New users must have approval of the business stewards to view capital planning data. All CDC users have ready-only access to system overview screens that displays other usernames that are assigned to business, data, security or data steward roles. User access privileges are revoked when a user leaves the CDC or a user no longer requires access to the system.

Technical Controls: User access to IIF is role based.

Physical Controls: IIS and SQL servers secured in ITSO facility at the CDC Roybal Campus in Atlanta

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Environmental Health Portfolio Management (EHPM) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2090

**7. System Name (Align with system Item name):** Environmental Health Portfolio Management (EHPM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kyle Dickson

**10. Provide an overview of the system:** The purpose of the Environmental Health Portfolio Management System will be to enable real time management and tracking of work requests and projects. It will provide leadership with the ability to oversee, manage and track overall resource activities, assist with priority setting, handle automatic notification and communications, validate timelines for work requests and projects and serve as a means for users to locate information to address inquiries by internal and external parties.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) EHPM will collect and maintain names, business phone number, job title, and other business contact information. EHPM will also collect, maintain and disseminate narrative information for inquiry requests.

(2) The agency will use the contact information for automatic notification and communication purposes. Other information will be used to provide leadership with the ability to oversee, manage and track overall resource activities, assist with priority setting, validate timelines for work requests and projects and serve as a means for users to locate information to address inquiries by internal and external parties

(3) The system does not contain PII.

(4) N/A. The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Environmental Health WebMaps [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1493

**7. System Name (Align with system Item name):** Environmental Health WebMaps (WebMaps)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marianne Hartin

**10. Provide an overview of the system:** Environmental Health WebMaps (WebMaps) is a content delivery mechanism and GIS web application. WebMaps provides information in a single source application that is currently accessible on the CDC/NCEH/ATSDR Internet web site. WebMaps is built on ASP.NET technology and uses a Microsoft SQL Server 2000 to hold the data and a GIS server to generate maps. WebMaps is a user interactive program that allows users to actively select criteria for lists and manipulate returned data in user friendly format, both in data and a graphical map. WebMaps does not gather any information from users of the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) WebMaps provides either direct access to health information or redirect users to related information by other web pages and web sites.

(2) WebMaps is designed to provide an interactive online application that will enable visitors to the NCEH and ATSDR Web sites to access health information easily and efficiently through a user-friendly interface.

(3) WebMaps contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF

Risk Analysis date: 11/2/2007

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Environmental Legionella Isolation Techniques Evaluation [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1607

**7. System Name (Align with system Item name):** Environmental Legionella Isolation Techniques Evaluation (ELITE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Pamela Jones

**10. Provide an overview of the system:** ELITE is a voluntary proficiency testing program for commercial laboratories to encourage uniform standards in the industry and to provide the LLRDB with a database of regional commercial laboratories that can be recommended in the case of an outbreak.

The ELITE website will be an avenue for the public to find general information about Legionella testing, test participants' combined grades, a list of qualified testing facilities, and all other information pertaining to the program. The website will have its own URL but will also be linked from the CDC Legionellosis website under the quick links section. Online reporting shall be made available to Participants and the General public. Test sample results shall be entered through this site and enrollment into the program shall also take place on this site.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not share or disclose information

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The surveillance data on symptoms, diagnosis, interview, lab results, and vaccine verification are used to evaluate the impact of new vaccines and vaccine policies

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: data is backed up daily and copies stored in a separate facility. The SQL Server database administration is maintained by ITS0. All modification to the database conforms to ITS0 CM. Technical controls: Access to the data is controlled by user ID and password in addition to the user ID and password needed to access the network. Physical controls include security guards, ID badges, cardkeys.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Epi Clearance Tracking [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1668

**7. System Name (Align with system Item name):** EPI Clearance Tracking

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Peter Kilmarx

**10. Provide an overview of the system:** EPI Clearance Tracking tracks study protocols, publications, papers and abstracts and to collect metrics of the EPI Branch research projects for monitoring over time. The metrics collected can be used by the EPI branch teams where applicable but are not intended for evaluation of individual staff members. Reports will be prepared for distribution at the semi-annual branch meeting that covers the branch activities for the prior calendar year. EPI Clearance Tracking also captures the productivity, quality, and impact information that will be used by the branch staff. EPI Clearance Tracking will only be accessible to the EPI branch staff, both domestic and international. It is role based that is integrated with Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
EPI Clearance Tracking does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1.) EPI Clearance Tracking tracks study protocols, publications, papers, and abstracts and to collect metrics of the EPI Branch research projects. It also captures the productivity, quality and impact information about the research projects.

2.) To track the time required for clearances for project protocols, publications, papers and abstracts for all the EPI Branch research projects at various levels.

3.) EPI Clearance Tracking does not collect PII information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) EPI Clearance Tracking does not contain any PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** EPI Clearance Tracking does not contain any PII

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 01, 2009

### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/3/2009



**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Epi Info Web Survey (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/3/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Epi Info Web Survey

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mohammad A. Islam

**10. Provide an overview of the system:** The vision of Epi Info Web Survey product is to use Epi Info desktop application to create online surveys to help CDC programs conduct surveys, gather useful information for analysis and to further expand CDC's public health mission.

Epi Info Web Survey will enable surveys created using Epi Info desktop application on the web. The survey can be distributed to the targeted audience using emails or any other electronic form. Each survey is accessed using the URL that is unique to a given survey. The survey application will allow a survey participant to take a survey. An epidemiologist will be able to download the survey responses from web and analyze the survey data any time during the period the survey is open or after the survey has closed using Epi Info desktop application.

The Epi Info Web Survey product enables following functionalities on the web.

- Provide services to allow publishing a survey created using Epi Info Desktop on the web.
- Dynamically reproduce on the web the survey that has been created and published from Epi Info desktop. The Epi Info Web Survey product supports survey created using following controls: Label, single line text box, multi-line text box, numeric text box, date picker, check box and group box
- The Epi Info Web Survey product supports skip logic by its ability to hide, unhide, highlight, un-highlight, enable and disable survey questions. It also supports navigation logic.
- Survey participant is able to access the survey, complete the survey in one session or save the responses and complete it a later time. They can submit the survey upon completion.
- Provide services to download the survey responses collected on the web into Epi Info Desktop for analysis.

The Epi Info Web Survey system comprises of following infrastructure components:

- Manger Service: This web service will enable Epi Info desktop to allow publishing the survey on the web and download the survey responses.
- Data Service: This web service provides the web application data to create surveys at run time and to manage data collected from the survey participant.
- Web Survey application: This web application creates the survey on the web using the Meta data in the database. It allows survey participant to participate in the survey and provide their response.
- Web Survey database: The system will consist of a relational database that will store the survey published on the web and store the survey responses provided by the survey participant.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. CDC programs will use the system to collect data that is typically contained in aggregate outbreak report forms. These forms are currently faxed to CDC programs from state health departments and do not contain any PII. The proposed system will establish a web-based mechanism for this process and expedite the collection of data.

2. CDC programs that currently respond to multistate disease outbreaks rely on receiving data from states and investigators on the field. The data is needed to form hypotheses regarding the source of an outbreak and to determine steps to contain it. Due to the very nature of outbreaks, the data collection forms tend to be slightly different for every situation and evolve as the situation progresses. Unfortunately, currently much of this process is paper based and data is received at CDC through fax.

3. There will be no PII collected by this system. Programs that will use this system have already stated that there will be no collection of PII through it and will be required to agree to a Rules of Behavior document that explicitly indicates as such. Furthermore, the OSELS\EAPO\Epi Info Team will be responsible for executing initial security scans for web forms that are to be published by CDC programs and will reject any that attempt to collect PII.

4. N/A No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/3/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Epidemiology and Prevention Branch Dashboard (EPB Dashboard) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A, System does not constitute a "system of records" under the Privacy Act.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Epidemiology and Prevention Branch Dashboard (EPB Dashboard)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** LCDR Charlene Majersky

**10. Provide an overview of the system:** The overall goal of the EPB Dashboard is to establish a user friendly information management system that will ensure easy accessibility to information, increase project visibility, improve financial management processes and accountability, and maximize alignment with broader CDC/OID goals and priorities to assure successful project implementation.

- To integrate data and other key information generated from EPB work (including: projects, funding, publications and other records) in a central portal to facilitate easy access and timely disseminate on to EPB staff.
- To describe the roles and responsibilities for maintaining and updating data and other information in the EPB Dashboard database.
- To establish a functional system that can be linked to other information sources to allow automatic data and information downloads to the EPB Dashboard.
- To improve information sharing across all areas of EPB as well as its partners.
- To establish a financial management system capable of producing timely accountability and project cost analysis.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No, System does not contain any PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No, System does not contain any PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No, System does not contain any PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC EPMO Project Profile (Name recently changed from GCS Project Profile) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9509-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1817

**7. System Name (Align with system Item name):** EPMO Project Profile

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Theresa Larkin

**10. Provide an overview of the system:** EPMO Project Profile does not collect, store, or process SSN's or PII. EPMO Project Profile is web-based ASP.NET application that connects to a Structured Query Language (SQL) database. The application files and database are located within the CDC firewall on the CDC Intranet. All users are internal to the CDC. EPMO Project Profile uses Active Directory Windows Authentication to gain access to the application. EPMO Project Profile is not interconnected to any other system(s); however, there is information sharing with HIV Lead, GCS eXTRA and GCS SAIEF360. HIV Lead, GCS eXTRA and GCS SAIEF360 have a database relationship with EPMO Project Profile where the applications share database information. GCS eXTRA and GCS SAIEF360 read data to populate a list of EPMO Project Profile projects by pulling the data directly from the EPMO Project Profile database through SQL views. Neither application modifies data in the other's database. This approach keeps the list of projects between GCS eXTRA and GCS SAIEF360 and EPMO Project Profile current without having to keep duplicate data in the GCS eXTRA and GCS SAIEF360 databases. In the case of HIV Lead, the Business Steward contacts the Database Administrator to withdraw the information from EPMO Project Profile's database using SQL scripts. The Database Administrator saves the information directly in HIV Lead's database. The data is read unilaterally. EPMO Project Profile does not access the HIV Lead data at all. EPMO Project Profile is not dependent on any other systems to fulfill its function nor are there any systems that depend on it.

EPMO Project Profile provides limited information on both budget and program status which is needed in the Division of HIV/AIDS Prevention (DHAP) at all levels. When someone needs more detailed information they can access other systems. EPMO Project Profile serves multiple purposes depending on where the user sits in the organization.

Functions of EPMO Project Profile at the branch level:

- Reduce duplication of budgetary data entry; information entered into EPMO Project Profile at the branch level is fed into HIV Lead and other systems
- Reduce the need to query the branch regarding target populations, age groups, risk behavior, etc.
- Submission and tracking of new projects for funding
- Provide a listing of all projects in a branch
- Provide information on projects in other branches in DHAP
- Provide tracking system for the yearly funding cycle
- Provide a historical record of all project funding

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** EPMO Project Profile collects and maintains limited budget and program. For example, Project Name, Project Period, purpose, goals, objectives, target and special populations

Managing projects and reporting.

The information collected does not contain PII.

N/A.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected



**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Equal Employment Opportunity Tracking (EEO Tracking) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### ***PIA Summary***

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** (FY07): 009-20-01-01-02-1000-00-402

(FY08) 009-20-01-01-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1301

**7. System Name (Align with system Item name):** Equal Employment Opportunity Tracking (EEO Tracking)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug Correll

**10. Provide an overview of the system:** The EEO Tracking System automates the complaint process for NCHHSTP. The Prevention and Support Office (PSO) serves as a coordinator of documentation requests for these complaints. Complaints and documentation requests help PSO determine which issues to address in future training sessions for employees and management. The scope of the EEO Tracking System will include a data entry vehicle for NCHHSTP/PSO to store EEO complaints and reporting capability for all information entered.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects specific information related to each EEO complaint. Example of data collected: Date reported, Date Assigned, Complaint Type, Name (Complainant), Organization, City, State, Complaint Against (Name), Case Number, Due Date, Resolution, and Comments. Personal Information is collected voluntarily from the complainant.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All applicable NIST SP 800-53 Administrative, Technical, and Physical controls have been implemented on the servers and workstations accessing this application.

N/A – PII is collected.

EAAL = N/A

Risk Analysis Date = 4/1/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/1/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Ethics Program Activity Tracking System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OGE/GOVT-2

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1657

**7. System Name (Align with system Item name):** CDC Ethics Management System (EPATS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Teresa Walker-Mason

**10. Provide an overview of the system:** CDC Ethics Management System (EPATS) is a web-based application that provides Senior Executive CDC personnel with the ability to electronically complete and sign (e-sign) reports, manage system dates, submit, review and certify the Confidential Financial Disclosure form (OGE 450). The purpose of the OGE 450 form is to assist employees and their agencies in avoiding conflict of interest between official duties and private financial interests (contracting, procurement, and administration of grants and licenses, etc). EPATS will provide users with the capability to track the status of a submitted OGE 450 report throughout the approval process. In addition, EPATS users can send email notifications and alerts during various workflow activities, assign tasks, and send email notifications of annual ethics training to individuals.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system shares information with members of the Ethics Program Activity for the purpose of conducting conflict of interest analysis for OGE 450 report filer.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information that EPATS will contain Personable Identifiable Information (PII), such as the name, business or personal mailing address, business or personal phone number, financial account information, legal documents, and business or personal email address. EPATS will provide the CDC Ethics Office the ability to effectively manage the receipt of thousands of Confidential Financial Disclosure forms (OGE 450), as well as, the ability to review and electronically certify the Confidential Financial Disclosure form (OGE 450).

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This is mandatory as a condition of employment for the government and CDC and is given during in-processing of the employee following employment.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: Records are maintained according with CDC's record control schedule and record control policy. The info is secured using the CDC/IS Active Directory authentication process and role-based application control.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges, and key card restrictions.

Yes IIF

Risk Analysis Date: 1/26/2010

E-Auth Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Ethiopia IT Infrastructure (GAP Ethiopia) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/9/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC NCHHSTP GAP Ethiopia GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Ethiopia operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = October 3, 2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 2/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Etiological Agent Import Permit Program (EAIP) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-8121-00-110-218

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-0199

**6. Other Identifying Number(s):** ESC# 546

**7. System Name (Align with system Item name):** Etiological Agent Importation Permit System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barry Copeland

**10. Provide an overview of the system:** The Centers for Disease Control and Prevention (CDC) is authorized to issue Import Permits for etiological agents under 42 CFR Part 71.54. Etiologic agents are defined as microorganisms and microbial toxins that cause disease in humans, including bacteria, bacterial toxins, viruses, fungi, rickettsiae, protozoans, and parasites. Any materials containing etiologic agents for import into the United States must be properly packaged and labeled, and be accompanied by a valid U.S. Public Health Service importation permit.

In addition, individuals wishing to import select agents and toxins must be registered with CDC's SAP in accordance with 42 CFR Part 73 (Possession, Use, and Transfer of Select Agents and Toxins; Final Rule) for the select agent(s) and toxin(s) listed on the import permit application. Also, In accordance with 42 CFR Part 73.16(a), an APHIS/CDC Form 2 must be completed and submitted to the CDC Select Agent Program and granted approval prior to the shipment of the select agents or toxins under the import permit.

The purpose of the EAIP system is to provide a secure database and processing environment, to include data entry and reporting capability in support of the EAIP Program. The system was developed as a stand-alone Windows-based system using Microsoft Access in 1995, and has been operational since then with very little enhancements. The system is currently housed on a standalone workstation in a secure location without connectivity to any other system or access to the local CDC network or the Internet.

The EAIP provides the following essential system functions:

- Database management
- Reporting engine and capability
- User interface
- Issuance of permits

System input will be received via the traditional methods currently in place (mail, fax, or email). Input received via email or through electronic means will be virus scanned, and transported electronically via secure technology from the public side to the SBU processing environment (secure space). Input received by traditional methods will be reviewed and hand-carried into the secure space.

Once within the secure space the, input documents will be further reviewed for completeness, and controlled using the Electronic Document Management System (EDMS). Data will be transferred through manual entry from the hard copy input documents into the database. These inputs would include information contained in the import permit application.

System output is in the form of hard-copy paper reports that are appropriately marked in compliance with the Health and Human Services (HHS) security classification guidance. In addition, backups of all system electronic files are generated regularly and securely stored off-site in support of the Business Continuity Plan (BCP).

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A; no PII is contained in the system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The EAIPP system is limited to storing data from import permit applications that is used to generate the import permit

which are approved to receive a permit and generating a paper permit. The information collected, maintained and/or disseminated is solely for the purpose of issuing the permit for the requestor and is not considered PII. Other information from the application such as email addresses and bio-safety data that is not used to generate the permit is not entered or stored in the EAIPP system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** The information collected by the EAIP program is governed by the USPHS 42 CFR - Part 71 Foreign Quarantine. Part 71.54 Etiologic agents, hosts, and vectors federal regulation and information is submitted via import permit application covered under OMB form NO. 0920-0199. In addition, the issuance of select agent permits for etiological agents is governed under 42 CFR Part 71.54. (as identified in 42 C.F.R. Part 74, 7 C.F.R. Part 331, and 0 C.F.R part 121) through the submission of CDC-APHIS form 2.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IFF collected

E-Authentication = N/A

Risk Analysis Date = April 1, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC EvaluationWeb [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Evaluation Web (Eval-Web)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dale Stratford

**10. Provide an overview of the system:** Evaluation Web does not collect SSN's or PII. The primary purpose of Evaluation Web is to provide a standardized data collection, analysis, and reporting tool for CDC HIV prevention program grantees. Community-based organizations (CBOs) and state and local health departments funded by CDC will use Evaluation Web to report on HIV prevention activities they are funded to implement. These data will be used by CDC to monitor and report on the domestic HIV/AIDS prevention program and will help CDC to promulgate best practices, redesign interventions that are inefficient or not effective in reducing risky behaviors that could result in HIV infection, and identify grantees that need assistance to better deliver effective prevention services. De-identified data from the Evaluation Web system will be sent to the CDC via SDN.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Local Client ID, Date of Birth – Year Only, Ethnicity, Race, State/Territory of Residence, Current Gender Identity, Relationship Status

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Export Tracking and Document System (EXPTRACK) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 76

**7. System Name (Align with system Item name):** Export Tracking and Document System (EXPTRACK)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Suzette Bartley

**10. Provide an overview of the system:** Export Tracking System (ETS) is an innovative LAN application focused on export records and shipments. This system tracks all CDC exports. It generates documents required to be affixed to the shipments. The system stores business contact information for the shipments and also provides full expected and actual tracking information to the users. The data is required per the US Department of Commerce Export Administration Regulations 15 CFR Parts 730-774. Users are able to access ETS from their individual workstations.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No IIF collected.**

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 26, 2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Extensis (EXT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Extensis

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gabrielle Benenson

**10. Provide an overview of the system:** Extensis will serve as a centralized image and multimedia repository which allows division-wide access to catalog, upload, organize, tag, report on and search all types of digital assets. Currently, over 15,000 photos are not organized and are stored in various locations, such as in personal e-mail inboxes and the DGMQ shared drive. These images are visual representations of DGMQ's work in action and show how our division fulfills its mission. The images have been obtained from DGMQ staff in the field and at headquarters, purchased photo stock images, historical quarantine images, and communication and educational materials such as posters, flyers, etc. It is important that DGMQ has a centralized system so that images and other media can be shared and used for internal and external presentations, health communication and education materials, and other documents, reports, and materials about DGMQ. By establishing a system for fast and easy access to digital assets through the media library, DGMQ will be able to quickly and more efficiently disseminate health information to help reduce morbidity and mortality among globally mobile populations to prevent the introduction, transmission, and spread of communicable diseases.

A Media Library will significantly improve the way DGMQ manages digital assets such as images or videos. By creating this system, countless staff hours will be saved from time spent searching for these images. Extensis will also be able to address two of CDC's strategic goals by enhancing the quality, availability, and delivery of these digital assets to employees, thus fostering innovation, collaboration, and more efficient work performance among staff in DGMQ. The system will also offer customizable permission levels for access control, in addition to a user friendly web-interface for ease of use so that media can be found and utilized more effectively.

System administrators will ensure no PII is associated with any media file loaded in the system.

**13. Indicate if the system is new or an existing one being modified:** New



**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information collected, maintained, or disseminated about the media files

Why and for what purpose  
Contains PII  
Voluntary or Mandatory  
Media number  
To use as a search criteria and to organize the files  
No  
Automatically generated  
Name and work e-mail address of the person submitting media files  
government/government contractor contact data only.  
To use as a search criteria and to contact the individual if questions arise

No  
Mandatory

Submission date  
To use as a search criteria  
No  
Automatically generated

Date of creation  
To use as a search criteria  
No  
Voluntary

Source name and credit instructions - this includes name of the source of the media file, for example, the photographer or creator of the media file. Government/government contractor contact data only  
To use as a search criteria and to appropriately provide source credit, proper acknowledgement, and a full citation to the media file

No  
Voluntary

Format, for example: photo, illustration, video, audio recording, webinar, animation, other

To use as a search criteria  
No  
Mandatory

Brief description – may include name and job titles of people in the media files.

Government/government contractor contact data only  
To use as a search criteria  
No  
Mandatory

Keywords - may include name and job titles of people in the media files.

Government/government contractor contact data only

To use as a search criteria  
No  
Mandatory

Consent obtained (Yes or no field)  
To use as a search criteria  
No  
Mandatory

Use restrictions - may include name and job titles of people in the media files.

Government/government contractor contact data only  
To use as a search criteria  
No  
Voluntary

File size To use as a search criteria No Automatically generated

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC External Partner Activate (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** External Partner Activate

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Crawley

**10. Provide an overview of the system:** The purpose of the EPEA website is to allow External Partners to activate their CDC user account. Prior to accessing this website the External Partner user will have received an email notification. This notification would have provided them with the name of their user account, and a description of how to determine their password. This notification also contains a link to the EPEA activation web site.

The activation process has 3 parts. Part 1, the initial user credentials are authenticated. Once the credentials are authenticated, the user is transferred to the Quest Password Manager (QPM) web site. Part 2, in the QPM site the authenticated user is requested to enter the answers to several security questions, which are stored for later verification. Part 3, the authenticated user is required to change their password. Once this is done the External Partners user account is available for system authentication.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E walker

**Sign-off Date:** 5/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC External Public Open Source Environment (ExPOSE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** Conversions

**1. Date of this Submission:** 5/15/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1414-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1520

**7. System Name (Align with system Item name):** External Public Open Source Environment (ExPOSE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** ExPOSE is a hosting environment for external facing, public, web-based applications and has been set up specifically to support open source collaborative tools

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** ExPOSE is a hosting environment that will only host systems with Low data

ExPOSE does not use any information

ExPOSE does not collect any PII

Information is not submitted to ExPOSE

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/15/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Extranet Access System (EAS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Extranet Access System (EAS) formerly known as Netscaler VPN

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dave Ausefski

**10. Provide an overview of the system:** The Extranet Access System in a result of the development of an enclave has been set up so that public facing applications can be secured and delivered to DMZ based users who will collaborate with internal CDC Users. The term for this is the "Moat". This environment will provide both authentication and content delivery for both CDC and Non-CDC users by authenticating against resource domains as well as the CDC.GOV domain. The aim of the EAS Project is to provide secured access to this environment in a manner that adheres to the NIST 800-53.

Access to internal resources will be delivered by a FIPS 140-2 Level II Compliant Netscaler 9010 appliance. The Netscaler is a hardened BSD Appliance produced by Citrix that provides multi-homed access to the CDC MOAT.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Federal Advisory Committee Management [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/20/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0059

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Federal Advisory

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** This system is a record of Federal Advisory committees to use in submitting federal register notices and completing member conflicts of interest.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Federal Advisory Committee Management

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** contact information - mandatory

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Limited full access to database – provided only to the team in MASO

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/19/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Fellowship Management System (FMS) [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 6/29/2011

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number: Component of CDC PH Communications for Workforce & Career Development (system UID # 1310)

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): SORN 09-20-0112: Fellowship Program and Guest Researcher Records

5. OMB Information Collection Approval Number: 0920-0765

6. Other Identifying Number(s): ESC# 1418

7. System Name (Align with system Item name): Fellowship Management System (FMS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Mehran Massoudi

10. Provide an overview of the system: The Fellowship Management System allows applicants to apply to CDC fellowships on-line and will track fellowship alumni in one integrated database. The target audience consists of professionals in public health, epidemiology, medicine, economics, information science, veterinary medicine, nursing, pharmacy, public policy and related professions, and medical, veterinary, and graduate students. Applicants choosing to apply to one or more CDC fellowship(s) will enter their information once and alumni who choose to participate in the alumni directory will have the option of providing updates to information that has changed. Information about alumni who provide consent will be included in standard downloadable reports including the alumni directory. Alumni will use the directory to facilitate networking, per their request. CDC will use the information collected for processing application data, selection of qualified candidates, maintaining a current alumni database, documenting the impact of the fellowships, and generating reports.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

-- Present or Past applicants (they can only access their own information for verification/correction of their own data).

-- CDC/OD/OWCD Fellowship Administrators (for processing application data, selection of qualified candidates, maintaining a current

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PII includes: Name, Date of Birth, Email Address, Mailing Address, Phone Numbers, Fellowship Entry Year, Citizenship Information, Education and Training, Work Experience, Volunteer Activities, Research Grants, Presentations, Publications, Interests, Skills and Abilities. CDC will use the information collected for processing application data, selection of qualified candidates, maintaining a current alumni database, documenting the impact of the fellowships, and generating standard downloadable reports including the alumni directory and a listing of current fellows. All submissions of data are voluntary including participation in the alumni directory. See attached listed for complete list of all the data fields that are collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Should major changes ever occur to the system, CDC/OSELS/SEPDPO Administrators will notify individuals whose PII is in the system by email asking them to log on to the system to provide electronic consent as appropriate. The Fellowship programs' Bulletin will also include an announcement of notification and request alumni to log on to the system to provide electronic consent as appropriate.

Individuals will be notified as to what PII is being collected from them and how the information will be used or shared when they first log into the system as an applicant or an alumnus and will be available for their review every time they log onto the system thereafter. Alumni will provide electronic consent before they can enter their own data and they will always have the option of retracting their consent.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Admin. - Access only by applicants to their own records, access only by alumni to their own records, or by CDC/OSELS/SEPDPO Administrators to all records.

Technical - Located in DMZ, encryption of passwords.

Physical - Mid-tier Data Center under ITSO controls.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Flu Geographical Information System (FluGIS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/19/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1866

**7. System Name (Align with system Item name):** Flu Geographical Information System (FluGIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lynnette Brammer

**10. Provide an overview of the system:** The Geographical Information System (FluGIS) is a web application to support the Epidemiology branch on their analysis and reporting to internal management. The data this system utilizes come from Flu's surveillance systems such as Influenza Sentinel Providers Surveillance Network, 122 Cities Mortality Reporting System, and WHO collaborating Laboratories. The data is for reporting purposes only and is pulled into this system. At a high level, the security of the system uses user credential from Windows NT Challenge/Response and checks for access against the database table. If access is allowed then an access role is assigned to the user which controls role based web pages. An application account and password is used to connect to the database schema. The operation of FluGIS is as follows. The end-user logs into FluGIS using his/her LAN credential. Once the user is validated, a system defined role for the user is assigned. Based on the role, access to the appropriate web pages is granted.

To gain access to FluGIS, an end-user must also have an authorization from the Epidemiology branch and an assigned user role. The role controls role-based security on every web page. Extensive data validation and security capability is written into each web page. Access to the application allows a variety of reports to be run against the data.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**

other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect PII Information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

E Auth Level = Low 1

Risk Analysis date 09/24/10

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Maden

**Sign-off Date:** 10/20/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Flu Tool (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Flu Tool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alan Davis (ALD7@cdc.gov)

**10. Provide an overview of the system:** The Flu Tool improves the ability of public health partners to monitor influenza activity across the nation, provide situational awareness related to seasonal and non-seasonal influenza, and present influenza-like illness data from various sources in a common format and interface available to public health decision-makers. This purpose of this tool is to serve as a general surveillance application. Information in the Flu Tool is updated on a weekly basis.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Flu Tool does not collect, maintain, or disseminate PII/IIF. The system has been developed to improve the ability



of public health to monitor influenza activity across the nation, provide situational awareness of seasonal and non-seasonal influenza, and present influenza-like illness data from various sources in a common format and interface available to public health decision-makers. Information processed by the system is listed in the following chart:

DoD/VA DiagnosisBioSense Departments of Defense and Veterans Affairs Outpatient Clinic Data, ILI Diagnoses

BioSense Hospitals DiagnosisBioSense Hospitals Emergency Department, ILI Diagnoses

BioSense Hospitals Chief ComplaintBioSense Hospitals Emergency Department, ILI Chief Complaints

Sentinel ProvidersPercentage of Visits for Influenza-like Illness (ILI) Reported by the US Outpatient Influenza-like Illness Surveillance Network (ILINET)

WHO/NREVSS LabsInfluenza Positive Tests Reported to CDC by U.S. World Health Organization (WHO) and National Respiratory and Enteric Virus Surveillance System (NREVSS) Collaborating Laboratories

State and Territorial Epidemiologists Weekly Influenza Activity Estimates Reported by State and Territorial Epidemiologists\*

Antiviral PrescriptionAntiviral Prescription

LabCorp LaboratoryInfluenza Positive Tests Reported by LabCorp

Quest LaboratoryInfluenza Positive Tests Reported by Quest

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Thomas P MAdden

**Sign-off Date:** 3/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Flu Vaccine Finder [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 1/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1492

**7. System Name (Align with system Item name):** Flu Vaccine Finder (FVF)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Galloway

**10. Provide an overview of the system:** To aide the visibility of influenza vaccine distribution, the Centers for Disease Control and Prevention (CDC) is making available jurisdiction-level summary reports of influenza vaccine distribution data to state and local public health officials. The CDC has coordinated agreements with several distributors and several manufacturers to provide distribution information on a weekly basis. The information is consolidated and mapped to common variables for reporting then published to secure environment. Access to this information is restricted to a limited number of individuals per jurisdiction.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system collects flu vaccine distribution information, including the distributor name, the amount distributed, and the date distributed, and to whom the vaccine was distributed. No IIF is collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: The data will be secured by logical access controls. Technical controls: Access to the data is controlled by user ID and password, digital certificate, firewall. Internal physical controls include security guards, ID badges, and cardkeys.

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = October 5, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/14/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC FMO Portal (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1684

**7. System Name (Align with system Item name):** FMO Portal

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Chare Brown

**10. Provide an overview of the system:** The FMO Portal system is designed to support FMO branches during the system development process. The system is composed of a main website, document libraries, and contact lists.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The FMO Portal is designed to support the Financial Management Office (FMO) in the areas of Project Management and Staff Management. The system is composed of several intranet sites for each FMO branch and

an umbrella intranet site for all of FMO. The websites are used by FMO employees to manage project documents and schedules, branch staff calendars, and branch-related information. The only PII collected by the system are user names and email addresses. This information is collected via the Active Directory Global Catalog. The system is only used within CDC and the names and email addresses are readily available to all CDC employees through other systems.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) PII will stay internal to individuals with network passwords at the agency.

PII is obtained from the Active Directory Global Catalog. Consent to list this information in the Active Directory Global Catalog is given when the user requests a CDC network password. It is understood by the user that names and email addresses will be used within CDC to conduct official business.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured by numerous methods including, firewalls and password authentication. The data is kept within a controlled access facility, which includes security guards, card key access, and identification badges.

No PII collected

Risk Analysis Date = 12/24/2009

EAAL = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC FMODDataHub (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/30/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** System ID: 2125

**7. System Name (Align with system Item name):** FMODDataHub

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Roberta Beach (BEN5)

**10. Provide an overview of the system:** The purpose of the application is to be a centralized repository for information that is consumed by multiple applications across FMO. Its current functionality includes the management of FMO application users and roles and the management of Org Code descriptions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The application will collect a subset of data available from Active Directory for each user added to the system (First



Name, Last Name, Display Name, User Id, CIO, Division, Office) information contained in the system ONLY represents federal contact data

(2) The application will use this information to uniquely identify each user; (3) The information is not considered PII; (4) Submission of this information is mandatory to use the supported applications.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/30/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC FOA Applicant Approval System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9124-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1671

**7. System Name (Align with system Item name):** FAO Applicant Approval System (FAAS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Theresa Larkin

**10. Provide an overview of the system:** The Funding Applicant Approval System “FAAS” project is initiated to partner with the Strategic Science and Program Unit (SSPU) to automate the evaluation of applications for DHAP Funding.

The major Business Functions of this project are CDC/Branch functions which will be conducted in collaboration with System Administrative functions as stipulated by DHAP.

· FAAS establishes an automated, best practices process for initiating and managing the evaluation of applications (SF 424) for Funding Opportunity Announcements including:

- o Reviewer recruitment
- o Letters of Intent “LOI”
- o Establishing an automated, best practices process for conducting Special Emphasis Panel “SEP” activities
- o Establishing an automated best practices process for conducting Pre-Decisional Site Visit “PDSV” activities

FAAS will establish and maintain a list of potential and actual SEP reviewers to review, evaluate, and score SF 424 Grant applicants. Reviewers, who have been validated by CDC as qualified to participate in SEP activities, will be granted limited (time) access to the FAAS application during review process (less than 60 days), after which reviewer accounts are automatically deactivated. Reviewers will access the FAAS application via the Internet during SEP activities. CDC employees participating in SEP activities will also use a limited (time) FAAS account to access the application from the Internet.

FAAS is a web-based application, developed using Microsoft .NET and SQL Server 2005 technology. The application is used to support the application, evaluation, and notification of Federal Grants for HIV prevention. Only Business IIF information is collected, processed, or stored within FAAS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Business/organization applicant information for various federal grants, such as Organizational DUNS, TIN or EIN, legal name, Department, Division. Complete business address, business phone number, business fax number, and business E-mail, type of application, description of applicant's project, and Congressional district of applicant.

Business IIF Information is collected to assist in evaluations of business/organization applicant qualifications for various grants.

PII information is not collected, processed, or stored within the application.

Submission of information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No PII**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC FoodNet Population Survey Cube [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/29/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1678

**7. System Name (Align with system Item name):** FoodNet Population Survey Cube (FPSC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Olga Henao

**10. Provide an overview of the system:** The FoodNet Population Survey Cube (FPSC) is a data storage device which will be connected to by members of the FoodNet team. Its purpose is to store the data collected from the FoodNet Population Survey and structure it in a way that allows for fast, easy aggregation. The data is obtained via a SAS dataset, formatted to fit into the database structure.

This structure is used as the underlying data source (relational database) for the analysis services data cube. Because the cube does not write data, but instead aggregates and creates relationships between different data elements, it will be the only database object that is accessible to end users. End users will not be able to modify the structure of the cube or process the data contained in the underlying data source. They will only be able to view different elements of the cube via SAS Enterprise Guide.

No systems will be dependent upon the FoodNet Population Survey Cube to perform, nor will it be dependent upon any systems. It will, however, be used as a data source for reports built using SQL Server Report Services for the FoodNet team.

Developers and administrators will have full rights to the cube structure, but only read/write access to the underlying data source (relational database). This system will be located on the LAN behind the firewall, and is only accessible by internal CDC staff.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) FPSC is a data storage device which will be connected to by members of the FoodNet team.

(2) Its purpose is to store the data collected FPSC, the FPSC and structure it in a way that allows for fast, easy aggregation.

(3) The system does not contain PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/29/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Freedom of Information Act Xpress (FOIAXpress) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 89

**7. System Name (Align with system Item name):** Freedom of Information Act Xpress (FOIAXpress)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Bruno Viana

**10. Provide an overview of the system:** Multi-function FOIA case management system. A requestor will request a CDC document through this system for multiple reasons including contractual suits, application of contracts, insurance documents, etc. These requesters are authorized to pull documents by the actual author, center, or individual to which the document refers.

For External users, the capability to check the status by entering their FOIA number.

Many PII data elements may be stored and transmitted on the FOIAXpress system including but not limited to SSN, Name, Home Address, Passport information, Email address, and Home Phone Number, etc. For inquiries surrounding an individuals' PII, a consent form must be downloaded from the FOIAXpress website and filled out by the requestor and the individual about whom the information pertains, signed by both parties authorizing release, and notarized. This form is then submitted to FOIAXpress via US Mail and the information is then authorized to be released. These types of inquiries typically surround legal and contractual investigations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes



**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

This system retrieves and disseminates documents requested for various purposes and may or may not include IIF. This system shares information with contractors, FTE's and other Government agencies.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Voluntary in most cases but Mandatory in others. Name, DOB, SSN. Photographic Identifiers, Driver's License, Mother's Maiden Name, Vehicle Identifiers, Mailing Address, Phone Numbers, Medical Notes, Medical Record Numbers, Certificates, Legal Documents, Device Identifiers, Web URLs, Email, Address, Education Records, Military Status, Employment Status, Foreign Activities, and UserID. These are stored for various reasons including but not limited to legal inquiries, application of contracts, insurance documents, et. This is a multi-function system that retrieves documents upon request to satisfy the Freedom of Information Act.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The documents already exist and should PII be included on any documents requested, an authorization form has to be filled out. Notification and consent is not obtained from the FOIA office but is provided voluntary at the originating center. Information is shared that contains PII only when a legal inquiry is requested and the individual whose information is being disclosed is given notice and provides written consent.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is secured using ITSO guidelines. UserID and Password as well as application specific UserID and Passwords are used to restrict access. It is secured in a building with guards at the doors and proper fire/water damage controls.

Risk Analysis date: 12/30/2009

E-Authentication Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Freezerworks (N/A)  
[SYSTEM]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/17/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Freezerworks

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Wang

**10. Provide an overview of the system:** The freezerworks is a high volume sample tracking software. We use it to track the exact locations within various freezers of our viruses, controls and sera samples. The software provides the means for us to search the inventory and look at information associated with the sample, without having to manually search through each freezer.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system is used to track the exact locations within various freezers of our viruses, controls and sera samples. The

software provides the means for us to search the inventory and look at information associated with the sample. No PII is contained within the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII is contained within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is contained within the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC FTP Request Tool (FTP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/12/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** FTP Request Tool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** FTP Request Tool will allow CDC users to request private password protected FTP sites or public FTP sites following an approval process by the user's manager, ISSO and TSE.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data collected, disseminated, and/or collected pertains to network information, ADP information, and CDC user information without any distinguishing identifiable information

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF is collected, disseminated, or maintained in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No Information in Identifiable Form is collected or transmitted.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 24, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/13/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Funding Opportunity Announcement Builder (FOAB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/14/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1404

**7. System Name (Align with system Item name):** Funding Opportunity Announcement Builder (FOAB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Melneck

**10. Provide an overview of the system:** The primary purpose of FOAB is to help the Project Officers in the field develop a Program Announcement using existing standards and templates. The system will:

- Enable the Project Officers in the field to build Program Announcements
- Track the Program Announcement through the approval process
- Track revisions to the Program Announcement

FOAB was developed as a web-based system in order for FOAB Project Officers to access the system while in the field. FOAB Users submit data to build Reports/Program Announcements with pre-existing templates. These templates are a combination of free-form text fields and drop-down menus. The web interface collects aggregate data. Collected data is transferred to the FOAB Database for further processing. The total number and type of users is approximately 100 (includes the Project officers in the field, Global AIDS Program users, and Procurement and Grants Office Users). All users will have a valid CDC User ID and most users/Project Officers will be located in the field.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No iIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =7/31/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>



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### **06.3 HHS PIA Summary for Posting (Form) / CDC GAP South Africa IT Infrastructure (South Africa GAP) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/5/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-South Africa GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP South Africa operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) nN/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = Oct 9, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC GAP Syslog Server (Syslog) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/12/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Syslog Server

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Thailand operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is present.

Risk Analysis Date = December 4, 2009

E-Authentication Assurance Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/12/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Genomics (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/5/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-0623-00-110-031

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1479

**7. System Name (Align with system Item name):** Genomics

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alex Hoffmaster

**10. Provide an overview of the system:** Genomics replaces a “paper log book” used for tracking samples submitted for DNA sequencing to the Special Bacteriology Reference Laboratory Genomics Unit. The Web GUI runs on a PC with an attached Label printer for printing labels to identify which division submitted samples to the lab. Application access is restricted to NCEZID personnel and to approved customer groups in DHCPP, DFWED and DHQP. The system is operating on a Windows 2008 R2 platform with a SQL 2008 database.

Note: DNA analysis results are not stored in the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Used for tracking samples submitted for DNA sequencing to the Special Bacteriology Reference Laboratory Genomics Unit. Note: DNA analysis results are not stored in the system. No PII information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/5/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC GeoSentinel: Global Emerging Infections Sentinel Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 885

**7. System Name (Align with system Item name):** Global Emerging Infections Sentinel Network (GeoSentinel)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mark Sotir

**10. Provide an overview of the system:** GeoSentinel is a surveillance system that collects information on significant health risks and alerts on important disease risks and outbreaks. The contributors consist of more than 50 travel/tropical medicine clinics (sites) around the world. These sites in collaboration with CDC and other international organizations (channeled through these clinics) are participating in active surveillance to monitor geographic and temporal trends in morbidity among travelers and other globally mobile populations. Passive surveillance and response capabilities are also extended to a broader network of GeoSentinel Network members. Most users of the system are nurses and physicians in the different sites and are non-CDC personnel.

Since this is a surveillance system for health risk trends, there are no patient personal identifiers collected. Specific attention was paid to eliminate impact due to privacy regulations. It does not share any information with other systems. The system uses internal user-based application security. Database security includes role-based permission to system functions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No



**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Most users of the system are nurses and physicians in the different sites and are non-CDC personnel.

Since this is a surveillance system for health risk trends, there are no patient personal identifiers collected. Specific attention was paid to eliminate impact due to privacy regulations. It does not share any information with other systems.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC GID Travel and Consultancy Web Application [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 6/15/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9309-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1365

**7. System Name (Align with system Item name):** GID TRAVEL

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kim Fears

**10. Provide an overview of the system:** The GID Trav system collects data on prospective travel candidates for NCIRD/GID's international travel programs including the STOP program. The site also allows GID staff to enter their travel itineraries to support the reporting requirements of CDC's international partners

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Names of persons traveling abroad are shared with CDC's Partners – WHO, UNICEF.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information collected

includes name, DOB, phone, address, employment status, email address, foreign activities, and gender. Submission of all data is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No change policies exist.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = N/A

Risk Analysis Date = April 21, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/15/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC GIS Internet Mapping Systems (GISIMS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/15/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** ATSDR GRASP GIS Internet Mapping System (GISIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Andrew Dent

**10. Provide an overview of the system:** ATSDR GRASPS Geographical Information System (GIS) Internet Mapping System (GISIMS) is a set of web-based Knowledgebase and Content Management components drawn on a single data source (datasets from GIS) and hosted by the GIS General Support System (GSS). The Agency for Toxic Substances and Disease Registry (ATSDR) staff will be the primary users of GISIMS. GISIMS is used to deliver data content in various formats and reports based on the functionality of each component. GISIMS does not contain any Personally Identifiable Information (PII).

GISIMS is comprised of three (3) different components noted as follows:

Geospatial Research, Analysis, and Services Program (GRASP) – is a component that is a public web page that provides information regarding ATSDR's GIS role and support for CDC/ATSDR. GRASP provides GIS technologists with tools that help enable a variety of CDC efforts including disease surveillance, hazardous substance tracking, and reporting on a variety of issues including workplace injury, drinking water safety, and birth defects. GRASP provides the GIS framework, resources and technical expertise for CDC/ATSDR information systems to utilize GIS technologies. The GRASP web page falls outside the definition of a static web page by using dynamic content (.aspx).

Community Health Status Indicators (CHSI) – is a component that allows the public to dynamically view indicators for a county, select desired Indicator Group, Indicator, State, and County via a pull down menu selection then display them in a geographically marked up map. CHSI is designed to provide information for improving community health in a geospatial format from a read-only database.

FluView – is a component that allows the public to dynamically display flu rates by geography and time via a pull down menu options from a read-only database. It allows users to visualize

trend data to analyze geographical and temporal patterns of Flu intensity data. Users can create reports to export data in Excel or JPEG format.

Rabies – is a component that allows the public and Rabies Division partners to dynamically display rabies data by time and geography from data stored in a read-only database. Only Rabies Division from State and County Health departments can log-in and only Business contact information is collected, used, and stored.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. GISIMS will maintain and disseminate information regarding ATSDR's GIS role and support for CDC/ATSDR, community health status indicators information, and trend data for flu.

2. ATSDR uses GISIMS to provide information for improving community health, analyzing geographical and temporal patterns of Flu intensity data, and to enable a variety of CDC efforts including disease surveillance, hazardous substance tracking, and reporting on a variety of issues including workplace injury, drinking water safety, and birth defects.

3. N/A. GISIMS does not contain PII.

4. N/A. GISIMS does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. GISIMS does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: PII = No**

E Auth Level = Low 1

Risk Analysis Date: 10/15/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Global Cancer Atlas [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DCPC GA - Atlas

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Interactive version of The Cancer Atlas publication.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Global Help Business System (CDC GLB) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/24/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-0984-00-404-142

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Global Health Business System (CDC GLB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nick Farrell

**10. Provide an overview of the system:** The Coordinating Office for Global Health (COGH) maintains information for international travelers in databases and spreadsheets. This information supports approximately 10,000 including overseas persons working in some capacity for CDC. Travel preparers and administrators access the system to retrieve information on a traveler's status to support the international travel process for employers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Department of State for the tracking of personnel travelling on behalf of the government.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Due to the private nature of much of the information, there is a need to house the data in a secure manner and to ensure accessibility and availability (of the data) for daily operations and in cases of emergency. The new CDC Global Health Business Systems (CDC GLB) application will consolidate the existing data stores and business processes in an effort to create a streamlined approach to monitor and approve international travel and resources. In addition, the CDC GLB application will provide an interactive interface allowing persons working abroad to manage their profiles. This system collects Name, UserID, Photographic Identifiers, Personal Mailing Address and Phone Numbers, Medical Notes, Personal Email Address, Education Records, Employment Status, Foreign Activities, Employment status and Security Clearance and Passport information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The PII is mandatory and protected under FTE personnel agreements for official business travel. There will be a required acknowledgment that data will be used only within this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Technical controls – User ID, Password, Firewall, Encryption

Physical controls – Guards, Identification Badges, Key Cards, Cipher Locks

Administrative controls – Passwords expire after a set period of time, accounts are locked after asset period of inactivity. Minimum length of passwords is eight characters. Passwords must be a combination of uppercase, lowercase, and special characters. Accounts are locked after a set number of incorrect entry attempts.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 11/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Global Migration Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/13/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1382

**7. System Name (Align with system Item name):** Global Migration Database (Global Migration)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rob Murphy

**10. Provide an overview of the system:** The Global Migration project is an effort to gather air traffic data for modeling and analysis purposes. A data feed has been established with the Federal Aviation Administration's (FAA) Enhanced Traffic Management System (ETMS). DGMQ receives a daily summary of flight information pulled from the archive process supported by the ETMS system. This feed is public data and available to and used by a number of commercial air traffic websites. The unique and powerful aspect of this project for CDC is the collection of the daily data feed into one large database (dataset) for statistical and situational analysis. At this point there is no user interface, the database servers as an air traffic warehouse to be accessed by statisticians, data analysts and queried for situation driven information.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Global Migration project is an effort to gather air traffic data, from the Federal Aviation Administration (FAA), for modeling and analysis purposes. DGMQ receives a daily summary of flight information pulled from the archive process supported by the ETMS system. This feed is public data and available to and used by a number of commercial air traffic websites.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/8/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Gonococcal Isolate Surveillance Project (GISP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/23/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0090

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 347

**7. System Name (Align with system Item name):** Gonococcal Isolate Surveillance Project (GISP) Web

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Hillard Weinstock

**10. Provide an overview of the system:** The Gonococcal Isolate Surveillance Project (GISP) project was established to monitor trends in antimicrobial susceptibilities of strains of N. gonorrhoea in the United States and to establish a rational basis for the selection of gonococcal therapies. The GISP Web application was designed to shorten the reporting cycles of the data forms by allowing its users to electronically enter data into the system and forward the data automatically to CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: PII (date of birth) is voluntarily collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** There are currently no processes in place to obtain consent of an individual. The Gonococcal Isolate Surveillance Project (GISP) project was established to monitor trends in antimicrobial susceptibilities of strains of N. gonorrhoea in the United States and to establish a rational basis for the selection of gonococcal therapies. The GISP Web application was designed to shorten the reporting cycles of the data forms by allowing its users to electronically enter data into the system and forward the data automatically to CDC.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Role-based security, digital certificates, username, and password.

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = 1

Risk Analysis Date = July 10, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Good Messaging (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Good Messaging

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** This software resides on a virtual server and manages non-blackberry handheld devices to allow CDC users access to their CDCMail for Email, Contacts, and Calendaring functions while keeping the CDC information in a secure “bubble” to protect the data. This client Application bubble is managed by policy from the management server, which uses HTTPS over port 443 to access the GOOD TECHNOLOGY NOC to control the flow of data to and from the handheld devices.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC GRANITE Animal Record System (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/18/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 230

**7. System Name (Align with system Item name):** GRANITE

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ferlando Barnes

**10. Provide an overview of the system:** GRANITE incorporates laboratory animal requisitions, census management, staff- and investigator training, and accounting components. From order tracking to final animal disposition and animal usage, GRANITE provides a single software package for managing ARB's animal facility. GRANITE is an online animal facility management software package that is comprised of several modules currently being utilized in the Animal Resources Branch.

#### **Animal Orders**

This module enables ordering of research animals, resulting in improved tracking capabilities. Users are able to generate laboratory animal orders and coordinate deliveries for CDC campuses in the Atlanta area.

#### **Husbandry**

This module allows tracking of laboratory animal census and locations. Portable data terminals (PDTs) are used to manage the Mobile Census and streamline the animal facility operation. This module is also used to coordinate cage card printing and usage.

#### **Animal Records**

The Veterinary Management System (VMS) replaces the Animal Records module. This module contains electronic medical records for the laboratory animals and is used to track the clinical history of animals within research facilities. VMS allows veterinarians, technicians, investigators and other users to manage and maintain information on testing of laboratory animals and daily care of the animals. The module is also used to monitor and record veterinarian, clinical, and P.I. experimental usage of laboratory animals.

#### **Training Records**

This module allows tracking of required training courses. Reviewers also use data from this module to verify and view protocol associate training experience and qualifications.

## Accounting

This module provides a method to track the costs of operating an animal facility. It allows users to track various expenses and to generate reports using one or more factors, depending on the type of information being sought.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – GRANITE does not collect PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** a. Animal Disposition

b. Protocol descriptions

c. Animal usage per protocol

d. Animal medical records

2.) This information is captured to comply with federal regulations related to proper animal care and treatment.

3.) N/A – GRANITE does not collect PII.

4.) N/A – GRANITE does not collect PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – GRANITE does not collect PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – GRANITE does not collect PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/18/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Green and Healthy Assessment and Challenge (GHAC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Green and Healthy Assessment and Challenge (GHAC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Liz York

**10. Provide an overview of the system:** Green and Healthy Assessment and Challenge (GHAC) is a web-based assessment tool that allows CDC workforce to identify the impacts related to the significant activities of their work. Using Environmental Management System (EMS) objectives and the Executive Order 13423 as the foundation, the tool can identify and rank their impacts and create a personalized action plan for users that helps them work towards CDC's goals. The user will answer a series of questions about their practices at work in 8-10 categories. Based on their answers to the assessment, individuals will be provided with a tip sheet that provides awareness information and feasible steps for achieving greener healthier behaviors. In addition, individuals will be able to create or select a personal challenge, track progress on that challenge, and when completed, a list their accomplishment in a separate Brag Board list and/or as tagline in their email signature block. The information collected within the GHAC system will include CDC Federal Business information only, such as CDC Personnel Name, CDC mailing address, CDC email address, CDC employment status, and CDC UserID. GHAC is not subject to Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will store CDC Personnel Name, CDC mailing address, CDC email address, CDC employment status, and CDC UserID to record what Green and Healthy initiatives are completed by participants. This information is voluntary if the user chooses to participate.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Disclaimer prior to survey with the following text in all capital letters:

Participating in this survey is voluntary. By responding through your workstation and with your user network ID, CDC will match up your work location (including your building information and division) and your responses for the purpose of producing reports for CDC Management on how CDC employees in different buildings and centers are being green and healthy in the workplace. These reports will not identify survey respondents on an individual basis.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The data this system contains is secured by being internal to the data base. It is used to create reports as aggregated data, but is never reported in the reports so as to minimize exposure of this information. Databases use standard security policies to insure protection of data at the element level. All other information is pulled from system of record through queries, used to calculate reports and results are stored.

PII = No

E Auth Level = N/A

Risk Analysis Date = 12/03/2010

*PIA Approval*

**PIA Reviewer Approval:**

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Group Event Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/20/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9509-00

N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** The PII collected is exempt due to the business PII

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1287

**7. System Name (Align with system Item name):** Group Event Management System (GEMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rashad Burgess

**10. Provide an overview of the system:** The GEMS mission is to enable the Capacity Building Branch (CBB) to reduce the manual administration of training efforts that are aimed towards increasing the capacity of health departments and community based organizations to deliver HIV prevention intervention. The GEMS web-based application will be launched from the Capacity Building Assistance Portal (CBAP) bringing together CDC employees, Capacity Building Assistance (CBA) providers, directly funded Community Based Organizations (CBO) and Health Departments to a single online gateway to access CBA resources. CBAP is located at the following web site: <http://wwwdev.cdc.gov/hiv/cba/default.htm>. GEMS currently consists of two functional areas: a training calendar enabling registrants to register for events and coordinators to post and un-post events, and a profile management center enabling registrants to submit business contact information to create and modify their own profiles.

GEMS Training Calendar events are posted to GEMS by the Calendar Coordinator. Training is offered by the CBB Training and Development (T&D) team. This team provides logistics, instructors, and technical assistance. GEMS registrants can complete event registration requests, which are received by the system and placed on the course roster or waitlist according to programmed business rules. The system sends an email confirmation of enrollment to the registrant. The system will also provide analytical and transactional reporting.

A highlight of GEMS essential system functions are as follows:

- Maintain training calendar
- Register for events
- Issue completion certificates
- Submit events
- Generate reports

- Administer the system

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Name and contact information of organization employees will be shared with Capacity Building Assistance Providers who will be conducting the class for which the person is registered.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** System collects the business address of the organization the person is employed by and uses it to send course completion certificates after course completion. The system does not collect any personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** There is no personal information collected therefore no special security is needed. Data is stored in a SQL database which is accessible only via the application. Only those with admin rights in GEMS can access the information.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/19/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Guatemala IT Infrastructure (GAP Guatemala) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Guatemala IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Guatemala and provides file servers, application server, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC HAI Prevalence Survey (HAIPS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/14/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC # 1854

**7. System Name (Align with system Item name):** HAI Prevalence Survey (HAIPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marla Albitz

**10. Provide an overview of the system:** Healthcare-Associated Infections Prevalence Survey (HAIPS) is a point prevalence survey that will be administered in selected acute healthcare facilities within the 10 Emerging Infections Program (EIP) sites (CA, CO, CT, GA, MD, MN, NM, NY, OR, TN). HAIPS allows case report data to be entered into a database for analysis at the CDC. Case reports encompass Antimicrobial Use and Healthcare Associated Infections.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Preventing Healthcare

Associated Infections is a priority of the Centers for Disease Control and Prevention (CDC) and other federal agencies since it is a major public health problem. HAIPS allows case report data to be entered into a database for analysis at the CDC. Case reports encompass antimicrobial use and HAIs. This data does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: PII=No**

E-Authentication Assurance Level = Moderate

Risk Analysis Date =09/20/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC HDSP Management Information System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/25/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-0

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** DHDSP HDSP MIS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state



and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris (CTR)

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Health eCards (eCards) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1457

**7. System Name (Align with system Item name):** CDC Health eCards

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** Web site that allows the public to send electronic greeting cards / health reminders to others on health topics such as flu vaccinations, regular mammograms, checking blood pressure and cholesterol, etc. Visitors will be able to choose from a variety of graphical card designs with health messages, add a personal greeting and send an email invitation link to someone. The system will not maintain a list of email addresses associated with each card, and the cards will be deleted from the system after 90 days.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No IIF

Risk Analysis Date: February 2, 2010

E-Auth level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/13/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Health Impact Planning (HI.net) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Health Impact Planning (HI.net)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Tuten

**10. Provide an overview of the system:** Health Impact Planning (HI.net) is a web-based system designed to take a major step towards a suite of web-enabled tools for agency-wide planning, execution, and performance that contains budget, strategy, and extramural information. HI.net extends the functionality of IRIS to a Microsoft XML Web Services platform (.NET) environment and HI.net is designed to transparently provide CDC leaders, at all levels, with the basic information they need to manage complex portfolios of public health activities to achieve CDC's Health Protection Goals and implement CDC's Strategic Imperatives.

HI.net is designed to be a "full life-cycle" agency-wide budget planning and execution suite of tools. HI.net will support agency planning as far down to the project level, and as high up as the sub-budget activity/division. UFMS, CDC's accounting system of record, will eventually supply extracts to HI.net along with other systems, such as Grants Management Information System (GMIS), Integrated Contracts Expert (ICE), Managing Accounting Credit Card System (MACCS) and Information Management, Planning, Analysis, and Coordination (IMPACII).

Key strategy information includes alignment of CDC investments to goals, objectives, focus areas, and population characteristics; and allocation of these investments across basic CDC areas of work including: intervention/technical assistance, research, surveillance, education/training/exercise, and information technology/informatics. For extramural programs and procurement of other services, HI.net includes information on the sectors and organizations being engaged, and what agreements are planned or in place to work with these partners.

HI.net will also facilitate basic project management with ability to capture milestones, activities, risks and risk mitigation plans. HI.net will automate the requesting and tracking of projects to align with budget and goal level details.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A – this system is a set of tools and does not collect an PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

Risk Analysis Date: 2/9/10

E-Authentication Assurance Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Health Indicators Warehouse (HIW) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/17/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Health Indicators Warehouse (HIW)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James Craver

**10. Provide an overview of the system:** This project will develop an integrated web-based, user-friendly, relational database and query system of national, state, and local level health indicators, including health outcomes and health determinants, along with evidence-based public health or policy interventions shown to be effective in improving these health measures. Users of the database could compare their indicators to other peer groups of interest, either by geography or population characteristic (e.g., age, income, sex, race and ethnicity) as data availability permits.

Investment in this work would allow HHS and its many data stewards to more easily meet the demands of their customers who frequently request information on health indicators and health determinants at various geographic levels, or for different age or sociodemographic groups. Indicators to be included in the initial design of the database would include Robert Wood Johnson Foundation (RWJ) Mobilize Action Toward Community Health (MATCH) Project indicators used to rank counties within states, and State of the USA (SUSA) health indicators, along with selected measures or objectives from Healthy People 2010/2020 (HP) and the Community Health Status Indicators (CHSI) project. Additional indicators will be added based on user requests, as resources permit. This database will serve as a hub for the multiple data requests as well as to provide users with summaries, tables, charts, graphs, and GIS mapping of indicators.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**

**provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The system will not collect information. The system does not contain any personally identifiable information. The information that will be maintained and disseminated through the system will include aggregated pre-tabulated public use data not in individually identifiable form. These data have been collected by NCHS and other federal agencies in the course of conducting other, separate projects. The Health Indicators Warehouse does not collect, access, use, maintain, or disseminate PII.

(2) The information in the Health Indicators Warehouse is used by federal, state, and local users for the purpose of understanding better the public health issues of health outcomes and health determinants. Users of the database could compare their indicators to other peer groups of interest, either by geography or population characteristic (e.g., age, income, sex, race and ethnicity).

(3) The system does not contain any personally identifiable information.

Submission of personal information is not allowed and this is neither voluntary or mandatory; it is not possible.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**



**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII will be collected, stored, maintained, disseminated or otherwise used by the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 12/20/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Health Related Quality of Life Trend Data [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - HRQOL

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Health Related Quality of Life - Displays health-quality indicator statistics from BRFSS data.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC HealthCalc (HCALC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0161

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1553

**7. System Name (Align with system Item name):** HealthCalc

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Christie Zerbe

**10. Provide an overview of the system:** Used to track all fitness center membership and participation in Atlanta and Hyattsville fitness centers. Also used to generate fitness evaluation results in Atlanta and Hyattsville.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
While HealthCalc does not disclose most IIF, it does pull from the system to meet the needs of visits.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Name  
DOB

Photographic Identifiers

Mailing Address

Phone Numbers

Medical Notes

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.] IIF is collected and maintained in the system.**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Admin controls – all users must be approved by OHS fitness center director; users are removed when they leave CDC or no longer require access to the system. There are periodic reviews of system users and their permissions, users are assigned appropriate roles by the system administrator in consultation with fitness center staff. Technical controls – the system uses role based access controls which limits users access to data, the application resides on desktop computers whose access is limited to fitness center staff by an AD group. Physical controls – ID Badges, Key Cards and CCTV

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 24 November 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E. Walker

**Sign-off Date:** 4/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Hepatitis Experimental Primate System (HEPS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 105

**7. System Name (Align with system Item name):** Hepatitis Experimental Primate System (HEPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Chong-Gee Teo

**10. Provide an overview of the system:** HEPS is a CDC Intranet web application in the Experimental Pathology Laboratory (EPL), Division of Viral Hepatitis (DVH). HEPS helps EPL technologists and supervisors collect, manage, analyze, and report animal (mainly primate) hepatitis experimental data. The information includes when and from where the animal is received at CDC, its date of retirement, basic health information, physical and physiological measurements, clinical serologies, liver function assays, In-House PCR results, and sample storage info. Study protocols are documented in the online system. The HEPS system also interfaces with the DMS to allow orders for serological testing on an animal's serum, and the retrieval of test results.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** HEPS helps EPL technologists and supervisors collect, manage, analyze, and report animal (mainly primate) hepatitis experimental data. The information includes when and from where the animal is received at CDC, its date of retirement, basic health information, physical and physiological measurements, clinical serologies, liver function assays, In-House PCR results, and sample storage information. No PII is collected, stored, or processed. No privacy data is collected, stored, or processed.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Hepatitis Primate Information System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/13/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9122-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 105

**7. System Name (Align with system Item name):** Hepatitis Experimental Primate System (HEPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kris Krawczynski, MD, PhD

**10. Provide an overview of the system:** HEPS is a CDC Intranet web application in Experimental Pathology Laboratory (EPL), Division of Viral Hepatitis (DVH), NCHHSTP, CDC. It helps EPL technologists and supervisors to collect, manage, analyze and report animal (mainly primate) hepatitis experimental data. The information includes when and from where the animal is received at CDC, its date of retirement, basic health information, physical and physiological measurements, clinical serologies, liver function assays, In-House PCR results and sample storage info. Study protocols are documented in the online system. All the information stored in the database can be retrieved in a number of ways. The HEPS system also interfaces with the DMS to allow orders for serological testing on an animal's serum, and the retrieval of test results

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No (No sensitive info collected)

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No (No sensitive info collected)

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

NO IIF Collected.

E-Authentication Assurance Level = n/a

Risk Analysis Date = 7/6/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/5/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Hepatitis Reference Laboratory Data Management System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/11/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9521-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 106

**7. System Name (Align with system Item name):** Hepatitis Reference Laboratory Data Management System (HRL DMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dr. Saleem Kamili

**10. Provide an overview of the system:** The Data Management System (DMS) is a SQL database for the collection of raw data generated by testing clinical and epidemiological samples in the Hepatitis Reference Laboratory. All samples are entered into the system as members of a study (either clinical testing, research or epidemiology). The database uses quality control algorithms to ensure the data is sound. The raw data are transformed to yield final results. All required testing and retesting of samples is managed through the database and final reports are generated by the database. User access to the SQL database is through a graphic user interface.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Hepatitis samples 2) Analyze samples and Research 3) No PII 4) N/A No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/11/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC HHS Accenture (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1782

**7. System Name (Align with system Item name):** HHS Accenture

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** "Accenture Digital Diagnostics" compliance monitor is a Section 508 Compliance scanning and reporting software product provided by HHS to all of its OPDIVs. This product enables CDC Web developers to scan and report on their respective Web sites to ensure compliance with (both) HHS Web standards and policies as well as Federal regulations. Section 508 Compliance is a required activity of any Federally-conducted program, and refers to Section 508 of the Rehabilitation Act of 1973 (29 U.S.C. 794d), which requires Federal agencies to develop, procure, maintain, or use electronic and information technology that is accessible to Federal employees and members of the public with disabilities. Section 508 (29 U.S.C. § 794d) requires Federal agencies to provide employees and members of the public with disabilities access to electronic and information technology that is comparable to the access available to individuals without disabilities. The law applies to all Federal agencies when they develop, procure, maintain, or use electronic and information technology (EIT).

Achieving and maintaining Section 508 compliance requires project team consideration throughout the project lifecycle during IT project design, development, procurement, or implementation activities.

The HHS Tools website (<http://www.hhs.gov/web/tools/index.html>) includes contact information for the Accenture Digital Diagnostics compliance monitor that may be used to check a website's conformance for Section 508 technical standards. Tools such as Accenture can test websites the detection of broken links, spelling errors slow loading pages, and other problems that affect website usability and accessibility. It should be noted that more comprehensive and meaningful testing for accessibility requires the use of methods in addition to automated tools (which can and do give false readings), such as the use of actual use of assistive technology, e.g., JAWS and/or the inclusion of persons with disabilities during development and testing stage.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Accenture does not collect any data. It is a compliance scanning tool for Section 508.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC HIV Outpatient Study [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. **Date of this Submission:** 5/17/2011

2. **OPDIV Name:** CDC

3. **Unique Project Identifier (UPI) Number:**

4. **Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

5. **OMB Information Collection Approval Number:** N/A

6. **Other Identifying Number(s):** N/A

7. **System Name (Align with system Item name):** Discovere Registries (HOPS)

9. **System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Taraz Samandari

10. **Provide an overview of the system:** CDC Sponsored Multi-site prospective observational cohort study designed to describe and monitor trends in the demographics, symptoms, diagnoses and treatments in a population of HIV-infected outpatients in clinics across the United States; and to describe factors associated with clinical, immunologic, and virologic successes, such as prolonged survival, and emerging issues with long-term HIV infection and its treatment.

The goals of the HIV Outpatient Study (HOPS) are:

to monitor the demographics, symptoms, diagnoses and treatments related to HIV infection and attendant prolonged survival

to identify behavioral risk factors associated with the development of other medical complications associated with HIV infection

describe factors associated with clinical, immunologic, and virologic successes and emerging issues with long-term HIV infection and treatment.

13. **Indicate if the system is new or an existing one being modified:** Existing

17. **Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The HOPS is designed to enroll and follow a cohort of HIV-infected outpatient adults receiving care at HIV specialty clinics in 6 U.S. cities: Denver, Tampa, Chicago, Philadelphia, Stonybrook and Washington, DC. The HOPS involves medical record abstraction data on demographics and risk factors at baseline, and data on symptoms, diagnoses, treatments and laboratory results at baseline and at each subsequent clinic visit or intercurrent medical event at the participating sites. Data collected through chart abstraction by trained data collectors at each site are entered into an electronic data collection system. Cerner Corporation is responsible for data management, quality control, and data analyses, and provides pooled, cleaned datasets to CDC quarterly for additional analysis.

Cerner Discovere Registries is an integrated study management & electronic data capture solution for patient registries and observational studies. Cerner Discovere Registries provides functionality designed to support the execution of research registries, including data capture and management. Cerner Discovere Registries provides capabilities such as consent management and milestone monitoring in order to allow the researcher to automate the process of running a research registry. Cerner Discovere Registries supports: patient registries; disease registries; observational studies; post-market studies; and safety surveillance leveraging existing EHR infrastructure.

Sites access Cerner's Discovere via the Internet to enter data which is stored at secure Cerner-owned data center facilities. Physical access to all Cerner locations is controlled both by security guards and biometrics. Each site is only able to access its own data, and access is limited by the username and password assigned to the system user. A Cerner associate working on the HOPS project then extracts de-identified data from the data entered by each of the sites. Cerner then combines this data with data received from external "Central Readers" who create data based on the non-invasive imaging scans and Human Biological specimens that the sites send them.

No PII data is collected, stored, or processed.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes



**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No PII**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC HIV Waiver Tracking [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1417

**7. System Name (Align with system Item name):** HIV Waiver Tracking System (HIV Waiver)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Pam McSpadden

**10. Provide an overview of the system:** HIV Waiver Tracking helps organize and automate the process of tracking and confirming completed HIV Waivers for incoming aliens (and current alien residents that are changing status) that are HIV positive. The system holds the alien's identifying data and status of their waiver. It generates letters to be sent to the submitting offices, health care providers, or aliens themselves when waivers are incomplete or for follow-up. Quarantine station officers will access a limited set of the data to confirm that an incoming alien has a waiver on file. The system is web-based with SQL Server database back end.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** DGMQ staff requires access to data that will enable them identify and locate at risk individuals in a short period of time. HIV Waiver Tracking System (HIV Waiver) is a web-based system that helps organize and automate the process of tracking and confirming completed HIV waivers for incoming alien residents and current alien residents that are changing status and that are HIV positive. The system stores an alien's PII data and status of their HIV waiver.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The data is collected as part of the alien processing when a foreign national comes to live in the U.S., so all consents are given during that process conducted primarily by Immigration and Customs Enforcement (ICE). The Department of Homeland Security (DHS) and Department of State (DOS) forwards the information to CDC based on pertinent regulations and policies regarding waiver processing.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only users of the system will have access to the system which is controlled by AD. The servers are managed by ITSO and located in MTDC. PII is present. DGMQ staff requires access to data that will enable them identify and locate at risk individuals in a short period of time.

Risk Analysis Date = December 17, 2009

E- Authentication Assurance Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC IDAS Platform**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/17/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0777

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Intrusion Detection and Assessment System (IDAS) Platform

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Buchheit

**10. Provide an overview of the system:** As related to IIF, the physical access control subsystem (PACS) of the Intrusion Detection and Assessment System (IDAS) is utilized for the control of physical access to CDC controlled facilities and buildings. Each CDC campus or site is serviced by either the Atlanta local P2000 host or a dedicated local site host. Each local host controls physical access in a distributed architecture through CK720 or CK721 controllers located near the controlled door. All local site P2000 hosts connect to the CDC Enterprise P2000 host to share a common database utilizing SQL Replication in a publish/subscribe relationship to transfer Cardholder, badge, and configuration data up to the CDC Enterprise P2000 host and then out to each local P2000 host. The IDAS Platform system consists of multiple computing and functional components at multiple locations that include Atlanta, Cincinnati, Fort Collins, Morgantown, Research Triangle Park, Hyattsville, San Juan, and Anchorage.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

Routine uses of records maintained in the system include disclosure of information required for personal identify verification sufficient to allow physical access to CDC facilities and assets. Information will be presented to security guards, OSEP personnel, and electronic access control systems. Additional information regarding lawful disclosures is available at CDC Policy CDC-GA-2000-01, CDC Policy on Implementing the Privacy Act.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** IDAS categories of records may include personal identifying information such as names, last four digits of social security numbers, photographic identifiers, biometric identifiers, and employment status.

Records maintained by this system are required in connection actions required to issue either a CDC Internal PIV Credential, an HHS Smartcard PIV Credential, or CDC Cardkey. They provide the baseline of factual data required to establish the identity of an individual seeking to gain access to a CDC facility or asset. Routine uses of records maintained in the system include disclosure of information required for personal identify verification sufficient to allow physical access to CDC facilities and assets. Information will be presented to security guards, OSEP personnel, and electronic access control systems. Additional information regarding lawful disclosures is available at CDC Policy CDC-GA-2000-01, CDC Policy on Implementing the Privacy Act.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Form 1137N and 1137R Both have privacy act notices. Privacy act fact sheets are available per CDC policy CDC-GA-2000-01 CDC Policy on implementing the privacy act.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Paper records are stored in locked cabinets or in secured rooms with access limited to those personnel whose official duties require access. P2000: Access to computerized records is limited, through use of access USERIDs and password entry in accordance with the MODERATE requirements of NIST 800-53 to those whose official duties require access. Records removed from the system and issued to those

whose official duties require access shall be marked as being, "SENSITIVE BUT UNCLASSIFIED".

Risk Analysis Date = December 14, 2009

E-Authentication Assurance Level = N/A

IIF Collected = Yes

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Incident Manager [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/23/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1589

**7. System Name (Align with system Item name):** Incident Manager

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** William Scott (wms4)

**10. Provide an overview of the system:** Incident Manager is a web-based solution for tracking issues, bugs and tasks for projects. Stakeholders and team members can submit their own issues. Then, the system allows issues to be assigned to the appropriate team members with notifications being sent via email. Stakeholders and team members can check on the status of and update their own issues.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =

9/24/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/24/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC India IT Infrastructure (GAP-India) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/2/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** India IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP India and provides file servers, application server, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No IIF is collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = September 21, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Individual Learning Account [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-1015-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0018

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1446

**7. System Name (Align with system Item name):** Individual Learning Account

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Angela Cox

**10. Provide an overview of the system:** Individual Learning Account (ILA) will provide the capability to track and manage all training and financial information for all CDC employee Individual Learning Accounts (ILA's). The primary purpose of the system is to maintain training records for the individuals involved in accordance with requirements specified by OPM and ILA funds usage.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** HHS to provide training records to the HHS system for tracking all employee courses taken.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The application collects the

data required by OPM for the completion of attendees training records. The application provides an efficient means of cost distribution and tracking to aid in the budgetary process within CDC. The ILA tracks funds associated with the training record for CDC employees regarding training that was received, and when it was received. The submission of the information is voluntary. This system maintain/stores name, personal mailing address, education records, and employment status and is populated by Capital HR.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** Most of the PII contained in ILA system is obtained from other systems. Individual training records are directly input into the ILA system by the training administrator or personnel with a valid system role and permission within an access data range.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The ILA system uses Active Directory and is protected by the CDC firewall. This system is located within a building with guards at the front door and requiring a key card for entry.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 10/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Influenza Associated Pediatric Flu Surveillance System (Pediatric Flu/PedFlu) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/15/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-0007

**6. Other Identifying Number(s):** ESC# 1300

**7. System Name (Align with system Item name):** Influenza-Associated Pediatric Death Surveillance System (PedFlu)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sang Kang

**10. Provide an overview of the system:** Pedflu is an internet based reporting information system. PedFlu gathers surveillance data about pediatric deaths related to influenza. It also stores and organizes data for further investigation, and provides data for the Morbidity and Mortality Weekly Report (MMWR). The PedFlu System provides the application architecture for the acquisition, aggregation, and analysis of adverse healthcare events. Data can be entered by state health health influenza surveillance coordinator or CDC influenza surveillance staff.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Pedflu provides summary data for the Morbidity and Mortality Weekly Report (MMWR) on pediatric deaths related to influenza only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A, but a system notice can be sent if desired

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The collected information will be secured on CDC data warehouse and only certified and pre-approved public health official will have access to the data through a SDN certificate. Further, the Rules of Behavior document paper document must be completed and returned by postal mail or fax to PedFlu program administrators before a digital certificate is granted.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Influenza Sentinel Providers Surveillance Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** 0920-0004

**6. Other Identifying Number(s):** ESC# 715

**7. System Name (Align with system Item name):** Influenza Sentinel Provider Surveillance Network (ISPSN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lynnette Brammer

**10. Provide an overview of the system:** Approximately 2400 physician around the country report each week the total number of patients seen and the number of those patients with influenza-like illness by age group. Data can be entered either by the physician, the state influenza surveillance coordinator, or CDC influenza surveillance staff.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Approximately 2400



physician around the country report each week the total number of patients seen and the number of those patients with influenza-like illness by age group. Summary data only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Information Collection Request Online (ICR Online) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/14/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1842

**7. System Name (Align with system Item name):** Information Collection Request (ICR) Online

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dr. Benedict Truman

**10. Provide an overview of the system:** a web-based system to track submission of an ICR to the National Center's (NC)-OMB Coordinator, revision control, communications, and the final submission to the Office of Scientific Regulatory Services (OSRS). Access to the system would be restricted to authorized users and would be role-based. The system would allow for tracking of the packets, storing of documents within the packets and their different versions and assigning meta-data to the OMB packets for easy search and retrieval. The system would also generate electronic notifications and provided role-based accurate and up-to-date reporting. The system would be available 24/7 and that would allow for easy tracking by users and managers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Protocol request 2) Tracking research and non-research protocols 3) No 4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A (No PII Collected)

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/14/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Information for Management, Planning, Analysis, and Coordination (IMPAC II) [System]**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/12/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0025

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 93

**7. System Name (Align with system Item name):** Information for Management, Planning, Analysis, and Coordination (IMPAC II)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Terrance Perry

**10. Provide an overview of the system:** Information for Management, Planning, Analysis, and Coordination (IMPAC II) is the successor to the National Institute of Health's (NIH) original IMPAC information management system. Designed by ROW Sciences, Inc. under the supervision of the Office of Extramural Research and with input from Joint Application (JAD) groups including managers and users, IMPAC II has the flexibility to serve many purposes and to be easily upgraded. IMPAC II is integrated with the NIH electronic research organization (eRA) Commons to ensure a smooth, secure, two-way flow of information between NIH and the external research community. IMPAC II is designed for the purpose of managing new and continuing research grants with cooperative agreements for research and non-research activities. IMPAC II does contain Personable Identifiable Information (PII), such as names, business address with option of home address, phone numbers, business UserID, business and/or personal email addresses. The purpose of the PII is to obtain data for grant award recipients to be used for grant management.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PGO – The Grants Management Office and they need access to the data to review Grant Awards.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** IMPAC II does contain Personable Identifiable Information (PII), such as names, business address with option of home address, phone numbers, business UserID, business and/or personal email addresses. This information is collected to manage grant applications. This information is mandatory because without it, the PGO can not track grants.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is secured using ITSO guidelines. UserID are used to restrict access. It is secured in a building with guards at the doors and proper fire/water damage controls.

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 7/22/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/13/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Information on Migrant Population (IMP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0103

**5. OMB Information Collection Approval Number:** 1405-0113

**6. Other Identifying Number(s):** ESC# 1220

**7. System Name (Align with system Item name):** Information on Migrant Population (IMP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Weigong Zhou

**10. Provide an overview of the system:** Information on Migrant Population (IMP) is an internal facing application that is designed to track and follow-up on aliens who have been diagnosed with TB before entering the United States. CDC assists state health departments and overall public health through this application called Information on Migrant Population (IMP). Information on Migrant Population is used for data collection on Tuberculosis (TB). Data is sent daily/weekly/monthly to CDC's Division of Global Migration and Quarantine via United States Postal Service to create consolidated data from Quarantine stations. Immigrants and refugees entering the United States are required by law to possess certain U.S. Department of State medical screening information and documentation as part of a visa request. The purpose of IMP is to document these health conditions and provide the case to the destination state health department for further follow up and tracking. IMP is a data repository. IMP system tracks follow-up procedures for aliens who have been diagnosed with TB before entering the United States.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** State Health Department, local Health Department and clinics for further medical evaluation and treatment.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. Information on Migrant Population is used for data collection on Tuberculosis (TB). The system collects names, addresses, personal phone number and medical notes of immigrants. .

2. The Immigration and Nationality Act requires health evaluations for all immigrants and refugees seeking permanent residence in the United States-which establish specific inadmissible conditions for which migrants can be excluded from entry into the United States. Migrants found to have inadmissible conditions are required to be treated for these diseases, or to obtain a medical waiver before they could migrate to the United States The US Refugee Act, a provision of the INA, grants the US DHHS/CDC an expanded role in health evaluations for refugees entering the United States. It delegates CDC responsibility for identifying and addressing conditions of public health significance—regardless of their designation as inadmissible conditions. In addition, the act delegates CDC responsibility for monitoring the quality of the health evaluations performed overseas. Finally, through Federal Quarantine Regulations, the CDC Division of Global Migration and Quarantine has responsibility for preventing the importation of infectious diseases, through monitoring the health status of persons arriving in the U.S.

3. The information does contain PII (name, phone numbers, addresses and medical notes).

4. The information is mandatory for the immigrants to complete their visa application

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) None.

(2) Yes. PII is collected on U.S. State Department forms. The Privacy Act notice on these form state what is being collected from them.

(3) Yes. PII is collected on U.S. State Department forms. The Privacy Act notice on these forms included information on how the information will be used or shared.

Below is the Privacy Act notice on the form DS-2053. Other State Department forms in this process have similar statements.

AUTHORITIES The information is sought pursuant to Sections 212(a), 221(d), 101, and 412(b)(4) and (5) of the Immigration and Nationality Act.



**PURPOSE** The primary purpose for soliciting medical information is to determine whether an applicant is eligible to obtain a visa and alien registration. This form is designed to record the result of the medical examination required by INA 221(d), which determines whether an applicant has a medical condition that renders the applicant ineligible under INA Section 212(a).

**ROUTINE USES** The information solicited on this form may be made available to the U.S. Department of Homeland Security for disclosure to the Centers for Disease Control and Prevention and to the U.S. Public Health Service. The information provided also may be released to federal agencies for law enforcement, counter-terrorism and homeland security purposes; to Congress and courts within their sphere of jurisdiction; and to other federal agencies for certain personnel and records management matters.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The data collected is secured via the application, database, network and server control mechanisms including userID and password, and physical restrictions for access to infrastructure components.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/20/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Information Systems Development, Implementation and Database Support for HELD (ISDID HELD) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 4/18/2011

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC# 977

7. System Name (Align with system Item name): Information Systems Development, Implementation and Database Support for HELD (HELD ISDID)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Eric Knutsen

10. Provide an overview of the system: The system is the Intranet system for the division providing information about the division to internal personnel.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):  
N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IFF Collected

E-Authentication = N/A

Risk Analysis Date = April 8, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Information Systems Development, Implementation, and Database support for the HELD [System]**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9522-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1331

**7. System Name (Align with system Item name):** CDC NIOSH HELD Publication Management System (HELD iPubs)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Eric Knutsen

**10. Provide an overview of the system:** The system is a publication management system for the Health Effects Laboratory Division. It facilitates the processes associated with publications including clearance, status monitoring, and division /branch/team/individual level reporting on publication production.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Inorganic and Radiological Analytic Toxicology Data Submission [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1626

**7. System Name (Align with system Item name):** Inorganic Radiological Analytic Toxicology Data Submission (IRATDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Rollins

**10. Provide an overview of the system:** Inorganic and Radiological Analytic Toxicology Data Submission (IRATDS) is a custom web-based application designed to collect Quality Assurance (QA)/Quality Control (QC) analysis results from external laboratories for internal analysis by the Division of Laboratory Science's Inorganic and Radiological Analytical Toxicology branch (IRAT), as well as to provide a means by which those laboratories can access performance reports created from previously submitted data. During each quarterly round the IRAT laboratory provides vials of blood and urine containing quantities of specific chemical compounds (lead, for example) to participating domestic and international laboratories for sample analysis. These quality control sample vials are processed by scientists, researchers or technicians from each external laboratory who then access the IRATDS online system to report their results using an online web-based form. The result data, which consists almost entirely of numeric values contains no Personally Identifiable Information (PII), is stored in a SQL Server database for later retrieval and internal analysis by the IRAT laboratory at the end of each quarter, resulting in the performance reports.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) IRATDS collects Quality Assurance (QA)/Quality Control (QC) analysis results from external laboratories and provides a means by which those laboratories can access performance reports created from previously submitted data.

(2) IRATDS is used for internal analysis by the Division of Laboratory Science's Inorganic and Radiological Analytical Toxicology branch (IRAT).

(3) IRATDS does not contain any PII.

(4) N/A. IRATDS does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. IRATDS does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. IRATDS does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Institutional Review Board Tracking (IRB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** (FY-07): 009-20-01-02-02-9122-00-110-246

(FY-08): 009-20-01-02-02-9122-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 539

**7. System Name (Align with system Item name):** Institutional Review Board Protocol Tracking System (IRB Protocol Tracking)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Terence Chorba

**10. Provide an overview of the system:** The Institutional Review Board Tracking System (IRB) is a web-based application that performs the automation of the clearance process of protocol requests within NCHHSTP. This data is only transmitted and used by CDC personnel. This system will reside in the CDC Intranet.

IRB tracks both research (domestic/international) and non-research (domestic/international) protocol requests from the time NCHHSTP/Office of the Director (OD) Associate Director of Science (ADS) receives them from the respective Divisions until the protocols are terminated as well as the automation and electronic storage of protocol documents, clearance/approval forms and the array of associated attachments. The system also collaborates with the NCHHSTP/OD/OHS (Office of Human Subjects).

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System contains no PII

EAAL = N/A

Risk Analysis Date = 06/15/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey I Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Integrated Contracts Expert (ICE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 6/18/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-01-2017-00-405-143; (09-20-01-04-01-1020-02)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-8

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 292

**7. System Name (Align with system Item name):** Integrated Contracts Expert (ICE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Evan Willis

**10. Provide an overview of the system:** The ICE system provides to the CDC a single system for managing the full procurement cycle from procurement request to closing out a contract for all types of procurements. The ICE systems' function is to meet the following Integrated Acquisition objectives: 1) Modern, integrated acquisition automation tool and streamlined processes, 2) Reducing cycle times for all types of procurement actions, 3) Accurate, real-time acquisition related information that can be used by management to make strategic and planning decisions, 4) Ability to interface with CCR/IVPN, 5) Ability to integrate with standard government-wide standard requirements.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Internal CDC offices (financial management, material management, program office), HHS

(DCIS). This is required by the FPDS (Federal Procurement Data System) that all procurement data be reported to show the allocation of federal procurement money.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The ICE system data consists of acquisition, vendor, and financial data. Vendor data from the Central Contractor Registration (CCR) is made available to ICE via the Unified Financial Management System (UFMS) vendor file. For vendors not available from CCR or UFMS (mainly foreign vendors), vendor data is entered manually into ICE. Acquisition data is entered and processed in ICE by purchasing agents and contract specialists. ICE sends financial records to the CDC financial system (UFMS) on a nightly basis. ICE sends data about procurement requests and awarded procurement actions at the line-item level to the IRIS system on a nightly basis. ICE sends workload tracking data to the WITS system on a real-time basis. Information regarding any contractor/vendor is kept to a minimum but does include the vendor's Duns & Bradstreet D-U-N-S number (DUNS) and/or Taxpayer Identification Number (TIM) for U.S vendors. ICE uses these numbers for the recording of commitment and obligation information to the Financial Management System only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** ICE depends on the mandatory requirement for the vendor to register with CCR/IVPN. ICE obtains the vendor's profile from CCR via the UFMS vendor table. As far as consent and the opportunity for consent, that is up to CCF/IVPN; ICE assumes that has been done at that level since those responsible for that network for registration would have to have addressed the issues. ICE does, however, provide the vendor with the understanding that their information will be shared with the Financial Management system, but that too is based on the requirement for EFT/ACH transactions by Treasury.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The ICE system uses Active Directory/Windows Authentication for granting access to each user of the system. In addition, users are restricted to limited information in the system based on the role(s) assigned to them by the system administrator. Before being granted access to ICE, new users must attend ICE training, provided via CDC Corporate University in collaboration with the PGO Program

Management Office. The ICE database is maintained in a secure environment at CDC's Mid Tier Data Center (MTDC). Both the ICE system and the Mid Tier Data Center have been issued CDC security certification and accreditation.

PII yes

Risk Analysis date: 3/18/2010

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Integrated Library System (ILS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1154

**7. System Name (Align with system Item name):** CDC Integrated Library System (CDC ILS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leslyn McNabb

**10. Provide an overview of the system:** CDC ILS is the Integrated Library System for the IC and its dependent system, Checkpoint. The ILS system is called Voyager. It has one dependent system, Checkpoint, which controls material circulation and security for several libraries. The ILS manages all functions related to Acquisitions, Circulation, Cataloging, Reporting, and provides the Web Online Public Access Catalog (OPAC). This is the "backbone" or support system that supports the existence of the library.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Integrated Resources Information System (IRIS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/6/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-0117-00-402-125

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0055

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1452

**7. System Name (Align with system Item name):** Integrated Resources Information System (CDC-IRIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kim Jennings

**10. Provide an overview of the system:** CDC-IRIS is a suite of applications covering a wide range of functions to assist CDC/ATSDR management in budget, staffing, reporting, and project planning and tracking. CDC-IRIS is the major reporting tool for financial and staffing information at CDC/ATSDR. CDC-IRIS extracts information, CDC-IRIS is a one-stop shopping tool for daily financial reporting, budget planning and tracking, and salary projections. CDC – IRIS user include management at all levels, budget preparers, administrative users and FMO Budget Analysts. The new financial system UFMS will continue to provide daily downloads of needed financial, staffing and budget data. CDC-IRIS will reflect the new accounting structure and processes, but will allow historical review of data in its current format. CDC-IRIS is not a UFMS feeder system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII is disclosed to CDC, Financial Management Office Budget Analysts for the purpose of monitoring, tracking and managing of staffing funding levels for CDC.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC-IRIS will collect Name, DOB, Financial Information, Employment Status. This information is used to identify the employee requesting projects with budget and goal level details and assists tracking of those requests. The information collected is mandatory and does contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** There is a disclosure statement that is presented when the user first logs onto the system. The user must click the 'ok' button as a form of consent.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured using encryption and active directory authentication for specific users. PII can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges. Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

PII yes

E-Auth Level = N/A

Risk Analysis Date = 7/6/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 8/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Internal Control Review Portal (ICR) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 1/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC System ID: 1506

**7. System Name (Align with system Item name):** CDC Internal Control Review Portal

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** This system will implement the A-123 program and serve as a repository of documentation of program functions. It collects and displays information relating to CDC Internal Controls. The documentation of program functions relates to risk assessments, operating procedures and controls for the ongoing prudent management of government projects and funds. Examples of internal controls that are monitored and documented include: "Updated policies and procedures - should be updated at least every 5 years, or sooner in some areas," "Separation of duties - key duties should be separated among individuals" and Training - should ensure competency and knowledge of internal controls." There are no instances of personal data being entered into the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Internet Services (Internet Services) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/11/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A- System does not constitute a "System of Records" under the Privacy Act.

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Internet Services

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James D. Seligman

**10. Provide an overview of the system:** CDC Internet Services provides the following: Video servers streaming non-sensitive content for Internet/Intranet access as required, including Public Health education purposes; E-mail List-Server functionality for the CDC, affiliated agencies, and the general public as a form of information distribution; Public and Private (secure) File Transfer Protocol (FTP) Internet access; Internet caching services and content filtering for security purposes. The Internet Services system is comprised of 12 Windows-based servers running commercial-off-the-shelf (COTS) products. Several servers are deployed in pairs for functionality, redundancy and load-sharing.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

E-Authentication Assurance Level = 1

Risk Analysis Date = September 29, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 8/11/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Inteum - Infectious Diseases Technology Development (Inteum IDTD) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/11/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1815

**7. System Name (Align with system Item name):** Inteum –Infectious Disease Technology Development (IDTD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Blake-DiSpigna

**10. Provide an overview of the system:** CDC- Infectious Diseases Technology Development (IDTD) is a client server SQL database which contains data on infectious diseases and other CDC components concerning specific research and development projects. The database includes data related to the identity of the party, liability, funding, intellectual property, personnel and other appropriate provisions specific to the research and development projects. In addition, the database contains the various types of agreements that are used to formalize business relationships with the private and public sectors and records specific timelines during the agreement negotiation process. This system is a manually updated database. Only Business IIF is collected.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/19/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Inteum CS [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/14/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9324-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1679

**7. System Name (Align with system Item name):** Inteum

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cynthia Sherwood

**10. Provide an overview of the system:** Inteum is a client server SQL database which contains data on CDC's intellectual property (IP) such as scientific, industry, financial and personnel. The IP includes data containing employee invention reports (EIRs) on technology created by CDC scientist and labs, patent applications filed and issued on these EIRs and various types of license agreements to market the technology to private sector and public use sectors. The license agreements include a variety of other reimbursement types and technical services agreements, Patent License Agreements, Proprietary Technology License Agreements, Patent Pending Material Transfer Agreements, Biological Material License Agreements, and Cooperative Research and Development Agreements. This database system also contains information on royalties receipt and distribution.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = May 13, 2009

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Intranet Search [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/20/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-0610-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1605

**7. System Name (Align with system Item name):** Intranet Search

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** The Intranet Search system provides search functionality on the CDC Intranet. The Intranet Search system leverages the use of Google Search Appliances to increase the effectiveness of search on the intranet. The primary function of the application is to return search results of intranet pages and documents based on search criteria provided.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Search results of intranet pages and documents

The intranet Google Search Appliances will index the content available on CDC intranet web servers.

No PII Collected

No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/20/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Involuntary Smoking SGR Bibliography [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH GA - Involuntary Smoking SGR Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained

within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC IP Tool (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01--1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** IPTool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dave Ausefski

**10. Provide an overview of the system:** IP Tool will allow CDC ITSO users to search for an IP address and view its physical location. In addition, the authenticated and authorized users on the networking team will be able to create, assign and reclaim subnets information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC ITSO users to search for an IP address and view its physical location

N/A No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC IS Administrative Codes (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 6

**7. System Name (Align with system Item name):** CDC IS Administrative Codes

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly James

**10. Provide an overview of the system:** CDC IS Administrative Codes is a web-based application designed to control and manage all official and administrative codes for the CDC in relation to the hierarchy of moving offices at the CDC. This can include but is not limited to offices that can be moved from one place to another, subdividing an office into several different offices, or several offices being combined into one office.

CDC IS Administrative Codes assists the CDC by providing reorganization automation support for future enhancements, and by building key building blocks into the management of resources and assets. CDC IS Administrative Codes will enable the CDC to manage and track the history of each admin code that a given organization has ever used in regards to the movement of offices. This system will not contain any Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A - The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC IS Administrative Codes is a web-based application designed to control and manage all official and administrative codes for the CDC in relation to the hierarchy of moving offices at the CDC. This can include but is not limited to offices that can be moved from one place to another, subdividing an office into several different offices, or several offices being combined into one office.

CDC IS Administrative Codes assists the CDC by providing reorganization automation support for future enhancements, and by building key building blocks into the management of resources and assets. CDC IS Administrative Codes will enable the CDC to manage and track the history of each admin code that a given organization has ever used in regards to the movement of offices. This system will not contain any Personable Identifiable Information (PII) of any sort.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A - This system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ITSO Global - Malawi (GAP Malawi) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Malawi IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Malawi and provides a file servers, application servers, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ITSO Global - Zimbabwe [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Zimbabwe IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Zimbabwe and provides a file servers, application servers, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ITSO Global Infrastructure Site to Site VPN (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1104

**7. System Name (Align with system Item name):** Site to Site VPN

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** Site to Site VPN will support the CDC's IT infrastructure by providing remote access capability through VPN services to international locations

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected

E-Authentication Assurance Level = (0) N/A

Risk analysis Date = 09/04/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ITSO Questionnaire (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/16/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** ITSO Questionnaire

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Ausefski

**10. Provide an overview of the system:** The ITSO Questionnaire is an online application for tracking and analyzing responses from CDC customers to a set of unique questions. The questions presented upon the site are unique to a specific survey and will be periodically changed via Change Management. A customer can update their responses at anytime, which will replace their existing set of responses in the database. Each customer is presented a set of questions which they can respond to and provide comments for. Only one questionnaire per customer can be submitted to the system. The feedback will help the project team in their decision making process.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 6/9/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC ITSO Tools Consolidated Services (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/11/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** ITSO Tools Consolidated Services

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Ausefski

**10. Provide an overview of the system:** ITSO Tools Consolidated Services is a dynamically controlled and data driven website that is based on the user's Active Directory authentication and security groups.

The system provides a tertiary level of security by utilizing application data driven security categories and groups. Each user will have a unique set of available information provided on the homepage.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) user's Active Directory authentication and security groups

2) To provide information on the users homepage.

3) N/A No PII collected

4) N/A No PII collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/11/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Juniper VPN (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Juniper VPN

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Patrick

**10. Provide an overview of the system:** Juniper VPN will support the CDC's IT infrastructure by providing remote access capability through VPN services.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Kazakhstan IT Infrastructure (GAP-Kazakhstan) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Kazakhstan IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Kazakhstan and provides file servers, application server, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Knowledge Management Technical Infrastructure (KMTI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/3/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1604

**7. System Name (Align with system Item name):** Knowledge Management Technical Infrastructure (KMTI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robert Swain

**10. Provide an overview of the system:** The Knowledge Management Technical Infrastructure (KMTI) is a general support system (GSS) hosting applications that advance knowledge management through content management, document management, and collaboration. The KMTI GSS is able to host internal facing applications with a C&A rating of moderate or lower.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** As a GSS, KMTI provides

architecture and security controls for its supported systems. It does not transmit, process, or store data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected

EAAL = N/A

Risk Analysis Date = 03/23/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/13/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Information Management System Lite (LIMS Lite) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Laboratory Information Management System Lite (LIMS Lite)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Harper

**10. Provide an overview of the system:** Laboratory Information Management System Lite (LIMS Lite) does not collect SSN's or PII. LIMS Lite is software developed by the Epidemiology Branch, DHAP, to support the operations of CDC's International Labs. The software helps in managing the Freezers and other storages, managing specimens and vials, aliquoting, check-in and check-out of vials, and shipping specimens between labs and clinics.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** LIMS Lite does not collect, store, process, or transmit PII data. The software helps in managing the Freezers and other storages, managing specimens and vials, aliquoting, check-in and check-out of vials, and shipping specimens between labs and clinics. Version 1.1 of LIMS Lite involves addition of a new module for handling the Lab specimen requests.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF is Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 11/18/10

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Information Tracking System (LITS Plus) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/23/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0106

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 126

**7. System Name (Align with system Item name):** Laboratory Information Tracking System (LITSPPlus)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James Tolson

**10. Provide an overview of the system:** The Laboratory Information Tracking System LITSPPlus is a laboratory information management system (LIMS) that provides a mechanism to enter, edit, analyze & report laboratory specimen and test results electronically. The specimen information collected within the system parallels individual laboratory samples that come into (or are generated by) the CDC. Once the information about the specimen is entered into the system users can examine all the data about the specimen they are working with; including data from other CDC laboratories that performed tests on the specimen.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A, System does not share or disclose PII information

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) to enter, edit, analyze & report laboratory specimen and test results

2) to track product requests and fulfillment

3) The product captures employee, business and patient PII information.

4) Employee and business PII is voluntary. Patient PII is captured at the state health labs, hospitals and private laboratories and submitted to CDC. The policy for submission of PII would be the responsibility of these centers.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) Notices are sent to employees and business partners via email. Patients should be notified by the submitting agencies.

2) Employees are asked for their office location and phone number. Business partners submit name, address, phone number and email voluntarily. Patient PII is captured at the state health labs, hospitals and private laboratories and submitted to CDC. The policy for submission of PII would be the responsibility of these centers

3) Employee information is stored to communicate changes to the employee. Business information is stored to report specimen test results back to the submitter. Patient information is stored to associate a specimen with a patent. The information is not shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: The data will be secured by logical access controls. Technical controls: Access to the data is controlled by user ID and password, firewall. Internal physical controls include security guards, ID badges, and cardkeys.

IIF collected for research purposes

EAAL = N/A

Risk Analysis Date = March 10, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/24/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Outreach Communication System (LOCS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Laboratory Outreach Communication System (LOCS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan McCormick

**10. Provide an overview of the system:** The CDC Laboratory Outreach Communications System (LOCS) has been introduced as a mechanism for CDC to address existing gaps in laboratory-related communication with the broad clinical community. LOCS is intended to help enhance CDC's existing communication structures to reach various audiences. The vision for the system is to meet laboratory professionals' information needs through communication channels between CDC and professional laboratory associations.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The agency will maintain and distribute laboratory specific information, including, but not limited to: emergent issues, changes in regulations, disaster relief, standards and recommended practices. The information is maintained and distributed as a benefit to the laboratory community. The system contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Response Branch Enterprise Quality Management System (LRB EQMS) [System]**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/3/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1318

**7. System Name (Align with system Item name):** Laboratory Response Branch Enterprise Quality Management System (LRB EQMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dr. Harvey Holmes

**10. Provide an overview of the system:** Laboratory Response Branch Enterprise Quality Management System (LRB EQMS) is a web based application that will be located in the CDC MTDC behind the firewall; there will be no external access to the internet. The main function of the system is to enable DBPR to better manage their Quality Management System (QMS), provide a strong document management system, and provide management more visibility into the quality lifecycle. The overall high-level objectives for the LRB EQMS Implementation are to: provide an integrated Document Management System, perform basic Corrective and Preventive Actions (CAPA) /Complaints management as well as enhance metrics and perform basic QSR auditing functions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
LRB EQMS does not collect PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The overall high-level objectives for the LRB EQMS Implementation are to: provide an integrated Document Management System, perform basic Corrective and Preventive Actions (CAPA) /Complaints management as well as enhance metrics and perform basic QSR auditing functions. There is no PII on the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** LRB EQMS does not collect PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/3/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Response Network 2.0 (LRN 2) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0881-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 881

**7. System Name (Align with system Item name):** Laboratory Response Network (LRN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sherrie Bruce

**10. Provide an overview of the system:** The LRN web application allows users to view protocol documents, order inventory items, view communications and receive email broadcast announcements, and communicate to the LRN.

The LRN web application contains data that users of facilities participating in response related activities will find useful in prevention preparedness and response activities. Such data include: laboratory referral information for locating your next nearest neighbor during an emergency, agent protocol information that instructs users in proper protocols and procedures during emergencies and communication sections that keep the users current on preparedness and response needs. LRN also contains ordering systems that allow users to order items that will assist them in testing capacities.

LRN 2 data do not contain any personally identifying information. Access to the system is controlled by role-based security. Each user is assigned a role and their permissions within the system is based on their role.

Users access the LRN 2 system via a web-based interface using the FIPS 140-2 approved standard of Transport Layer Security (TLS) version 1.0. users input a username and password into the system in order to gain access to their data. Users are only given usernames and passwords after signing an ROB document.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – LRN 2 does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The LRN 2 web application contains data that users of facilities participating in response related activities will find useful in prevention preparedness and response activities. Such data include laboratory referral information for locating your nearest neighbor during an emergency, agent protocol information and communication sections that keep the users current on preparedness and response needs. LRN 2 also has an ordering system that allows users to order items that will assist them in testing capacities. There is no PII collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – LRN 2 does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – LRN 2 does not contain any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Response Network Results Viewer (LRN RV) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/29/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Laboratory Response Network Results Viewer (LRN RV)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Emory Meeks

**10. Provide an overview of the system:** The Laboratory Response Network Results Viewer (LRN RV) supports U.S. laboratories' efforts to quickly respond to bioterrorism (BT) events. LRN RV services CCID/NCPDCID Division of Bioterrorism Preparedness and Response (DBPR), other CDC users, and laboratory users. LRN RV receives lab results that are submitted utilizing industry standards for exchanging health data. This means that data are received in a standard format (Health Level Seven (HL7) messages), and these messages are composed using standard vocabulary sets to describe laboratory samples, tests, and results (LOINC and SNOMED). All lab results received are formatted and composed the same way for easy interpretation.

Laboratories that are members of the Laboratory Response Network (LRN) are able to use the LRN RV to view the results they submitted to CDC, as part of the LRN program. Local laboratories use the distributed LRN Results Messenger (LRN RM) client application to submit data to the LRN RV at CDC. The LRN RM is not hosted at CDC and not part of the LRN RV C&A boundary unless it is implemented at a CDC laboratory. Each laboratory hosts its own instance of the LRN RM.

LRN RV is a "closed" system in that it does not accept data from any submitting laboratory, but is limited to laboratories participating in the LRN. In order for a new laboratory to be added to the LRN RV, notification is received from the LRN coordinating office located in CCID DBPR, which manages and maintains all information regarding laboratories, laboratories' membership and LRN participation. A request is made to create an Object Identifier (OID) for the new laboratory. The OID and laboratory's name are held in the LRN RV, but no identifying attribute data on that lab is held in the LRN RV.

Laboratory results related to the BioWatch program are submitted daily to CDC via the LRN RV/RM. A subset of LRN labs conduct testing for BioWatch, which is an environmental detection program in place in large cities in the U.S. to test for the presence of certain bioterrorism agents in the environment. Since BioWatch data are related to environmental (specifically air) sampling, there is no testing on specimens collected from human beings. Therefore, no PII data are collected or stored for BioWatch samples.

LRN RV relates to BioSense only by routing daily BioWatch samples to BioSense. There is no two-way data exchange with BioSense; it is strictly one way, with data flowing from LRN RV to BioSense. BioSense presents the daily BioWatch results by giving users access to a very high-level view of these results, which does not include details on BioWatch sensor locations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
LRN RV does not share or disclose PII data with other government or non-government agencies. Records can be searched according to the LRN RM laboratory that conducted the testing and submitted the test results. Records are grouped and displayed by the name of the sending laboratory. It is not possible to search for laboratory data associated with a particular person. It is not possible at this time for users to retrieve PII by searching for data associated with a particular city or state.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PII data stored and processed includes name, DOB, SSN, mailing address and medical records numbers of public citizens and patients. Laboratories may send PII data in association with a public health response in order for laboratory data to be linked with other data sets for case identification, such as outbreak management, countermeasure and response, and so on. LRN RV is not the source system collecting the PII data and has no responsibility or control whether the data is voluntarily submitted or mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**



disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) LRN RV is not the source system collecting the PII data and has no responsibility or control in obtaining individual notification or consent, regarding system changes or data usage. LRN RV only stores and processes PII data, if laboratories send this data. None of this data is required, and laboratories have the option of sending de-identified data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** LRN RV is hosted within the Secure Data Network (SDN) and Mid-Tier Data Center (MDTC) environments, which are secured CDC facilities. Only authorized LRN users will access the site, using SDN digital certificates. No public access allowed.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/8/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Sample Tracking and Reporting System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/4/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1458

**7. System Name (Align with system Item name):** Laboratory Sample Track and Reporting System (LSTARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Rollins

**10. Provide an overview of the system:** The purpose of LSTARS is to collect sample information and track samples and report laboratory test results. LSTARS associates test results and other data with a given specimen. It electronically collects information from NCEH/DLS Laboratory Information Management Systems and reports specimen information and laboratory results to the Specimen Tracking and Results Reporting System (STARRS) during both routine and emergency public health response events.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) LSTARS electronically collects information from National Center Environmental Health (NCEH)/Division of Laboratory Sciences (DLS) Laboratory Information Management Systems and reports specimen information and laboratory results to the Specimen Tracking and Results Reporting System (STARRS) during both routine and emergency public health response events. All of the data regarding studies, specimens, and test results are stored indefinitely for future reference.

The system provides specimen descriptions, specimen test orders, and specimen test results to the following organizations:

- National Center for Environmental Health (NCEH)
- National Institute of Occupational Health and Safety (NIOSH)
- Office of the Director (OD)
- National Center for Health Statistics (NCHS)
- CDC and ATSDR Specimen Packaging, Inventory, and Repository (CASPIR)

(2) LSTARS associates test results and other data with a given specimen.

(3) The information does not contain PII, yet it does contain test results. The test results contain no direct information regarding any of the patients.

(4) N/A. The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/4/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laboratory Support for Influenza Surveillance (CLISIS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/29/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1797

**7. System Name (Align with system Item name):** CDC Laboratory Support for Influenza Surveillance

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Hoelscher

**10. Provide an overview of the system:** The web application is a communication tool for members of the domestic public health labs and global National Influenza Centers. This consists of a network of public health and military laboratories that provides laboratory diagnostics and disseminated testing capacity to support public health preparedness and response to influenza. Procedures, reagent ordering, completion of eMTAs, and laboratory capacity information are available through this secure web application.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No IIF Collected**

EAAL = 1

Risk Analysis Date = 13 May 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laptop Encryption Exceptions (LEE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/4/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Laptop Encryption Exceptions (LEE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** Laptop Encryption Exceptions is a dynamic application that provides an approval process for exempting laptops used exclusively in CDC laboratory facilities. Laptops do not store or process any PII, is most often secured to furniture or rack with appropriate cable lock to discourage theft, is dedicated to a specific piece of laboratory equipment and is not the requester's primary or only computer. The final approval process is either accepted or denied by CDC Office of the Chief Information Security Officer

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = July 10, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicai P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Laptop Loaner Tool (LLT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Laptop Loaner Tool (LLT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dave Ausefski

**10. Provide an overview of the system:** This system will allow CDC staff to request a laptop for up to 45 days for official CDC purpose (travel, temporary workstation, off-site meetings, etc.) The system will allow the following:

1. Allow customers to request a loaner laptop.
2. Route loaner laptop requests through an approval workflow process.
3. Allow CSB and Emergency Preparedness Support Team to review requests.
4. Create a SC ticket for approved loaner laptop request
5. Send expiration reminders to return the laptop

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data collected, disseminated, and/or collected pertains to network information, ADP information, and CDC user information without any distinguishing identifiable information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No IIF is collected, disseminated, or maintained in the system. No IIF is collected, disseminated, or maintained in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = January 11, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Lead Hazard Recalls (LHR) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1837

**7. System Name (Align with system Item name):** Lead Hazard Recalls (LHR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barry Brooks

**10. Provide an overview of the system:** Lead Hazard Recalls (LHR) is a web-based system designed to reproduce government agency/public domain recall and lead (Pb) hazard information in a categorical, searchable format. Data is compiled from the Consumer Product Safety Commission (CPSC) website in the lead hazard recall category. The National Center for Environmental Health (NCEH)/ Division of Emergency and Environmental Health Services (DEEHS)/ Healthy Homes Lead Poisoning Prevention Branch (HHLPPB) use LHR to disseminate public health and safety information.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. LHR does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) LHR reproduces government agency/public domain recall and lead (Pb) hazard information in a categorical, searchable format.

(2) Public health and safety information dissemination

(3) LHR does not contain any PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. LHR does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. LHR does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Legacy for Children Longitudinal Follow-Up Study (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** NO

**6. Other Identifying Number(s):** ESC# 1921

**7. System Name (Align with system Item name):** Legacy for Children™ Longitudinal Follow-up Study

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa V. John, PhD, PMP (Battelle)

**10. Provide an overview of the system:** The purpose of this system is to support the data collection activities for the CDC Legacy for Children™ Longitudinal Follow-up Study. The Legacy for Children™ study is a longitudinal study of 3rd graders recruited in two cities – Miami and Los Angeles. Data collection activities will be repeated when the child is in the 5th and 7th grades. The data collection activities include:

- In-home assessments of the child and the primary care giver
- Lab visit
- o Child is administered a standard test
- o Primary care giver is interviewed
- School visit
- o Classroom observation of child's classroom
- o School record abstraction (unless school provides later electronically)
- Teacher web survey
- Quarterly phone contacts with primary care giver
- Neighborhood Observation

Attachment A - SSN Elimination or Usage Approval Request is on file with OCISO.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This**

**question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII is disclosed to study supervisors to manage cases, to tracing staff to locate respondents, to vendors of tracing databases that are standard sources for researchers, and to the field data collectors to enable them to contact study participants and collect data from them. PII may be disclosed to the Prime contractor if necessary and to CDC per contractual terms and conditions.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. The information consists of the participants' contact information and responses to the surveys designed for the follow-up study.

2. The surveys are collecting identifying and personal health information of children and their mothers and/or primary care providers. The surveys also collect extensive information regarding the psychosocial status of the child, home and neighborhood observations, teacher assessments, parent-child interactions, and educational record information.

3. Collecting identifying and personal health information of children and their mothers and/or primary care providers.

4. Submission of personal information is voluntary and covered by Battelle and CNA Institutional Review Boards approvals.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** 1. Any changes in disclosure and/or data uses will be submitted to the Battelle and CAN IRBs and approved protocols will be followed to notify study participants.

2. Legal guardians of the children whose PII is being collected have signed informed consent forms that have been approved by the IRBs of Battelle, CNA, and CDC.

3. PII will be used to verify identity of study participants.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The Legacy for Children™ Longitudinal Follow-up Study system resides in a VLAN environment in an isolated segment of the Battelle network that has been configured for compliance with FISMA controls at the Moderate security level designation. All data in the system is stored in this environment in encrypted format. Users of the system connect via SSL. Field staff access the system using Battelle tablet PCs with whole disk encryption and authenticate to these laptops using two-factor authentication with RSA SecurID® 800 Authenticators as smart cards. No data is stored on these laptops. Battelle has System Security Plans for the Legacy for Children™ Longitudinal Follow-up Study system and for the FISMA compliant environment in which this system is housed that address the NIST SP800-53 administrative, technical, and physical controls that are applied to this system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/24/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Legislative Information Database (LID) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC 1592

**7. System Name (Align with system Item name):** Legislative Information Database (LID)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alexander (Xan) Herrington (FSS7)

**10. Provide an overview of the system:** The LID provides a means for the Budget Formulation staff to store contact information and biographical information of US congressional committee members and their staff, as well as additional CDC-related notes such as logs of member's visits to CDC, public health interests, questions for the record, recent public health legislative activity, etc.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** Federal contact information and publicly available biographical information of US congresspersons and their staff will be maintained in the database. In addition, notes relating to recent CDC visits and member's public health interests and legislative activity will be recorded. This information does not contain PII. No PII collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Lipid and Clinical Chemistry Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1614

**7. System Name (Align with system Item name):** Lipid and Clinical Chemistry Databases (LCCDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Rollins

**10. Provide an overview of the system:** The Lipid and Clinical Chemistry Databases (LCCDB) is a non-web based modular Lab Information Management System (LIMS) and Data Analysis System. LCCDB is designed to provide different functional analysis and data entry points based on role related duties. Lab data is from sources and direct import from lab equipment in the form of text files.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** (1) LCCDB is designed to provide different functional analysis and data entry points based on role related duties. Lab data are from sources and direct import from lab equipment in the form of text files.

(2) The purpose of the system is to provide surveillance for the DLS/CCB staff to provide staffing, funding and resource management. LCCDB is funded to serve the purpose of the NCEH/DLS/CCB managerial staff for decision making purposes.

(3) No. The system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Lipid Standardization Program Data Submission [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/7/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1625

**7. System Name (Align with system Item name):** CDC CCEHIP NCEH Lipid Standardization Program Data Submission (LSPDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Kimberly

**10. Provide an overview of the system:** Lipid Standardization Program, aka LSP, Data Submission (LSPDS) is a custom web-based application designed to collect Quality Assurance (QA)/Quality Control (QC) analysis results from external laboratories for internal analysis by the Division of Laboratory Science's Clinical Chemistry branch (CCB), as well as to provide a means by which those laboratories can access performance reports created from previously submitted data. During each quarterly round the LSP laboratory provides vials of serum to participating domestic and international laboratories for sample analysis to measure levels of cholesterol. These quality control sample vials are processed by scientists, researchers or technicians from each external health laboratory who then access the LSPDS online system to report their results using a web-based form. The results from the data, which consists almost entirely of numeric values and contains no Personally Identifiable Information (PII), is stored in a SQL Server database for later retrieval and internal analysis by the LSP laboratory at the end of each quarter, resulting in the performance reports.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information collected does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The information collected does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The information collected does not contain PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/12/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC ListServ (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC ListServ

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** LISTSERV is an electronic mailing list software application, consisting of a set of email addresses for a group in which the sender can send one email and it will reach a variety of people.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects email addresses in support of the ListServ functionality.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The system does not share or disclose PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The required CDC EMSSP controls are implemented for the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Lync (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Lync

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Richard Self

**10. Provide an overview of the system:** Lync Communications Server 2010 (Lync) is a software powered unified communication solution comprised of multiple server roles on WINTEL platform servers and running Microsoft Windows Server 2008 R2 operating systems. The main server roles required are Front End servers (FE) which provide for SIP (TLS-based) communications between clients and the Backend Server that provides for a real time data store for state information and is based on Microsoft SQL Server. To interconnect with legacy based TDM systems, Lync 2010 utilizes a server role known as the mediation server, which provides a secure and interoperable connection to media gateways with the appropriate physical interface and protocol types.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Mail DPM (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDCMail DPM

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** The purpose of this system is to backup the CDC Mail Exchange databases and the CDC SQL Mail databases. This allows for snapshot type backups to occur at regular intervals. The retention period is for 14 days.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Mail BES (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDCMail BES

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** The system will provide CDC employees who have Blackberry mobile devices access to CDC email and calendar information through the mobile devices. The system will replace the current BB services provided by Unisys.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Mail Exchange (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDCMail Exchange

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** The system will provide CDC employees with an Electronic mail system for CDC use. This system will replace the current HHS email system that is supported by Unisys. The Exchange 2010 system will allow CDC employees to send email to other CDC, HHS, and external email addresses.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

PII = No

E Auth Level = Moderate 2

Risk Analysis Date = 10/12/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Mail Hyper V Host (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDCMail Hyper V Host

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** This system provides for the hosting of HyperV virtual images for the CDC Mail Exchange CAS/HUB servers, CDC Mail DPM servers, CDC Mail SQL servers, and CDC Mail BES/BAS servers. The listed servers all run as virtual machines on top of the HyperV host servers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.



**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

No IIF Collected.

E-Authentication Assurance Level = (2) Moderate

Risk analysis Date = 10/12/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Mail ISA System (Mail ISA) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC Mail ISA

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** Mail ISA servers will provide the two factor authentication mechanism for any user accessing e-mail through Outlook Web Access (OWA) via integration with the CDC RSA SecurID system and CDC Active Directory system.

ISA Server 2006 is an integrated network edge security gateway that helps protect IT environments from Internet-based threats while providing users fast and secure remote access to applications and data. ISA Server 2006 provides hybrid proxy-firewall architecture, deep content inspection, granular policies, and comprehensive alerting and monitoring capabilities to manage and protect the network.

ISA Server validates the credentials against Active Directory via LDAP. It can work with Kerberos constrained Delegation if ISA Server is a domain member. It supports native LDAP Authentication in ISA Server 2006 in a form of an LDAP Authentication Webfilter. LDAPS (Secure LDAP) is being used to secure the communication with the Active Directory Server.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Mail SQL (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/10/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDCMail SQL

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** This system provides the database and database processing for the CDC Mail BES/BAS servers. The system contains configuration information for the BES application and configuration information for all Blackberry mobile accounts. Also, the DPM databases are also contained on the SQL servers.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerry L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Mailbox Size Exception Tool (MSE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Mailbox Size Exception Tool (MSE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan Shaver

**10. Provide an overview of the system:** The MSE is a web based application (tool) that will be hosted on the ITSO Tools Intranet Server. The MSE Tool allows CDC Staff to request an exception to the default 3GB mail quota provided by the CDCMail System.. The request follows an approval process from the customer's manager then to the customers TSE. Upon approval, the system will generate a ticket in Service Center and inform the customer of the increase in storage to 10GB.

The application is only available on the CDC Intranet. The website is secured using Active Directory and Groups Authentication as well as application security roles based on user categorization. Everything is presented to users dynamically by the application. Any unauthorized users will be detected and routed to an error page instead of the requested page.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Mainframe - Enterprise Extender (Mainframe EE) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Mainframe – Enterprise Extender (EE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James H. Landers

**10. Provide an overview of the system:** The CDC Mainframe provides a secured repository and platform for user's data. Numerous systems reside on the CDC Mainframe. The system does not collect, maintain or disseminate the information stored by the individual systems. Each system is responsible for those functions and the policies and procedures which they follow to perform their government function.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Data shared with National Institutes of Health to process and provide for grant applications.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDC Mainframe



provides a repository for user's data. The users of the CDC Mainframe are centers and divisions within CDC, and one user outside of CDC which is HHS Core Accounting. Each system owner is responsible for the content, collecting, maintaining, retrieving and disseminating of their own data. The purpose of the CDC Mainframe to provide a secure platform where the data can be utilized by its authorized owners and users designated by its owners.

Numerous systems reside on the CDC Mainframe. The system does not collect, maintain or disseminate the information stored by the individual systems. Each system is responsible for those functions and the policies and procedures which they follow to perform their government function. Each system determines if they will maintain information containing IIF and if submission of the data is voluntary or mandatory. The CDC Mainframe provides physical security by limiting access to the data center where the CDC mainframe is housed.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** Any notification or consent takes place within the respective system housed on the Mainframe. IIF is obtained and collected by individual systems based upon their established policies and procedures. Communication with suppliers and subjects of IIF is determined by the individual system's policies and procedures. The system owners of the systems housed on the Mainframe are responsible for preparing a PIA for the respective system. Those PIAs will address consent changes in data disclosure, etc.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative Controls: RACF - a security tool used on the Mainframe to restrict access to specific files.

Technical Controls: User ID, passwords, firewall, VPN, encryption, Smart Cards. Physical Controls: Guards, ID badges, key cards, CCTV.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Management Consultation and technical Assistance Contract Tracking [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 1510

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC System ID: 1216

**7. System Name (Align with system Item name):** Management Consultation and Technical Assistance Contract Tracking (MCTA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The Management Consultation and Technical Assistance (MCTA) Contract system tracks all task orders from pre-award to post-award. The purpose of this task-order contract is to provide CDC with a ready source of management and technical expertise in carrying out projects related to strategic planning, organization development, project management, technical assistance, pre-award assessments, and improving administrative effectiveness.

The system allows the MASO personnel who are responsible for tracking the task-order requests to enter them into the system and to update the status of the requests, including modifications and deletions, until an award has been made to a contractor and thereafter throughout the contract life cycle. The system is a management-only tool which provides reports to management on the status and the cost of these task-order requests.

Additionally, the system also provides automatic important six month reminder notices that alert MASO and PGO that contractors need to submit their (required) semi-annual reports on subcontractor hours worked during the prior six month period. The tracking system has a built-in alert that notifies PGO and MASO of the pending close-out date for the task orders. In this way, the two organizations can adequately prepare to implement contract close-out processes, to include, initiating contact with the Technical Monitors to begin the contractor performance evaluation action.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This**

question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/03/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Managing Accounting Credit Card System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-1262-00-405-143

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0024

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1262

**7. System Name (Align with system Item name):** Managing Accounting Credit Card System (MACCS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Evan Willis

**10. Provide an overview of the system:**

MACCS is a web-based software solution that automates the logging, tracking, and obligation of credit card transactions. Each business day, MACCS receives credit card transaction records from JPMorgan Chase via secure FTP. And each business day, MACCS sends obligation records to UFMS for those transactions that are matched and registered against purchases logged into MACCS by users (cardholders and approvers). Once a month, MACCS receives an invoice file from JPMorgan Chase and reconciles this monthly summary with the daily transactions from the previous month. This function is used by FMO Accounts Payable in determining if the invoice from JPMorgan Chase is accurate. The MACCS project at CDC is a shared venture between FMO, PGO, and MISO.

It helps to support the GSA SmartPay VISA Purchase Card program that is administered at CDC by the Procurement & Grants Office (PGO).

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** MACCS discloses the names of CDC VISA credit card holders and their CDC VISA credit card numbers. In addition, MACCS discloses the names of merchants for CDC VISA transactions, and in the case of VISA check transactions, the merchants' addresses and their taxpayer identification numbers. This is available to only authorized personnel within CDC for the purpose of financial management of federal credit card purchases. Card numbers are masked (except for the last 5 digits) to all users except administrators.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Card user name and credit card number; approving official name and approving official account number; VISA merchant name, address, and TIN. The purpose of collecting this information is for the financial management of federal credit card purchases. Submission of this information is mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No data uses have changed since the notice at the time of the original collection.

Any changes to the system would be driven by the needs of the GSA SmartPay VISA Purchase Card program administered at CDC by PGO. The program administrator at CDC/PGO notifies all program participants and MACCS users of program changes, and their related impact to MACCS.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Network and security controls for the web servers and databases are in place as well as network security monitoring and security audits. The system is only available on the intranet, mitigating the exposure outside the firewall. Access

to the system and to specific information is controlled using Windows Integrated Authentication so users have to have a valid and active network profile before they are allowed system access.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC MASO Reorganizations Database (REORG) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 603

**7. System Name (Align with system Item name):** MASO Reorganizations Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The Organizations and Functions system accesses the Reorganization Database for all the data relating to reorganization proposals. It tracks the status of reorganization proposals. It provides search capabilities and data can be viewed by all of CDC. MASO maintains the data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

EMPLOYEE ORGANIZATIONS does not collect or share IIF.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/04/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MASO Signature Log [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/13/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1526

**7. System Name (Align with system Item name):** Signature Log

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson

**10. Provide an overview of the system:** Signature Log keeps track of all documents signed by the MASO director, as well as those documents presented to but not signed by the MASO director. The system also stores downloadable copies of the signed documents.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect information. It keeps track of all documents signed by the MASO director.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF

Risk Analysis Date: 5/7/2008

E-Auth level= NA

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/12/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MC2 Interactive Cost Estimating System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/30/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1754

**7. System Name (Align with system Item name):** MC2 Interactive Cost Estimating System (MC2 ICE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Judy F. Asher

**10. Provide an overview of the system:** ICE is used for cost estimating of facility and infrastructure construction projects. The software is a complete facility construction and renovation cost estimate program with various types of knowledge data bases. Information is input regarding building type, building construction, room sizes, room heights along with the type of flooring, wall or ceiling material, wall construction, lighting types, etc. to be used and the cost is automatically calculated for materials and installation along with overhead and profit. Modifications can be made during the process to refine the estimates. All costs for labor and material is based on what area of the country the project is located. The use of the various knowledge bases provided helps avoid errors and omissions that are commonly associated with traditional cost estimating procedures, particularly during planning and early design phases.

This system will be used to help in the budgeting process for capital projects, when doing renovations or smaller projects within CDC by BFO staff members in doing Capital Project Budgets, FPAA's, and smaller projects. The costs are automatically entered using the various knowledge bases provided, historical data, or the Means database provided.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII.

No IIF is collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = September 22, 2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles, OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 11/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Medgate GX (N/A) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0013

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Medgate GX

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Christie Zerbe

**10. Provide an overview of the system:** The purchase and use of an electronic medical records software system (Medgate GX) by OSHE for use by the clinic will aid in accomplishing the following: 1) increase reliability of data through daily systems back-ups, and enhanced diagnostic ability, 2) provide greater confidentiality and security of records, 3) increase efficiency of all staff members, 4) provide readily available deployment records, 5) improve compliance tracking, 6) reduce record error and omissions, and 7) provide effortless report generation.

Data repository for occupational health, safety and disability management.

Legislation - Executive Order 12196, 29 CFR 1960, SHARE Initiative (Safety Health And Return to Employment), from the President through the Department of Labor.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** While Medgate does not store or disclose most IIF, it does pull information from the Mainframe based on User ID to meet the needs of the clinical visit (e.g. eligibility of services, case history etc.).

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information collected:

1 DOB, User ID

2 We Collect User ID and view any key information needed to complete the clinical visit (i.e. eligibility, case history etc.) We collect/store date of birth as it is not collected for contractors and it is needed for clinical purposes.

3 IIF is being collected.

4 Personal information is voluntary but is required for medical appointments.

Patients Medical Information is voluntary and not required to schedule an appointment in the clinic but is mandatory to provide the service.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This information collection is inherent for a clinical visit and is explained by nature during the clinical visit.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** User Id, Passwords (with expiration), Firewall, Guards, ID badges, Key Cards and CCTV

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 01/30/2012

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**



**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Media Campaign Resource Center [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/25/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH MCRC

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris (CTR)

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Media Relations Inquiry Tracking (MRIT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/5/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-10-0004

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1408

**7. System Name (Align with system Item name):** Media Relations Inquiry Tracking

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Glen Nowak

**10. Provide an overview of the system:** The Division of Media Relations (DMR) provides reporters, news producers, and others working on news programs or stories with public health and CDC-specific information, materials, and resources. The Media Inquiry System provides an electronic method for DMR to log and track media inquiries, direct inquiries to subject matter experts, and record resolutions to inquiries. By centralizing these tasks, the Media Inquiry System simplifies the office process, provides a single point of access for all media inquiries, and streamlines the DMR workflow. The Media Relations Inquiry Tracking facilitates the resolution of inquiries by automatically directing inquiries to the appropriate press officer and giving management, press officers, and press assistants a single interface in which to view the status of all inquiries to the office. It also provides reporting capabilities that DMR uses to quantify and produce metrics for CDC and HHS management.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** IIF is occasionally shared with subject matter experts (SME's) in the centers whose input is required to respond to media inquiries in the system. Official responses to special inquiries are forwarded to the HHS Office of enterprise communication for clearance.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Media Relations Inquiry Tracking facilitates the resolution of inquiries by automatically directing inquiries to the appropriate press officer and giving management, press officers, and press assistants a single interface in which to view the status of all inquiries to the office. It also provides reporting capabilities that the Division of Media Relations uses to quantify and produce metrics for CDC and HHS management. The information distributed to the SME's within the CDC contains IIF. Submission of the IIF is voluntary and not mandatory. The IIF is collected so that the Division of Media Relations can correspond with the appropriate requester.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** CDC receives multiples calls on a daily basis from media outlet inquiring about different subjects. When the Media Relation office representatives receive those calls, they ask the caller to provide them with personal information such as their names, affiliation, telephone number and e-mail address. They notify the caller that their personal information will be used to contact them and may be sent to CDC personnel who will deal with their questions. This information is mandatory because the CDC will have no way to communicate back with the inquiry from that specific user.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: Records are maintained according with CDC's record control schedule and record control policy. The IIF is secured using the CDC/IS Active Directory authentication process and role-based application control.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges, and key card restrictions.

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 10/16/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles, OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 11/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Media Tracking System (MTS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/10/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Media Tracking System (MTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Eva Margolies

**10. Provide an overview of the system:** The Media Tracking System (MTS) is an internal database that keeps track of all incoming requests we receive from the news media. MTS is only used by members of the NCHHSTP Media Team.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Tracks media request, no PII, on used by NCHHSTP media personnel.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Medical Record Abstraction 3.0 (MRA 3.0) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/9/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-0740

**6. Other Identifying Number(s):** ESC# 1476

**7. System Name (Align with system Item name):** Medical Record Abstraction 3.0 (MRA 3.0)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dawn Gnesda

**10. Provide an overview of the system:** The Medical Monitoring Project (MMP) serves to collect nationally representative, population-based surveillance data on clinical outcomes, behaviors and the quality of HIV care in the United States. A two-part data collection approach is implemented. First, a Patient Interview Questionnaire will capture information through interviews of sampled HIV-infected patients on the current levels of behaviors that may facilitate HIV transmission: patients' access to, use of, and barriers to HIV-related secondary prevention services; utilization of HIV-related medical services; and adherence to drug regimens. Secondly, Medical Record Abstractions will capture information on clinical conditions that occur in HIV-infected persons as a result of their disease or the medications they take as well as the HIV care and support services being received by these patients and the quality of these services. Ultimately, this surveillance project led by the Centers for Disease Control and Prevention (CDC) will produce data annually about met and unmet needs for HIV care and prevention services which can be used to evaluate these services and to direct future resources for HIV-infected patients.

Twenty six program areas will participate in this data collection effort. Programs will utilize interviewers and abstractors to collect and codify information defined for the Patient Interviews and Medical Record Abstractions. An electronic system has been developed for the Patient Interview data collection and paper forms will be utilized for the Medical Record Abstraction until an electronic system has been created and tested.

Medical Record Abstraction would permit program area staff to enter medical records directly into laptops, export records into standardized flat files and transmit encrypted results through the Secure Data Network (SDN) to CDC. Only select CDC program individuals are authorized access to this data here at the CDC and none of it contains any personal identifiable information.

Medical Record Abstraction software would be mailed via CD-Rom to each designated program area at a specified time and date and installed on each designated laptop to be used by program area staff. The scope of this release will also include the development of a Medical Record Extraction feature outputting files to the SDN to transport data to the Data Management Tracking organization.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF data will be shared with CDC.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 10/22/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/14/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Medicare Provider and Analysis Review (MedPAR) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Medicare Provider and Analysis Review (MedPAR) File

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John Jernigan

**10. Provide an overview of the system:** The Division of Healthcare Quality Promotion, National Center for Emerging and Zoonotic Diseases, Centers for Disease Control and Prevention (CDC/NCEZID/DHQP) has entered into an interagency agreement ( IA 11-35) with the Office of Research, Development, and Information ; Centers for Medicare and Medicaid Services (CMS/ORDI) to obtain research identifiable data files of hospitalized Medicare patients that are protected under The Privacy Act of 1974, 5 U.S.C. § 552a, Public Law No. 93-579, (Dec. 31, 1974). The CMS data will only be used for the purposes of conducting an evaluation of the disease burden associated with healthcare-associated infections (HAIs) and their cost to the healthcare system and the federal government. The study protocol (#5976) has been granted exemption status by CDC's Institutional Review Board.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Division of Healthcare Quality Promotion, National Center for Emerging and Zoonotic Diseases, Centers for Disease Control and Prevention (CDC/NCEZID/DHQP) has entered into an interagency agreement (IA 11-35) with the Office of Research, Development, and Information; Centers for Medicare and Medicaid Services (CMS/ORDI) to obtain research identifiable data files of hospitalized Medicare patients that are protected under The Privacy Act of 1974, 5 U.S.C. § 552a, Public Law No. 93-579, (Dec. 31, 1974). The CMS data will only be used for the purposes of conducting an evaluation of the disease burden associated with healthcare-associated infections (HAIs) and their cost to the healthcare system and the federal government. The study protocol (#5976) has been granted exemption status by CDC's Institutional Review Board.

The CMS data will only be used for the purposes of conducting an evaluation of current disease burden and the costs associated with HAIs in the Medicare population. Users of the data will have "read access" only and will not be able to alter the data. Along with the CMS data, HAI surveillance data from CDC's National Healthcare Safety Network, along with additional (publicly available) hospital discharge data from the State Inpatient Database (from the Healthcare Cost and Utilization Project) and the CMS Hospital Cost Reports, will be placed in the system so they can be linked to the Medicare beneficiary data in order to evaluate the impact of HAIs on the hospitalized Medicare population.

The only user organization will be DHQP.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) The Medicare Provider and Analysis Review File (MEDPAR) contains inpatient hospital and skilled nursing facility (SNF) final action stay records of Medicare beneficiaries that institutions is collected by the Centers for Medicare and Medicaid Services (CMS) for document reimbursements to these institutions under Medicare Part A. The System Of Record for this data that has been approved by CMS is 09-70-0514. The Denominator file and the Beneficiary Annual Summary File (BASf) contain demographic and enrollment information that CMS collects about each beneficiary enrolled in Medicare during a calendar year. The System

Of Record for this data that has been approved by CMS is 09-70-0573. As CMS is the agency responsible for collecting these data, responsibility for any notification and consent lies with CMS in accordance with their privacy policy (see attached document). CDC/DHQP has obtained access for to this data for research/evaluation purposes only under conditions stipulated

by the Data Use Agreement and the Interagency Agreement number IA 11-35 with CMS. The datasets provided to CDC have very few personal identifying variables. There is no social security number, name or street address contained in any of the datasets. The key identifying variable is a beneficiary identification number that has been assigned to only the records in the datasets. CDC/DHQP will not use the data to make contact with any beneficiary under any circumstances nor will it be involved in any changes to CMS's data collection system.

Statistical, aggregate or summarized information created as a result of analysis conducted using identifiable CMS data obtained under CMS-approved projects/studies may only be disclosed if the data are not individual-specific and the data are aggregated to a level where no data cells contain 10 or fewer individuals. CMS reviews all reports, manuscripts, files, etc. to be re-released. This review pertains to all forms of publication, including information to be posted to the Internet. This review ensures that the reports, manuscripts, files, websites, etc. contain no data elements or combinations of data elements that by themselves or in addition to other data files and/or sources, could allow for the deduction of the identity of an individual and that the level of cell size aggregation meets the stated requirement. Only after such review has occurred and CMS has provided written approval for the re-release of the reformatted CMS information (includes e-mail correspondence) is the data requestor legally authorized to re-release the data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The database will be managed by ITSO, within the CDC firewall. ITSO SQL Support assigns security levels governed by HHS Security Policy as outlined in NIST SP 800-14.

When assigning rights to a database ITSO assign the two indicated administrators to the database and then assign them to the DB\_DDLADMIN and DB\_SECURITYADMIN roles. This conforms to HHS Security Policy as outlined in NIST SP 800-14 to assign minimal security rights required to perform job function.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Men having Sex with Men Testing Initiative (MTI) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/12/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Men having Sex with Men Testing Initiative (MTI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dawn Gnesda

**10. Provide an overview of the system:** The purpose of the MTI Home Based Testing Initiative is to collect HIV testing information from men who have sex with men (MSM) who seek at-home testing services. The system will collect information that is routinely collected by CDC and its local partners during HIV testing activities when using the OMB approved HIV Test Form - CDC 50.135c (E). The information will be used to evaluate the program and determine if at-home testing services are an effective way to increase HIV testing among this vulnerable population.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) SAS datasets

2) Program evaluation purposes

3) No PII is collected

4) No PII is collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/12/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Metropolitan Atlanta Developmental Disabilities Surveillance Program [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/22/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0138-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 138

**7. System Name (Align with system Item name):** Metropolitan Atlanta Developmental Disabilities Surveillance Program (MADDSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Andrew R. Autry, PhD

**10. Provide an overview of the system:** The Metropolitan Atlanta Developmental Disabilities Surveillance Program (MADDSP) is an ongoing, multiple source ascertainment surveillance system that has been functioning since its inception in 1991. It is the model surveillance system by which states and localities collect surveillance data for developmental disabilities. The purposes of the MADDSP were to develop surveillance case definitions for five developmental disabilities (mental retardation, cerebral palsy, visual impairment, hearing impairment, and epilepsy) and provide prevalence estimates for the five disabilities in the metropolitan Atlanta area (counties of Clayton, Cobb, DeKalb, Fulton, and Gwinnett).

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This is public health surveillance, not research. The participants do not know that their private data are being collected. The IIF allows for linkages of the abstracted data with birth and death certificates. It is also used to de duplicate the database.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The participants do not know that their private data are being collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This is public health surveillance, not research. The participants do not know that their private data are being collected.

IIF is Collected by system

E-Authentication Assurance Level = N/A

Risk Analysis Date = 12/09/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/27/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC MicrobeNet (MicroNet) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 3/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1789

**7. System Name (Align with system Item name):** MicrobeNet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendy Wise

**10. Provide an overview of the system:** The MicrobeNet web application allows users to search for and compare known genetic data against user supplied genetic data.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/24/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Service-Internal-Procurement and Grants Office (MOSS-I-PGO) [SYSTEM]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 2/7/2012

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Microsoft Office SharePoint Service-Internal-Procurement and Grants Office (Moss-I-PGO)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Jamie Legier

10. Provide an overview of the system: MOSS-I-PGO is a web-based system that will be used by the Procurement and Grants Office and its offices, divisions, and branches to ensure the proper management and record retention of files, to create backups through versioning, and to offer collaboration, workflows, and project and document management.

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):  
N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services – External – Center for Global Health (MOSS-E-CGH) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 8/1/2012

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC 2147

7. System Name (Align with system Item name): Microsoft Office SharePoint Services – External – Center for Global Health (MOSS-E-CGH)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Terry Boyd

10. Provide an overview of the system: This is CGH's external-facing site collection for collaborating on mission initiatives with global partners. This system will centralize various program materials into a single CDC-hosted managed collection allowing CGH to better communicate, utilize resources, and protect program information. Information on this site will not exceed a 'Low' sensitivity rating.

MOSS-E-CGH will be used to:

Allow authorized persons to share documents, communications, and guidelines with international assignees and partners

Provide space for each country to share country-specific documents and other communications with staff and partners

Provide space for programs working across countries to collaborate

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass



**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The information maintained on this site concerns CGH programs, program overviews, presentations, and other communications material intended for partners and approved for hosting outside the firewall.

2) MOSS-E-CGH will be used to:

- Allow authorized persons to share documents, communications, and guidelines with international assignees and partners
- Provide space for each country to share country-specific documents and other communications with staff and partners
- Provide space for programs working across countries to collaborate

3) The information does not contain PII

4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** 1) N/A – No PII in the system

2) N/A – No PII in the system

3) N/A – No PII in the system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII in the system

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services – External – NCEH/ATSDR Site Collection (MOSS-E-NCEH) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC # 2066

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services – External – NCEH/ATSDR Site Collection (MOSS-E-NCEH )

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jeff McCarthy

**10. Provide an overview of the system:** MOSS-E-NCEH is a web-based system that will be used by the National Center For Environmental Health/ Agency for Toxic Substances and Disease Registry (NCEH/ATSDR) and its offices, divisions, and branches to ensure the proper management and record keeping of files, to create backups through versioning of files, to reduce the number of manual communications, to serve as part of the NCEH/ATSDR Extranet, and to share news and information with partners and staff through a common forum. These activities ensure that NCEH/ATSDR operations are better streamlined and positioned to offer aid to partners in support of the CDC mission.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - External - NCIPC SharePoint Collection (MOSS-E-NCIPC) [SYSTEM]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2028

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services - External - NCIPC SharePoint Collection (MOSS-E-NCIPC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darryl Owens

**10. Provide an overview of the system:** MOSS-E-NCIPC is a web-based system that will be used by the National Center for Injury Prevention and Control (NCIPC) and its offices, divisions, and branches to ensure the proper management and record keeping of files, to create backups through versioning of files, to reduce the number of manual communications, to serve as part of the NCIPC Extranet, and to share news and information with partners and staff through a common forum. These activities ensure that NCIPC operations are better streamlined and positioned to offer aid to partners in support of the CDC mission.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. MOSS-E-NCIPC does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) MOSS-E-NCIPC will collect and maintain non-sensitive information and news in files, wikis and blogs.

(2) The National Center for Injury Prevention and Control (NCIPC) and its offices, divisions, and branches will use MOSS-E-NCIPC to ensure the proper management and record keeping of files, to create backups through versioning of files, to reduce the number of manual communications, to serve as part of the NCIPC Extranet, and to share news and information with partners and staff through a common forum

(3) MOSS-E-NCIPC does not contain PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. MOSS-E-NCIPC does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. MOSS-E-NCIPC does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services – External – SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-E-SPOCK) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/23/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services – External – SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-E-SPOCK)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Yolandita Jackson-James

**10. Provide an overview of the system:** This system will help all of OSELS improve organizational effectiveness by enhancing collaboration, strengthening knowledge management access and control, accelerating business processes, and facilitating strategic decision-making.

MOSS-E-SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-E-SPOCK) supports this purpose by providing users a single, integrated location offering employees the following services:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MOSS-E-SPOCK is primarily used as a document library and collaboration tool. Information to be contained in this system will represent no more than federal contact data. The document types that will be stored are as follows:

Non-sensitive general business procedures, guidance materials, and presentation files

Partner meeting agendas and minutes

Partner education and orientation manuals

Business document templates

Medical article and periodical compilations

The information to be contained in the system will be used to facilitate partner project organizational coordination and improve partner project/program management effectiveness.

The information to be contained in the system does not contain PII.

The system warns against and prohibits submission of personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The system warns against and prohibits submission of personal information.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**



**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system does not contain PII. The system implements appropriate controls from NIST SP 800-53 to ensure the security of any and all information in the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/23/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - External-NCEZID (MOSS-E-NCEZID) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services-External-NCEZID (MOSS-External-NCEZID)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ted Pestorius

**10. Provide an overview of the system:** The primary function of this system is to provide CDC staff a means to collaborate with external partners. The external sites are isolated from internal resources. Another primary function of the external SharePoint site is to replace SiteScape.

MOSS –External-NCEZID supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1)documentation 2) Storage 3) N/A 4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - Internal - OSTLTS SharePoint Collection (MOSS-I-OSTLTS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** NA

**5. OMB Information Collection Approval Number:** NA

**6. Other Identifying Number(s):** NA

**7. System Name (Align with system Item name):** MOSS-I-OSTLTS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Karen Resha

**10. Provide an overview of the system:** MOSS-E-OSTLTS is a web-based system that will be used by the Office for State, Tribal, Local and Territorial Support (OSTLTS), its offices, divisions, branches, and teams to communicate and collaborate with our partners including, but not limited to:

State, tribal, local, and territorial public health department and agency staff (STLTs)

Non-governmental organizational partners such as NACCHO, ASTHO, NALBOH, NPHIC, etc.

Grantees

CDC field staff

College and university faculty and staff

Other Federal agencies

All of the activities housed within this system will allow OSTLTS operations to become more streamlined and positioned to offer aid to our partners in support of the CDC mission.

MOSS-E-OSTLTS supports this purpose by providing users a single, integrated location offering employees the following:

Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - Internal-NCEZID (MOSS-I-NCEZID) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/27/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1874

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services-Internal-NCEZID (MOSS-I-NCEZID)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Frederick (Ted) Pectorius

**10. Provide an overview of the system:** MOSS-I-NCEZID supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**



other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/28/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - Internal-NCHHSTP (MOSS-I-NCHHSTP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/18/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1900

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services-Internal-NCHHSTP (MOSS-I-NCHHSTP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Michael Melneck

**10. Provide an overview of the system:** MOSS-I-NCHHSTP supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**

other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):  
N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):  
Yes

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/24/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services - Internal-NCHS (MOSS-I-NCHS SSC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/19/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** MOSS-I-NCHS Sharepoint Site Collection (NCHSSSC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fonda Kornegay

**10. Provide an overview of the system:** This system provides the infrastructure and service for the CDC implantation of Microsoft SharePoint services. This system provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

- Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

- Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

- Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

- Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

This system supports the SDLC process of Initiation, Development/Acquisition, Implementation, Operations/Maintenance, Disposal of Site Collections and Sites for the C/I/Os of the CDC List user organizations (internal/external) and type of data processing provided.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**

**provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NCHSSSC contains survey data.

(2) NCHSSSC provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

(3) NCHSSSC contains no PII.

(4) N/A. NCHSSSC contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** (1) N/A. NCHSSSC contains no PII.

(2) N/A. NCHSSSC contains no PII.

(3) N/A. NCHSSSC contains no PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Information will be secured using Active Directory access control lists

PII = No

Risk Analysis Date: 09/24/2010

E-Auth Level = NA

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Maden

**Sign-off Date:** 11/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services-External-NCHHSTP (MOSS-X-NCHHSTP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services-External-NCHHSTP (MOSS-X-NCHHSTP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug Correll

**10. Provide an overview of the system:** MOSS-X-NCHHSTP is a system that provides a single, integrated location where CDC programs and public health partners can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decision:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make better informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) documentation 2) Storage 3) N/A 4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/9/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services-Internal-NCIPC SharePoint Collection (MOSS-I-NCIPC) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services - Internal - NCIPC SharePoint Collection  
(MOSS-I-NCIPC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Karen Resha

**10. Provide an overview of the system:** MOSS-I-NCIPC is a SharePoint instance that will be used by the National Center for Injury Prevention and Control (NCIPC) and its offices, divisions, and branches to ensure the proper management and record keeping of files, to create backups through versioning of files, to reduce the number of manual communications, to serve as a collaboration tool, and to share news and information with staff through a common forum. These activities ensure that NCIPC operations are better streamlined and positioned to offer aid to partners, state, and local agencies in support of the CDC mission.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A. The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A. The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Microsoft Office SharePoint Services-Internal-SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-I-SPOCK) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1848

**7. System Name (Align with system Item name):** Microsoft Office SharePoint Services – Internal – SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-I-SPOCK)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Yolandita Jackson-James

**10. Provide an overview of the system:** This system will help all of OSELS improve organizational effectiveness by enhancing collaboration, strengthening knowledge management access and control, accelerating business processes, and facilitating strategic decision-making.

MOSS-I-SharePoint Portal for OSELS Collaboration and Knowledgebase (MOSS-I-SPOCK) supports this purpose by providing users a single, integrated location offering employees the following services:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** MOSS-I-SPOCK stores documents that contain PII. It does not share information. Access is controlled by authorized personnel using Active Directory.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MOSS-I-SPOCK is primarily used as a document library and collaboration tool. The documents that will be stored are:

C&A documents

Vulnerability scans

Business procedures, guidance materials, and presentation files

Meeting agendas and minutes

Internal informatics governance and budget plans

Change management process materials

Business continuity documents

Staff education and orientation manuals

Business document templates

Medical article and periodical compilations

Resumes and application forms

The information to be contained in the system will be used to facilitate organizational coordination and improve project/program management effectiveness.

The information to be contained in the system does contain PII.

MOSS-I-SPOCK is only a repository to store documents. Each Program that applies for a SharePoint site is responsible for notifying individuals about the PII they collect.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) MOSS-I-SPOCK is only a repository to store documents. Each Program that applies for a SharePoint site is responsible for notifying individuals about the PII they collect.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** MOSS-I-SPOCK has been authorized to operate and undergoes annual requirements that meet the Federal guidelines. The infrastructure has also been authorized to operate by the CDC Information Technology Services Office and meets the security controls outlined in the NIST 800-53 Rev 3. The servers are in a locked room that is only accessible by authorized personnel. Ingress and egress controls exist to log access to the room.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Mid-Tier Data Center (MTDC) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** Mid-Tier Data Center (MTDC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Steve Warren

**10. Provide an overview of the system:** MTDC provides hosting and operations functions for CDC mission critical system. MTDC systems include server and system monitoring, backup/recovery, failover, and disaster recovery applications layered on top of hosted systems.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Mobile Framework Services (MFS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Mobile Framework Services (MFS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cass Pallansch

**10. Provide an overview of the system:** CDC Mobile Framework Services (MFS) is a system that is comprised to two major components that are used in support of CDC mobile applications – a Content Transformation Engine and an Content Update Service. The Content Transformation Engine is responsible for taking publically available CDC content and transforming it into a format that is suitable for use by CDC mobile applications. The Content Update Service is responsible for responding to requests from CDC mobile applications to determine if any of the CDC content provided in the mobile application has been updated on the CDC web site and, if so, provide a link back to the Content Update Service to send the content back to the mobile application. The Content Update Service is the means by which content updates get communicated to/from the mobile applications.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC mobile.cdc.gov [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9321-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1591

**7. System Name (Align with system Item name):** mobile.cdc.gov

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Mullins

**10. Provide an overview of the system:** Mobile.cdc.gov is a Dot Net 2.0 application that refocuses publically available content for use and access on mobile devices. This application is hosted on the worldwide wireless network (WWWN) and converts existing CDC.Gov pages, via a WAP protocol, upon users entering the URL from a mobile browser. There is no active authentication protocol in place as all media and informational content is updated via CDC.Gov.

Mobile.cdc.gov has implemented a text messaging signup project that will allow mobile users, who access the Mobile.cdc.gov site, to optionally subscribe to a service where they can receive public health related information via text messaging. This information will be a subset of the already publicly accessible information that is found on the Mobile.cdc.gov site. Mobile.cdc.gov has added a "Text Messaging and Privacy" statement to notify users, who opt to signup for the service, how their cell phone number will be used.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not share or disclose any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Mobile.cdc.gov has implemented a text messaging signup project that will allow mobile users, who access the Mobile.cdc.gov site, to optionally subscribe to a service where they can receive public health related information via text messaging. This information will be a subset of the already publicly accessible information that is found on the Mobile.cdc.gov site. Mobile.cdc.gov has added a "Text Messaging and Privacy" statement to notify users, who opt to signup for the service, how their cell phone number will be used.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The text messaging service is an optional subscription service. The "Text Messaging and Privacy" statement notifies users of why their phone number is being collected. The phone number is for the purpose of the system, which is to deliver public health related information via mobile services.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This is an EMSSP system so all administrative, technical, and physical controls are ITSO controlled.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Model Performance Evaluation Program (MPEP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Model Performance Evaluation Program (MPEP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James Handsfield

**10. Provide an overview of the system:** The Model Performance Evaluation Program (MPEP) has been developed to evaluate the performance of laboratories conducting testing to detect human immunodeficiency virus type 1 (HIV-1) antibody (Ab) by traditional testing methods. The MPEP program continuously improves the public's health through ongoing improvement of laboratory testing and practices focusing on tests of high public health impact. The MPEP program has been expanded to include mycobacterium tuberculosis (MTb)-related testing. The MPEP program communicates with laboratories via a web based reporting application that allows laboratories to enter their test results for either HIV-1 or MTb related tests. All data is currently stored in a SQL Server 2005 database. CDC admins are assigned so that they may be able to begin/terminate results entry by modifying the deadline date, modify the number of strains (Mtb only) in use for a particular shipment, and access the data by downloading excel documents.

As far as laboratories are concerned they only have the ability to view their own results and print a copy of the results they have entered into the system. This is only accessible as long as the deadline for the current survey has not passed. All user information stored is business in nature, such as e-mail, laboratory name, name, position). The system contains no PII and the MPEP system does not share information with other systems

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MPEP will not collect or disseminate PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Molecular Biology Computation (MOLBIO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 985

**7. System Name (Align with system Item name):** Molecular Biology Computation (MOLBIO)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth Neuhaus

**10. Provide an overview of the system:** The Molecular Biology Computation (MOLBIO) system provides a variety of software for scientific analysis of the sequence and structure of the biological macromolecules. The system also maintains local copies of key, public sequences and structure databases. This system is open to all CDC researchers only.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Molecular Risk Assessment Data Management System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/25/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC # 1617

**7. System Name (Align with system Item name):** CDC CCEHIP NCEH Molecular Risk Assessment Data Management System (MRADMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Patricia Mueller

**10. Provide an overview of the system:** Molecular Risk Assessment Data Management System (MRADMS) is a non web-based custom-designed MS Access application designed to manage, process, and analyze laboratory data from a wide array of assays generated by different laboratory instruments that each have varied data formats. MRADMS serves the Molecular Risk Assessment Laboratories (MRAL) as a Lab Information Management System (LIMS). The information contained within MRADMS includes laboratory management data, inventory data, and laboratory material data that is generated and entered by the staff of MRAL, which is part of the Coordinating Center for Environmental Health and Injury Prevention (CCEHIP) National Center for Environmental Health (NCEH)/Division of Laboratory Sciences/Newborn Screening and Molecular Biology Branch.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) MRADMS manages, processes, and analyzes genetic and immunological data associated with diseases of public health importance, including examining health risks associated with diabetes. MRADMS serves the Molecular Risk Assessment Laboratories (MRAL) as a Lab Information Management System (LIMS).

(2) MRADMS allows the Newborn Screening and Molecular Biology Branch (NSNBB) personnel with a means to track, analyze data, track samples and subsequent preparations, maintain and track laboratory management material data and inventory, manage data validation and quality control, and monitor overall data handling, processing and analysis

(3) MRADMS does not contain any PII.

(4) N/A. MRADMS does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. MRADMS does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/25/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Monitoring Operations Center (MOC) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/4/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Monitoring Operations Center (MOC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lew Newlin

**10. Provide an overview of the system:** The MOC will monitor all traffic flow across the CDC network using a variety of tools. The MOC will also provide application monitoring to allow MOC analyst to troubleshoot problems and assign tickets to the appropriate personnel for remediation

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/4/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Morbidity and Mortality Weekly Report - Continuing Education (MMWR-CE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 009-20-01-02-02-9321-00

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** CDC/ESC 1353: CDC public Health Communications for Health Marketing

**7. System Name (Align with system Item name):** MMWR Continuing Education CE

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Quang M. Doan

**10. Provide an overview of the system:** This application allows MMWR readers (Doctors, Pharmacists, Nurses and general users) to self-register (providing contact information) and take online continuing education credit and store their responses to a database. Users may also print certification online once completed.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Voluntary submission of

contact information name, mail address and email to register and participate in online exam offer by MMWR and the contact information shared by MMWR Data entry staff to update contact information requested by the users.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** Registration Agreement display online stated the provided contact information

Remains confidential, and is not released to any other organization or agency or any other part of CDC.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Yes IIF

Risk Analysis date: 10/13/2009

E-Auth Level = 1

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-CDC External Partner Sharepoint Portal (CDCEPSP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-E-CDC External Partner Sharepoint Portal (CDCEPSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** This system provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

- Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**



provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions. The information shared/collected/stored on this system does not contain PII. The information collected is voluntary and federal contact information only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = (1) Low

Risk Analysis Date = 03/16/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-CDC Online Resource Directory (CORD) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-E-CDC Online Resource Directory (CORD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Steve Reynolds

**10. Provide an overview of the system:** The purpose of the MOSS-E-CDC Online Resource Directory (CORD) project is to create an externally facing web-based application that will provide state, tribal, local, and territorial government public health staff with points of contact for things such as: CDC staff, experts in a particular disease, specialized laboratory testing; and/or grant contacts for a specific study or program.

Once a CDC resource is found using CORD via SharePoint, CORD will allow the Partner to contact the CDC resource via a contact form within the application. A copy of that correspondence is sent to the CDC resource as well as a copy to the Partner and to OSTLTS.

CORD will only display work related business information for all CDC employees (FTE, Contractors, etc.). CORD displays: CDC Employee Name, Title, Series, Organization, Supervisor, Person Type (FTE/Contractor) Business Work City/State and Business Work Phone. Therefore, this system will not contain any PII information of any sort.

CORD supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A - This system will not contain any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CORD will only display work related business information only for all CDC employees (FTE, Contractors, etc.). CORD displays: CDC Employee Name, Title, Series, Organization, Supervisor, Person Type (FTE/Contractor) Business Work City/State and Business Work Phone. Therefore, this system will not contain any PII information of any sort.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A - This system will not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/9/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-NCBDDD (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/4/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-E-NCBDDD

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Andrew Autry

**10. Provide an overview of the system:** This system will support the needs of the Divisions, Branches, and Teams within NCBDDD and its partners for scientific collaboration.

MOSS-E-NCBDDD supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will contain work products (papers, data tools) of NCBDDD and its partners.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/4/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-NCCDPHP (SP-E) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/4/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-E-NCCDPHP

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** This system (external site collection) will be used as a portal containing sites and sub-sites for secure Internet-based document repository to be accessed outside the CDC firewall for use in communicating and collaborating with external partners. NCCDPHP needs include, but are not limited to, the facilitation of external collaborative efforts on projects and workgroups in programmatic activities and shared document repositories for internet publishing. It will be administered by the NCCDPHP Office of Informatics and Information Resources Management (OIIRM). The initial site collection will be an out-of-the-box stand-up containing placeholders for each Divisions. The taxonomy will reflect NCCDPHP organizational structure.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The system will be comprised of information concerning business processes, programmatic functions, and administrative services

2) Collaboration

3) No PII

N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/4/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-NCHSSSC SharePoint Site Collection (MOSS-E-NCHSSSC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** NA

**5. OMB Information Collection Approval Number:** NA

**6. Other Identifying Number(s):** NA

**7. System Name (Align with system Item name):** MOSS-E-NCHSSSC

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fonda Kornegay (fmk0)

**10. Provide an overview of the system:** MOSS-E-NCHSSSC is a web-based system that will be used by the National Center for Health Statistics (NCHS), its offices, divisions, branches, and teams to communicate and collaborate with our partners including, but not limited to:

- State and/or local health organizations
- Governmental and non-governmental researchers, epidemiologists, statisticians
- Non-CDC application developers
- CDC field staff
- College and university faculty and staff
- Other Federal agencies

All of the activities housed within this system will allow NCHS operations to become more streamlined and positioned to offer aid to our partners in support of the CDC mission.

MOSS-E-NCHSSSC supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-NCIRD Site Collection (NSC-External) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/25/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-E-NCIRD Site Collection (NSC-External)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Walker

**10. Provide an overview of the system:** The MOSS-E-NCIRD Site Collection is an extension of the Enterprise SharePoint System for NCIRD. NSC Site will be designed to support the NCIRD Divisions in the areas of project, document and staff management. The system is composed of several intranet sites for each NCIRD Division and an umbrella intranet site for all of NCIRD. The sites will be used by NCIRD employees to manage project documents and schedules, branch staff calendars, and branch-related information. MOSS-E-NCIRD Site Collection will also be used to as a communication vehicle to store Security C&A Packages, Security Annual Assessments, Security documentation, SOP, WIKIs and other security documents.

MOSS-E-NCIRD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

Ø Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

Ø Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

Ø Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.

Ø Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

Ø Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The MOSS-E-NCIRD Site Collection is an extension of the Enterprise SharePoint System for NCIRD. NSC Site will be designed to support the NCIRD Divisions in the areas of project, document and staff management. The system is composed of several intranet sites for each NCIRD Division and an umbrella intranet site for all of NCIRD. The sites will be used by NCIRD employees to manage project documents and schedules, branch staff calendars, and branch-related information. MOSS-E-NCIRD Site Collection will also be used to as a communication vehicle to store Security C&A Packages, Security Annual Assessments, Security documentation, SOP, WIKIs and other security documents.

MOSS-E-NCIRD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

Ø Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

Ø Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

Ø Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.

Ø Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

Ø Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A – No PII is collected**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/25/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-E-OPHPR SharePoint Site Collection (OPHPR E-MOSS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2038

**7. System Name (Align with system Item name):** MOSS-E-OPHPR SharePoint Site Collection (OPHPR E-MOSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Serena Vinter

**10. Provide an overview of the system:** OPHPR MOSS is the Site Collection of the Enterprise SharePoint Partner Farm specifically configured for use by OPHPR. MOSS-E-OPHPR provides users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**



**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII is contained within the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is contained within the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-CDC Enterprise Share Point Portal (CDCESP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/1/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1911

**7. System Name (Align with system Item name):** MOSS-I-CDC Enterprise SharePoint Portal (CDCESP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** The MOSS-I-CDC Enterprise SharePoint Portal (CDCESP) is a web-based system that serves as the agency-wide access point for information regarding Enterprise Committees, the Enterprise SharePoint governances and the acquisition of SharePoint sites and site collections at CDC. This site collection site will also host a directory of links to other SharePoint site collections, a catalogue of custom developed SharePoint applications and web parts, a SharePoint Community of Practice web site, and any number of Enterprise Collaboration Services Team (MISO)-developed SharePoint applications and features whose audience spans across CDC organizations, such as the SharePoint Site Collection Request and Request for Planned Changes applications needed to support SharePoint Governance processes.

CDCESP supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

o Business Intelligence – Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDCESP serves as the agency-wide access point for information regarding Enterprise Committees, the Enterprise SharePoint governances and the acquisition of SharePoint sites and site collections at CDC. This site collection site will also host a directory of links to other SharePoint site collections, a catalogue of custom developed SharePoint applications and web parts, a SharePoint Community of Practice web site, and any number of Enterprise Collaboration Services Team (MISO)-developed SharePoint applications and features whose audience spans across CDC organizations, such as the SharePoint Site Collection Request and Request for Planned Changes applications needed to support SharePoint Governance processes.

CDCESP supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

o Business Intelligence – Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A – No PII collected**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-CDCOCOO SharePoint Site Collection (MOSS-I-CDCOCOO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/28/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-I-CDCOCOO SharePoint Site Collection (MOSS-I-CDCOCOO)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carlton Duncan

**10. Provide an overview of the system:** The MOSS-I-CDCOCOO SharePoint Site Collection (MOSS-I-CDCOCOO) will support the OCOO Office of the Director (OD) functions, the OCOO communities, OCOO service teams and IT program management and projects. The MOSS-I-CDCOCOO will host request tracking tools, project team collaboration sites, project management initiatives, common processes, common tools, and common procedures. It will help to strengthen communication and MOSS-I-CDCOCOO corporate knowledge.

MOSS-I-CDCOCOO supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence – Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MOSS-I-CDCOCOO supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence – Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

This system does not contain PII and therefore the voluntary or mandatory submission of PII is not applicable.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/28/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-CDCOD Site Collection (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1831

**7. System Name (Align with system Item name):** MOSS-I-CDCOD Site Collection

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lauren Hoffmann

**10. Provide an overview of the system:** MOSS-I-CDCOD Site Collection is a web-based system designed to enhance the CDC's environmental scanning and forecasting capability. The CDCOD SharePoint Site Collection will provide a collaborative environment in support of the center's public health mission. In an effort to enhance CDC's ability to better identify and leverage opportunities to promote and protect the Agency and public health, the SharePoint site will serve as an information gateway for forecasting through environmental scanning and the timely sharing and communication of information. Specifically, the functions that this site will support include:

- cornerstone of the CDC Forecasting Initiative, including meeting all mandatory environmental scanning reporting requirements from HHS and the White House
- issues management tracking
- task tracking
- CDC Senior Leadership calendar coordination
- Report and data repository

MOSS-I-CDCOD Site Collection is a web-based system designed to enhance the CDC's environmental scanning and forecasting capability.

MOSS-I-CDCOD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.



- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MOSS-I-CDCOD Site Collection is a web-based system designed to enhance the CDC's environmental scanning and forecasting capability. The CDCOD SharePoint Site Collection will provide a collaborative environment in support of the center's public health mission. In an effort to enhance CDC's ability to better identify and leverage opportunities to promote and protect the Agency and public health, the SharePoint site will serve as an information gateway for forecasting through environmental scanning and the timely sharing and communication of information. Specifically, the functions that this site will support include:

- cornerstone of the CDC Forecasting Initiative, including meeting all mandatory environmental scanning reporting requirements from HHS and the White House
- issues management tracking
- task tracking
- CDC Senior Leadership calendar coordination
- Report and data repository

MOSS-I-CDCOD Site Collection is a web-based system designed to enhance the CDC's environmental scanning and forecasting capability.

MOSS-I-CDCOD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-CIMS Request for Task Order Processing Module (C-RPM) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1852

**7. System Name (Align with system Item name):** MOSS-I-CIMS Request For Task Order Processing Module (C-RPM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Alvin Hall

**10. Provide an overview of the system:** The CDC is planning to award multiple contracts for information management services, referred to as the CIMS Contract. The CIMS Contract is a successor to the ongoing CITS-2 contract that is currently being performed by Northrop-Grumman and Lockheed Martin. The CIMS Contract has greatly increased in complexity and magnitude over its predecessor CITS-2 contract, involving multiple awardees across three (3) separate functional domains and three (3) business categories. Under CIMS, it is anticipated that CDC may be managing 10-20 competing prime contractors in contrast to the two (2) contractors working under the CITS-2 contract. This system processes Request For Task Order Proposals (RFTOPs) for the CIMS contract. A RFTOP is an early stage in the procurement process, issuing an invitation for suppliers, often through a bidding process, to submit a proposal on a specific commodity or service.

The MOSS-I-CIMS Request For Task Order Processing Module (C-RPM) supports and facilitates user registration, RFTOP initiation, collaboration and processing. The C-RPM system will serve as a front-end management tool that supports and facilitates the implementation of the SharePoint portal solution. The C-RPM will be customized to incorporate the CIMS task order workflow while providing the platform for a collaborative work environment.

The C-RPM will serve as a front-end management system to facilitate the “internal” RFTOP workflow, user registration, system administration, collaboration, security, and document management to support the initiation and implementation of CIMS RFTOPs. The CIMS Contract Management System will automate the (internal / CDC) task ordering processes and workflow that includes capabilities, requirements, features, and functions. The system will provide a secure portal workspace for each “internal” customer (program), stakeholder, and

partner involved in a specific task order process. The system will provide a secure portal workspace folder (PMO Site) for all task ordering templates, and guides. The system will be established as a role-based system with access permissions for portal workspaces, each task order workspace and associated work folders/documents. The system will enable CDC internal stakeholders to collaborate and share documents in preparing RFTOP packages. The system will provide internal only email alerts on the status of RFTOPs initiated in the system. The system will conform to the CDC SharePoint platform, standards, and requirements and conform to the products installed in CDC's Enterprise production/development environments.

The C-RPM is composed of custom webparts and custom workflow s(approved by CDC SharePoint Governance Board), CIMS program sites, and individual CIMS RFTOP sites. The workflows will be organized into two .Net assemblies: the NVI.CDC.Workflow.UserAccess.dll and NVI.CDC.Workflow.RFTOPCreation.dll workflow modules. C-RPM will be used to start the process for new RFTOP's and allow collaboration on the RFTOP's before they are sent to PGO for final approval and publishing.

The C-RPM process is as follows. 1) A program office submits a RFTOP request form on the C-RPM SharePoint site. 2) The request is reviewed by the CIMS project management office (CPMO). 3) If the request is approved by the CPMO, then a sub site for this RFTOP is created. 4) PGO, CPMO, and program office staff use this SharePoint sub site to collaborate on the RFTOP. 5) RFTOP is finalized and submitted to PGO. Permissions to each RFTOP site are maintained by the CPMO.

C-RPM will contain several sites: one CPMO site, a site for each CDC program office, and a site for each RFTOP. Each program office site will contain a list of links to each RFTOP created. The program office sites can also be customized using out of the box SharePoint webparts to include office specific RFTOP guidance or artifacts/documentation.

Note: The C-RPM module is also planned to be incorpo

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The CDC is planning to award multiple contracts for information management services, referred to as the CIMS Contract. The CIMS Contract is a successor to the ongoing CITS-2 contract that is currently being performed by Northrop-Grumman and Lockheed Martin. The CIMS Contract has greatly increased in complexity and magnitude over its predecessor CITS-2 contract, involving multiple awardees across three (3) separate functional domains and three (3) business categories. Under CIMS, it is anticipated that CDC may be managing 10-20 competing prime contractors in contrast to the two (2) contractors working under the CITS-2 contract. This system processes Request For Task Order Proposals (RFTOPs) for the CIMS contract. A RFTOP is an early stage in the procurement process, issuing an invitation for suppliers, often through a bidding process, to submit a proposal on a specific commodity or service.

The MOSS-I-CIMS Request For Task Order Processing Module (C-RPM) supports and facilitates user registration, RFTOP initiation, collaboration and processing. The C-RPM system will serve as a front-end management tool that supports and facilitates the implementation of the SharePoint portal solution. The C-RPM will be customized to incorporate the CIMS task order workflow while providing the platform for a collaborative work environment.

The basic C-RPM will serve as a front-end management system to facilitate the “internal” RFTOP workflow, user registration, system administration, collaboration, security, and document management to support the initiation and implementation of CIMS RFTOPs. The CIMS Contract Management System will automate the (internal / CDC) task ordering processes and workflow that includes capabilities, requirements, features, and functions. The system will provide a secure portal workspace for each “internal” customer (program), stakeholder, and partner involved in a specific task order process. The system will provide a secure portal workspace folder (PMO Site) for all task ordering templates, and guides. The system will be established as a role-based system with access permissions for portal workspaces, each task order workspace and associated work folders/documents. The system will enable CDC internal stakeholders to collaborate and share documents in preparing RFTOP packages. The system will provide internal only email alerts on the status of RFTOPs initiated in the system. The system will conform to the CDC SharePoint platform, standards, and requirements and conform to the products installed in CDC’s Enterprise production/development environments.

The C-RPM is composed of custom webparts and custom workflow s(approved by CDC SharePoint Governance Board), CIMS program sites, and individual CIMS RFTOP sites. The workflows will be organized into two .Net assemblies: the NVI.CDC.Workflow.UserAccess.dll and NVI.CDC.Workflow.RFTOPCreation.dll workflow modules. C-RPM will be used to start the process for new RFTOP’s and allow collaboration on the RFTOP’s before they are sent to PGO for final approval and publishing.

The C-RPM process is as follows. 1) A program office submits a RFTOP request form on the C-RPM SharePoint site. 2) The request is reviewed by the CIMS project management office (CPMO). 3) If the request is approved by the CPMO, then a sub site for this RFTOP is created. 4) PGO, CPMO, and program office staff use this SharePoint sub site to collaborate on the RFTOP. 5) RFTOP is finalized and submitted to PGO. Permissions to each RFTOP site are maintained by the CPMO.

C-RPM will contain several sites: one CPMO site, a site for each CDC program office, and a site for each RFTOP. Each program office site will contain a list of links to each RFTOP created. The program office sites can also be customized using out of the box SharePoint webparts to include office specific RFTOP guidance or artifacts/documentation.

Note: The C-RPM module is also planned to be i

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII collected

EAL = N/A

Risk Analysis Date = 01/03/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-Grants and Contracts Business Analytics (MOSS-I-BABI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OGE/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** MOSS-I-Grants and Contracts Business Analytics (MOSS-I-BABI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** MOSS-I Grants and Contracts Business Analytics (MOSS-I-BABI) is a web-based SharePoint system designed to utilize Microsoft Business Intelligence (BI) technology to apply tools and processes to perform iterative, staff-directed exploration of Procurement and Grants Office's (PGO) grant data (that will include financial status reports (FSR), commitment and obligation amounts and established key performance indicators (KPIs)).

The MOSS-I-BABI will help the PGO gain insight into staff workload, & grantee performance and will help to predict and improve future trends and workload. The MOSS-I-BABI Prototype will deliver three sets of outputs based on the grants data. The data extracted will be presented/delivered to the stakeholders (PGO users for the first phase i.e. for the prototype) using MISO's SharePoint portal. MOSS-I-BABI will collect Names of CDC Grant specialists and Financial Account Information regarding staff workload and grantee performance.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The MOSS-I-BABI system will store/disseminate the Financial Account Information for enabling the staff of PGO users to determine staff workload and grantee performance.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** MOSS-I-BABI will store/disseminate Names of CDC Grant specialists and Financial Account Information regarding staff workload and grantee performance. The PII collected is voluntary although necessary in order to process grant information and is collected at originating CDC systems (i.e. IMPAC II, UFMS, and FOTS).

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No there are no processes in place when major changes occur. The data for this system comes from the existing data sources at CDC such as IMPAC II, UFMS, and FOTS(Funding Opportunity Tracking System). Consent is obtained from these originating systems. Users are advised that their contact and grantee information will be stored for future follow-up inquiries. All users are advised that this information will be stored at the discretion of the user.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative: Records are maintained according with CDC's record control schedule and record control policy. The grantee info is secured using the CDC/IS Active Directory authentication process and role-based application control.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges, and key card restrictions.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-ITSO SharePoint Site Collection (ITSOSP) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1892

**7. System Name (Align with system Item name):** MOSS-I-ITSO SharePoint Site Collection (MOSS-ITSOSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Chare Brown

**10. Provide an overview of the system:** The purpose of the MOSS-I-ITSO SharePoint Site Collection is to help improve organizational effectiveness by enhancing collaboration, strengthening knowledge management access and control, accelerating business processes, and facilitating strategic decision-making.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = 3

Risk Analysis Date = 09/07/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-MASO SharePoint Site Collection (MOSS-I-MASO) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC System ID: 1958

**7. System Name (Align with system Item name):** MOSS-I-MASO SharePoint Site Collection

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The MASO SharePoint site collection will provide a medium for the sharing of documents and projects between MASO offices and members within the CDC community. No PII will be stored within the system. Common data elements and documents will include administrative, financial and project data.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The MASO SharePoint site collection will provide a medium for the sharing of documents and projects between MASO

offices and members within the CDC community. No PII will be stored within the system. Common data elements and documents will include administrative, financial and project data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-MISO SharePoint Collection (MISOSP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/8/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1907

**7. System Name (Align with system Item name):** MOSS-I-MISO SharePoint Collection (MISOSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** The MOSS-I-MISO SharePoint Collection (MISOSP) will support the MISO Office of the Director (OD) functions, the MISO communities, MISO service teams and IT program management and projects. The MISO site collection will host request tracking tools, project team collaboration sites, project management initiatives, common processes, common tools, and common procedures. It will help to strengthen communication and MISO corporate knowledge.

MISOSP supports this purpose by providing users a single, integrated location offering employees the following:

- o Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- o Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- o Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- o Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- o Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- o Business Intelligence – Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New



**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The MOSS-I-MISO SharePoint Collection (MISOSP) will support the MISO Office of the Director (OD) functions, the MISO communities, MISO service teams and IT program management and projects. The information collected will be data relating to services and project management resources. There will be no PII collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

E-Auth Level = N/A

Risk Analysis date 08/31/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/12/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-NCBDDD  
Internal SharePoint (MOSS-I-NCBDDD) [System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/19/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1860

**7. System Name (Align with system Item name):** NCBDDD\_Internal\_Sharepoint

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Andrew Autry

**10. Provide an overview of the system:** This is the NCBDDD implementation of Sharepoint. The purpose of the system is to enable NCBDDD users to share data, collaborate on projects and to create project (and branch) sites for workflow management. The information processed will include abstracted clinical and school data on birth defects and developmental disabilities, clinical data on blood disorders, clinical data on human development, clinical data on hearing screening, and clinical data on disability. All of the data used on SharePoint will be existing data collected from NCBDDD surveillance systems which have ATOs (Metropolitan Atlanta Congenital Defects Program-CASES and Metropolitan Atlanta Developmental Disabilities Surveillance Program).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII will be shared among authorized project staff with a "need to know". No PII will be shared or disclosed to anyone outside the agency.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. SharePoint will use data previously collected from MACDP & MADDSP which contains names, last 4 digits of SSN, date of birth, street address, birth certificate and death certificate data.

- 1) These data are necessary to identify the child under surveillance so that we can link these children to Special Education database and birth certificates.
- 2) PII is included
- 3) PII is collected without consent

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) None

- 2) None
- 3) Data was collected for the MACDP & MADDSP projects. SharePoint will be used to automate manual processes.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** yPII will be secured on the system using the Sharepoint functionality (technical controls) and limiting access to this system to people who have a need to know. Administratively, all NCBDDD staff handling PII have signed a confidentiality pledge. The servers are housed at the Chamblee location and access is restricted to authorized personnel (physical controls).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/25/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-NCCDPHP (MOSS-I-NCCDPHP) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1861

**7. System Name (Align with system Item name):** MOSS-I-NCCDPHP

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** This system (site collection) will be used as a portal containing sites and sub-sites for the individual organizations within NCCDPHP. NCCDPHP needs include, but are not limited to, the facilitation of internal collaborative efforts on projects and workgroups in programmatic activities and shared document repositories for intranet publishing. It will be administered by the NCCDPHP Office of Informatics and Information Resources Management (OIIRM). The initial site collection will be an out-of-the-box stand-up containing placeholders for each Divisions. The taxonomy will reflect NCCDPHP organizational structure.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The system will be comprised of information concerning business processes, programmatic functions, and administrative services

2) Collaboration

3) No PII

4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = 2

Risk Analysis Date =8/13/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-NCEH/ATSDR SharePoint Site Collection (NASSC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/10/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1819

**7. System Name (Align with system Item name):** MOSS-I-NCEH-ATSDR SharePoint Site Collection (NASSC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol Waller

**10. Provide an overview of the system:** This system provides the infrastructure and service for the CDC implantation of Microsoft SharePoint services. This system provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

- Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

- Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

- Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

- Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

This system supports the SDLC process of Initiation, Development/Acquisition, Implementation, Operations/Maintenance, Disposal of Site Collections and Sites for the C/I/Os of the CDC List user organizations (internal/external) and type of data processing provided.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**



**provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NASSC contains survey data.

(2) NASSC provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

(3) NASSC contains no PII.

(4) N/A. NASSC contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) N/A. NASSC contains no PII.

(2) N/A. NASSC contains no PII.

N/A. NASSC contains no PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Information will be secured using Active Directory access control lists

No IIF

Risk Analysis Date: 05/10/2010

E-Auth Level = NA

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/11/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MOSS-I-NCIRD Site Collection (NCS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1856

**7. System Name (Align with system Item name):** MOSS-I-NCIRD Site Collection

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joe McDowell

**10. Provide an overview of the system:** The MOSS-I-NCIRD Site Collection is an extension of the Enterprise SharePoint System for NCIRD. NSC Site will be designed to support the NCIRD Divisions in the areas of project, document and staff management. The system is composed of several intranet sites for each NCIRD Division and an umbrella intranet site for all of NCIRD. The sites will be used by NCIRD employees to manage project documents and schedules, branch staff calendars, and branch-related information. MOSS-I-NCIRD Site Collection will also be used to as a communication vehicle to store Security C&A Packages, Security Annual Assessments, Security documentation, SOP, WIKIs and other security documents.

MOSS-I-NCIRD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

Ø Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

Ø Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

Ø Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.

Ø Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

Ø Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The sites will be used by NCIRD employees to manage project documents and schedules, branch staff calendars, and branch-related information. MOSS-I-NCIRD Site Collection will also be used to as a communication vehicle to store Security C&A Packages, Security Annual Assessments, Security documentation, SOP, WIKIs and other security documents.

MOSS-I-NCIRD Site Collection supports this purpose by providing users a single, integrated location offering employees the following:

Ø Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.

Ø Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.

Ø Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.

Ø Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.

Ø Enterprise Search - Quickly and easily find people, expertise, and content in business applications.

Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected

No IIF Collected.

E-Authentication Assurance Level = (0) N/A

Risk Analysis Date = 11/01/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC MR Interview [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** Rolled-up under CDC PH Monitoring for Office of Terrorism # 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0001

**5. OMB Information Collection Approval Number:** no

**6. Other Identifying Number(s):** none

**7. System Name (Align with system Item name):** COTPER MR Interview (MRI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joseph Dell

**10. Provide an overview of the system:** MR Interview is a COTS application designed for the creation and distribution of electronic surveys.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF is shared or disclosed

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Names may be used during survey collection, however all data input will be voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All applicable C&A controls will be in place when the system completes its C&A.

No IIF Collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = 10/6/2008, updated 9/28/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/2/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Multistate Foodborne Disease Outbreak Investigation System (MFDOIS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Multistate Foodborne Disease Outbreak Investigation System (MFDOIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ian Williams

**10. Provide an overview of the system:** MFDOIS utilizes coded data and does not process PII. This system will join together epidemiologic and laboratory data in real time; and enhance electronic information sharing of surveillance, outbreak, recall, and other data among local, state, and federal partners during multistate foodborne disease outbreak investigations. This system will also rapidly visualize epidemiologic data from "clusters of cases identified by PulseNet including producing a standard set of reports that describe these cases by person, place, and time characteristics. These clusters are defined by patients with isolates having indistinguishable DNA fingerprint patterns as identified by PulseNet, the national molecular subtyping network for foodborne disease surveillance, comprised of state and local public health laboratories and federal food regulatory agency laboratories that perform pulsed-field gel electrophoreses, multiple-focus variable-number tandem repeat analysis (MLVA), or both on bacteria that may be foodborne.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No



**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** System will maintain information on age, gender, state and county of residence, date of illness onset, date of isolate collection, date of isolate upload, hospitalization (y/n), and died (y/n) on cases of Salmonella, STEC, and Listeria infection reported by state health departments. The system will also contain information about exposures that could have results in the illness (e.g. different types of food eaten in the week before illness onset, exposure to animals, sources of water). This system will not collect or solicit PII. These data will be used to rapidly visualize “clusters” of cases identified by PulseNet and to work collaboratively with state and local health department partners to update and maintain these data during foodborne disease outbreak investigations, and identify potential sources of infection for clusters. Please note that this data is coded. There are no identifiers in this system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF collected

E-Authentication Assurance Level: Low (2)

Date of most recent risk assessment: 04/04/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC My Water's Fluoride [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/23/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH GA - My Water's Fluoride

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Namibia IT Infrastructure (GAP-Namibia) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 1/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Namibia IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Namibia and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: PII = No**

EAL = N/A

Risk Analysis Date = 12/28/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Antimicrobial Resistance Monitoring System (Version 2.0) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00-110246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1264

**7. System Name (Align with system Item name):** National Antimicrobial Resistance Monitoring System (NARMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jean Whichard

**10. Provide an overview of the system:** NARMS is an interagency collaboration between the FDA, CDC and USDA that tests human, animal and meat isolates for resistance to clinically important veterinary and human antibiotics. The CDC arm of NARMS performs antimicrobial resistance testing on isolates for selected foodborne pathogens (Salmonella, Shigella, Campylobacter, Listeria, Non-cholera Vibrio, non-commensal Enterococcus) originating from and speciated by state/local health laboratories. NARMS analyzes the resistance data for trends and publishes public reports summarizing trends/key concepts in antimicrobial resistance. These findings are used for such purposes as: expanding the worldwide public knowledge of appropriate use of antibiotics; identifying emerging resistance mechanisms in important foodborne pathogens; providing supporting data for regulatory approval/denial of use of antimicrobials in agriculture and for clinical use in humans; etc. Each arm of NARMS maintains its own data and systems and there is no connectivity between arms.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
n/a

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The NARMS system will capture state laboratory isolate information. This information includes the pathogen name (genus/species/serotype/etc), identification number, and non-PII demographic information such as collection date, collection source, gender, and age.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Death Index [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0166

**5. OMB Information Collection Approval Number:** 0920-0215

**6. Other Identifying Number(s):** ESC ID: 153

**7. System Name (Align with system Item name):** NATIONAL DEATH INDEX

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lillian Ingster

**10. Provide an overview of the system:** The National Death Index (NDI) is a file of identifying death record information for all U.S. deaths occurring since 1979. This computer-matching service assists health researchers in determining whether specific study subjects have died, and if so, provides researchers with the states and dates of death and death certificate numbers. The NDI Plus service also provides the cause of death codes derived from the decedents' death certificates. Since 1982 the NDI has performed over 4,000 searches involving over 50 million records submitted by researchers involved in a wide variety of activities -- including clinical trials, post-marketing drug surveillance, occupational health studies, cancer and other disease registries, and longitudinal studies involving large population groups.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Release of PII is restricted solely to health researchers in federal/state agencies, universities, and

private industry. Health researchers use information on their deceased study subjects to conduct a wide variety of epidemiologic studies.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The NDI uses identifiable death record information to assist health researchers to determine if their study subjects died and to obtain the decedents' causes of death. The PII is obtained voluntarily from state vital statistics offices via contracts.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The data are administrative information collected by the state health departments in conformity to state laws. When a major change occurs to the system we are required to notify the states.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The database is secured in the CDC SQL Server Consolidated environment, which is backed up regularly. Access to the database is limited to users in the NDI office and support staff in DVS (SPSRB). Cause-of-death data and SSN are encrypted within the database.

Search request input files are retained in the database for 60 days after search completion, after which an automatic process will destroy all search details, retaining only summary information about each search. The system will also notify NDI staff to confirm destruction of the original CDs and any copies of the input files stored outside the database.

Results files will be encrypted and prepared by the system for an NDI staff member to password protect and burn the contents onto a CD to be sent back to the requesting researched by Federal Express. The password will be e-mailed separately along with the package tracking number.

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Electronic Injury Surveillance System Cooperative Adverse Drug Event Surveillance (NEISS-CADES Version 2.0) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** National Electronic Injury Surveillance System – Cooperative Adverse Drug Event Surveillance (NEISS-CADES) application version 2.0.0

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Daniel Pollock

**10. Provide an overview of the system:** The National Electronic Injury Surveillance System – Cooperative Adverse Drug Event Surveillance (NEISS-CADES) application (pronounced “NICE-CADES”) collects drug-related hospital emergency department visit data in order to analyze adverse drug events. SSNs are not collected.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII is provided to investigators extracting adverse drug event datasets for analysis. Investigators vary, but include pharmacists and epidemiologists at the Centers for Disease Control and Prevention (CDC) and at the Federal Drug Administration (FDA). Investigators are provided with datasets in order to analyze adverse drug event data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Treatment date, date case collected by CPSC, date case changed by CPSC, CPSC unique identifier (NEK), hospital coder id (HID), hospital name, hospital size range (hospital stratum), hospital weighting average (PSU), case trauma weight, patient sex, patient race, patient age, location where adverse drug event occurred, patient disposition, emergency department visit reason, emergency department diagnosis, comments, emergency department lab tests, other info, emergency department treatments, other drugs possibly involved, CPSC import file name, CPSC evaluation if case meets CDC case criteria, CDC evaluation if case meets CDC case criteria, CDC case status, data quality, drug, drug route, drug route specific, drug route description, generic drug, drug formulation, drug category, drug subgroup, drug group, pills taken, units taken, duration drug was taken, frequency drug was taken, mechanism of adverse drug event, role of drug, medication error, MedDRA description, case discussion, CPSC comments, question flag

2) To analyze adverse drug events which warrant a visit to an emergency department.

3) The information contains PII: date of treatment, hospital name, patient sex, patient race, patient age. No data is collected that will identify a specific person such as name or social security number.

4) Most data is mandatory

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1. There is no method by which to identify the patient to notify or obtain consent.

2. As above – there is no data that identifies a specific patient.

3. Information is used and shared for analysis of adverse drug events which warranted a visit to an emergency department

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** - Data is stored on ITSO servers which are secured technically using authentication, and Microsoft SQL Server security protocols.

- Data is stored on ITSO servers which are secured physically using restricted badge-only access.

- The appl

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Health and Nutrition Examination Survey (NHANES) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-1040-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0164

**5. OMB Information Collection Approval Number:** 0920-0237

**6. Other Identifying Number(s):** ESC #: 158; Protocol # 2005-006

**7. System Name (Align with system Item name):** National Health and Nutrition Examination Survey (NHANES)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jerry Del Rosso

**10. Provide an overview of the system:** The National Health Examination Survey (NHES) is forerunner to the NHANES and was operational in the years 1959 – 1962, 1962 – 1965, 1966 – 1967. The NHANES 1 from 1971 – 1976, NHANES 2 from 1976 – 1980, NHANES 3 from 1988 – 1994. The current NHANES has been operational since 1999 to the present day.

The NHANES system consists of multiple computing and functional components at various locations. Primary components of NHANES include:

- **Collection:** Fully encrypted Fujitsu Lifebook T2020 Convertible Laptop computers are used for collecting interview data in the field. Survey data are maintained on the Fujitsu T2020 computer until uploaded to the Field Office (FO) server. The Fujitsu T2020 computer can operate in two modes -- as a traditional laptop computer and as a tablet computer. The Fujitsu T2020 is connected to the FO network for uploading survey data.

- **Analysis:** Workstations and servers are located at all field offices and MEC trailers, the Westat offices in Rockville, Maryland, and the NCHS in Hyattsville, Maryland. Windows XP workstations are used for database access, data manipulation and review, and numerous other administrative duties. Microsoft Windows 2003 servers provide file and print server functionality on each network. The Microsoft Windows 2003 server does not maintain the database records from medical exams or surveys. Sun Microsystems Sybase database servers reside at all MEC trailers, the Westat office in Rockville, Maryland, and the NCHS office in Hyattsville, Maryland. Identifying details are removed from data every two years or as necessary in support of the creation of public release datasets.

- **Reporting:** This data is cleaned and edited after a two year data collection period to insure high quality and to remove data that could identify a survey participant. Before releasing the data to the public, the data is reviewed by the NCHS Disclosure Review Board (DRB). Based on the DRB's recommendations, the data is further processed, if necessary, to remove any

other identifiable information. Once the records are de-identified, the data is released to the public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses PII please specify with whom and for what purpose(s):** PII can be used by collaborators or researchers under a very strict control in the NCHS Research Data Center (RDC). At the RDC, survey data is used in a controlled environment allowing only aggregated information to be disseminated from the RDC.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. The NHANES system collects, analyzes and disseminates data on the health of Americans. All major diseases, risk factors and behaviors, environmental exposures, and relationship between diet/health/nutrition are assessed. The system collects and processes health and nutritional information annually from about 5,000 adults and children in the United States.

2. NHANES data are used to measure the prevalence of numerous chronic diseases and risk factors, to measure exposure to hundreds of environmental chemicals, and to measure the nutritional intake of U.S. population.

3. PII is collected to allow future contact of a survey participant to report results of medical tests and for future longitudinal research both passive and active.

Collection of PII is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1. Major changes have not occurred and disclosure



is not permitted therefore a system is not in place. Should major changes occur in the future each individual would be re-contacted for consent to the changes.

2. Written informed consent to collect data is obtained prior to data collection.

3. Written informed consent to collect data is obtained prior to data collection. The informed consent process includes information on how the information will be used or shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls:

- The system maintains SSP as a part of C&A CDC process.
- The system maintains BCP with annual testing. The system maintains the backup and failover procedures.
- Regular scheduled backups of data.
- User manuals.
- Role based access to data ensures least privilege and accountability.
- Monthly system security reviews and analysis.
- Data containing PII are strictly protected according with
  - o Section 308(d) of the Public Health Service Act (42 U.S.C. 242m).
  - o Chapter 45-13, "Safeguarding Record Contained in Systems of Records", of the HHS General Administration Manual.
  - o HHS Automated Information Systems Security Manual
  - o NCHS Staff Manual on Confidentiality.
  - o OMB Circular A-130, Appendix III.
- All employees of NCHS and contractor personnel with access to HNANES records are required, as a condition of employment, to sign an affidavit binding them to nondisclosure of PII.
- System has undertaken security evaluation risk assessments with the CDC and NCHS ISSOs, staff. These groups in part or whole have conducted thorough reviews of the NHANES network architecture, system architecture, and system/network security in March 2005. This review was in the form of the table top test.

Technical controls:

The system maintains in place following technical controls:

- User identification

- Passwords
- Firewalls
- Virtual private network (VPN)
- Data encryption
- Intrusion detection system (IDS)
- Equipment failure monitoring and replacement/duplication

Physical controls:

- Security guards at the entrance point
- ID badges
- Key cards
- Closed circuit TV (CCTV)
- Servers with PII data are locked in caged area inside access protected room.

E-Auth Level: 2

Risk Assessment Date: December 14, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Health Interview Survey [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 7/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-01-1020-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0164

**5. OMB Information Collection Approval Number:** 0920-0214

**6. Other Identifying Number(s):** ESC #: 161

**7. System Name (Align with system Item name):** National Health Interview Survey

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Anne Stratton

**10. Provide an overview of the system:** The National Health Interview Survey (NHIS) is a multi-purpose health survey of the civilian non-military population conducted by the National Center for Health Statistics (NCHS), which has produced annual data since 1957. NHIS data are used to describe the health of the US population, monitor trends in national health objectives, set and evaluate health policies, and perform methodological and epidemiological research on important health issues. Findings are generalizable to the US household population but have also been used to explore issues at the regional and state level.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
All information collected in the NHIS will be held in strict confidence according to law [Section 308(d) of the Public Health Service Act (42 United States Code 242m (d) and the Confidential Information Protection and Statistical Efficiency Act (PL 107-347)]. Aside from NCHS

employees, the only parties that can receive PII are the U. S. Census Bureau and NHIS collaborators (persons who have worked as our full partners from the earliest stages of the survey). These parties, who will use this information for statistical research only and to carry out this survey, are bound by strong restrictions designed to guarantee privacy. 1. The Agency for Healthcare Research and Quality (AHRQ) follows up with half of the NHIS sample on its Medical Expenditures Panel Survey (MEPS). By NHIS providing the MEPS sample, AHRQ is able to save an estimated eight million dollars on its 1996 reengineering project and continues to save budget by forgoing annual listing and other sampling costs. 2. The Office of Analysis and Epidemiology at NCHS links NHIS data records to the National Death Index (NDI) which provides the opportunity to conduct studies to investigate the association of a variety of health factors with mortality, using the richness of the NHIS data. 3. The National Opinion Research Center (NORC) use NHIS data to conduct the National Immunization Provider Record Check Study (NIPRCS), a follow-up study that validates the immunization histories of the children 12-35 months of age included in the NHIS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Since 1960, the National Center for Health Statistics (NCHS) has had the objective of producing vital and health statistics for the United States. NCHS has legislative authority under 42 U.S.C. 242k, Section 306(b) of the Public Health Service Act to collect statistics on the extent and nature of illness and disability of the population; environmental, social and other health hazards; determinants of health; health resources; and utilization of health care. The National Health Interview Survey (NHIS) is a multi-purpose health survey conducted by NCHS in support of this legislative charge. It is the principal source of information on the health of the civilian, non-institutionalized population of the United States.

The data collected through the NHIS are used for statistical purposes only. Uses within the Department include the preparation of aggregated data in the form of statistical tables for publication, analysis, and interpretation, to meet the legislative mandates of 42 U.S.C.24k, i.e. to determine levels of illness and disability and their effects on the population, the use of health care facilities, trends in family formation and dissolution, etc.

PII is collected as described in Q. 23. Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) NHIS policy does not permit disclosure rule changes and/or data use changes after the time of data collection and consent. The consent procedures in place for a given year continue to guide the use of the data in subsequent years. Any desired changes in data uses or disclosure must be put in place prior to data collection and

apply only to that year's data collection. At no point has any disclosure change or data use change occurred in the NHIS after the time of data collection and consent.

(2) There are three separate points in the NHIS collection process where we notify and obtain consent from individuals regarding the collection of personally identifiable information (PII) and inform said participants of the usage of this PII.

First, a written advance letter is mailed to all households selected for the NHIS sample. This letter informs the potential participant that his/her participation is voluntary and that all data collected will be kept strictly confidential in accordance with the prevailing laws. The letter also informs the participant that his/her personal information will only be received by NCHS employees and contractors, the U.S. Census Bureau, and NHIS collaborators and that by law we cannot release information that could identify the participant and participant's family to anyone else without the participant's consent.

Second, when the interviewer makes contact with the potential respondent, there is a standard consent protocol that the interviewer is required to follow which includes displaying the interviewer's proper credentials and introducing his or herself as an interviewer for the department of the Census conducting the NHIS. The interviewer is then instructed to hand the respondent a copy of the Advance Letter and allow time for the respondent to read it. After the respondent has read the Advance Letter, the interviewer is then instructed to ask "Do you have any questions about anything (you have read/I have read to you) about the National Health Interview Survey?" Following this, the interviewer is to ask "Are you willing to participate in the survey?"

Third, in the survey instrument itself, text informing the respondent about the reasons for collecting Social Security Number and Medicare Number is read prior to asking these questions. The respondent is asked specific questions asking permission to link NHIS data with data from other sources. These questions detail what the data will be used for and reiterate to the participant that answering these questions is voluntary.

In addition, the NCHS Ethical Review Board (ERB) reviews NHIS content each year, as an advocate for the potential respondent.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** It is the responsibility of all employees of NCHS, including in house contract staff to protect, preserve, and secure all NHIS data (this includes all oral or recorded information in any form or medium) from unauthorized persons and uses. All NCHS employees as well as all contract staff have received appropriate training and made a commitment to assure confidentiality and have signed a "Nondisclosure Statement". Staffs of collaborating agencies are also required to sign this statement and outside agencies are

required to enter into a more formal agreement with NCHS before access to PII is permitted. It is understood that protection of the confidentiality of records is a vital and essential element of the operation of NCHS, and that Federal law demands that NCHS provide full protection at all times of the confidential data in its custody. Only authorized personnel are allowed access to confidential records and only when their work requires it. When confidential materials are moved between locations, the items are tracked to insure that there is no loss in transit and when confidential information is not in use, it is stored in secure conditions.

It is the Center's policy to make public use data files available to the public via the Internet so that additional analyses can be made of these data for the benefit of the U.S. population. Confidential data will never be released to the public. For example, all personal identifiers are removed from the file; i.e., name, address, location number, sample person number, etc. A concerted effort is made to avoid any disclosures, such as detailed geographic information that may allow a researcher to go back and find individuals in the general population. Data must be approved for release by the NCHS Confidentiality Officer and NCHS Disclosure Review Board.

Procedural Safeguards: All employees of NCHS and contractor personnel with access to NHIS records are required, as a condition of employment, to sign an affidavit binding them to nondisclosure of PII and to view an NCHS video tape addressing confidentiality and systems security. Periodic correspondence is sent to staff to reinforce confidentiality regulations, guidelines, and procedures. Protection for computerized records on various IT platforms includes programmed verification of valid user identification code and password prior to logging on to the system, mandatory password changes, limited log-ins, virus protection, user rights/file attribute restrictions, and firewalls. Password protection imposes user name and password log-in requirements to prevent unauthorized access. Each user name is assigned limited access rights to files and directories at varying levels to control file sharing. There are routine daily backup procedures and Vault Management System for secure off-site storage for backup tapes. Additional safeguards may be built into the program by the system analyst as warranted by the sensitivity of the data.

Risk Assessment Date: June 8, 2010

E-Auth Level: 3

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/9/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National HealthCare Safety Network DEMO (NHSN DEMO) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 623

**7. System Name (Align with system Item name):** National Healthcare Safety Network Demonstration System (NHSN DEMO)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dr. Dan Pollock

**10. Provide an overview of the system:** NHSN Demo allows State Health Departments to see demonstration of the capabilities that the production NHSN application is providing to participating healthcare facilities to enter data associated with healthcare safety events such as surgical site infections, antimicrobial use and resistance, bloodstream infections, and healthcare worker vaccinations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** The system does not collect any “real” data. Dummy data is stored in the system so potential users can evaluate the system to see if they want to use it.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National HealthCare Safety Network SAMS (NHSN SAMS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** OMB No. 0920-0666

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** National Healthcare Safety Network (NHSN) SAMS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Daniel Pollock

**10. Provide an overview of the system:** NHSN allows participating healthcare facilities to enter data associated with healthcare safety events, such as surgical site infections, antimicrobial use and resistance, bloodstream infections, and healthcare worker vaccinations. NHSN provides analysis tools that generate reports using the aggregated data (reports about infection rates, national and local comparisons, etc. Participating NHSN healthcare facilities can access web-based screens that allow them to enter data associated with healthcare safety events. These data are captured in a relational database at the CDC. Participants can then use NHSN analysis tools to generate reports that are displayed on their web browser. Any U.S. healthcare institution including hospitals, outpatient centers, and long-term care facilities may enroll in NHSN provided they have access to the Internet

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, with Healthcare facilities in the U.S.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** NHSN is a voluntary surveillance system. The system requires reporting of the following information:

Patients: patient identification number (may be a medical record number), gender and date of birth. For some patients, birth weight is required.

Healthcare workers: healthcare worker identification number, gender, date of birth, work location, and occupation. Facilities: facility name, address, county, city, state, zip code, telephone number, indentifying number (i.e., CMS provider number and/or American Hospital Association identification number and/or Veterans Administration station code), type, ownership category, affiliation with a medical school (y/n), and bed-size characteristics.

Users: name, address (if different from facility), telephone number, and email address.

Optional IIF that may be reported to NHSN:

Patients: Social security number, secondary identification number, name, ethnicity, and race.

Healthcare workers: name, address, work and home phone numbers, email address, born in United States (y/n), ethnicity, race, and date of employment.

Users: fax number, pager number, and title.

The IIF data is used for the facilities' own purposes only and is not used by the CDC. The CDC uses only aggregated de-identified data for its analysis purposes.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) NHSN is a public health surveillance system and does not require obtaining consent from individuals whose data are submitted and stored in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system data is protected by residing

within CDC SAMS. Each user can only access data for their facility only. Each user signs a “Rules of Behavior” contract which states the rules for privacy and IIF security.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National HIV Monitoring and Evaluation Training (NHM&E) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2082

**7. System Name (Align with system Item name):** National HIV Monitoring and Evaluation (NHM&E) Training

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dale Stratford

**10. Provide an overview of the system:** The primary purpose of NHM&E Training Website is to provide training for CDC, contracted staff, and staff at health departments and community-based organizations with the training and skills necessary to implement and utilize the NHM&E variables and HIV Prevention Program Evaluation and Monitoring System (PEMS) or other government-provided reporting software for reporting to CDC and for their own monitoring and evaluation needs. There are quizzes in the lessons that are scored and certificates of completion that people can print out if they want to, but that is not reported to CDC. CDC receives a record of which lessons were accessed and completed, agencies that accessed the system and average time in the system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The NHM&E Training system collects agency contact data from individuals authorized to access the website and interested in taking various training courses available through the website. Agency data collected includes; First name, Last name, Agency name, agency email address.

2) This information is required for the registration process to be eligible to take the online training courses available on the website.

3) The personal information collected is agency contact information only. There is no PII in the NHM&E Training system.

4) Submission of all information is strictly voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A agency contact information only

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC National Molecular Subtyping Network for Foodborne Disease Surveillance(PulseNet) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0172-110-219

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 172

**7. System Name (Align with system Item name):** CDC National Molecular Subtyping Network for Foodborne Disease Surveillance (PulseNet)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Peter Gerner-Smidt

**10. Provide an overview of the system:** National Molecular Subtyping Network for Foodborne Disease Surveillance (PulseNet) is a client-server system that consists of a national network of public health laboratories and Federal regulatory agencies (CDC, USDA, and FDA) that perform molecular subtyping analysis on foodborne disease bacteria. The network permits rapid comparisons of DNA fingerprint patterns through a database at the CDC. The molecular subtyping method called pulsed field gel electrophoresis (PFGE), and is used by PulseNet. Another piece added to PulseNet is called CaliciNet. Sequence analysis is used by CaliciNet. PulseNet and CaliciNet use BioNumerics, a commercial off-the-shelf (COTS) product that functions as a client-server application.

PulseNet and CaliciNet participants (the public health and food regularity agency laboratories) use a standardized protocol to generate the DNA fingerprints. Standardization provides the capability to exchange information electronically, allowing laboratories and epidemiologists throughout the U.S. to rapidly compare DNA fingerprints of submitted isolates with those in the database. The ability to compare isolates quickly leads to a more rapid detection of outbreaks and faster response time by public health agencies, contributing to the prevention of further diseases and illnesses.

PulseNet also added the functionality to allow authorized users read-only access to the PulseNet national databases using SAS 9.2 for data conversion/cleaning and analysis. The SAS data will be stored at fsp-113\fcid\_dbmd\_1\_apps\apps\soda4\mddb.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** NO PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Oral Health Surveillance System (NOHSS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH NOHSS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Reports data for oral health prevalence rates from a number of sources for data query.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that



disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Outbreak Reporting System Public (NORS Public) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 1318

**7. System Name (Align with system Item name):** National Outbreak Reporting System Public (NORS Public)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dana Cole

**10. Provide an overview of the system:** National Outbreak Reporting System Public (NORS Public) is an ASP.Net web application with a SQL server backend. This system provides the ability for the public to look at a small subset of filtered foodborne outbreak data captured by the National Outbreak Reporting System (NORS). This is performed by filtering and transferring data from the NORS system to the NORS Public database. This is aggregate data that is extracted from the NORS system by using certain established parameters and then loaded to the NORS Public database.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system provides the ability for the public to look at a small subset of filtered foodborne outbreak data captured by the National Outbreak Reporting System (NORS). This is merely a site, with no PII, that can be accessed by the public for general information. The public is able to choose from a few basic drop downs to filter the data that is available to them. Public users are allowed to download an XML file of the data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Prevention Information Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 1521

**7. System Name (Align with system Item name):** National Prevention Information Network (NPIN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Susan Robinson

**10. Provide an overview of the system:** The National Prevention Information Network (NPIN) does not store, collect, process, or transmit PII or SSN information. NPIN, at its inception as the CDC National AIDS Clearinghouse, was designed to facilitate the sharing of information and resources among people working in HIV prevention, treatment, and support services. Today, NPIN remains a comprehensive source of science-based information, which is accessible to professionals dedicated to the prevention of HIV, Viral Hepatitis, STDs, and TB.

NPIN is comprised of the following sites:

staging.cdcpin.org

staging.npinsecure.cdcpin.org

staging.hivtest.org

staging.mobile.hivtest.org

staging.findtbresources.org (graphics change)

staging.customizabledatafeed.cdcpin.org (new site)

staging.cdcpin.org/CRP (new site)

archive.cdcpin.org (new archive site for internal use – info archived off the production site)

The NPIN Secure Extranet and the NPIN Customizable Data Feed site are accessible via a SSL-capable Web browser over the public Internet. Membership is restricted to government employees and contractors working on the NPIN contract. Access is permitted to those with a proper login only. Access to the system for privileged users requires the use of 2-Factor Authentication utilizing the Phone Factor software to complete the log-in process.

The changes that prompted the re-certification of the NPIN network included the following:

Archive.cdcnpin.org - data archived off the public cdcnpin.org site will be moved to this site for internal and CDC use only.

Customizabledatafeed.cdcnpin.org - data already available on the cdcnpin.org site via a user-defined set of criteria for data elements and filtering. This site will require login credentials.

CDCNPIN.org/CRP – Campaign Resource Page site that allows the public to search for CDC campaigns of interest to them via specified search criteria. The site also allows the CDC to post new campaign information.

TBResources.org – This site received a complete facelift updating the .net version to 4.0.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data that is housed within this network include catalogs, processes, stocks, and information on HIV/AIDS, STDs, and TB.

To provide materials and information on HIV/AIDS, STDs, and TB to organizations and people working in those disease fields in international, national, state, and local settings.

The NPIN system does not contain PII.

Personal information is not collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Program of Cancer Registries/Cancer Surveillance System (NPCR-CSS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0160

**5. OMB Information Collection Approval Number:** 0920-0469

**6. Other Identifying Number(s):** ESC# 1565

**7. System Name (Align with system Item name):** National Program of Cancer Registry-Cancer Surveillance System (NPCR-CSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The National Program of Cancer Registries Cancer Surveillance System (NPCR-CSS) collects and reports data from NPCR States. The NPCR is a population based system of cancer registries established in 1992 by the Cancer Registries Amendment Act (Public Law 102-515). . As of January 2008, 45 States, 1 territory, and the District of Columbia submitted data to NPCR-CSS. When fully implemented, States funded by NPCR will collect data on cancer for 96 percent of the U.S. population. As part of the system, cancer registries also submit information about registry operations to CDC on an annual basis via a secure, web-based Annual Program Evaluation Instrument (NPCR-APEI). The NPCR-APEI is needed in order to receive, process, evaluate, aggregate, and disseminate NPCR program information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Computerized edits used to check the data for its quality are written and require the use of these IIF. Restricted-access data sets (RADS) may contain information that is potentially identifiable especially when linked with other data sets, such as the occurrence of a rare cancer in a person of a certain age or racial or ethnic group. Only the month and year (and not the full date of birth) are provided in this data set. Because restricted-access data sets may potentially contain identifiable information, states will have the option to not have their data included in RADS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) NPCR-CSS is a database-driven system designed to collect, record, and analyze patient cancer data.

2) Data will be used to generate statistical outputs and reports on cancer incidence throughout the United States.

3) NPCR-CSS contains the following information considered, by HIPAA, to be PII; county, zip code, census tract, date of birth, date of death, and exact age.

4) Submission of this personal information is mandatory. To protect privacy, programs may mask county, zip code, census tract, month/day of birth, and month/day of death with a recode.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes are in place. The data lacks the detail needed to identify individuals and is covered by an Assurance of Confidentiality Section 308(d). Therefore, individual notification cannot be conducted and consent cannot be obtained and is not required.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The NPCR-CSS data reside on a dedicated server. To ensure the security and confidentiality of data, many provisions have been incorporated into the NPCR-CSS Security Plan and NPCR-CSS System Documentation to protect the data. The NPCR-CSS server is housed in a secure facility with a guard on duty in the lobby 24 hours a day. Elevator and stairwell access is controlled by card key. The server resides on its own local area network (LAN) behind firewall. Access to the NPCR-CSS server is limited to authorized project staff. It is password protected on its own security domain. No one but



project staff is allowed access to the NPCR-CSS data. All project staff must sign a confidentiality agreement before passwords and keys are assigned. All staff must pass background checks appropriate to their responsibilities for a public trust position. NPCR-CSS data that are submitted electronically are encrypted during transmission from the States. They arrive on a document server behind firewall. Each state has its own directory location so that no state has access to another state's data. The data are moved automatically from the document server to the NPCR-CSS server. Receipt and processing logs are maintained to document data receipt, file processing, and report production. All reports and electronic storage media containing NPCR-CSS data are stored under lock and key when not in use and will be destroyed when no longer needed. A comprehensive security plan has been developed for the NPCR-CSS system. All project staff receives annual security awareness training covering security procedures. Periodic (currently quarterly, but no less than once a year) review and update of the security processes will be conducted to adjust for rapid changes in computer technology and to incorporate advances in security approaches. The security plan will be amended as needed to maintain the continued security and confidentiality of NPCR-CSS data.

IIF = yes

Risk Analysis date: 6/15/2009

E-Auth Level = 2

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Respiratory and Enteric Virus Surveillance System (NREVSS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9422-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 882

**7. System Name (Align with system Item name):** National Respiratory and Enteric Virus Surveillance System (NREVSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Aaron Curns

**10. Provide an overview of the system:** NREVSS was designed to allow CDC to assess and monitor epidemic activity on an ongoing basis, and to better characterize temporal and spatial trends in virus activity of the following viruses: respiratory syncytial virus, human parainfluenza viruses 1 - 4, respiratory and enteric adenoviruses, rotavirus, human metapneumovirus, and influenza. NREVSS uses a web-based data submission application. The purpose of this system is to provide participating laboratories with a simple and efficient means to contribute data. NREVSS collects data on the total number of tests performed and the total number of positive tests for each virus mentioned above. It stratifies these data by test type. Test types reported include antigen detection, virus isolation, electron microscopy, and PCR. Also collected is the time and date the data are submitted, as well as the reporting week and season that the data represents. No personally identifiable information is collected.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) NREVSS collects data on the total number of tests performed and the total number of positive tests for respiratory syncytial virus (RSV), human parainfluenza viruses (HPIV), respiratory and enteric adenoviruses, rotavirus, human metapneumovirus, rhinovirus, and enterovirus. There is no PII collected therefore no federal contact data is collected.

2) Data is then used in various ways including: reporting state-specific data to state health departments, providing data to external researchers, and sending influenza data to the Influenza Division so they can integrate them with other CDC influenza surveillance systems.

3) No PIA collected

4) No PIA collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PIA collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = 25 April, 2012

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Select Agent Registry (NSAR) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/25/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-21-01-03-01-0547-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0170

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** ESC# 547

**7. System Name (Align with system Item name):** National Select Agent Registry (NSAR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Barry Copeland

**10. Provide an overview of the system:** The NSAR mission is to provide the regulated community with a secure public web interface for the submission of required registration and related forms. Separately, it provides the Select Agent (SA) Program with document and records management support in compliance with National Archives and Records Administration (NARA) standards. It also provides for a secure national database and processing environment, to include data entry and complex reporting capability in support of national incident response or appropriate law enforcement queries.

NSAR stores the minimum data required to support 42 C.F.R Part 73, 7 C.F.R. Part 331, and 9 C.F.R. Part 121.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, APHIS, for compliance with federal mandates.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** NSAR stores the following information about the individuals identified in the CDC-APHIS forms 1-5: First name, middle name, last name, organization, title, address (street, city, state, zip), telephone number, fax number, and email address. Submission is mandatory

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The information collected by the EAIPS program will be submitted (as identified in 42 C.F.R. Part 74, 7 C.F.R. Part 331, and 0 C.F.R part 121) through the submission of CDC-APHIS forms 1-5.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** NSAR stores data in a series of password protected databases hosted in secure environments. The system and supporting paper documents are located within secure spaces compliant with Defense Security Services (DSS) standards. All personnel with access to the data will have current DoD Secret level clearances (or equivalent).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** David Knowles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden, OCISO

**Sign-off Date:** 4/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Toxic Substance Incidents Program (NTSIP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** Yes 0923-0008

**6. Other Identifying Number(s):** ESC# 96

**7. System Name (Align with system Item name):** National Toxic Substance Incidents Program (NTSIP) F.K.A. HSEES

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Maureen Orr

**10. Provide an overview of the system:** The National Toxic Substances Incident Program (NTSIP) system was established by ATSDR to collect and analyze information about acute releases of hazardous substances, as well as threatened releases that result in a public health action such as an evacuation. The goal of NTSIP is to reduce the morbidity (injury) and mortality (death) that result from hazardous substances events, which are experienced by first responders, employees, and the general public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** PII is only shared or disclosed to NTSIP users who are State and International Partners (State Health Departments). Each state can only access their data. DHS users can access data from all states, but name, address, and phone fields are encrypted. Data are also shared with the Department of Transportation, but the data are filtered and contain no PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NTSIP collects company name and address information on the event location and the name of parties responsible for hazardous chemical events. An event location can be an address of an individual (private household), but individual names are not collected. The application also collects name, agency, address, and phone information on the party who notified the state department of the event. General information on victims is also collected (age, sex, injury type), but no personally identifiable information is entered.

The event location address is used to determine the latitude/ longitude values, and demographics/ proximity information of hazardous events to aid in prevention and outreach. The name of the event location is used to determine the type of industry that was involved with the hazardous release. The notification information is used for contact purposes in case data received is incomplete. User names, states, and email addresses are stored for user roles and privileges. The PII collected in this system is not mandatory.

Data are entered by participating state health departments into a web-based application that enables ATSDR to instantly access a limited amount of data for analysis. Identifiable fields are encrypted to ATSDR and can only be viewed by the event owner.

Data collected include the following:

- Name, address, and phone # of the source that notified the state health department of the event and the date of the notification.
- Time, date, and day of the event.
- Geographic location (street, city, county, state, zip, country, latitude, longitude)
- Name of the event location, and the name of the party responsible for the release.
- The type of industry involved
- The proximity and demographic (land use and nearby population information to estimate the number of persons potentially exposed)
- Place within the facility where the event occurred
- Event type (fixed-facility or transportation related event)
- Factors contributing to the release
- The substances released
- Environmental sampling and follow-up health activities
- Specific information on injured persons: age, sex, type and extent of injuries, distance from spill, population group (employee, general public, responders, student), and type of protective equipment used
- Information about decontaminations, orders to evacuate or shelter-in-place



NTSIP shares non-sensitive data with the Department of Transportation (DOT). DOT uses this data to compare and merge with incident data located in their database to look for gaps, overlaps, and extrapolation to states get national estimates.

(2) Data are used to:

- Provide presentations of data from NTSIP to industries that account for a significant number of spills to help plan prevention strategies
- Provide data for Hazardous Material training courses, including data on the risk of injury from methamphetamine labs
- Provide data to establish and maintain protection areas for municipal water systems
- Provide data by county on spills to assist with the proper placement of Hazardous Material teams and equipment
- Distribute fact sheets on frequently spilled chemicals or chemicals that cause a disproportionate number of injuries, such as chlorine and ammonia
- Distribute newsletters or fact sheets to industry, responder, and environmental groups
- Provide presentations for state and local emergency planners

(3) NTSIP contains PII.

(4) Submission of personal information is voluntary and is entered by State and International Partners (State Health Departments), not individuals.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) N/A. The system does not directly collect PII from the individuals. PII is entered by State Departments.

(2) N/A

(3) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative:

Users are assigned unique roles and privileges depending on their titles. The NTSIP system administrator is responsible for assigning these roles.

Technical:

Depending on the user's role certain fields containing PII data are encrypted. Company name, address, and telephone information are entered by and visible to State users, but are encrypted to the Division of Health Studies (DHS) representatives.

User access and authentication is provided through a Secure Data Network (SDN) issued digital certificate which is valid for one year from the date of receipt. Each user will be assigned a unique numeric token which will be used to access the SDN Web Server and assign user roles and privileges. SDN also requires a passphrase to access the SDN Web Server.

Physical Controls:

Production and test servers are stored in a server room secured by the CDC. Access tools are in place to secure entry into CDC buildings (Guards, ID Badges, Key Card, Cipher Locks, Closed Circuit TV).

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/25/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Tuberculosis Surveillance System - External (NTSS-E) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** National Tuberculosis Surveillance System - External (NTSS-E)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jose Becerra, MD

**10. Provide an overview of the system:** The purpose of the National Tuberculosis Surveillance System External (NTSS-E) is to provide an external reporting environment to present approved state users with access to data quality reports specific to their state's TB Surveillance data. Users would be required to register in SAMS (CDC's Secure Access Management System for external users applications) and be restricted to viewing only their state data. The NTSS-E application will not process, transmit, or store Personally Identifiable Information (PII). The application will be written in JAVA and utilize Microsoft SQL Server 2008. All information originally comes from the Common Data Store (CDS) TB Data Mart, created and updated by the Data and Message Brokering (DMB) and from the NTSS-I database and contains state RVCT surveillance data. No updates are made via this reporting application, as all updates must come through the PHIN-MS secured messaging environment and processed through the NTSS-I system. Information is transferred by using scheduled SSIS stored procedure jobs to refresh data views from the NTSS-I database into the NTSS-E database. Data refreshes will be scheduled weekly. NTSS-E does not process, store, or transmit Personally Identifiable Information (PII).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information in the database includes county, state, and zip code, but no PII/IIF would be included (no street address or names). The surveillance information requested by CDC consists of detailed reports of persons with TB (de-identified), including information on the individual's HIV serostatus, demographics (e.g., homelessness, correctional institution, or long-term care facility), alcohol and drug use, drug therapy, and drug susceptibility results. The data are used by U.S. Public Health Service scientists and cooperating state and local health officials to help understand and control the spread of TB. No PII data is processed, stored, or distributed by this application.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Tuberculosis Surveillance System - Internal (NTSS-I) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** National Tuberculosis Surveillance System - Internal (NTSS-I)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jose Becerra, MD

**10. Provide an overview of the system:** The purpose of the National Tuberculosis Surveillance System Internal (NTSS-I) is to provide an internal data processing environment utilizing CDC approved desktop COTS applications (Rhapsody, SAS System, and Microsoft SQL Server Integration Services (SSIS)) to transform data, prepare tables for SAS analysis and loading into other DTBE systems (TB GIMS and NTIP). All information comes from the CDS TB Data Mart and from the TIMS database and contains state RVCT surveillance data.

Information is transferred by using Rhapsody processes and SSIS stored procedure jobs to merge and transform RVCT data. Meta data structures and common data reference libraries are used to support processing and reporting, as well as routine and ad hoc data cleaning activities.

Provisional and final data sets are generated using SAS for internal use by DTBE staff. Other data files are produced using SSIS and SAS for uploading into other database systems. Source data is typically loaded daily and reprocessed, but output is typically shared weekly or biweekly, depending on the programmatic needs.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information in the database includes county, state, zip code and DOB, but no other known PII/IIF would be included (no street address or names). The surveillance information requested by CDC consists of detailed reports of persons with TB (de-identified), including information on the individual's HIV serostatus, demographics (e.g., homelessness, correctional institution, or long-term care facility), alcohol and drug use,

drug therapy, and drug susceptibility results. The data are used by U.S. Public Health Service scientists and cooperating state and local health officials to help understand and control the spread of TB. The only PII data is DOB, and submission is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** As data is collected by State Health organizations:

NTSS-I does not notify nor obtain consent from any individuals whose PII is provided from State Departments of Health and State and Local Public Health Labs when major changes occur to the system.

NTSS-I does not notify nor obtain consent from any individuals regarding what PII is being collected from them.

NTSS-I does not provide any information to any individual on how data is used or shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** NTSS-I servers are maintained in DSS where the security controls for a Moderate system (SP 800-53 are implemented and facilitated). NTSS-I users access the data on the system based on a need-to-know basis, NTSS-I users have AD accounts with RBAC profiles. NTSS-I users are granted access to the data based on their roles.

The servers have auditing in place for the OS and SQL database logs, and the servers are protected within DSS in a secure environment with security guards, CCTV, proximity cards and readers.

PII Yes

Risk Analysis Date: 10/1/2010

E-Auth Level: N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas p Madden

**Sign-off Date:** 11/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC National Violent Death Reporting System (NVDRS) Web enablement (Web) - PILOT [System PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/11/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID 980

**7. System Name (Align with system Item name):** National Violent Death Reporting System (NVDRS) Web enablement (Web) - PILOT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leroy Frazier

**10. Provide an overview of the system:** The purpose of the National Violent Death Reporting System (NVDRS) Web Pilot system is to conduct user pilot and acceptance testing for the NVDRS Web based system development. The user group for this pilot consists of approximately 40 members from funded and participating State Health Departments who do not have access to internal CDC networks. No live data will be used for the NVDRS Pilot. Only mock and training data will be used. The main objective of the pilot is to allow this user group access to the system to provide feedback to CDC pre-production. Results of this pilot will be used to make system changes and enhancements. Additionally, due to the complex nature of the data and the process of entering information into NVDRS, system success is highly dependent on user feedback.

The NVDRS Web will be an incident-based system designed to capture data on violent deaths (suicides, homicides, deaths of undetermined intent, and unintentional firearm deaths) in a relational database. Information on deaths will be collected by state-level partner agencies (typically state health departments), and information is transmitted to the CDC after being stripped of all personally identifiable information.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NVDRS Web PILOT will only contain dummy and training records. There will not be any live data in this system.

(2) This data will be used for user acceptance testing and pilot training purposes.

(3) The system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/11/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC National Violent Death Reporting System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9623-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 980

**7. System Name (Align with system Item name):** National Violent Death Reporting System (NVDRS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leroy Frazier, Jr.

**10. Provide an overview of the system:** The National Violent Death Reporting System (NVDRS) is an incident-based system designed to capture data on violent deaths (suicides, homicides, and deaths of undetermined intent) in a relational database. Information on deaths are collected by state-level partner agencies (typically state health departments), and information is transmitted to the CDC after being stripped of all personally identifiable information. Cases are typically identified as death certificates are filed or by data abstractors reviewing cases reported to coroner/medical examiner (CME) offices. Accordingly, data within the NVDRS can be analyzed on the victim or suspect level (e.g. to determine details about victims or suspects) or the incident level (in which multiple homicides or linked homicide/suicides can be considered as single events). Each state's own Violent Death Reporting System establishes the details of that state's cases from primary and secondary data sources. Primary data sources are: death certificates (DC), CME records, police reports (PR), and crime laboratory data. Secondary, or optional data sources are: child fatality review team data (CFR), supplementary homicide reports (SHR), hospital (Hosp) data, emergency department (ED) data, and Alcohol Tobacco, Firearms and Explosives (ATF) trace information on firearms.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system does not contain any PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NVDRS collects information on victims (deceased persons) and alleged perpetrators (deceased or live suspects). Details are collected on a large number of socio-demographic characteristics of deceased persons and basic demographic characteristics of both live and deceased suspects. The system also collects additional information on circumstances contributing to the deaths, such as (for suicides and deaths of undermined intent) circumstances related to mental health, disclosed intent, and precipitating factors; and felony-related or non-felony related circumstances for homicides. In addition, NVDRS captures data on interpersonal relationships, toxicology and the mechanisms of injury.

(2) This system allows data from police reports, death certificates, coroner's reports, medical examiners' offices, and medical providers to be combined into one cohesive data base allowing a variety of public health professionals and decision-makers to analyze and understand the nature of and trends of violence in the United States.

(3) The system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National Vital Statistics System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 1/8/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-01-1030-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0166

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 191

**7. System Name (Align with system Item name):** National Vital Statistics System (NVSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Delton Atkinson

**10. Provide an overview of the system:** The National Vital Statistics System (NVSS) collects selected data from 57 jurisdictions (50 states, 2 cities, 5 US Possessions. Selected data is based on the US Standard Certificates of Birth and Death and the US Standard Report of Fetal Death. Except for the Death records, no IIF is collected from any jurisdiction.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Special data releases approved by State vital registration officials Census Bureau for Population projections and estimates. Published reports prepared by NCHS staff or contractors are available to the public generally. Electronic microdata files containing no personally identifiable information are provided to the public as well. With the permission of the data provider (e.g. State Registrars) in a restricted data access program, electronic files containing additional detail is provided to qualified researchers who have signed a Restrictive Confidentiality Agreement. The Department occasionally contracts with a private firm for the purpose of collecting,

analyzing, aggregating, or otherwise refining records in this system. Relevant records are disclosed to such a contractor. The contractor is required to maintain Privacy Act safeguards and to strictly follow Section 308(d) of the Public Health Service Act. NCHS may disclose selected identifiable information to authorized recipients such as the Social Security Administration for statistical analysis purposes only, consistent with the requirements of Section 308(d) of the Public Health Service Act and the Privacy Act.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Selected data from birth, death, and fetal death records are collected for statistical (aggregate) analysis to identify and highlight public health and demographic trends relating to those events. No IIF is used in such analysis and no IIF is included in publications that result from the analysis work. Public Use datasets are created from the final annual datasets, with a screened subset of the available data elements. No IIF is included in any public use dataset.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The only IIF collected by the NVSS is for death records.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Every person with access to the NVSS (administrators, developers, end users) is governed by CDC Privacy and Confidentiality policies. Access to data is controlled by Window Integrated Authentication, which restricts use to internal, authorized CDC users. The data center in which all servers, database, and network hardware and software reside is protected with software and hardware firewalls, physical access controls, and user authentication.

The SSN is collected for the decedent on a death record. The SSN will be masked on displays and reports. Decedent names, father's surname, and dates of Birth/Delivery are collected for decedents, newborns, and parents. No other IIF is collected on birth or fetal death records.

E-Authentication Assurance Level = 3

Risk Analysis Date = November 06, 2009



*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/12/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC National West Nile Surveillance System (Arbonet) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/8/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1480-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 911

**7. System Name (Align with system Item name):** National West Nile Surveillance System (ArboNet)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marc Fischer

**10. Provide an overview of the system:** National West Nile Surveillance System (Arbonet). Allows reporting of arboviral cases from the states. Can be reported through XML or through ArboNet front end.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** ArboNet provides an electronic-based surveillance and reporting system of West Nile and other arbovirus activity in

humans, birds, mosquitoes and other mammals in order to facilitate the exchange of information and data between federal, state and local authorities. ArboNet captures arbovirus cases from states in five categories: human, mosquito, avian, veterinary, and sentinel animals.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/8/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NCCDPHP Contracts Tracking [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1055-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CCID NCPDCID OD NCCDPHP Contracts Tracking

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NCCDPHP External Platform (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NCCDPHP External Platform

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The NCCDPHP External Platform serves dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of NCCDPHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from NCCDPHP's funded and unfunded partners.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1. There is a variety of data and information, including business contact information.

2. Data/info dissemination; public health education; tools and training; program management; and program monitoring.

3. No PII in the system.

4. N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NCEH/ATSDR Application Change Tracking System (ACTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/10/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1672

**7. System Name (Align with system Item name):** NCEH/ATSDR Application Change Tracking System (ACTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol Waller

**10. Provide an overview of the system:** NCEH/ATSDR Application Change Tracking System (ACTS) is a client/server system using the AxoSoft's Commercial-off-the-shelf (COTS) product called OnTime and a SQL server. The software allows end-users to track various bugs within applications, automate various processes, enable project visibility, and track ongoing changes. OnTime is an integral piece of ACTS. ACTS is a product management suite application designed for bug tracking, requirements management, manage project visibility, a wiki, and help desk automation. ACTS is installed on the user's desktop and can send an email to a mailbox. The NCEH Office of Informatics will use ACTS for tracking various bugs within applications, automating various processes, project visibility, and tracking of ongoing changes in several systems across NCEH/ATSDR.

ACTS is needed by the NCEH/ATSDR general users to track various bugs within their applications for better work efficiency and to streamline processes. ACTS contains no Personally Identifiable Information (PII) of any sort and is a non web-based application.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No



**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) ACTS tracks various bugs within applications, automates various processes, enables project visibility, and tracks ongoing changes.

(2) ATS is designed for bug tracking, requirements management, manage project visibility, a wiki, and provides help desk automation.

(3) ACTS does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not have PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/19/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NCHHSTP Manuscript Tracking System (MTS) 615 [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/27/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9323-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 135

**7. System Name (Align with system Item name):** Manuscript Tracking System 615 (MTS 615)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Susan Robinson

**10. Provide an overview of the system:** The CDC's Office of Communication utilizes the 615 Clearance application for tracking and reporting on the 615 form for publications. The 615 Clearance application is an automated application that is available 24/7 that allows for easy tracking by users and managers as well as a system that will allow users and managers to create reports and receive notification of manuscript entries/edits/deletions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Manuscript reports

2) Tracking

3) No PII

4)N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/27/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC NCHS Automated Tracking System [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 10/20/2011

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number: 1329

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-20-0164

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC ID = 197

7. System Name (Align with system Item name): CDC NCHS Automated Tracking System (NCHSAT)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Dawn Scott

10. Provide an overview of the system: The NCHS Automated Tracking System (NCHSAT) is a GUI driven data management system which supports the address tracking of NCHS survey participants. Participant names and addresses are matched with United States Postal Service (USPS) address information files and/or National Change of Address Link (NCOALink) database

to collect information about participant migration and to collect updated address information. This system assists NCHS with re-contacting survey participants to conduct follow-up survey activities and to improve the data quality of administrative records data linkage projects.

The data collection activity is authorized by Section 308(d) of the Public Health Service Act (42 U.S.C. 242m(d)) All information obtained will be held strictly confidential and will be used for statistical research purposes only.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Data in the NCHS Automated Tracking System are used by the OAE Special Projects Branch and the NCHS survey owners for statistical purposes.

Survey participant name and mailing address is sent to the National Change of Address Link (NCOALink) and/or to Postmasters employed by the US Postal Service to confirm or obtain updated name and address information.

The data contained within the NCHSAT is not shared with any other persons within NCHS or external to NCHS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** As the Nation's principal health statistics agency, NCHS compiles statistical information to guide actions and policies to improve the health of the nation. To carry out this mission NCHS conducts several major national health surveys designed to collect data about the health status and health behaviors of the nation's population. During the conduct of these surveys, respondents are informed that their participation is voluntary and that they may refuse to answer any questions. Survey respondents are informed about the planned uses of the data and as part of the interview process are asked to provide personal identification information. Names and addresses of subject survey respondents, collected during respondent interviews are loaded into the NCHS Automated Tracking system at the completion of the active data collection period. The NCHSAT system is used to update name and address information through NCHS approved data collection and verification activities. These activities involve matching current name and address information to the National Change of Address Link (NCOALink) database and/or contacting Postmasters employed by the US Postal Service to confirm name and address information for current residents.

NCHS conducts survey participant tracking for two reasons: 1) to assist in re-contacting survey participants to conduct follow-up survey activities and 2) to improve the data quality of administrative records data linkage projects. Longitudinal follow-up studies provide a tool to measure health outcomes and to observe the natural history of diseases. By passively tracking survey respondent migration, NCHS reduces costs and increases survey response rates for key data collection activities. Administrative record linkage projects serve to increase the analytic potential of NCHS population based health surveys for epidemiologic research by linking exposures to health outcomes (such as mortality) and increasing the accuracy and level of detail of health data. Using the NCHSAT to collect accurate name and address information increases the accuracy of linking survey respondents to the correct administrative record, reduces the cost of survey respondent re-location after the initial survey contact, and results in less attrition in both longitudinal and linkage data collection efforts which improves the scientific value of the

data. Updating name and address information is the minimum activity necessary to locate survey respondent current residence.

The activities of the NCHS Automated Tracking system support DHHS strategic goal 4 – Scientific Research and Development Objective 4.2 Increase Basic Scientific knowledge to improve human health and development.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** NCHS obtains verbal notice of consent from survey participants to collect IIF. NCHS survey participants are always notified through the informed consent process that the provision of IIF is voluntary. The informed consent process informs survey participants of the intended uses of the data and the legislative requirements placed on NCHS to protect survey participant’s confidentiality. NCHS Ethics Review Board requirements do not allow NCHS to deviate from the intended uses of IIF provided in the informed consent process. Section 308(d) of the Public Health Service Act (42 U.S.C. 242m(d)) prevents NCHS from disclosing identifiable information collected from survey participants for any use other than statistical research.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:**

· Authorized Users: Authorized users of the NCHSAT may include Project Directors, statisticians, and IT specialists on the NCHS staff.

· Data Transmission Safeguards: Data is transferred via encrypted password protected CD to USPS licensee to obtain National Change of Address updates. A confidentiality agreement is signed with vendor for the purchase and release of the NCOA data. The Center’s bonded courier, the Federal Express, signs for the data and the data is returned in the same method. Currently, confidentiality agreements with NCHS indicate we are not allowed to transmit such data via FTP and/or other methods to the vendor. The files are sent via an AES (Advanced Encryption Standard) encrypted processing format and password is released under separate cover upon notification from vendor of receipt of file. Vendor agreements are approved by the NCHS Confidentiality Officer.

Address verification forms are sent to USPS designated Post Offices to the attention of USPS Postmasters for processing. A letter explaining authorization for collecting the data and how it will be used is sent to the USPS Post Master.

- Physical Safeguards: The CD's and hard copy printouts of records are stored in locked files or offices when not in use. Building security in Hyattsville, MD includes access controlled by a security guard and the use of identification badges by employees. The NCHSAT is updated with information extracted from CD's and/or USPS forms, and once the update is verified as accurate, the CDs and forms are destroyed by shredder.

- Procedural Safeguards: All employees of NCHS and contractor personnel with access to NCHS Automated Tracking records are required, as a condition of employment, to sign an affidavit binding them to nondisclosure of individually identifiable information to view an NCHS video tape addressing confidentiality and systems security, and complete annual recertification of IT Information Security Awareness Training. Periodic correspondence is sent to staff to reinforce confidentiality regulations, guidelines, and procedures. Protection for computerized records both on the mainframe and the CIO Local Area Network (LAN) includes programmed verification of valid user identification code and password prior to logging on to the system, mandatory password changes, limited log-ins, virus protection, and user rights/file attribute restrictions. Each user name is assigned limited access rights to files and directories at varying levels to control file sharing. There are routine daily backup procedures and a Vault Management System for secure off-site storage for backup tapes.

NCHSAT data is stored in a Microsoft SQL Server database. This database can only be accessed by windows authenticated users granted privileges to the database. Roles have been set up so that regular users can only access the data through the application. Authorization to work on specific activities within the system is granted by the survey administrator.

- Implementation Guidelines: These safeguards are established in accordance with guidelines in HHS Information Security Program Policy ([http://intranet.cdc.gov/ociso/Security\\_Policy.doc](http://intranet.cdc.gov/ociso/Security_Policy.doc) ) and the NCHS Staff Manual on Confidentiality. Security is provided for information collection, processing, transmission, storage, and dissemination in general support systems and major applications.

- Disclosure review: The NCHS Automated Tracking system does not release data to the public.

- Security review: The NCHSAT is under review by internal CIO security experts

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NCHS Management Information System (NCHS-MIS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/16/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0169

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 198

**7. System Name (Align with system Item name):** NCHS Management Information System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Richard Connor

**10. Provide an overview of the system:** The NCHS Management Information System is an automated tool that administrative staff in the Office of Management and Operations and the Office of the Center Director at the National Center for Health Statistics use to track administrative information so reports required by management can be produced. The major type of information found in the MIS is current employee information.

The MIS is made up of the following modules: NCHS Staff Directory, Position Management, Awards, NCHS Budget Tracking, FTE Reporting, NTEU Time Tracking, Personnel Query, Historical Information Personnel, NCHS Org Chart, Flexiplace, Vacant Offices, OCD Correspondence Log, Personnel Reporting Retirement Eligibility, and Travel Conference.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Share names and addresses of recent NCHS retirees with NCHS Public Affairs Office (PAO). PAO is in charge of the NCHS Retiree Luncheon and they mail each retiree an invite.

As per the Collective Bargaining Agreement between NCHS and NTEU, NCHS is required to supply NTEU with names and email addresses of bargaining unit employees.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The NCHS Management Information System is an automated tool that administrative staff in the Office of Management and Operations and the Office of the Center Director at the National Center for Health Statistics use to track administrative information so reports required by management can be produced. The major type of information found in the MIS is current employee information.

The MIS is made up of the following modules: NCHS Staff Directory, Position Management, Awards, NCHS Budget Tracking, FTE Reporting, NTEU Time Tracking, Personnel Query, Historical Information Personnel, NCHS Org Chart, Flexiplace, Vacant Offices, OCD Correspondence Log, Personnel Reporting Retirement Eligibility, and Travel Conference. The submission of the PII data is Mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Per the CDC Implementing Instructions for the DHHS Information Security Program Policy (5.1), Identification and Authentication, access controls must utilize user or role based CDC approved authentication mechanisms as a basis for accessing objects or information. Procedures and rules are developed to govern establishing, activating, modifying, and terminating an account and the associated access rights.

Access to all CDC systems must be authorized by the appropriate manager and must include access rights (or privileges) commensurate with the user's job responsibilities. The access assigned should reflect the level of confidentiality, sensitivity and value of the data and be safeguarded accordingly. Access must only be given to the information or systems that are

necessary for the user to perform their job function. The procedures used to assign user access must be documented. Authorization for access assignment must be auditable.

Per the CDC Implementing Instructions for the DHHS Information Security Program Policy (5.1.1), Identification, CDC information resources shall be managed to ensure the appropriate degree of security, confidentiality, integrity, accessibility, authenticity, reliability, and accuracy based on the criticality and sensitivity of the information.

CDC-associated individuals shall be formally authorized for access to information resources not made available to the public, and shall exercise all authorized access to computer-based information and systems through an electronic identity (commonly called a "User ID" or a "computer account") that maps uniquely to her/him. The computer-controlled limits on what can be done by the "User ID" will be expanded - from the standard default of no access - only enough to assure that the individual's assigned duties can be performed, called the "least privilege" configuration. In special circumstances, an individual may have more than one User ID assigned; but each such User ID must map uniquely to him/her and may only be used by him/her.

Authentication for resources is through the use of usernames and passwords. Users and processes acting on behalf of users are uniquely identified through the various networks. Login to the management application is handled by application-level authentication using a username and password. Login to databases by other utilities and applications is handled by user rights assigned in the database.

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = 3

Risk Analysis Date = September 17, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/22/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NCHSTP GAP Request (N/A) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** N/A

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 1050

**7. System Name (Align with system Item name):** CDC CCID NCPDCID CoCHP Intranet Platform NCHSTP GAP Request

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

GAP Research Inquiries.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NCIPC Extramural Tracking System (NEXT System) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9509-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 897

**7. System Name (Align with system Item name):** NCIPC Extramural Tracking System (NEXT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robin Forbes

**10. Provide an overview of the system:** The National Center for Injury Prevention and Control (NCIPC) Extramural Tracking System (NEXT) is an information and management system which provides the center a centralized tool that includes performance tracking for all extramural funding for NCIPC as well as historical data on funding recipients, external reviewers, project officer management and funding analysis.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** (1) NEXT includes performance tracking for all extramural funding for NCIPC as well as historical data on funding recipients, external reviewers, project officer management and funding analysis.

(2) NEXT is a workflow system for tracking the publishing of Funding Opportunity Announcements and the award process for the CDC's National Center for Injury Prevention and Control (NCIPC).

(3) NEXT does not contain PII.

(4) N/A. Next does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. NEXT does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NCIPC Success Stories Portal (SSP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1682

**7. System Name (Align with system Item name):** NCIPC Success Stories Portal (SSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendy Holmes

**10. Provide an overview of the system:** The National Center for Injury Prevention and Control (NCIPC) is developing a NCIPC wide web-based portal system, Success Stories, that is designed for injury and response-related success story development and story archive, as well as related training and technical "on demand" assistance through a Help Avatar.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) SSP will collect and



share injury/ response-focused success stories, capture health problems, share best practices, and showcase impact.

(2) NCIPC will use SSP to

- Gain support for successful injury research and program-related projects
- Educate on the importance of injury prevention and response
- Recognize the accomplishments of NCIPC, its grantees, and partners within injury prevention and response practice
- Make key audiences aware of the benefits NCIPC has to offer

(3) The information collected does not contain any PII.

(4) N/A. The system contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system does not contain PII.

EAAL = N/A

Risk Analysis Date = 5/6/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC New Vaccine Surveillance Network [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-9224-00-110-031

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No, System does not constitute a “system of records” under the Privacy Act.

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC #: 1072

**7. System Name (Align with system Item name):** New Vaccine Surveillance Network (NVSN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendi Kuhnert

**10. Provide an overview of the system:** The system collects inpatient, outpatient, and well-child new vaccine surveillance data to evaluate the impact of new vaccines and vaccine policies. The data is used for multiple studies. Data are analyzed by CDC or site personnel. Results are presented at the Advisory Committee for Immunization Practices (ACIP), professional meetings and in peer-reviewed journals. The audience is generally the public health scientific community. Results have been used in the determination of U.S. vaccine policy and recommendations by the ACIP.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, System does not share or disclose information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** NVSN collects data via seasonal active population-based surveillance for hospitalizations associated with acute respiratory illness (ARI) and acute gastroenteritis (AGE) in children who reside within specific counties. Active surveillance is also conducted in emergency departments and outpatient clinics. The surveillance data includes: symptoms, diagnosis, interview information, lab results, and vaccine verification. Research on the data will lead to changes in strategies and policies to maximize the effectiveness of the vaccines and create national level vaccine recommendations. Date of Birth is the only PII contained in the system. The inclusion of Data of Birth (or age) is mandatory because the effectiveness of vaccines is directly tied to the age of the patient and the patient data cannot be studied without it

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The Date of Birth is the only PII in the system. The date of birth is never shared or disclosed by NVSN except in aggregate statistical research results where 100s of patients are represented. Thus, NVSN never shares or discloses any PII outside of research. If, however, disclosure changes or other major changes do occur, the NVSN sites have a paper record of patient contact information to reach patients. The patient's parents or legal guardians will be called and notified to obtain consent and let them know how the information will be used or shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: IIF data are backed up daily and copies stored in a separate facility. The SQL Server database administration is maintained by ITSO. All modification to the database conforms to ITSO CM. Technical controls: Access to the data is controlled by user ID and password in addition to the user ID and password needed to access the network. Physical controls include security guards, ID badges, cardkeys and cipher locks.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Newborn Screening Quality Assurance Program [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 369

**7. System Name (Align with system Item name):** Newborn Screening Quality Assurance Program (NBSQAP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol Bell

**10. Provide an overview of the system:** The Newborn Screening Program conducts quality assurance for state and international laboratories which screen for treatable inherited metabolic diseases in children. Effective screening by states, using dried blood spot (DBS) specimens collected from newborns soon after birth, combined with follow-up diagnostic studies and treatment, helps prevent mental retardation and premature death.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
NBSQAP does not share or disclose IIF information. Only the partner can view its own information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) NBSQAP collects and maintains test scores from various test laboratories and partner information.

2) The National Center for Environmental Health (NCEH) laboratory developed the Newborn Screening Quality Assurance Program (NBSQAP) for Inborn Errors of Metabolism, Sickle Cell Disease, and Other Hemoglobinopathies. NBSQAP uses partner identifier (name) and a non-personal email address to exchange test results (all communications are between non-personal mailboxes).

3) NBSQAP contains no PII that is subject to the Privacy Act

4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. NBSQAP contains no PII that is subject to the Privacy Act

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Yes. See attached E-Auth Appendix to the BSI.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NewBorn Screening Requisition Database (NBSRDB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** NewBorn Screening Requisition Database (NBSRDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Steve Vickery

**10. Provide an overview of the system:** The NewBorn Screening Requisition Database (NBSRDB) is a non-web based application used by the NewBorn Screening and Molecular Biology Branch (NSMBB) to monitor purchases by recording requisitions in an Access database. The information contained in this system includes the following: the requestor, the receiving person, payment type, custodial account, order ID, justification, purchase date, required by date, supplier, catalog number, quantity, price, common account number, project code, object code, receipt information and maintenance contracts. The system can generate reports including spending summaries by accounting codes, vendor and requisition tracking. Some reports have a drill down for detailed account analysis. Reports can be exported to Microsoft Word, Excel or PDF format. Approximately 40 users have access to the system. The team leaders decide which users have access to the system. This system does not contain any Personable Identifiable Information (PII).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No



**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No, this system does not contain any Personable Identifiable Information (PII).

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. The program will collect requisitions used by the Newborn Screening and Molecular Biology Branch.

2. The purpose is to be able to effectively manage the branch's expenditures.

3. This system does not contain any Personable Identifiable Information (PII).

4. N/A - This system does not contain any Personable Identifiable Information (PII).

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A - This system does not contain any Personable Identifiable Information (PII).

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NH DNA Bank [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1598

**7. System Name (Align with system Item name):** NH DNA Bank (NHDNABANK)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Margaret Gallagher

**10. Provide an overview of the system:** The Molecular Biology staff (now part of the New Born Screening and Molecular Biology Branch) is creating a DNA bank of samples from the NHANES study and other sources. These samples are collected for genetic epidemiologic studies. The branch has developed a custom front-end MS Access application, NH DNA Bank (NHDNABANK), which is used for tracking specimens, recording laboratory processing and quality control. The application automatically assigns the unique IDs for sample and process identification and provides other useful functions to the laboratory staff. Reports and bar-coded sample labels are provided by this application. The NHDNABANK system contains no Personable Identifiable Information (PII) of any sort

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. NHDNABANK does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Sample information from the NHANES study and other sources.

(2) Samples are collected for genetic epidemiologic studies.

(3) NHDNABANK contains no PII.

(4) N/A. NHDNABANK contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. NHDNABANK contains no PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. NHDNABANK contains no PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Administrative Platform (NIOSH AP) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/14/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** NIOSH Administrative Platform (NIOSH AP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kenneth W. McKneely

**10. Provide an overview of the system:** NIOSH AP is a NIOSH system designed as a platform for internally developed administrative applications with common architectural design elements, information types and low security categorization impact levels. The NIOSH AP will contain systems which have administrative purposes including information dissemination, employee resources, and other data important to NIOSH administrative function. NIOSH AP will be used for additional future applications that reuse the code base for similar purposes. Additions and other changes to NIOSH AP will be made either via the Change Management Process for minor changes or via resubmitting the Authorization Package for major changes.

The NIOSH AP system is designed to allow access to data hosted on ITSO managed Microsoft SQL Servers running in the DSS. Frontend access is via Microsoft IIS Web Servers hosted in the DSS or Microsoft Access Project files hosted on the CDC network to backend. All of the servers are maintained by ITSO and conform to the CDC Secure Baseline Configurations. No Legacy Microsoft Windows 2000, SQL 2000, or prior version servers are employed in the NIOSH AP system. The systems will be maintained on the current CDC ITSO recommended and managed Microsoft web server and database management platforms.

NIOSH AP consists of several applications:

DSHEFS News and Social Media Feed System -

(\\cdc\project\NIOSH\_DSHEFS\_OCCHazards\RSSPrototype.adp) - This system will allow users to subscribe and combine RSS feeds into a database on any topic of their choice. The feed sources can be any RSS feed including news feeds or social media sites that support feeds (e.g. "Twitter"). Feeds can be based on keywords spanning several sources. The system can then further filter the information using SQL full-text search capabilities. The resulting database is updated on a scheduled basis (currently on a once daily basis). This database is accessed by an MS Access ADP front-end application. This Access front-end provides utilities to

systematically review the data that has been pulled and mark it as relevant for any topic of interest.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative – User are granted access to NIOSH IntraApps when authorized by the lab administrator.

Technical - Data is secured through security settings in the application and SQL Server.

Physical – The SQL server is located in a server room with limited access in a building secured with guards, id badges, key cards and closed circuit TV.

PII no

E-Auth Level = N/A

Risk Analysis date: 2/1/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Engineering Controls Database (NECD) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NIOSH Engineering Controls Database (NECD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dawn Farwick

**10. Provide an overview of the system:** The purpose of the system is to provide NIOSH staff an internal online database tool that contains summaries of all NIOSH engineering controls developed or tested by NIOSH researchers.

The data are summaries written from previously published reports, journal articles or presentations, describing engineering controls researched by NIOSH engineers.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Summaries of all NIOSH engineering controls developed or tested by NIOSH researchers.

The data are summaries written from previously published reports, journal articles or presentations, describing engineering controls researched by NIOSH engineers. No PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Industry & Occupation Computerized Coding System (NIOCCS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/25/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NIOSH Industry & Occupation Computerized Coding System (NIOCCS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Susan Nowlin

**10. Provide an overview of the system:** The overall goal of this project is to develop a computer system that will efficiently, accurately, and uniformly translate industry and occupation (I&O) narratives found on employment and health records to standardized I&O codes. It will be used by internal NIOSH staff to improve the coding process for NIOSH studies and surveillance systems.

Public health surveillance of occupational illness, injury and hazards is the backbone of NIOSH injury and illness prevention efforts. There is a critical need to develop sensitive and timely surveillance systems to collect information on work-related illness, injury, disease, and exposure; analyze the data; disseminate the data and research findings; and establish research and prevention priorities, including evaluation of the effectiveness of intervention activities. An I&O computerized coding system permits more rapid translation of I&O data thus permitting more timely evaluation of emerging trends, changes in health and disease status, and exposure parameters.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1.(a) (required fields)  
Industry text, occupation text, unique ID

1.(b) (optional fields) Employer name, job duties, employer zip, age, education level

2) All fields are used solely for the purpose of coding industry and occupation text to standardized codes.

3) No PII is collected or maintained

4) No PII is collected or maintained

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII is collected or maintained

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/25/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Intranet Platform PIA SUMMARY AND APPROVAL COMBINED**

### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/2/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** NIOSH Platform for Intranet Applications (NIOSH IntraApps)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kenneth W. McKneely

**10. Provide an overview of the system:** NIOSH IntraApps is a NIOSH system designed as a platform for internally developed intranet web applications with common architectural design elements, information types and low security categorization impact levels. NIOSH IntraApps will be used for additional future applications that reuse the code base for similar purposes. Additions and other changes to NIOSH IntraApps will be made either via the Change Management Process for minor changes or via resubmitting the Authorization Package for major changes.

The NIOSH IntraApps system consists of frontend Microsoft IIS Web Servers running ASP.NET application hosted in the DSS connecting to backend Microsoft SQL Servers running in the DSS. All of the servers are maintained by ITSO and conform to the CDC Secure Baseline Configurations. No Legacy Microsoft Windows 2000, SQL 2000, or prior version servers are employed in the NIOSH IntraApps system. The systems will be maintained on the current CDC ITSO recommended and managed Microsoft web server and database management platforms.

NIOSH IntraApps consists of several similar web applications:

RATS – Radionuclide Tracking System (<http://ratsdev.cdc.gov>). A supplemental application used to assist the Radionuclide Safety Officer in generating reports and tracking radioactive waste including calculating the radioactive decay of the waste.

OAMS Intranet – (<http://morgantowndev.cdc.gov>). A data-driven site for OAMS – Morgantown used to provide safety, service, and labor management information to Morgantown employees/contractors.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative – User are granted access to NIOSH IntraApps when authorized by the lab administrator.

Technical - Data is secured through security settings in the application and SQL Server.

Physical – The SQL server is located in a server room with limited access in a building secured with guards, id badges, key cards and closed circuit TV.

N/A – No PII is collected.

EAAL = N/A

Risk Analysis Date = 2/1/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Partnership Database (PDB) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0055

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NIOSH Partnership Database (PDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Eric Knutsen, Security Steward

**10. Provide an overview of the system:** The purpose of the system is to provide NIOSH management and the r2p office an internal online database tool that captures and displays quality partnership information. NIOSH management has specified the need for tracking and managing NIOSH partnership information.

The system will aid the NIOSH r2p office in tracking NIOSH partnership information, as well as provide NIOSH management a comprehensive listing of NIOSH partners engaged in occupational safety and health research and practice activities. The database will be able to provide information for the compilation of partnership reports and enough detail to understand the level of involvement between NIOSH and the partner.

NIOSH management and the r2p office need to understand how NIOSH is partnering with external entities so they may fulfill the following functions:

- 1) report programmatic interactions with current partners,
- 2) take action on maintaining current partnerships,
- 3) communicate with partners on specific occupational safety and health topics, and
- 4) facilitate or develop new partnerships.

Partnership information includes: partner organization, partner organization individual contact information (person name, address, phone, email, web info), NIOSH employee contact information, partnership involvement description (agreements, projects, committees, standards setting bodies, contact etc.)

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Contains business directory information that could include PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The purpose of the system is to provide NIOSH management and the r2p office an internal online database tool that captures and displays quality partnership information. Partnership information includes: partner organization, partner organization individual contact information (person name, address, phone, email, web info), NIOSH employee contact information, partnership involvement description (agreements, projects, committees, standards setting bodies, contact etc.)

2) The system will aid the NIOSH r2p office in tracking NIOSH partnership information, as well as provide NIOSH management a comprehensive listing of NIOSH partners engaged in occupational safety and health research and practice activities.

3)Yes

4)Voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** 1) Any submission of personal information is voluntary and not required by the system. The system contains business directory information that could contain PII (a personal phone number, address or email). A process is in place to protect personal emails, addresses and phone numbers in the database when identified. Business directory information is within the public domain so is not considered PII.

2) The system pulls partner name and contact information from other data sources across NIOSH (including NORA sector contact lists, project planning system, and extramural program data sets). Data owners of the source partner data are responsible for working with NIOSH partners to inform them of how their information is used and tracked within NIOSH. When the r2p office is the data owner, we will verbally indicate to the partner how their information will be used.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Partner contact information is hidden from all non-administrative (view-only) users using a technical control on the UI. Only administrative users in the r2p office can view partner contact information. Administrative users are limited to the r2p office (approx. 5 r2p staff). NIOSH POCs are also assigned to each partner. NIOSH POCs will need to be consulted prior to contacting partners or releasing/using information. Any hard copies of partner contact names or contact information are kept under lock and key in a secure file area in the r2p office. The partnership database is also secured on NIOSH property.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH SAS/Intrnet Platform (NSIP) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NIOSH SAS/Intrnet Platform (NSIP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ken McKneely

**10. Provide an overview of the system:** The NIOSH SAS\Intrnet Platform (NSIP) is a platform for NIOSH applications that use the SAS\Intrnet which provides a method for using SAS in a web-client application. It consists of the following systems:

##### **CWHSP:**

The Coal Workers' Health Surveillance Program (CWHSP) is a federally mandated worker medical monitoring program. Its intent is to prevent early disease from progressing to advanced pneumoconiosis. Under this program underground miners have the right to obtain periodic chest radiographs. Individuals having certain indications of disease then have the right to transfer to a low dust occupation in the mine. The program is operated by the National Institute for Occupational Safety and Health (NIOSH), which has maintained the information from this program since its inception in 1970. The data can be queried to produce tables and maps using the interactive system below. Further information about the program is available at <http://www.cdc.gov/niosh/topics/surveillance/orcs/CoalWorkersHealthSurvProgram.html>.

##### **NORMS:**

The National Occupational Respiratory Mortality System (NORMS) is a data-storage and interactive data-retrieval system developed and maintained by NIOSH as an external-facing application since July 2004. NORMS is a compilation of national mortality data obtained annually (since 1968, unless otherwise indicated) from the National Center for Health Statistics (NCHS) multiple cause-of-death records. Industry-specific and occupation-specific mortality data are included (1985-1999, unless otherwise indicated) for a selected list of states (and years) for which industry and occupation coding from death certificates met NCHS quality criteria.

Deaths from these conditions are defined on the basis of International Classification of Diseases (ICD) coding categories. NORMS compiles mortality data for the total number of U.S. residents identified with selected respiratory conditions listed as a multiple cause of death, underlying cause-of-death, and for the subset of decedents where the respiratory condition is listed only as a contributing cause-of-death. Additional information is available by age group (0-14, 15-24, 25-34, 35-44, 45-54, 55-64, 65-74, 75-84, or 85 years and older), race (white, black, or other), Hispanic origin, sex, and state and county of residence at the time of death.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: (1)**

a. CWHSP: The system will disseminate summary data on the number, prevalence, and severity of coal workers' pneumoconiosis for coal miners participating in the CWHSP x-ray program, 1968-present, in the form of tables, charts and thematic maps. The system will have the capability of grouping results by various combinations of year, severity of disease, age group, and region, state, or county of the underground coal mine where each participating miner was employed.

b. NORMS: This system uses the subset of those data with any respiratory disease or condition listed at <http://webappa.cdc.gov/ords/norms-icd.html> and <http://isx-morg1/drds/jrw5/niosh/topics/norms-icd.html> that was coded as a multiple cause of death or as the underlying cause of death. The system disseminates summary statistics for the number, death rate, years of potential life lost, and mortality ratio of respiratory diseases by age, race, gender, state, county, and/or usual industry and/or occupation.

(2) The information will be available for public use.

(3) The information does not contain PII.

N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Surveillance Platform (NIOSH Surveillance-Plat) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1097

**7. System Name (Align with system Item name):** NIOSH Surveillance Platform (NIOSH Surveillance-Plat)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ken McKneely

**10. Provide an overview of the system:** The NIOSH Surveillance Platform is a collection of systems with common security requirements and data classifications. It consists of the following systems:

eChartbook – The Worker Health eChartbook web site is a descriptive epidemiologic reference on occupational morbidity and mortality in the United States. A resource for agencies, organizations, employers, researchers, workers, and others who need to know about occupational injuries and illnesses, the Chartbook includes more than 10,000 figures and tables describing the magnitude, distribution, and trends of the Nation’s occupational injuries, illnesses, and fatalities. It is a classic ASP application.

ABLES - The ABLES Interactive Query System is an ASP.NET application that uses web forms to query data from a SQL Server database. The data is Adult Lead Exposure cases throughout various states subscribed to the ABLES program. The default page contains links to various html files containing informational tables and interactive graphs and charts. There are list boxes allowing the user to filter their query results which are rendered in SQL Reportviewer on a separate aspx page. The report contains a table of cases by state and year along with a bar chart of occupational counts which are downloadable in pdf or excel format.

Firefighter Fatality Website - The Interactive Map of U.S. Fire Fighter Fatalities will be a queryable fire fighter fatality map displaying geocoded fatalities by user selected parameters such as year (beginning in 1990), state, fire fighter demographics, department characteristics, and nature of the fatality event (see Figure 1). Fatalities with pending and/or completed NIOSH

investigations (beginning in 1996) will be uniquely marked and may be selected as well. An information bubble for each selected fatality will provide case specific details about the firefighter and the incident as well as links to completed NIOSH investigation reports when available. A second map subsite will display information about nonfatal incidents with completed NIOSH investigations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected

EAAL = N/A

Risk Analysis Date = 3/22/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NIOSH Wireless Local Area Network (WLAN) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** NIOSH Wireless LAN (WLAN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vijande Burr

**10. Provide an overview of the system:** Provides Wireless CDC Network access for HELD lab service personnel and management conducting research.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.] No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC NIOSHTIC-2 [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9522-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1049

**7. System Name (Align with system Item name):** CDC NIOSHTIC-2

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** William D. Bennett

**10. Provide an overview of the system:** NIOSHTIC-2 is a searchable bibliographic database of occupational safety and health publications, documents, grant reports, and other communication products supported in whole or in part by NIOSH. The NIOSHTIC-2 website allows members of the occupational safety and health community to build simple or advanced queries, and to view, print, or download the results in multiple formats. NIOSHTIC-2 also has a thick-client component. This Windows-based program allows the administrators and data entry staff to add new and to modify existing NIOSHTIC-2 database entries.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NIP Registry Sentinel Project [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/15/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 01-04-02-9322-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 1367

**7. System Name (Align with system Item name):** NCIRD Registry Sentinel Project (Sentinel)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Karen Cullen

**10. Provide an overview of the system:** To understand changing patterns of acceptance of immunization soon enough to properly respond, the public health community requires an instrument that can monitor the public's response to events in a timely manner. NCIRD Sentinel Sites report aggregate immunization coverage data to NCIRD on a quarterly basis through a web-based data entry system. The data is stored in a SQL Server database from which standardized charts are generated for review by the CDC Data Manager. The system contains business contact information (name, phone and fax numbers and email address) of the state health department employee who does the data entry. All other data provided by the sentinel sites do not contain IIF.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Sentinel Sites will provide NCIRD with aggregate vaccination coverage information and data quality measurements both quarterly and on an ad-hoc basis. Sentinel Sites evaluate quarterly the current coverage of 4:3:1, 4:3:1:3, 4:3:1:3:3, 4:3:1:3:3:1 in the 19-35 month old population of their sentinel group and compare those numbers with estimates from the most current National Immunization Survey. Sentinel Sites also report quarterly on the number of doses of DTaP, polio, varicella, Hib, Hepatitis B, Hepatitis A, PCV7, and MMR administered to various age groups within the sentinel group.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = 6/3/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NPCR Annual Program Evaluation [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CoCHP Intranet Platform DCPC GA-Program Contacts (Admin)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NTB Identity Lifecycle Manager (ILM) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** None

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** CDC Identity Lifecycle Manager (ILM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** The Identity Lifecycle Manager system will synchronize identity information for HHS OpDivs to the CDC Mail System via CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to collect, process, or store PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to collect, process, or store PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system is not designed to collect, process, or store PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to collect, process, or store PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC NTB Mailbox Tools Server (MTS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NTB Mailbox Tools Server (MTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** The system hosts ASP.net applications which display and manipulate user information related to messaging activities managed by the NTB.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

1. ASP.net applications
2. To display and manipulate user information related to messaging activities managed by the NTB
3. No PII Collected

4. No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC NTB NetMon (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** None

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** NTB NetMon

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vijande Burr

**10. Provide an overview of the system:** The network monitoring system is composed of network taps which provide traffic flows of the CDC network to various monitoring systems utilized by ITSO and OCISO.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to collect, process, or store PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to collect, process, or store PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to collect, process, or store PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to collect, process, or store PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC NTB Quest Access Manager (NTB QAM) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/26/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** NTB Quest Access Manager (NTB QAM)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Crawley

**10. Provide an overview of the system:** Quest Access Manager provides a single console that identifies the Windows based files, folders, shares and other entitlements that users and groups can access throughout the CDC enterprise. It is designed to help the CDC generate reports to meet compliance requirements and control operational cost.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to collect, process, or store PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Windows based files, folders

generate reports to meet compliance requirements and control operational cost.

No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system is not designed to collect, process, or store PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to collect, process, or store PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/26/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Nutritionist Pro (Nutritionist) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0161

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1554

**7. System Name (Align with system Item name):** Nutritionist Pro

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Christie Zerbe

**10. Provide an overview of the system:** Contains a database of nutrition information that allows for dietary analysis of recipes and eating habits after entering name, height, wt, age, eating logs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Lifestyle Program Staff Member—Registered Dietitian (Internal Use)

-Enters information into the system for weight management analysis

-Utilized the analysis to generate a report with dietary recommendation for the participant.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information collected:

- (1) Name, DOB, Phone Numbers
- (2) Contains a database of nutrition information that allows for dietary analysis of recipes and eating habits after entering name, height, wt, age, eating logs.
- (3) IIF is being collected
- (4) Personal information is voluntary but is required if a dietary analysis of recipes and eating habits are to be conducted.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) Users are notified via a general OD announcement when changes occur in the system. Users are also asked to update and validate their information on a yearly basis. A privacy notice opens when the user first accesses their contact information.

(2) IIF is collected and maintained by CDC.

IIF may be used to search for individual records, but never disclosed except by signed authorization.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** User Id

Passwords

ID Badges

Key Cards

CCTV

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 24 November 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter



**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thimas P Madden

**Sign-off Date:** 4/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OADC CDC Connects Article Tracking [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/5/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

N/A

**6. Other Identifying Number(s):** ESC ID: 1642

**7. System Name (Align with system Item name):** OEC CDC Connects Article Training (CAT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Shaunette Crawford

**10. Provide an overview of the system:** OEC CDC Connects Article Tracking (CAT) is a web-based application that provides a user-friendly method to track articles from inception to publication. Story ideas are submitted within the OEC office or by CDC intranet users. OEC personnel can assign a reporter to a story idea, and the reporter can enter text and attach photos and files, so that all story material is easy to retrieve for publication. Story ideas that are not assigned to a reporter are retained in the database for possible future use.

Users can easily determine where a story is in the publication process since the application captures due dates, scheduled run dates, and review dates. A Calendar provides a quick overview of the stories scheduled for publication over the coming weeks, and can display out-of-office time scheduled by the office staff. A user can also request statistical reports profiling the stories that were published during a specified time period. CAT will not contain any Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The system does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected. E-Authentication Assurance Level = N/ARisk Analysis Date = June 17, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Occupation Emergency Response [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1555

**7. System Name (Align with system Item name):** Occupant Emergency Plan (OEP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Deborah McClanahan

**10. Provide an overview of the system:** The OEP is an emergency action plan for building evacuation and shelter in place procedures for personnel and visitors in the event of a fire, explosion, terrorist threats, or other emergencies occurring at all CDC/ATSDR locations. The CDC/ATSDR are responsible for the safety and security of all persons while they are on CDC/ATSDR property. The OEP is one of the many ways by which these agencies carry out this responsibilities. The OEP is a short-term emergency action plan that establishes procedures for safeguarding lives and property. The OEP consists of specific procedures for evacuation and shelter in place emergencies. Special situations, such as potential or confirmed contamination of a laboratory or the discovery of a suspicious letter or package, may require building occupants to evacuate or shelter in place

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) No IIF is collected, disseminated or maintained on the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 10 June 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Aanval (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/8/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO Aanval

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dixie Tuschl

**10. Provide an overview of the system:** Annval is the console that will be used for OCISO Snort sensor data management. It runs on a Red Hat server. Snort is a network intrusion prevention and detection system (IPS/IDS) that provides signature, protocol and anomaly-based network traffic inspection. Each individual sensor is a single machine running Red Hat. The machine has two interfaces, one of which is used for managing the machine and communicating information back to the database, and the other of which is used for listening to network traffic. Interface 2 is in promiscuous mode and does not have an IP address. The pf firewall on the system is set to only allow SSH connections from the database machine on Interface 1 to limit the machine's exposure to the network. All other traffic to the machine on Interface 1 is denied. Snort is configured to listen to traffic on Interface 2 and log alerts in the unified log format.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data from DNS, firewall, anti-virus, and IPS/IDS logs and/or events are fed into the Aanval console from the Snort sensors. OCISO will view data via a limited-access web-based dashboard hosted on the Aanval system. The data is used to monitor network activity and investigate security events.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

PII=No

Risk Analysis Date: September 28, 2010

E-Authentication Level = N/A

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/12/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Appscan (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO Appscan

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Harry Newsome

**10. Provide an overview of the system:** The CDC Appscan Vulnerability Scanner assesses all areas of a web application using Appscan Enterprise. Due to the fact that each web application functions uniquely and based on how it was developed, each application must be scanned in a custom fashion. Because of this, several stages of scanning are required to ensure the application is scanned as comprehensively as possible.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** The CDC Appscan Vulnerability Scanner assesses all areas of a web application using Appscan Enterprise.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Port-based and Active Directory group-based access. It is secured in a building with guards at the doors and proper fire/water damage controls.

Risk Analysis Date: February 8, 2011

E-Authentication Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Commvault (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Commvault Simpana

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rodney Conley

**10. Provide an overview of the system:** CDC OCISO is implementing an integrated incident management solution for use by the CDC Security Operations Center (SOC). Incidents are currently reported to and tracked within the Incident Management Solution run by the US Department of Health and Human Services (HHS) of which the CDC is a major operating component. Once an incident is reported to HHS, it is tracked by both the CDC and HHS.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The backup solution is used

to archive all OCISO data; therefore it will have data at the highest level that OCISO as a whole utilizes.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

Risk Analysis Date: November 29, 2010

E-Authentication Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Firewall (FW) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/24/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-0569-00-404-40

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 569

**7. System Name (Align with system Item name):** CDC OCISO Firewall

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kerey Carter

**10. Provide an overview of the system:** The Firewall Infrastructure controls inbound and outbound network traffic for the CDC Internal Network. The Management Consoles are used to develop policy and apply them to the individual Firewall Gateway Enforcement Points.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** e-Authorization Assurance Level = 3 (Local)

Risk Analysis Date = 10/23/2008

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 10/24/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO IBM Tivoli (BigFix) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO IBM Tivoli (BigFix)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rodney Conley

**10. Provide an overview of the system:** CDC OCISO is implementing an integrated incident management solution for use by the CDC Security Operations Center (SOC). Incidents are currently reported to and tracked within the Incident Management Solution run by the US Department of Health and Human Services (HHS) of which the CDC is a major operating component. Once an incident is reported to HHS, it is tracked by both the CDC and HHS.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** The only type of data that this tool gathers is the patch levels of each server that is scanned.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

Risk Analysis Date: November 29, 2010

E-Authentication Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO IT Infrastructure (N/A) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/26/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-0569-00-404-140

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 569

**7. System Name (Align with system Item name):** OCISO IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Rodney Conley

**10. Provide an overview of the system:** The OCISO IT Infrastructure consists of the systems used to accomplish the mission of OCISO within the CDC. This includes monitoring applications, network traffic, and machine configurations for compliance with the security policies of CDC. The infrastructure enables OCISO personnel to track accomplishments, protect hosts, and facilitates the discovery process during events and incidents. The information contained within these systems can include DNS names, IP addresses, systems settings, network traffic, and vulnerability information pertaining to CDC systems. As such, these systems are secured from unauthorized use.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Raw network packets are collected for analysis purposes.

The network data is collected for analysis to locate, identify, and remediate information security threats.

The nature of the network packet data is such that it may contain PII.

4.As this is an infrastructure system, any PII is subject to the processes of the owning organization and system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1)N/A – No PII is collected, and any PII stored is in a non structured format preventing reassembly (2) N/A - No PII is collected, and any PII stored is in a non structured format preventing reassembly (3) Information is analyzed to locate, identify, and remediate information security threats. The information is not disseminated.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Technical Controls: All data collected is limited to being access to the OCISO network enclave. This is tightly restricted through the use of firewalls. Usernames/Passwords, ID address restrictions, Audit Logs.

Administrative Controls: Role Based Training

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/26/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Nagios (N/A) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/15/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO Nagios

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dixie Tuschl

**10. Provide an overview of the system:** Nagios XI is a monitoring and alerting solution that provides OCISO with extended insight of their IT infrastructure before problems affect critical business processes. It provides monitoring of all infrastructure components including applications, services, operating systems, network protocols, systems metrics, and network infrastructure.

The OCISO Nagios XI system takes input from many OCISO devices. The data captured will be real-time system and network information allowing for better performance management and capacity planning of the OCISO infrastructure.

The Nagios XI system is used internally by OCISO staff on the Operations, Analysis, and Response (OA&R) team.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data from DNS, Firewall, Anti-virus, and IDS logs and/or events are fed into the Nagios database and OCISO will view data via a web based dashboard hosted on the Nagios system. The data is used to monitor network activity and investigate security events. The system is currently licenses at a 100GB daily index limit.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

Risk Analysis Date: July 7, 2010

E-Authentication Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO One Click Harvester (OCCH) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO One Click Collect Harvester (OCCH)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ray Hathcock

**10. Provide an overview of the system:** One Click Collect Harvester (OCCH) is a forensic document collection tool. This product is server based and can be programmed through job scripts. The intent of the software is to provide forensically sound copies of documents that can be used in a court without questions of authenticity. The software provides documentation for each file copied, such as MD5 hashes and date/time of copy.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** The CDC One Click Collect Harvester (OCCH) Vulnerability Scanner assesses all areas of a web application using One Click Collect Harvester (OCCH) Enterprise.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Port-based and Active Directory group-based access. It is secured in a building with guards at the doors and proper fire/water damage controls.

Risk Analysis Date: March 31, 2011

E-Authentication Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO PGP Universal Server (PGP) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO PGP Universal Server

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Amy Edmonds O'Dell

**10. Provide an overview of the system:** OCISO is implementing PGP Universal Server as a Pilot Enterprise service to CDC users who have the need to encrypt sensitive data/information.

The function of PGP Universal Server is to provide central key management and central key recovery for CDC PGP users. Central Key Management will allow authorized personnel in OCISO to decrypt sensitive data when contingency recovery is necessary.

PGP Universal Server 3.1.2 SP3 was purchased with 1000 PGP Desktop licenses. PGP Desktop 10.1.2 SP3 will be distributed to authorized CDC users and is required for encrypting and decrypting files, folders, and email. Licensing is available for PGP NetShare, Zip, Messaging and Whole Disk Encryption. PGP Desktop will be installed to integrate with PGP Universal Server 3.1.2 SP3 where specific policies will be defined for user consumption.

PGP Universal server provides organizations with a single console to manage multiple encryption applications from the PGP Platform. PGP key features are as follows:

- Central Administration – single console to manage multiple encryption applications from the PGP Platform.
- Policy enforcement – assigned security policies to ensure data protection is operating within expected parameters.
- Reporting and logging – Provides oversight alongside the status of data protection to satisfy auditing requirements.
- Key Management – creates, distributes, and stores encryption keys while maintaining the ability to recover data.
- Extensible framework – Reduces cost and accelerates deployment using a single unified console to oversee operations.

PGP encryption uses a serial combination of hashing, data compression, symmetric-key cryptography, and public-key cryptography. PGP Universal server will be configured to utilize the PGP FIPS 140-2 validated encryption algorithms.

PGP Universal Server does not collect, process, or store Personally Identifiable Information (PII).

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system will collect CDC user IDs and CDC email addresses to enroll users and associate PGP Keys for encryption/decryption utilization. The system will not collect, store, transmit, or process PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII



*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Security Center 4 (SC4) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Security Center 4 (SC4)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Danny Connely

**10. Provide an overview of the system:** CDC OCISO is implementing an integrated incident management solution for use by the CDC Security Operations Center (SOC). Incidents are currently reported to and tracked within the Incident Management Solution run by the US Department of Health and Human Services (HHS) of which the CDC is a major operating component. Once an incident is reported to HHS, it is tracked by both the CDC and HHS.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data will be input collected

from distributed scanners and sent to the SC4. Information will be use for auditing and compliance and vulnerability issue will be sent to ITSO for mitigation

No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

Risk Analysis Date: November 29, 2010

E-Authentication Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCISO Splunk (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OCISO Splunk

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dixie Tuschl

**10. Provide an overview of the system:** Splunk allows OCISO to search, report, monitor and analyze live streaming and historical data across the IT infrastructure from one place in real time. It gives OCISO unique visibility into the IT data that represents user transactions, customer behavior, machine behavior, security threats and fraudulent activity.

Splunk is used to monitor network activity and investigate security incidents. OCISO will implement dashboards to view data from system logs and data dumps (e.g. DNS information extracted from TCP dumps performed on Intrusion Detection System (IDS) sensors). The system allows engineers easy correlation of data from multiple sources allowing OCISO to validate suspicious activity on the CDC enterprise.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data from DNS, Firewall, Anti-virus, and IDS logs and/or events are fed into the Splunk database and OCISO will view data via a web based dashboard hosted on the Splunk system. The data is used to monitor network activity and investigate security events. The system is currently licenses at a 100GB daily index limit.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Physical controls include servers are housed in secured Designated Server Sites (DSSs).

Risk Analysis Date: August 23, 2010

E-Authentication Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO BFO Integrated Facilities Management System (IFMS)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Integrated Facilities Management System (IFMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Stanley Davis

**10. Provide an overview of the system:** IFMS is the integrated facility management system that manages all projects, tasks and process that are related to CDC facilities. IFMS business modules includes Real Property Management (Portfolio, Lease, Space), Computer Aided Facility Management, Operation and Maintenance, Facility Condition Assessment, Project Management and Document Management

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** CDC internal user name, work phone, work Email. Mandatory to get a system user account

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System is located at MTDC

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO FMO Automated Travel System (ATS)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/25/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-4

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Automated Travel System (ATS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Betty Miller-Barnard

**10. Provide an overview of the system:** The Automated Travel System (ATS) supports all activities involved with the official travel of CDC employees and non-employees who work in association with the CDC through automated preparation, approval, and financial processing of travel orders and vouchers. The Automated Travel System application is the means by which CDC official travel is prepared, processed, and stored. Currently this system has a few old travel vouchers that are waiting to be close out and is also acting as a repository for GovTrip data. This system has been replaced by GovTrip and will be retired when the mainframe is retired approximately March 2010.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Financial Management/UFMS – for payment of expenses. Airline – booking agents, Vendors – hotels/car rentals – purpose of booking.



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects financial information, travel itinerary detail, personnel information for the purpose of arranging government sponsored travel and reimbursement of such expenses. Furnishing the personal information is mandatory for ATS to be approved.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative Controls: Roles and access privileges are defined within the CDC mainframe system. Technical Controls: Consist of UserID, password, and firewall control. Passwords expire after set period of time, accounts are locked after multiple invalid attempts; minimum password lengths are required. The physical controls include guards, key cards, and ID badges to enter the facility.

IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 9/16/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/29/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO FMO Local Travel System (Local Travel)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-4

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Local Travel System (Local Travel)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Betty Miller-Barnard

**10. Provide an overview of the system:** The Local Travel System provides the capability to complete, edit, and submit local travel vouchers for reimbursement, and the functionality to review, assign, audit, and approve or reject travel vouchers.

New Local Travel System includes: Copy, sort, calendar selection, voucher total, and mandatory explanation (reason) field. Auditors will be able to do multiple audit assigns, view audit status that have been returned, and Level 1 auditors will be able to view workload of all auditors.

Travel claims are limited to mileage, parking, and local transportation expenses associated with traveling for CDC within a 50-mile radius of a CDC site.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
CDC Travel System – for submission and processing of travel expenses

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The PII is mandatory for travel voucher submission. The system will collect and disseminate the voucher information to the CDC Travel system. There PII is the specific information for local travel expenses. Name, SSN, and email address are collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) PII is voluntarily submitted when employee applies for Travel and submits voucher but is required as a condition of travel with CDC.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The IIF is secured using encryption and Active Directory authentication for specific users. This system is located in a locked room with guards at the front of the building.

Yes IIF

Risk Analysis Date: 08/30/2007

E-Auth Level = NA

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO ITSO CDC-Barbados IT Infrastructure**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/23/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Barbados IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Uganda operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF is collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = May 01, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/3/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO ITSO Guyana GAP Site**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Guyana GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Guyana IT Infrastructure with file server, exchange server, and webmail server. Authentication is performed via local AD that does not send or receive data from the main HHS/CDC Active directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =8/25/2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/11/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO ITSO Prism EventTracker (PET)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Prism EventTracker (PET)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lee Nelson

**10. Provide an overview of the system:** Event Tracker is a commercial software package that collects, compresses, archives and analyzes log files from CISCO Router, PIX Firewalls and switches and Windows servers. The software has the capability to provide this service to other platforms such as Citrix, Jupiter, VERITAS, Dell Open Manage, Check Point and several others. However, NTB has no plans to use the software for log management beyond CISCO, PIX Firewalls and Windows Servers owned and managed by NTB.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

GCS Project Profile does not collect PII.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 10/30/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/2/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO MISO PMAP (PMAP/PPB Support Services)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC PMAP/PPB Support Services (PMAP/PPB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ruth Williams

**10. Provide an overview of the system:** The CDC PMAP/PPB Support Services (PMAP/PBB) measures employee's performance by assigning ratings to performance standards. The system uses a cascading concept to link performance requirements to HHS strategic plan and the new "Top Twenty" (One-HHS Management and Program objectives). The system identifies two critical element categories and has a multi-level rating system to facilitate performance distinctions. A numerical system is used to derive summary ratings which will link awards to performance. The system tracks employees' personnel, rating, and award allocation information. The system has extensive calculations in order to determine monetary award amounts given to employees receiving Exceptional or Fully Successful performance ratings.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system will collect employee name and performance data that includes ratings, scores, and awards notifications. No PII is collected and the information is mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) Employees manually sign forms verifying their personnel data, earnings data, ratings and scores. A PDF of the information is also emailed to the employee and their reviewing official.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – This system does not collect any PII.  
No IIF

Risk Analysis Date: 6/18/2007

E-Auth level = NA

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OCOO PGO CDC Property Management System (Property)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/28/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Property Management System  
(Property)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Terrance Perry

**10. Provide an overview of the system:** The Property System is a web-enabled application which allows PGO to receive property into CDC, transfer or delete property, update property info, and update unit cost of property. It also provides numerous reports in support of their daily property responsibilities. In addition PGO/MMB has ability to maintain users, role, custodial account areas, custodial accounts, and assignments.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – No PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** The Property system is used to collect information about the location of CDC equipment, as well as who the equipment is assigned to. The agency, CDC, uses the information to keep track of it's equipment, including such things as cars, backhoes, laboratory equipment and computers. The system does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No IIF is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No IIF is collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/1/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OD MASO Internal Controls Program - (ICP)**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 1506

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Internal Controls Program

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Thurmond

**10. Provide an overview of the system:** This system will implement the A-123 program and serve as a repository of documentation of program functions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Evaluation of CDC's ICS as required by OMB-A-123

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 3/28/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OD Osiris Scientific Regulatory Service Information (OSIRIS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/30/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC\$# 1442

**7. System Name (Align with system Item name):** OSIRIS Scientific Regulatory Service Information Management (OSIRIS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kimberly Lane

**10. Provide an overview of the system:** The Human Research Protection Office (HRPO) assures that all research involving human participants and conducted or supported by the CDC complies with the HHS Policy for Protection of Human Research Subjects. One of the primary functions of the office is to review human research protocols. OSIRIS provides an electronic submission path, through its web portal, for CDC Centers to submit human protocols to HRPO for review. Additionally, the portal provides the office support system needed by the HRPO staff to track protocols during the human research review process.

By centralizing these tasks, OSIRIS simplifies the process for regulatory review of human information collections CDC-wide, provides a single point of access for all related submissions, and streamlines the HRPO workflow.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
HRPO – to ensure that all research involving human participants and conducted or supported by the CDC complies with the HHS Policy for Protection of Human Research Subjects.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The OSIRIS system only collects First/Last Names, DOB, Photo Identifiers, Mother's Maiden Name, Medical Record Numbers, Medical Notes, Employment Status, Foreign Activities, and GPS Coordinates. The system will use these data elements to pull up Human Research Protocol records by a unique identifier. PII will only be contained in the attachments that are uploaded into the application. The attachments have to be opened by the user to view the PII. The attachments are retrieved by protocol number. The system is internal only, however the information collected is on public citizens. The application tracks information related to human research studies conducted by CDC. The data collected is required to facilitate the requirements for each study conducted. Submission of PII is Voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) HRPO collects consent for obtaining PII and this is independent of the application.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges. Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures. All attachments will be encrypted in the database.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/30/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Office of Communications Intranet Applications (OCIA) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1616

**7. System Name (Align with system Item name):** Office of Communications Intranet Applications (OCIA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marianne Hartin

**10. Provide an overview of the system:** The Office of Communication Intranet Applications (OCIA) is a set of web-based knowledgebase and content management system components drawn on a single data source. The National Center for Environmental Health (NCEH) / Agency for Toxic Substances and Disease Registry (ATSDR)/ Office of Communication (OC) staff will be the primary users of OCIA. OCIA is used to deliver data in various formats and reports based on the functionality of each component.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. OCIA does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. OCIA collects and maintains News clips data, job and volunteer information.

2. The Office of Communication will use this information to track job requisitions, track news items that are directly or indirectly related to NCEH/ATSDR environmental health activities, allow staff to request work from the OC E-Health Activity, and allow employees to sign up and volunteer to help support conferences, forums and other activities.

3. OCIA does not contain PII.

4. OCIA does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. OCIA does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC OHS Respiratory Protection Program [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/19/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-9409-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1279

**7. System Name (Align with system Item name):** OSHE Respiratory Protection Program (RPP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jean Gaunce

**10. Provide an overview of the system:** The Office of Health and Safety (OSHE) works with CDC management and staff to create a healthy and safe working environment by identifying hazards, assessing and controlling risk, and preventing work related injury and environmental damage. The Respiratory Protection Program of OHS provides advice, equipment, and training to employees that work in environments that require respiratory protection.

Information about required training in the use and fitting of respiratory protection equipment is maintained in this system. This information is then used in the deployment of CDC personnel for different emergency responses. It is also used to maintain information about the equipping of employees as needed to safely and successfully complete their regular work duties.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – No PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will collect name and user id in order to link person with RPP training status. This system does not collect PII but the information collected is mandatory in order to ensure that each person requiring respiratory protection training completes it.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/14/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OID Vaccine Tracking System (VTrckS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/14/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-01-1030-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 278

**7. System Name (Align with system Item name):** Vaccine Tracking System (VTrckS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lance Rodewald, MD

**10. Provide an overview of the system:** The Office of Infectious Diseases (OID) Vaccine Tracking System (VTrckS) is a SAP Commercial off-the-shelf (COTS) product. It is a web based single integrated system that provides the CDC constant visibility into inventories maintained at the vaccine distributor and provider locations. In addition, VTrckS allows CDC personnel to understand how federal dollars associated with vaccine programs are being spent nationally.

VTrckS will be a CDC-wide system that integrates vaccine ordering, vaccine forecasting, budget management, and contract management for CDC, grantees, and health care providers. This system will enable more effective and efficient management of CDC's public vaccine programs. VTrcks will improve the efficiency of the management of vaccines funded through the Vaccines for Children (VFC) program, CDC's Immunization Grant Program (Section 317), and state and local funds. Essential system functions:

#### Needs & Forecasts

The Forecast funding and vaccine forecast need is at the national and local (grantee) and provider level. The vaccine preferences are defined and funded at the local (grantee) level.

#### Manage Contract Balances

The manufacturer contracts are captured, adjusted, monitored and reconciled. Additionally, the manufacturer defines and monitor against contract thresholds.



## Manage Vaccine Inventory

Define master formulary and manage replenishment through (bulk) ordering. The inventory levels monitored include backorder, short-dated vaccine, and other vaccine stockpile. The inventory service level agreements are defined and monitored as well.

## Provider Ordering

The provider submits the vaccine orders, support documentation, and vaccine transactions on-line. Then the provider process the vaccine order exceptions and maintain the provider account. The provider/grantee communications is through the use of e-mail and fax. They are able to access their order history.

## Grantee Monitoring

The grantee target budget is established and vaccine allocation (during shortage) constraints by monitoring aggregate usage (provider orders) against aggregate forecasts (spend plans). Monitoring provider usage (provider orders) against grantee forecast (spend plan) is done as well.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** VTrckS will be used by the CDC, Immunization Grantees and Providers to order, distribute, track and record information concerning publicly funded (VFC, 317 and state) vaccine purchases. The type of data includes Physicians first and last name, Physician number, Medicaid number, vaccine shipment address, type of vaccine, order quantity.

No PII is used. Submission of this information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/14/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Oil Spill Worker Rostering Project (SpillWorker) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/16/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0147

**5. OMB Information Collection Approval Number:** 0920-0851

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Oil Spill Worker Rostering Project (SpillWorker)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth Whelan

**10. Provide an overview of the system:** The system will be used to collect information on worker safety and health related to the ongoing oil spill in the Gulf of Mexico. SpillWorker consists of an external web facing survey application, a standalone MS Access database, and a paper survey forms. Name, Date of Birth and Partial SSN will be used to uniquely identify participants and allow for follow-up.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
n/a

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1: Demographic information: Work place, Name, Date of Birth, Partial SSN, Sex, Race, Telephone, E-mail, Address, Name of a Person to Notify and their phone number, Employer name, Employer type, usual job, years at that job

Response work: job responsibilities, oil exposure, tasks, deployment location, length of time, protective equipment for skin, protective equipment for eyes, respiratory equipment, fit testing in last year, smoke, number smoked per day, training, tetanus, notes. The data in the system ONLY represents federal contact data.

2: This information will be used to roster individuals who are working on the oil spill, provide information for potential future contact for worker safety and health outcome issues, and gather data on their jobs, training, and exposures.

3: This system contain PII information on the subject.

4: Submission of data is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1: The data will be stored and maintained electronically. If contact with individuals is required, attempted follow-up would be conducted using the information stored in this database. Format of follow-up contact could be written or electronic.

2: A data use and disclosure form is presented at the time of data collection. Format could be written or electronic.

3: The data use and disclosure form states that the data will be used to monitor potential health effects among workers involved in oil spill response activities. Data will become part of the CDC Privacy Act System (09-20-0147) and will be protected to the extent allowed by law. Reports prepared from these data will include only summary data. Format could be written or electronic.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** CDC Enterprise Master System Security Plan Moderate External controls are in place.

Administrative: Limited Access/Least Privilege, NIOSH Sensitive Data Security Plan

Technical: User identification, Passwords, Firewall, Intrusion Detection System and Public Key Infrastructure

Physical: Guards, Locks, Identification Badges, Key Cards, Closed Circuit TV

PII yes

E-Auth Level = N/A

Risk Analysis date: 6/9/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OMB Tracking (OMB Tracking) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/6/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OMB Tracking System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Maryam Daneshvar

**10. Provide an overview of the system:** OMB Tracking System is a web-based application designed to enable personnel within the Office of the Chief Science Officer (OCSO)/OMB (Office of Management and Budget) office to log and track OMB Clearance projects for the sole purpose of management decision making. The OMB Clearance projects consist of: Federal Register Notice, Clearances, Privacy Act Reviews, Audits, Reports, etc. All requests for OMB Clearance come from the Chief Information Officer (CIO's) are attached to form 83-I, and encompasses the requestor's plan in which to collect data (project name, project title, CDC ID, OMB ID, time last updated, activity dates, and visibility requirements). This request is received by OMB Clearance at the OCSO/OMB office, and are logged into a tracking application where a number is assigned to it. This request is then processed through several stages including review, comments (suggested changes), and approval. All stages are tracked in the tracking application. Reports for current status of OMB Clearance requests are available on demand. This system contains no Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** OMB Tracking System is a web-based application designed to enable personnel within the Office of the Chief Science Officer (OCSO)/OMB (Office of Management and Budget) office to log and track OMB Clearance projects for the sole purpose of management decision making. The OMB Clearance projects consist of: Federal Register Notice, Clearances, Privacy Act Reviews, Audits, Reports, etc. All requests for OMB Clearance come from the Chief Information Officer (CIO's) are attached to form 83-I, and encompasses the requestor's plan in which to collect data (project name, project title, CDC ID, OMB ID, time last updated, activity dates, and visibility requirements). This request is received by OMB Clearance at the OCSO/OMB office, and are logged into a tracking application where a number is assigned to it. This request is then processed through several stages including review, comments (suggested changes), and approval. All stages are tracked in the tracking application. Reports for current status of OMB Clearance requests are available on demand. This system contains no Personable Identifiable Information (PII) of any sort.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

Risk Analysis Date: 7/13/2010

E-Authentication Level = N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC One Team (ONETEAM) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/3/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0169

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1575

**7. System Name (Align with system Item name):** OneTeam

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Josh Giles

**10. Provide an overview of the system:** OneTeam is a web-based application to help the Coordinating Office for Terrorism Preparedness and Emergency Response (COTPER) Division of Business Services (DBS) track staff and vacancy information for all of COTPER. Developed as an expanded replacement for the COTPER Vacancy Action Tracking System (CVATS), OneTeam will combine the ability to track and report information related to vacancies with tracking and reporting of information related to staff members and positions throughout COTPER.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The application will collect information base on COTPER Positions (both vacant and occupied). Such data includes, but not limited to: Job Title, Division, Branch, Grade, Job Series, Employee Type (Contractor vs FTE), and General Remarks.

Additional data collected about a vacancy will include: Assigned to (a pre-defined list), Date assigned, the vacancy action, and general comments.

Occupied position will collect the person's name, email and dated they were assigned to that position.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All information will be stored on the CDC internal network.

Access to OneTeam will be based on the CDC's Windows Authentication, allowing only a pre-determined list of user access to the system via the CDC Intranet. Physical and additional technical controls are handled by ITSO and OSEP per appropriate C&A security controls.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/20/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Online Waste Ticket System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/4/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1545

**7. System Name (Align with system Item name):** Online Hazardous Chemical Waste Ticketing System (OWT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sunil Patel

**10. Provide an overview of the system:** The Hazardous Chemical Waste Disposal Program at CDC operates in accordance with the Resource Conservation and Recovery Act (RCRA) and the 1984 Hazardous and Solid Waste Amendments to RCRA.

Hazardous chemical wastes generated at CDC facilities in Atlanta are collected and packed by a licensed hazardous waste contractor. The waste is collected from labs, shops, or other work areas and is transported to the designated hazardous waste storage areas; Building 7, Clifton Road, and Building 1, Chamblee. The waste is segregated and stored in the storage areas until it is packed for shipment and transported to a final permitted recycling, treatment, or disposal facility. In accordance with RCRA and the Federal Facilities Compliance Act, all CDC personnel who handle hazardous waste must receive training in specific aspects of hazardous waste management procedures. This training is provided by OHS. Only individuals who have met this training requirement may request disposal of their hazardous chemical waste. Waste disposal request is generated by completing an "Online Hazardous Chemical Waste Disposal Ticket" and attaching the printout to the container of waste.

The OWT automates the creation of a label that is placed on each hazardous waste generated. It tracks type of waste, container size and type, generator information, and location of waste.

The information on the label is verified by the Environmental Project Officer and is passed on to the chemical waste disposal contractor who makes arrangements with the generator of the waste to pick up the waste. Under the current hazardous chemical waste contract, the contractor conducts pickups one day per week at both the Clifton Road facility and the Chamblee facility.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No IIF is collected, disseminated or maintained on the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 17 November 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/8/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC OPHPR Intranet [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/11/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1500

**7. System Name (Align with system Item name):** COTPER Intranet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joseph Dell

**10. Provide an overview of the system:** The COTPER Intranet is an internally-facing web-based application designed with Microsoft classic ASP. The Intranet is used by COTPER to convey its vision and accomplishments across the agency. The intranet site hosts a variety of applications that have improved the efficiency of COTPER's business operations. Staff can now visit the website to update site content dynamically, review policies and procedures, and fill-out and submit administrative forms.

The system architecture contains a web front-end with a Microsoft SQL backend which is hosted in the Designated Server Site (DSS) and managed by ITSO.

While the data on the intranet site may be viewed by anyone within CDC, the target audience is the ~500 users within COTPER. Users must be on the CDC network to access the Intranet. No non-CDC users can access the Intranet.

No Personally Identifiable Information (PII) is contained within the COTPER Intranet system. There are no system dependencies beyond the ITSO server which the system is hosted on. The application does not generate any reports nor does it share any information across other federal agencies.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF or PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No information is collected, only disseminated. No PII is involved.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/14/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OPHPR Survey Tool (OPHPR Survey) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/3/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** OPHPR Survey Tool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Tuten

**10. Provide an overview of the system:** OPHPR Survey Tool is based on the SPSS MR Interview COTS application designed for the creation and distribution of electronic surveys.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** OPHPR Survey Tool collects non-PII, non-CUI data from internal and external OPHPR users.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/3/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Oral Health Data Resource Center [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/24/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH Oral Health Data Resource Center

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Serves as a resource on dental, oral and craniofacial data for the oral health research community, clinical practitioners, public health planners and policy makers, advocates and the general public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ORAU Team Dose Reconstruction System (ORAUDOSREC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0147

**5. OMB Information Collection Approval Number:** OMB No. 0920-0530

**6. Other Identifying Number(s):** ESC ID: 1769

**7. System Name (Align with system Item name):** Oak Ridge Associated Universities (ORAU) Dose Reconstruction System (ORAUDOSEREC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Regina Roesch

**10. Provide an overview of the system:** NIOSH established the Office of Compensation Analysis and Support (OCAS) to assist with implementing a program created by the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA or The Act) which provides compensation and medical benefits for nuclear weapons workers who may have developed certain work-related illnesses. The mission of the NIOSH Dose Reconstruction System is to determine eligibility for compensation and support the process of and track claims for compensation and medical benefits from the Department of Labor (DOL) for government nuclear weapons workers under the EEOICPA for radiation dose reconstruction. In support of this program, NIOSH/OCAS has contracted with ORAU to perform Radiation Dose Estimation, Dose Reconstruction and Evaluation of SEC Petitions Under EEOICPA. The ORAUDOSEREC system manages, operates, maintains, secures, and supports comprehensive and accessible databases to serve dose reconstructions and administrative reviews of dose reconstructions and Special Exposure Cohort (SEC) petitions.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Department of Labor (DOL) for the purpose of determining eligibility for compensation.

Department of Justice (DOJ) for the purpose of enforcement of the law and defense of the interests of the United States according to the law and for notifying uranium workers eligible for benefits under the RECA that they may also receive compensation from DOL under The Act.

DHHS/Office of the Secretary for approval of a special exposure cohort class definition.

Oak Ridge Associated Universities (ORAU) – contractor for Dose Reconstruction Contract

See for more information: <http://www.cdc.gov/niosh/ocas/pdfs/orau/drcntrt2.pdf>

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system collects PII information that is submitted voluntarily by former government nuclear weapons workers and/or their families under the EEOICPA. Collection of this data facilitates radiation dose reconstruction to determine eligibility so that a claim for compensation and medical benefits can be filed with the Department of Labor. The mandatory PII information that we collect, maintain and disseminate, (name, date of birth, social security number, mailing address, phone number, medical records numbers, medical notes, legal documents, e-mail address, and employment status) is used to perform dose reconstruction under EEOICPA and other analysis required to process financial claims brought against the US government by individual claimants.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** There is no process to notify affected individuals when any system changes are made.

All PII contained in this system had previously been collected by the site where the individual worked. Release of their PII at that time was a condition of employment. Claimants under the EEOICPA act sign a Privacy Act advisement that provides notice that the project will store and use their PII data.

Department of Energy personnel access the Site Research Database (SRDB) to determine if there are any classification issues with the documents being stored. Upon request, we provide

documents to the Department of Labor to support EEOICPA, Part E – chemical exposure. Documents that are accessed may contain PII data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** A unique ID and password is required to access the information on the

ORAU-COC network. Access permissions are based on the new employee's task and any other pertinent information provided on ORAUT-FORM-0010, New Hire and Change Request Notification. All users on the ORAU-COC network are required to take New Hire Training which includes Privacy Act training prior to being granted access. A firewall is utilized to restrict access to the server that contains this information from the internet and unauthorized users.

This is covered in ORAUT-PLAN-0012 Computer Security Plan and The ORAU Dose Reconstruction System Security Plan.

PII yes

E-Auth Level = 2

Risk Analysis date: 5/5/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC OSEP BI (IDMS-BI) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1649

**7. System Name (Align with system Item name):** OSEP BI (IDMS-BI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jacqueline Edwards

**10. Provide an overview of the system:** Office of Security and Emergency Preparedness (OSEP) OSEP BI (IDMS-BI) is a web-based application designed to allow the Office of Security and Emergency Preparedness (OSEP) to consolidate information from other databases in order to produce reports and projected numbers based on initiated and completed National Agency Checks with Written Inquiries (NACI) and security clearance data. This database will also serve as a collection point for bulk load data that will be migrated into the HHS-IDMS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
To record the NACI or security clearance investigation(s) processed for the individual in meeting CDC policy for physical and logical access to CDC facilities and networks. Also used for the production of smart cards.



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** BI records the NACI or security clearance investigation(s), SF312 briefing and debriefing dates, and person of interest information. It displays name, userID, last 4 digits of the SSN, Employee Type, Entrance on Duty Date, Citizenship, Years of Service, Grade, Mobile Phone, Work Phone, Email, Primary Work Location, Supervisor, Expiration Date. This information is used to assist and track the processing of NACI or security clearance investigation(s)

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) OSEP and the organizations work with the individuals when collecting the information. The information is used only within the CDC to process clearance for logical and physical access.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system is located in a locked room with guards posted in the lobby entrances. Users are authenticated via Active Directory.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Outbreak Management System (OMS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/16/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-1088-00-110-218

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1088

**7. System Name (Align with system Item name):** Outbreak Management System (OMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gerald Jones

**10. Provide an overview of the system:** The Outbreak Management System (OMS) is an informatics tool designed to assist public health professionals in management of investigations. OMS uses Public Health Information Network (PHIN) standards to provide data capture, management, and analysis capabilities. This includes standard demographic and investigation data, exposures and relationships between entities, laboratory data, countermeasures, and customized questionnaires. The system allows for case follow-up, contact tracing, data import/export, and data analysis. OMS does not run at CDC nor does it transmit any data to CDC. All data is owned and managed by external users.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Outbreak Management System is given to state health departments to use during an outbreak to track exposures. The CDC agency does not collect, maintain or disseminate data. It is simply a tool provided to the states for use by and for the state. No PII is submitted.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/20/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Particulate Emissions Monitoring System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1722

**7. System Name (Align with system Item name):** Particulate Emissions Monitoring System (PEMS\_1)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sunil Patel

**10. Provide an overview of the system:** CDC's air permits (example: Reference 1) are issued by the Georgia Environmental Protection Division (GA EPD) in accordance with Federal and State Regulations (Reference 2 and 3). These permits govern CDC's operation of its infrastructure systems and equipment, including our incinerators, boilers and generators, through defined emission limits for various regulated pollutants (Opacity, Particulate Matter, CO, NOX, SO2, Dioxin/Furan, HCl, Cadmium, Lead, and Mercury). System operating limits/parameters are tied directly to these emission limits. The CDC Environmental Protection Section (EPS) employs the Permit Emissions Monitoring System (PEMS) to monitor and record emissions and certain operating parameters. CDC EPS reports the PEMS emission data to GA EPD on a semi-annual basis in accordance with the permits. As an example, on the Roybal Campus Bldg 18 incinerator, the PEMS is programmed to notify the operator when one operating limit is out of range. By monitoring certain operating parameters, the PEMS does not allow emission violations to occur.

Software required tracking and monitoring emission:

SIMATIC WinCC by Siemens Automation

Data Monitor v 5.99 by Trace Environmental

Data Explorer v 2.0 by Trace Environmental

Reference 1: Georgia Environmental Protection Division (GA EPD) Part 70 Operating Permit (Title V Air Quality Permit), Roybal Campus, No. 9431-089-0005-V-02-0

Reference 2: 40 Code of Federal Regulations Part 60 Subpart Ec

Reference 3: GA Environmental Rule 391-3-1 Air Quality Control (Section 391-3-1-.02 and .03)

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = August 12, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 10/11/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PASW Data Collection Dimension mrInterview 5.6 (MrInterview 5.6) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1253

**7. System Name (Align with system Item name):** PASW Data Collection Dimension mrInterview 5.6

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tonya Martin

**10. Provide an overview of the system:** mrInterview 5.6 is a solution for creating, fielding, and managing large or small surveys through a browser-based interface. mrInterview 5.6 allows CDC to tabulate results, create graphs, and make survey insights from the data collected. Results are stored in a database which can then be easily manipulated through SAS, SPSS, or Microsoft Excel. MrInterview 5.6 is an internal facing application that creates the surveys that is accessible only by CDC personnel and contractors, however the surveys that are created with MrInterview 5.6 are external facing.

The data collected through the surveys can be used for a variety of purposes ranging from emergency pandemic outbreak data collection to applications for a review board. Once a survey respondent submits his/her survey, he/she does not have access to the survey data. Information is passed one-way from the survey to the database with no way for external users to retrieve information from the database.

Aggregate data from the surveys will be analyzed by CDC personnel. Since users offer information voluntarily and are not required by CDC to complete any surveys, we can keep the e-authentication level at 1 or 2. mrInterview 5.6 does not have a mechanism to identity proof people using the surveys. For this reason, the integrity rating has been lowered to a rating of a Low.

The Project Officer will sign an addendum acknowledging the fact that they can potentially receive bogus information through these surveys as a risk they are willing to accept.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Voluntary surveys may collect PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Surveys are generated with MrInterview 5.6 in order to collect information for different purposes. PII/IIF is collected in order to contact the survey respondent for future CDC tasks or contacts. Surveys are created that may collect contact information about the respondents. Any information submitted is done on a voluntary basis and is not required or mandatory. The information collected will be analyzed by CDC personnel.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** The individuals are not notified at the time of the survey what PII is being collected. The surveys are voluntarily taken, so if a respondent does not want to give his/her PII, he/she does not have to take the survey. There is a possibility a respondent will give false PII to conceal their true identity. The information taken from the surveys will be used for a variety of analysis purposes. Each survey is designed with a different mission and purpose in mind.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The data is stored on CDC machines that reside in a secure server room. Users must authenticate to the CDC network before gaining access to MrInterview 5.6 through another authentication mechanism.



IFF – yes.

Risk Analysis Date: 12/23/2009

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Pathology Report Information (IDPA Pathology) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1481-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0106

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 36

**7. System Name (Align with system Item name):** CDC Pathology Report Information (IDPA Pathology)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dr. Sharif Zaki

**10. Provide an overview of the system:** The IDPB Lab Database application is used to support the operational activities of the laboratory covering the full specimen lifecycle. For all specimens, the system allows you to accession the specimen and case info, and contact information for the submitters; Pathologists can request tests to be performed, and setup & generate the final report that is sent to the submitters; Technologists can view the pending tests, setup the experiment, and enter the results.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not share PII. The system, in the form of report, only discloses the decedent's or patient's name, if known, to the health official(s) that submitted the specimens to IDPB.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The system collects specimen and case information related to confirmed or suspected pathogens. (2) The data is used internally by IDPB staff members and consists of, for the most part, PII text data related to cases submitted to IDPB for testing of infectious diseases. (3). The information contains PII. (4) The information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) All information is obtained at the State Health Department level. CDC does not interact with any individual and therefore all responsibility for patient notification resides with the State.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This is an internal facing system on the CDC network behind the firewall with no interconnections to any other outward facing system. CDC users go through yearly Computer Security training to address basic computer security issues. The DB is housed in a secure environment.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC PedNSS/PNSS Data Management System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 09-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Pediatric Nutrition Surveillance System (PedNSS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Karen Dalenius

**10. Provide an overview of the system:** The PedNSS collects clinic data for children <20 years of age, primarily for children age <5 years, from state, territorial and Indian Tribal Organizations WIC and other public health programs around the country; logs incoming files and performs extensive editing on the file records; produces data quality reports detailing the results of the edits and transmits those reports back to the contributors; merges the edited data into master files in a SQL Server data warehouse; and produces and publishes statistical reports, and graphics/maps based on aggregated data from the data warehouse. Locate a system overview on our website at <http://www.cdc.gov/pednss>.

We use the term “contributor” to refer to the state and territorial health departments and Indian Tribal Organizations (ITO’s) that submit data to the PedNSS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

The system shares PII only with an original PedNSS contributor when that contributor requests copies of their cleaned and edited files. If non-contributors request PedNSS records, the following fields are stripped from the files: State and Substate, Clinic code, Date of Visit, Date of Birth and ID. If a non-contributor needs one or more of these fields on the PedNSS files, they must obtain written permission from the contributor(s) whose records they are requesting. Identifiers are stripped at the request of contributors. Under FOIA requests data that are identifiable to a specific individual are protected from disclosure.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

1. The following critical and core fields are currently populated on most PedNSS records and/or have been populated on PedNSS records in the past: State Code, Substate Code, Clinic/School Code, County Code, Source of Data, Record Type, Date of Visit, Child's Alphanumeric Identifier, Date of Birth, Sex, Race/Ethnicity, Household Size, Household Income, Birthweight, Height, Weight, Date of Height/Weight Measure, Hemoglobin, Hematocrit, Date of Hemoglobin/Hematocrit Measure, Currently Breastfed, Ever Breastfed, Length of Time Breastfed, and Date of Most Recent Breastfeeding Response. The following supplemental fields are currently populated on most PedNSS records and/or have been populated on some PedNSS records in the past: Zip Code, Migrant Status, WIC/Food Stamp/Medicaid/TANF Participation, Introduction to Supplementary Feeding, TV Viewing, Household Smoking, Cholesterol, and FEP.

2. CDC uses this information to monitor trends in the prevalence of growth and nutrition-related health problems in children, and to provide summary data to contributors to assess coverage, targeting, and effectiveness of pediatric health programs.

3. Information contains PII as indicated in part #1.

4. Information provided voluntarily.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1. If there is a significant change to the use of the data, individuals will be asked to sign a new consent form.

2. PedNSS records are collected and submitted by state, territorial, and Indian Tribal Organization WIC programs, Medicaid (EPSDT) programs, and state MCH programs, all of which require informed consents to be signed by participants upon program enrollment.

3. Participants sign written consent form

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured following all applicable administrative, technical, and physical controls required by CDC & NIST. Details of these controls are provided in the System Baseline Worksheet.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC People Processing [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-1295-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM-GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1295

**7. System Name (Align with system Item name):** CDC People Processing

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Krishen Kota

**10. Provide an overview of the system:** The People Processing application will become the foundation application for development of an enterprise in- and out-processing and lifecycle system to better manage CDC's growing global workforce. The application will track the in-processing, transfers, details and out-processing of individuals. It will also verify clearance for logical and physical access to CDC resources.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Collects IIF on individuals in order to in-process them into the CDC, granting them logical and physical access to CDC resources. Emergency and contact information is voluntary, and is only used by emergency responders.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The agency collects people information to support the maintenance of a primary datastore/system of record for all people at CDC. This datastore supports other systems requiring source information on people. The information is used for administrative, security, IT and financial systems to determine active records for CDC staff. Emergency and contact information is voluntary, and is only used by emergency responders. Submission of all IFF is voluntary. Submission of basic IIF (name/address/ SSN) is required for employment. Submission of emergency contact information is voluntary. The IIF is used for emergency preparedness and deployment for critical health and CDC-mission related activities only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) There is a process in place where the employee or contractor can verify the data. The employee or contractor clicks on the “Validate” icon from within the CDC Neighborhood, which immediately updates People Processing. Through CDC Neighborhood, users are requested to review and then explicitly validate their information yearly. The system records the date of the user’s validation. The system also displays privacy and system usage information on the web pages.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured using encryption and active directory authentication for specific users. PII can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges. Contact and address information can only be entered and viewed by the user, unless the user has explicitly given permission to authorized admin staff to enter and update information. Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

Yes IIF

Risk Analysis Date: February 4, 2010

E-Auth level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote



**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Performance Management Appraisal System (PMAS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1637

**7. System Name (Align with system Item name):** Performance Management Appraisal System (PMAS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vanessa Palmore

**10. Provide an overview of the system:** The Performance Management Appraisal System (PMAS) system measures employee's performance by assigning ratings to performance standards. The system uses a cascading concept to link performance requirements to HHS strategic Plan and the new "Top Twenty" (One-HHS Management and Program objectives). The system identifies two critical element categories and has a multi-level rating system to facilitate performance distinctions. A numerical system is used to derive summary ratings which will link awards to performance. The system tracks employees' personnel, rating and award allocation information. The system has extensive calculations in order to determine monetary award amounts given to employees receiving Exceptional or Fully Successful performance ratings.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Rating, Scores and Award information for employee's receiving Exceptional and Fully

Successful ratings is shared with Users, Supervisors, Top CDC Management Officials, PMAS Coordinators, Contractors, Budget Analyst, AHRC and HHS for entering ratings, budgeting and award processing.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Employee's Rating, Scores and Award information for employee's receiving Exceptional and Fully Successful ratings for award processing. Name and other personnel information stored within the PMAS system is retrieved from the Official Personnel file EHRP, part of the Capital HR system. The Capital HR system is defined and maintained by HHS. PMAS must use and retrieve the information in the format provided. Submission of Name is mandatory. Employment Status is matched up against Capital HR.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Users are notified upon employment how their PII is going to be used within the CDC and is required as a condition of employment. Consent is gained during employee intake. Users are notified upon employment how their PII is going to be used within the CDC and is required as a condition of employment. Consent is gained during employee intake. Users are notified upon employment how their PII is going to be used within the CDC and is required as a condition of employment. Consent is gained during employee intake.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges. Name and other personnel information is retrieved from the Official Personnel file EHRP.

Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 2/2/2011

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Performance Measurement and Evaluation (PME) Webform [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/15/2007

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** PMET Terrorism Project Database

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joseph Dell

**10. Provide an overview of the system:** Provide a comprehensive description of the Low umbrella Minor Application's function. Attach application concept of operations, vision statements, and/or project justification documents if available.

Prior to each reporting period, project milestones and success factors from the Health Impact.net database are imported into the COTPER PMET webform for projects to enter their updates. At the conclusion of each reporting period, the PMET enters their project analysis via the working webform.

At the conclusion of each reporting period, the PMET will publish an Operational Status Report for each project indicating project progress for the reporting period.

For more information please refer to the Webform Submission Guidance: <http://intra-apps.cdc.gov/od/otper/spendplan/FY2007/docs/FY2007%20Reporting%20Period%203%20Guidance.pdf>

The system architecture contains a web front-end with a Microsoft SQL backend which is hosted in the Designated Server Site (DSS) and managed by ITSO.

While the data on the intranet site may be viewed by anyone within CDC, the target audience is the ~280 users within COTPER. Users must be on the CDC network to access the Intranet. No non-CDC users can access the Intranet.

No Personally Identifiable Information (PII) is contained within the PMET system. There are no system dependencies beyond the ITSO server which the system is hosted on. The application does not generate any reports nor does it share any information across other federal agencies.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF or PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No information is collected, only disseminated. No PII is involved.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/4/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PERLC 2.0 External Program Activity Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/24/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #1739

**7. System Name (Align with system Item name):** CPHP External Program Activity Database (CPHP DB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Josh Giles

**10. Provide an overview of the system:** The CPHP External Program Activity Database is a web-based tool that allows CPHP Project Officers and CPHP Grantees (external to CDC) access to electronically view, update, and add activity information such as activity descriptions, community partners and audiences, evaluation information, and progress. Project Officers can view a list of all CPHP activities or filter the list of activities based on several parameters. Database capabilities allow refinement of searches to see detailed information on individual activities. Project Officers and Grantees will have the ability to edit activities, add new activities, and cancel activities. These functions provide a one-stop-shop for tracking and reporting that enhances CDC's ability to manage program activities and provide leadership at CDC, DHHS, and other agencies, transparency into the activities and accomplishments of the CPHP program.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1.) The system will collect the following information regarding a Center's Preparedness activities:

- A comparison of actual accomplishments to the objectives established for the period. Where the output of the project can be quantified, a computation of the cost per unit of output may be required if that information will be useful. The reasons for slippage if established objectives were not met.
- The reasons for slippage if established objectives were not met.
- Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or high unit costs.
- Significant developments. Events may occur between the scheduled performance reporting dates which have significant impact upon the grant or subgrant supported activity. In such cases, the grantee must inform the Federal agency as soon as the following types of conditions become known:
  - Problems, delays, or adverse conditions which will materially impair the ability to meet the objective of the award. This disclosure must include a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
  - Favorable developments which enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more beneficial results than originally planned.
  - Contact information incase the Project Officer needs to get in touch with the Activity owners.

2.) Used to evaluate the Centers for Public Health terrorism and emergency preparedness activities to strengthen preparedness by linking academic expertise to state and local health agency needs.

3.) Grantee contact information is gathered (Business IIF): Name, work email, work address, and work phone number

4.) Business IIF collected from the system is mandatory

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** 1.) Users will be notified (via email) by the CPHP Project Officers of any changes to the System

2.) The user will select a consent notification before they are allowed access the system.

3.) Electronic notice submitted via the application

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All information will be stored on the CDC MTDC network.

Access to the system will be based on user authentication (user name and password), allowing only a pre-determined list of user access to the system. Physical and additional technical controls are handled by ITSO and OSEP per appropriate C&A security controls.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Pesticide Sample Tracking, Analysis, and Reporting System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9623-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1496

**7. System Name (Align with system Item name):** Pesticide Sample Tracking, Analysis, and Reporting System (PSTARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Rollins

**10. Provide an overview of the system:** Pesticide Sample Tracking, Analysis, and Reporting System (PSTARS) is a form of a Lab Information Management System (LIMS). The system is non-web based and is designed to track samples from receipt through reporting. The samples are received from the National Center for Environmental Health (NCEH)/ Division of Laboratory Sciences (DLS) Sample Logistics section along with a printout of sample IDs. The sample IDs are then transferred to an Excel spreadsheet for importing to PSTARS. Sample IDs are associated with a Study, Lab Method, and Matrix upon import. Samples are tracked through the laboratory process in PSTARS for creating Runsheets, cleanup, creating an Excel Sequence for importing to the lab instruments, importing Excel spreadsheet result data from lab instruments, and exporting formatted results to a spreadsheet.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. The system contains no PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) PSTARS tracks samples received from the National Center for Environmental Health (NCEH)/ Division of Laboratory Sciences (DLS) and exports results data.

(2) PSTARS is used as a Lab Information Management System (LIMS).

(3) PSTARS does not contain PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. PSTARS does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system contains no PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PHIN Directory (PHINDir) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1686

**7. System Name (Align with system Item name):** Public Health Directory (PHINDIR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darlyne Wright

**10. Provide an overview of the system:** The PHINDIR is a centralized repository for public health information and is the CDC's implementation of a Directory in support of PHIN requirements. It is designed to enhance the communication abilities of public health agencies by facilitating access to accurate contact information.

This includes information about people in public health, their jurisdictions and organizations; attributes used by agency applications to make authorization decisions; and data specific to particular public health systems. Information about people includes their contact information; the roles they have in public health; other application-specific roles they may have for a particular application; their areas of expertise; and the languages, degrees, relationships, and licenses they have. Jurisdiction information includes their types and relationships to other jurisdictions. Organization information includes their locations, contacts, and contact information. Authorization attributes include information about people (i.e. role, jurisdiction, organization, etc.) that can be used by applications to determine allowed actions within their systems. Customized data attributes are also defined and implemented on a per-application basis.

PHINDIR consists of tables containing both the data and the relationships that exist between the data elements, which can be conceptualized as a series of trees. Trees can be public, application-specific, template, or backup. The PHINDIR tree is a public tree and contains a structure of jurisdictions, sub-jurisdictions, and organizations. An application with read-only access to the Directory that does not need a specific tree structure could simply access the PHINDIR tree for information. Another application with different needs might require an application-specific tree that is structured to provide information the way that application requires it. An application-specific tree may or may not share common characteristics with other trees. Template trees can be "cloned" for an application's use as needed.

Navigation through a tree is accomplished using the concept of nodes. Nodes can be mapped to jurisdictions, organizations, or an application-specific grouping.

When the PHINDIR Administrator creates a tree, a record is added to the tree table and the root node of the new tree is created. An Application Administrator and Registrar can then be assigned to this root node. The Application Administrator is tasked with the responsibility of managing the application's tree, designing the structure it will take and adding nodes beneath the root to attach jurisdictions, sub-jurisdictions, organizations, and application-specific node types as needed. The Registrar is tasked with the responsibility of managing information about the users of the application.

Users can be assigned to any node in the tree and can be assigned a role at that node. In this way, people can be mapped to the organization(s) they work with.

There are three types of roles -- secured, common, and application specific.

Secured roles include the PHINDIR Administrator, the Owner, the Application Administrator, and the Registrar. The PHINDIR Administrator is a "super user" responsible for creating trees, managing the PHINDIR tree, and managing the controlled vocabulary, jurisdictions, and roles. The PHINDIR Administrator can also maintain an application's tree and users, if needed. The Application Administrator manages the application tree, and can maintain the people associated with that application, if needed. The Registrar manages the people on the application tree, including maintaining their contact information and roles.

The Owner is a specialized secured role. Normally, a Registrar of any application that has a person assigned to their tree can update that person's contact information. If an application's information collection process is unusually robust, the PHINDIR Administrator may grant the applicatio

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

With whom and for what purposes:

STARRS – People, Organizations, and Jurisdictions along with their associated relationships will be stored in the centralized repository. This reduces the data duplication issues with data throughout the CDC. Application authorization decisions will be made based on information stored in the repository.

CRA – People, Organizations, and Jurisdictions along with their associated relationships will be stored in the centralized repository. This reduces the data duplication issues with data throughout the CDC. Application authorization decisions will be made based on information stored in the repository.

Public Health Partners Portal – Public Person, Organization, and Role information will be made available to portal users (SDN users) including partner and agency personnel for white pages functionality.

CDC Alerting– Alerting Public Person, Organization, Jurisdiction, contact and Role information will be made available to the CDC Alerting system for the purpose of alerting Federal, State and Local people within Jurisdictions.

PHINDIR Management App – Person, Organization, Jurisdiction, and Role information will be maintained using this application. Users with appropriate rights will be able to add and maintain data using this application.

Directory Exchange with Partners – In a future release, directory exchange will be implemented with our state and local partners.

IIF shared:

Personal Information:

Name

Mailing address

Phone numbers (e.g., phone, fax, and cell) Business only

E-mail address

Other: Home phone may be captured for certain people in the directory needing to be alerted during off hours. This information is protected and only made available to certain systems requiring this information (i.e. Alerting Systems)

Other: We keep track of a person's degrees and licenses. The information is limited to the name and type of degree and/or license. We don't capture or maintain detail information about degrees and/or licenses

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1 - No notification is made to individuals listed in PHINDIR when changes occur in the system.

2 – Any personal (non-work) information) provided by an employee or partner system is done so voluntarily.

3 – Personal information is available through PHINDIR on a ‘need to know’ basis. Only individuals who have been granted secured roles in PHINDIR have access to any personal information about anyone other than themselves. The systems which receive data from PHINDIR are also tasked with protecting it from other than secured users via our Rules of Behavior.

4 – Any personal (non-work) information) provided by an employee or partner system is done so voluntarily.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** 1 - No notification is made to individuals listed in PHINDIR when changes occur in the system.

2 – Any personal (non-work) information) provided by an employee or partner system is done so voluntarily.

3 – Personal information is available through PHINDIR on a ‘need to know’ basis. Only individuals who have been granted secured roles in PHINDIR have access to any personal information about anyone other than themselves. The systems which receive data from PHINDIR are also tasked with protecting it from other than secured users via our Rules of Behavior.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII data is secured physically by being housed in secure locations requiring identification badges and key cards. Guards and closed circuit TV are in place. Access from outside of CDC is controlled by use of secure access through SDN. Access from inside of CDC uses Active Directory authentication. Also, all users of PHINDIR must have secured roles in order to access the data.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden



**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PHIN National Environmental Health Tracking Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/17/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-01-0615-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC #: 615

**7. System Name (Align with system Item name):** CDC National Environmental Public Health Tracking Network (NEPHTN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Craig Kassinger

**10. Provide an overview of the system:** National Environmental Public Health Tracking Network (NEPHTN) is a web-based Survey and Analysis Information System that provides a “one-stop” resource for identifying data specifically related to understanding environmental-health interactions. NEPHTN supplements and leverages the work others have done to add to and enhance the knowledge base of environmental contributions to health outcomes. NEPHTN provides the means to identify, access, and organize hazard, exposure, and health data from these various sources.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) NEPHTN collects and shares electronic health and environmental data.

(2) NEPHTN provides the means to identify, access, research and organize hazard, exposure, and health data from various sources.

(3) No. The system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) N/A. The system does not contain any PII.

(2) N/A. The system does not contain any PII.

(3) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain any PII.

EAAL = N/A

Risk Analysis Date = 5/10/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 6/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC PHIN Vocabulary Access and Distribution System (PHIN VADS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-01-0908-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** None

**6. Other Identifying Number(s):** ESC# 1529

**7. System Name (Align with system Item name):** Public Health Information Network Vocabulary Access and Distribution System (PHIN VADS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Toby Slusher

**10. Provide an overview of the system:** PHIN VADS is a web-based enterprise vocabulary system for accessing, searching, and distributing vocabularies used within the PHIN. It promotes the use of standards-based vocabulary within PHIN systems to support the exchange of consistent information among Public Health Partners. Access and view vocabularies in the context of public health with file download options for Value Sets, Value Set Concepts, Code Systems, and Code System Concepts available in a tab-delimited text, Excel, or XML format. PHIN VADS provides standard vocabularies to CDC and its Public Health Partners in one place

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PHIN VADS does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system will collect, maintain, and disseminate public health vocabulary data as Value Sets, Value Set Concepts, Code Systems, and Code System Concepts. This information promotes the use of standards-based vocabulary within PHIN systems to support the exchange of consistent information among Public Health Partners. This information does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) PHIN VADS does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PHIN VADS does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PHIN: Countermeasure and Response Administration System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-1101-00-110-218

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136, "Epidemiologic Studies and Surveillance of Disease Problems," and 09-20-0113, "Epidemic Investigation Case Records."

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Countermeasure Response Administration (CRA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John Lindsey

**10. Provide an overview of the system:** CRA (Originally Pre-Event Vaccination System) was created by NCPHI to assist in collecting the required data from the Grantees behind the CDC Secure Data Network (SDN). The SDN requires the use of a digital certificate for access to the application. Further, the CRA application also requires a userid and password. Each user is assigned various roles which determine what data the user has access to and what functionality they have. The application allows entry of organization data, patient data, vaccination data, vaccine batch data, and access to various reports. CRA also allows Grantees to upload full sets of their data if they have a system that provides similar functionality to CRA. Non-identified data entered in the CRA application is combined with similar data that is uploaded and shared with the NIP datamart. The data in the datamart is used to create various aggregate reports for the Grantees and for internal research at CDC.participating in the program. CRA is a web-based application that is hosted.

The Countermeasure and Response Administration (CRA) system is a Web-based application that tracks patients and the countermeasures they receive during a public health event. CRA enables Global Administrators and Public Health Administrators to quickly set up the system, define parameters to tailor the system (including a field for the SSN), to input patient information and countermeasures, send/receive data, report aggregate counts, run reports, generate extracts, and view maps. When an event needs to be added to CRA, Global Administrators and Public Health Administrators are authorized to perform this task. A Global Administrator is a CDC representative responsible for supporting the system and providing assistance to jurisdictional users. He/she has full access rights to all CRA functionality and access rights to the data of all jurisdictions. A Public Health Administrator maintains administrative information for the top-

level jurisdiction and may maintain jurisdiction, organization, staff, and user data for partner jurisdictions and subordinate jurisdictions. A CDC user is a CDC representative who is authorized to view and run reports at the partner jurisdiction level (these reports do not identify patients or organizations).

When an event needs to be added – the following information can be collected: entering the basic information (event name, start date, end date, etc.), configuring aggregate groups, configuring countermeasures, configuring group contact information for group dispensing, deciding which patient demographic fields will be displayed (including SSN), and in which order, when adding patients to the event (optional), assigning jurisdictions and organizations to the event, and specifying that the event is ready for synchronization. When an event is added, a field for SSN can be selected and SSNs can be collected for this event. CRA does have the potential to collect SSNs if the authorized user requests this field. In CRA when creating the layout for the patient countermeasure fields that display when adding patient countermeasures to CRA, the person configuring the event might choose to create user-defined fields to meet their particular requirements, so the user could add SSN anyway. We have included it at the request of the user groups.

CRA collects staff and public health official IIF for the purpose of identifying individuals who have come into contact with infected persons. This enables Public Health Officials to coordinate dispensing vaccinations and medications by officials who have already had physical contact with infected person and to inform and contact public health officials in cases where they may have unknowingly come into contact with infected individuals.

CRA collects patient IIF for the purpose of following up and giving necessary vaccinations, medications, and potentially quarantine infected individuals and to monitor the progress of said individuals

Per

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
CDC/NIP Datamart: To create various aggregate reports for the Grantees and for internal research at CDC

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. CRA collects, maintains, and disseminates grantee organization name, patient countermeasures records (e.g., vaccination, medications), and PII in terms of Patient demographics. The PII will vary from event to event (an anthrax event is different from H1N1, for example), and is determined by the grantee organizations. In configuring an event, the grantees can select among a predetermined list of data categories, including PII; this list no longer includes SSN. (See Appendix A for additional discussion of SSN in CRA.). The grantee can also choose to elicit additional data using a User Defined Fields feature. PII that may be included, based on the grantee's needs include name (for purposes other than contacting federal employees); date of birth; social security number (SSN); driver's license; personal mailing address; personal phone numbers; medical records numbers; medical notes; personal email address; employment status; ethnicity; gender; and & passport number. More detail below

- CRA enables Global Administrators and Public Health Administrators to quickly set up the system, define parameters to tailor the system (including a field for the SSN), to input patient information and countermeasures, send/receive data, report aggregate counts, run reports, generate extracts, and view maps. When an event needs to be added to CRA, Global Administrators and Public Health Administrators are authorized to perform this task. A Global Administrator is a CDC representative responsible for supporting the system and providing assistance to jurisdictional users. He/she has full access rights to all CRA functionality and access rights to the data of all jurisdictions. A Public Health Administrator maintains administrative information for the top-level jurisdiction and may maintain jurisdiction, organization, staff, and user data for partner jurisdictions and subordinate jurisdictions. A CDC user is a CDC representative who is authorized to view and run reports at the partner jurisdiction level (these reports do not identify patients or organizations)

- When an event needs to be added – the following information can be collected: entering the basic information (event name, start date, end date, etc.), configuring aggregate groups, configuring countermeasures, configuring group contact information for group dispensing, deciding which patient demographic fields will be displayed, and in which order, when adding patients to the event (optional), assigning jurisdictions and organizations to the event, and specifying that the event is ready for synchronization. CRA does have the potential to collect SSNs if the authorized user requests this field. In CRA when creating the layout for the patient countermeasure fields that display when adding patient countermeasures to CRA, the person configuring the event might choose to create user-defined fields to meet their particular requirements, so the user could add SSN anyway.

2. The data collected by CRA comes from CRA grantees. CRA is an emergency preparedness and response asset useful for any event involving tracking of vaccine administration, dispensing of pharmaceuticals and medical materiel, or implementation of social distancing measures. CRA supports analysis of safety, coverage, and effectiveness during an event which improves patient outcomes. More detail below.

- CRA collects staff and public health official IIF for the purpose of identifying individuals who have come into contact with infected persons. This enables Public Health Officials to coordinate dispensing vaccinations and medications by officials who have already had physical contact with infected person and to inform and contact public health officials in cases where they may have unknowingly come into contact with infected individuals.

· CRA collects patient IIF for the purpose of following up and giving necessary vaccinations, medications, and potentially quarantine infect

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) CRA does not have any processes in place to notify or obtain consent from individuals whose PII has been provided to CRA. CRA receives data per the Privacy Rule. CRA does not notify or obtain consent from individuals regarding what PII is being collected from them. The information in CRA includes: entry of organization data, patient data, vaccination data, vaccine batch data, and access to various reports. CRA also allows Grantees to upload full sets of their data if they have a system that provides similar functionality to CRA. Non-identified data entered in the CRA application is combined with similar data that is uploaded and shared with the NIP datamart. The data in the datamart is used to create various aggregate reports for the Grantees and for internal research at CDC.

However, the data sent to CRA comes from CRA grantees. The grantees are HIPAA covered entities and are responsible for providing notice to individuals of the use of their data within the CRA program.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is subject to CDC Certification and Accreditation process, and is accredited as a moderate system. It uses PKI to secure logins, complies with CDC policies and requirements for technical security, and is located in a physically secure area.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PHITPO Informatics Research and Development Lab (IRDLab) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/8/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** PHITPO Informatics Research and Development Lab (IRDLab)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tom Savell

**10. Provide an overview of the system:** The PHITPO Informatics (formerly NCPHI) Research & Development Laboratory (IRDLab) was created in 2007 to meet the need for on-going informatics-related research and development activities, both within the National Center for Public Health Informatics and to other CDC entities that seek to perform informatics research. Specifically, the lab supports activities such as: rapid prototyping and testing of hardware and software solutions, hosting of demonstration software and hardware, responding to public health emergencies, and temporary response to ameliorating technical barriers within the CDC network. Due to CDC policy, technology, and security constraints- this lab was created as an entity separate from the standard CDC network.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
IRDLab does not contain PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The IRDLab will be used for many testing purposes. At no time will it contain sensitive information and will only collect, maintain or disseminate test data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) IRDLab does not contain PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IRDLab does not contain PII

PII No

E- Auth Level = Yes

Risk Analysis date 08/02/10

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 10/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PMAP Reporting (PMAP R) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-2OPM/GOVT-2

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1836

**7. System Name (Align with system Item name):** PMAP Reporting

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vanessa Palmore

**10. Provide an overview of the system:** PMAP Reporting System is designed to view and summarize employee's personnel rating and award allocation information via a web-interface. The system is programmed using Statistical Analysis System (SAS) and it is a read only application.

Data can be summarized by Coordinating Center, Chief Information Office (CIO), Division and Branches. The data shows completion information and percentages of employees who have PMAP plans developed and rated. The system shows the type of rating an employee received and whether the employee was eligible for a QSI, Cash or Time-Off award. The report also shows demographic information relating to employees in particular Pay-Plans, Grades and Series.

The system displays Pay-Out Dollar estimates for employees who received fully successful and exceptional ratings. Employees receiving fully successful ratings are eligible to receive cash awards up to 2% of the earned actual salary for the rating year and exceptional employees are eligible to receive 2.5 to 5% of the actual earned salary for the rating year. Exceptional employees may also be eligible for Quality Step Increase awards. Dollar estimates may also include Federal Insurance Contributions Act (FICA) taxes of 7.65%.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, Employee name, rating and award information is shared with AHRC who sends the PMAP rating and award information to HHS via the PMAP Statistics role. This is a restricted role based upon access via MISO's RBAC application and is shared with PMAP Raters and Reviewers, PMAP Coordinators, Top management Officials and AHRC.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Employee's Rating, Scores and Award information for employee's receiving Exceptional and Fully Successful ratings for award processing. Name and other personnel information stored within the PMAP Reporting system is retrieved from the Official Personnel file EHRP, part of the Capital HR system. The Capital HR system is defined and maintained by HHS. PMAP Reporting must use and retrieve the information in the format provided. The Capital HR system is the main personnel system for FTEs.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Users are notified upon employment how their PII is going to be used within the CDC and is required as a condition of employment. Consent is gained during employee intake

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Access to PMAP reporting is controlled by the FAME application which is admin code driven. The system is located within a locked room w/ guards posted in the lobby

PII Yes

E auth N/A

Risk date 7/22/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PME WebForm (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/24/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID 1502

**7. System Name (Align with system Item name):** Performance Measurement and Evaluation (PME) Webform

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Josh Giles (ewa8)

**10. Provide an overview of the system:** At the start of each fiscal year, the Performance Measurement and Evaluation Team (PMET) requests a data extract from HealthImpact.net. The data extract includes activity specific project plan information for each TPER-funded activity. This information is then imported into the PME SQL database.

Following the import, the Deloitte IT team develops the webform. The webform includes information specific to each activity's project plan, as well as general questions for significant accomplishments, post-award requirements, and anticipated funding obligations.

The PMET disseminates the webform each Reporting Period to activity leads, contacts, and budget analysts via an e-mail with a link to the webform. Activity leads and contacts are given three weeks to complete and submit the webform for their activity.

Following each Reporting Period, the PMET analyzes the updates provided by each activity and requests additional information when needed. The activity updates and PMET analysis are then used to create the Operational Reports which depict progress for each individual activity.

The current data collection process and reports offer several limitations. The data import from HealthImpact.net requires significant coordination with MISO and any changes made to HealthImpact.net following the extract are not reflected in the webform.

The webform itself is limited by its current platform. The current webform is a single page that the user must scroll through to complete all updates. For larger activities, the webform is quite lengthy and it is difficult to distinguish the individual sections of the webform. In addition, the current webform does not support validation increasing the likelihood that submissions will be incomplete. To compensate for any missing information, the PMET requests additional information from any activities submitting incomplete webforms.



Aside from the Operational Reports, the current platform does not offer any other reporting capabilities. When additional reports or information are needed, the PMET must manipulate and format the information outside of the database and reports.

All of these factors lead to an inefficient process of collecting data and limited reporting capabilities.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system will collect progress information for TPER-funded activities across the CDC, such as:

Activity analysis

Milestone progress

Significant developments

Risk and Issues

Federal contact information

Publication or manuscripts

Financial Obligations

IT components

Research Requirements

External Peer Review

Used to evaluate TPER-funded activities

Federal Contact PII only

N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

No PII

Risk Analysis date: 3/1/2010

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/24/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Podcast (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1465

**7. System Name (Align with system Item name):** Podcast

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** Web site used to promote and distribute CDC podcasts, video podcasts and RSS feeds to the public. External site will allow visitors to listen to or watch CDC podcasts on line in a web browser or subscribe to the podcasts RSS feed through iTunes or another podcatcher/RSS reader software. Full transcripts will be stored and will allow users to search all podcast transcripts.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

Risk Analysis Date = March 28, 2007

E-authentication assurance level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Polio Enterovirus Database [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** POAM to be created

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1599

**7. System Name (Align with system Item name):** Polio Enterovirus Database (EVDB)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wendi Kuhnert

**10. Provide an overview of the system:** The EVDB was designed to support the accessioning, testing, tracking, results recording and reporting for all specimens processed by the Enterovirus Laboratory. The laboratory functions as one of the global specialized laboratories within the Global Polio Laboratory Network; thus, the majority of the diagnostic samples received are in support of the Global Polio Eradication Initiative (GPEI). The GPEI dictates the dataflow for polio specimens, including the need to track the original case-based unique identifier, track the unique laboratory-assigned, specimen-based numbers that have been assigned at non-CDC labs, and report results in the context of the epidemiologic data that must also be captured.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII information is shared.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Collect basic clinical data and specimen identifiers pertaining to patients whose Clinical specimens are to be tested. The information is submitted by the physician, hospital, or public health agency. Submission of PII is voluntary on their part. Contains name and DOB.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) 1. There is not a process in place to notify individuals if major changes occur to the system.

2. CDC does not collect PII from individuals, it is obtained by submitter. Consent is given to the submitter.

3. No PII data is shared

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The backend storage, which is SQL Server, will be protected through explicit account creation for those authorized to access the data. The security of the SQL Server is provided by ITSO SQL group. ITSO Change Management porcedures are followed

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Position Description Library [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 10/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-0540-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 540

**7. System Name (Align with system Item name):** Position Description (PD) Library

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug Correll

**10. Provide an overview of the system:**

PDL is a Web-based central repository for position descriptions. The system was designed in close collaboration with AHRC so managers could easily access position description templates or modify existing PDs within the repository and electronically submit them to AHRC for approval. It's simple design and ease of use has made it one of our most popular applications. The system provides extensive sort and search capabilities and can store any number of associated documents such as evaluation plans, crediting plans and performance plans.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC Position Descriptions. Information does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 11/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Poxvirus Freezer Inventory [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1761

**7. System Name (Align with system Item name):** Pox Virus Freezer Inventory Program (PVFI)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Inger Damon

**10. Provide an overview of the system:** \*10. Provide an overview of the system: Pox Virus Freezer Inventory Program is an internal facing application that is designed to provide placements for scabs in the lab. PVFI is an internal client server application developed in Visual Basic 6 that connects to an internal SQL server. This information will be generally available to interested parties through an Intranet based interface. These contents will be managed through an Intranet-based interface accessible only within the CDC network and by users with appropriate User ID and Password access. The application is utilizing Microsoft Structured Query Language (SQL) Server platform for data storage and management. The systems SQL Server database is located on server SQP-CON4\QSRV2 at Chamblee.

These specimens are shipped from researchers, state or local health departments. All entries consists of required fields to fill out which are marked with a red circle. There are Drop-Down menus that are located throughout the form to help facilitate filling in the fields with minimal typographical errors. If applicable any additional information you have should be placed in the comment field as well. After filling in all the required fields and saving your data entry a user must find a place in inventory for the specimen by selecting option "search all". After a selection has been made PVFI will determine where to house the specimen. Pox Virus Freezer Inventory Program can remove a specimen by accessioning number this operation will remove all specimens from freezer. This system can relocate an entire Freezer if required in order to do so you will have to change the freezer name and by doing so, you will relocating an entire box works, but you have to move these boxes between freezers.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = December 15, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/18/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC PPB Information Portal/PPB Grantee Management System (PIP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** PPB Information Portal/PPB Grantee Management System (PIP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Stanley Phillip

**10. Provide an overview of the system:** The PIP environment (formerly called Prevention Program Branch Support System (PPBSS)) was established in 2005 to address the recommendation of the McKing Consulting Firm for system automation within the Prevention Program Branch. Z-Tech developers created a general support system that will operationally support the future development of PPB applications. The system consists of a web server and an SQL server data repository that supports not only data collection, but, additional administrative and reporting needs for the branch. The development of PIP has facilitated the move from all paper field processes for grantee files to automated processing of information, thereby increasing system efficiency. The application is only accessible on the CDC intranet.

The primary purpose of PIP is to process and manage grantee information and make such information available to all PPB staff, both on and off site.

The functions of the PIP environment will be as follows:

- Data collection
- Manage Grantee information
- Manage Agency information/contacts
- Manage proposed target populations

The majority of applications that will be operating within the PIP environment will have data flows as detailed below:

- User inputs information into system
- User manages collected information
- User runs reports to review submitted information

Manual processes within PPB have already been identified and plans to develop applications to replace those processes have already been documented.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PIP collects various business related information from Community Based Organizations and State Health Departments. This information is used to help them determine if an organization should be provided grant funding.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC PRAMS Integrated Data Collection System (PIDS) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/13/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0160

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1482

**7. System Name (Align with system Item name):** PRAMS Integrated Data Collection System (PIDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Aspy Taraporewalla

**10. Provide an overview of the system:** Pregnancy Risk Assessment Monitoring System (PRAMS) is an ongoing state-specific, population-based surveillance system designed to monitor selected maternal behaviors and experiences that occur before, during, and after pregnancy among women who deliver live-born infants.

PRAMS Integrated Data Collection System (PIDS) is a project that will integrate SugarCRM Professional and IBM SPSS Data Collection. PIDS will replace the current PRAMS surveillance system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PII is not shared outside of the state users of the system. PII is gathered for the purpose of

making contact with mothers having a recent live birth. Names, addresses, and telephone numbers are loaded into the system by the state to support mailings and telephone contacts.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

1) The agency will collect names, addresses, and telephone numbers of mothers having a recent live birth. Access to this information shall be controlled so that it is only available to the state users that submit the information and other state users with delegated authority to access the information.

2) CDC will house the information to centralize and standardize the process by which states make mail and phone contacts with mothers selected to receive the PRAMS survey.

3) Information will include PII (names, addresses, and telephone numbers).

4) Submission of PII is done by the state and not the individual. Submission is voluntary, but may be necessary for the full functionality of the system to work for the state.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) 1) None. PII is not collected directly from individuals.

2) Individuals contacted in association with the program are notified of their inclusion in the study and of protections to ensure that their information is kept private to the extent permitted by law.

3) The PII will not be shared outside the system. PII is not maintained in the system beyond the protocol period for making contact (currently about 90 days).

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured following all applicable administrative, technical, and physical controls required by CDC & NIST.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker



**Sign-off Date:** 6/13/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Prevention Research Synthesis (PRS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1323

**7. System Name (Align with system Item name):** Prevention Research Synthesis (PRS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Purcell

**10. Provide an overview of the system:** HIV/AIDS Prevention Research Synthesis (PRS)

Application is a project designed around a Microsoft SQL database consisting of all HIV/AIDS, social and policy prevention intervention studies to meet the needs of HIV prevention researchers, service providers and users, planners, policy makers and others. The PRS project has several aims:

1. To permit systematic reviews that address the population, intervention, study design, setting and outcome factors associated with intervention effectiveness;
2. To identify methodologically rigorous studies that have significant positive results; and To identify gaps in the existing research and directions for future study.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Progeny v 8.0 (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Progeny v 8.0

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Keith Story

**10. Provide an overview of the system:** Progeny is a complete genotype data management system for whole genome association, targeted or linkage studies. Progeny tracks genotypic and phenotypic data in one centralized, secure database, with ability to manage genotypes, samples, plates, SNP/STR maps, and output to analysis packages.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Genetic data that have coded identifiers will be submitted, maintained, and disseminated. These data have been collected by

NCBDDD and Centers for Birth Defects Research and Prevention grantees and will be used in the study of birth defects. No PII is linked to these data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Program Annual Progress Assessment [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 6/17/2010

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number: 009-20-04-00-02-1036-00-402-124

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC ID: 1036

7. System Name (Align with system Item name): Program Annual Progress Assessments (PAPA)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Karron Singleton

10. Provide an overview of the system: PAPA is a Point of contact (POC) Business communications portal for Grants. It is a SDN Web-based data collection application which is used by 64 grantees (States, US territories and several US cities) health Departments. PAPA collects data the grantees are required to provide each year as a part of their grant conditions. The system provides an electronic interface for the grantees to enter program data on various immunization programs and public health area. Some of the services PAPA provides uniquely identify grantees through the use of grantee-specific id/Password via SDN. It has menu driven access to all report sections, dated stamped input, transaction logs, and logical and clerical data validity checking prior to submission.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: ONLY represents federal contact data**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII.

EAAL = 1

Risk Analysis Date = April 6, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 6/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Progress Reporting System (PERRC) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID # 1903

**7. System Name (Align with system Item name):** PERRC Progress Reporting System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Josh Giles

**10. Provide an overview of the system:** The PERRC Reporting Application is an Internet-based (ASP.Net) tool that allows ERPO Project Officers to electronically view information collected from each PERRC regarding the Center's research activities. The web application will allow ERPO Project Officers the ability to monitor the progress of each PERRC throughout the program lifecycle. No SSN will be collected via the application.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** 1.) The system will collect the following information regarding a Center's Preparedness research projects:

- A comparison of actual accomplishments to the objectives established for the period. Where the output of the project can be quantified, a computation of the cost per unit of output may be required if that information will be useful. The reasons for slippage if established objectives were not met.
- Leveraging CDC expertise to provide grantees with program guidance and technical assistance
- Collecting and documenting key findings, publications, trainings, and other products produced as a result of OPHPR funding
- Sharing success stories, lessons learned, and best practices with partners, other academic institutions, and state and local health agencies
- Responding to program inquiries from congress and other external sources
- Facilitating collaboration between grantees, CDC, state and local health agencies, and other public health partners
- Significant developments. Events may occur between the scheduled performance reporting dates which have significant impact upon the grant or subawardee supported activity. In such cases, the grantee must inform the Federal agency as soon as the following types of conditions become known:
  - Problems, delays, or adverse conditions which will materially impair the ability to meet the objective of the award. This disclosure must include a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
  - Contact information in case the Project Officer needs to get in touch with the Activity owners.

2.) Used to evaluate the research Centers and connect public health with scientists involved in business, engineering, legal, and social sciences fields to incorporate multiple perspectives into preparedness and response research, which can be used to strengthen our nation's response capability

3.) Grantee contact information is gathered (Business IIF): Name, work email, work address, and work phone number

4.) Business IIF collected from the system is mandatory

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** 1.) Users will be notified (via email) by the ERPO Project Officers of any changes to the System

2.) The user will select a consent notification before they are allowed access the system.

3.) Electronic notice submitted via the application

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Project Planning and Budget Itegration Database [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-1479-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1596

**7. System Name (Align with system Item name):** Project Planning and Budget Integration Database (Vertical Planning)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Karen Stamey

**10. Provide an overview of the system:** In order to provide consistency for planning and budget integration across the Center, NCEZID has chartered a working group, composed of representatives from each division, to develop a central NCEZID database to be used as a management tool for vertical and horizontal planning, budget and planning integration, mapping branch projects to division, center, coordinating center and CDC goals, and that serves as a building block for future performance measurement processes. Use of this database enables a unified approach to expressing the public health priorities and impacts as measured by Center and CDC goals.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Project Profile System (PPS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/14/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1297

**7. System Name (Align with system Item name):** Project Profile System (PPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Campbell

**10. Provide an overview of the system:** Project Profile is NCEH/ATSDR's system for initiating project-specific budget planning for the fiscal year. Project Profile primarily consolidates, standardizes, and centralizes the process of requesting and tracking funded projects. The system allows for the reconciliation between annual spending plans at the beginning of the fiscal year and the actual work conducted.

As fiscal year planning occurs, each division is given a chance to request their budget through projects entered in Project Profile. The data maintained in the system contains detailed information about the project, including project funding, project narratives, milestones, strategic planning data, intramural and extramural funding, etc.

Project Profile serves as an integral part of NCEH/ATSDR's budget planning management system. The system manages and tracks the Agency's budget and performance information throughout the year on a project-specific basis. The data is used to monitor planned and actual expenditures, to identify deviations from the spending plan, and to identify sources of funds available for distribution. The system also provides detailed and summary information for senior management to make appropriate budget decisions and provide required information to meet the needs of HealthImpact.Net.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether**

**provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** ) Budget requests and funding information

(2) The data is used to monitor planned and actual expenditures, to identify deviations from the spending plan, and to identify sources of funds available for distribution.

(3) PP does not contain any PII.

(4) N/A. No PII collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No. PPS does not contain PII.

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 8/20/2007

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Property - Remote Inventory Verification [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 8/30/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1132-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1630

**7. System Name (Align with system Item name):** Property – Remote Inventory Verification (RIV)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mike Daley

**10. Provide an overview of the system:** Property – Remote Inventory Verification (RIV) is a web-based system designed to track the remote inventory of CDC property. RIV collects remote inventory information from CDC users to integrate with the Health and Human Services (HHS) standard Property Management Information System (PMIS). This system is critical to ensure that all CDC remote equipment is appropriately located and documented during inventory. All remote users (who have a Secure ID keyfob) will be encouraged to access the questionnaire via CITGO or intranet URL. Users will receive a link/icon to access the Remote Inventory Questionnaire. All users will be required to enter barcode number(s) of all offsite equipment or certify they have none; failure to comply will result in their Secure ID KeyFob being disabled. This system contains no Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Property – Remote Inventory Verification (RIV) is a web-based system designed to track the remote inventory of CDC property. RIV collects remote inventory information from CDC users to integrate with the Health and Human Services (HHS) standard Property Management Information System (PMIS). This system is critical to ensure that all CDC remote equipment is appropriately located and documented during inventory. All remote users (who have a Secure ID keyfob) will be encouraged to access the questionnaire via CITGO or intranet URL. Users will receive a link/icon to access the Remote Inventory Questionnaire. All users will be required to enter barcode number(s) of all offsite equipment or certify they have none; failure to comply will result in their Secure ID KeyFob being disabled. This system contains no Personable Identifiable Information (PII) of any sort.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date =8/12/2010

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/30/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Property Reporting [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/25/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1132

**7. System Name (Align with system Item name):** Property Reporting

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Terrance Perry

**10. Provide an overview of the system:** The Property Reporting System is a web-enabled application which allows all CDC users to generate various reports out of MISO PMIS (Property Management Information System) database . The reports include views by bar code and serial number as well as list of assets by user name and purchase order, plus list of all Custodial Officers across of CDC.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will use

Name/UserID in order to link CDC property to the person. IIF information to link the name of the CDC employee, contractor, fellow or student and what property is associated with that person and in their possession. Submission of this information is mandatory in order for that person to gain access and possession of CDC property.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system uses standard ITSO controls. The server is located in a locked room. AD access is required to access the information, and the administrator periodically reviews information as it is logged in.

IIF is collected and the proper controls are utilized to safeguard sensitive information.

E-Authentication Assurance Level = N/A

Risk Analysis Date = June 3, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/26/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Public Access Portal (CPAP) [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 2/2/2012

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): CDC Public Access Portal (CPAP)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Robert Swain

10. Provide an overview of the system: The purpose of the Public Access project is to make publications and other multimedia products that come from work supported by federal funding freely available to the general public and scientific community. The project's goals are to:

Create a stable, permanent archive (institutional repository) of publications and other materials resulting from CDC-funded research

Implement a robust search of this archive for use by CDC and its awardees in managing research portfolios, monitoring productivity, and setting research priorities

Make published results of CDC-funded research more accessible to the public, health care providers, educators and scientists

To enable public access to publications of CDC and its grantees, this project will develop

1) necessary agency policies and procedures, and 2) the technical applications and associated processes.

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) CPAP allows for the search and display of publications and other multimedia works. CPAP maintains the names of the author/co-author(s) in order to support the validity, respect, kudos, and for citation requirements as it relates to the published work. If the author(s) included their business email address in their original work, this too is maintained.

(2) The purpose of the Public Access project is to make publications and other multimedia products that come from work supported by federal funding freely available to the general public and scientific community.

(3) No PII

N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII will be collected or maintained. The system maintains and disseminates the business contact information of author(s) only - name and email. This information was provided by the author(s) at the original publication time for the purpose of dissemination. Since the original intent of the work is for general public and scientific consumption, it is a reasonable assumption that consent to include names and emails was given at the original time of publication. CPAP does not notify or obtain continued consent for any work post- publication.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII will be collected or maintained. The system maintains and disseminates the business contact information of author(s) only - name and email. Since CPAP's mission is to provide a system with which the general public can search for and read CDC's published works, the system does not restrict the reading of the author(s)'s name

or business email. CPAP restricts the modification of this data to properly-vetted CDC administrative personnel using a policy of least privilege.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Assessments & Health Consultations [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/30/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9623-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1620

**7. System Name (Align with system Item name):** Public Health Assessments & Health Consultations (PHAHC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marianne Hartin

**10. Provide an overview of the system:** Public Health Assessments & Health Consultations (PHAHC) is a web-based content delivery application that users visiting the CDC/NCEH/ATSDR website can access Public Health Statements (PHAs) and Health Consultations (HCs). These documents are findings and information pertaining to hazardous waste sites the CDC and ATSDR are involved in during the investigation and clean-up process. The application allows a user to select a state from an interactive map or from a page that lists states and regions and generates a list of publications that can be accessed. The content is accessed by the public meaning that anyone of interest in knowing about hazardous waste sites can view findings from a particular site. The public in this case is defined as anyone a public official, health professional, students and/or any concerned citizen. The content, the publications have been approved for public viewing.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) PHAHC maintains Public Health Statements and Health Consultation documentation pertaining to hazardous waste sites. These documents are findings and information pertaining to hazardous waste sites the CDC and ATSDR are involved in during the investigation and clean-up process. The content is accessed by the public meaning that anyone of interest in knowing about hazardous waste sites can view findings from a particular site.

(2) PHAHC allows users visiting the CDC/National Center for Environmental Health (NCEH)/Agency for Toxic Substance Disease Registry (ATSDR) web site to access PHA's and Health Consultations (HCs). These documents are findings and information pertaining to hazardous waste sites the CDC and ATSDR are involved in during the investigation and clean-up process.

(3) PHAHC does not contain any PII.

(4) N/A. PHAHC contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) N/A. PHAHC contains no PII.

(2) N/A. PHAHC contains no PII.

(3) N/A. PHAHC contains no PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** None. PHAHC does not contain IIF.

E-Authentication Assurance Level = N/A (Public Access)

Risk Analysis Date = 10/15/2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris (CTR) Date

OCISO C&A Analyst Michael W. Harris (CTR)

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 10/30/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Image Library (PHIL) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/28/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 835

**7. System Name (Align with system Item name):** Public Health Image Library (PHIL)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** The Public Health Image Library (PHIL) is a publically accessible repository of images, medical illustrations, video, motion graphics and other multi-media files for open and free use by the media and the general public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII is collected. PHIL will disseminate images for the media and public consumption.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII is collected or stored.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is collected.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerry L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/29/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Informatics Virtual Conference (PHIVC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/19/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Public Health Informatics Virtual Conference (PHIVC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Adam Arthur

**10. Provide an overview of the system:** The Public Health Informatics 2011 Virtual Conference (PHIVC) is an initiative that is being undertaken by the Division of Informatics Practice, Policy and Coordination (DIPPC), in the Public Health Informatics and Technology Program Office (PHITPO), within the Office of Surveillance, Epidemiology and Laboratory Services (OSELs).

The PHIVC will utilize the existing online offering of virtual conference application software by procuring a vendor through the PGO process. The chosen vendor will help DIPPC/PHITPO/OSELs create a customized solution that will provide an online version of the 2011 Public Health Informatics Conference, (the physical conference). Therefore, both the PHIVC and the Public Health Informatics Conference will run simultaneously as a hybrid conference.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Information Network (PHIN) Exchange [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/21/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1685

**7. System Name (Align with system Item name):** Public Health Information Network (PHIN) Exchange

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** L. Darlyne Wright

**10. Provide an overview of the system:** PHIN Exchange is a suite of secure enterprise SOA web services hosted by CDC's Secure Data Network that supports the transfer of XML Message payloads between certified PHIN partners. PHIN Exchange is available to all CDC Centers, Institutes, Offices and programs that need to exchange messages with PHIN partners. The current implementation supports PHIN Cascade Alerting (PCA) and PHIN Directory Exchange (DEX) but could easily support additional message types. The PHIN Exchange consists of the following services:

- File Exchange – Supports exchange of any valid payload files including PHIN Cascade Alert EDXL/CAP XML files and Directory Exchange XML files. The following Cascade Exchange and Directory Exchange services utilize the File Exchange to store and access payload files in the Exchange database.
- Cascade Exchange - A strongly typed web service that manages the sending and receipt of PCA messages and reports. The service utilizes the Cascade Mapper service to validate PCA data and marshal to and from XML.
- Cascade Mapper - A strongly typed web service that manages the validation and marshaling of PCA data objects to and from the PCA XML files. This utility service allows PHIN Partners to create the XML payload from PCA data objects or create PCA data objects from XML payload.
- Directory Exchange - A strongly typed web service that manages the sending and receipt of DEX messages and reports. The service utilizes the Directory Mapper service to validate DEX data and marshal to and from XML.
- Directory Mapper - A strongly typed web service that manages the validation and marshaling of DEX data objects to and from the DEX XML files. This utility service allows

PHIN Partners to create the XML payload from DEX data objects or create DEX data objects from XML payload.

The PHIN Exchange as a light-weight, low-cost support and maintenance platform with the following advantages:

- No local installation – Zero-Footprint;
- No open firewall ports required, each client “pulls” or “pushes” message;
- Encryption of messages during transport;
- Two-factor node authentication using SDN Digital Certificates and additional unique identifier;
- Routes messages to multiple partners;
- Central management of routing information eliminates updating every partner when a new partner is added to the exchange;
- Easier adaption of alerting vendors supporting state and local governments with a strongly typed SOAP Web Service interface

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PHIN Exchange transports alert messages with certified PHIN partners (State, Local, or CDC program) and may contain non-personal email addresses. In addition, PHIN Exchange may be used to transport update records from State and Local Public Health departments to CDC’s PHIN Directory. PHIN Exchange serves as a transport mechanism and does not directly provide any PII to any user or system other than the sender-defined recipient of each message.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) PHIN Exchange does not collect, maintain, or disseminate data, but rather serves as a pass-thru between PHIN partners and/or CDC Programs. No personal identifiable information is required and each PHIN partner controls the sending of any PII in accordance to their local privacy rules.

(2) Participating CDC PHIN Exchange programs use messages (and the data they contain) for public health alerting and public health directory updates.



- (3) While not required, PHIN partners in compliance with local privacy rules may supply PII supporting PHIN Directory updates. This process complies with PHIN Directory PII guidelines.
- (4) Any personal information is submitted voluntarily from State and Local Health Departments and other PHIN Certified partners.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) PHIN Exchange does not notify nor obtain consent from individuals whose PII is provided from State and Local Health Departments and CDC Programs – as a transport mechanism serving other systems, changes to data elements or uses are initiated by participants.

(2) PHIN Exchange does not provide any information to any individual on how data is used or shared.

(3) PHIN Exchange participants (partners) adhere to local laws and regulations to provide notice to (and obtain consent from) organization personnel – as a transport mechanism serving other systems, usage and sharing of data elements is managed by participants.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only authorized CDC programs and State and Local Public Health Department systems have access to PHIN Exchange activities. Each participant system must be issued an SDN digital certificate and must be specifically authorized for each given exchange activity. In addition, each participant must be PHIN certified for their exchange specific transaction message types.

PHIN Exchange stores all message data as binary blobs in a SQL Server 2005 database hosted in the ITSO SQL Server Farm. No direct access is allowed to the database with the exception of database administrators.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/21/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Information Network Messaging System (PHIN-MS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/6/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 9.2001240109e+021

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1470

**7. System Name (Align with system Item name):** Public Health Information Network (PHIN) Messaging System (MS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tim Morris

**10. Provide an overview of the system:** PHIN-MS is a secure, reliable message transport system used to send information between national laboratories, state health departments, and the CDC via the internet. PHIN-MS is key to assisting local and state public health organizations accomplish syndrome surveillance. As health information comes in from sources across the nation, epidemiology CDC analyze it and watch for trends that would indicate a disease cluster is occurring in specific neighborhoods.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF in the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system does not collect, maintain or disseminate data. PHIN\_MS provides the transporting of de-identified health reporting data from the public health installations to CDC systems. It does not store the information or mine the information. Information being transported is cancer data, STD and HIV data, Nationally Notifiable Disease, BioSense-RT, and emergency room data, NBS, Laboratory Research Network, and census data, CDC surveys and Electronic Lab Reports. No IIF transported through the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** System does not contain nor transport IIF.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** David Knowles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/6/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Laboratory Information Systems 2 (PHLIS2) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 12/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-2045-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 224

**7. System Name (Align with system Item name):** CDC DFBMD NCZVED Public Health Laboratory Information System 2 (PHLIS2)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kathleen Fullerton

**10. Provide an overview of the system:** Public Health Laboratory Information System 2 (PHLIS2) is a CDC-developed application to capture surveillance data about laboratory specimens. Data from this system is used for disease monitoring and analysis at CDC. The old system was a DOS-based standalone application distributed to all State Public Health Laboratories. The PHLIS2 system will replace the old DOS system. During the design phase of the PHLIS2, it was determined that states no longer needed all the functionality provided by the old DOS system so the functionality was narrowed down to a secure mechanism for transporting data from Public Health Sites to CDC.

PHLIS2 is a combination of procedures and applications for transmitting laboratory surveillance data from external sites to CDC. External sites are given disease specific instructions to develop an ascii delimited file from their Laboratory Information Management System (LIMS). This file is transported through Public Health Information Network Messaging System (PHINMS) to CDC. Once the data is received at CDC, a CDC developed ASP tool validates file contents, stores errors in an error log, copies the file and some of the manifest components to a disease specific working database and then copies the same information to an ASCII file that is stored for archival and validation purposes. The CDC tool is scheduled to run at regular intervals and is customized and stored separately for each disease program. The disease specific database is used by programs for disease monitoring and statistical analysis.

External sites are typically Public Health Laboratories or organizations that collect public health information. External Sites manage their own PHINMS installation and are walked thru the setup by a PHINMS Helpdesk at CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII data is shared or disclosed.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The purpose of the system is to conduct National Surveillance on specific Foodborne pathogens. This information is collected from State Health Laboratories and Epi Offices. Detailed isolate information is collected along with minimal demographic information such as State, County, Sex and Age. Once or twice a year, case studies are conducted and the interview questions are transmitted and added to the database. No PII data is collected for case studies. The data is stored in a SQL table and only designated program personnel have access to it. The data is used to report national trends, outbreak detection and to guide and promote CDC's programs in reducing Foodborne illness. Some of the pathogens are on the nationally notifiable list, but personal information submission is deemed voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** All information is obtained at the State Health Department level. CDC does not interact with any individual and therefore all responsibility for patient notification resides with the State.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Any potential PII data is securely encrypted and transmitted via the CDC approved PHINMS program. Once received, the data is extracted and stored in a secure SQL table. Access to the table is controlled by the program using CDC approved methods. Only CDC authorized staff who are given explicit rights to the table can access it.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Health Library and Information Center Intranet Site (PHLIC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** PHLIC Intranet

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Leslyn McNabb

**10. Provide an overview of the system:** This is an Intranet site for the library at CDC. It is the main access point for scientists and researchers to the hundreds of thousands of databases, books, journals, articles, and other resources (whether print or electronic). It is a dynamic website with the content of the web pages being stored in a SQL database in the consolidated SQL farm at CDC.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** )The information in this Intranet site is data about library products, services, and specific links to resources.

2) For reference and review

3) No information contained in this system has PII

4) No PII Collected

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A, because no PII present in system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/10/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Interactive Communications System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-1414-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** None

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1710

**7. System Name (Align with system Item name):** Public Interactive Communication System (PICS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** The Public Interactive Communication System (PICS) is being created to host collaborative open source applications to interact with partners and the general public. The initial application on PICS will be blogging software, which will create another communication channel for the CDC.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Collect: IP addresses of

external contributors – Applications like blogs will allow users to submit comments. IP addresses will be captured with the comments.

Collect: User supplied data – Comments captured by the system will contain any information that the user chooses to submit, however comments will be moderated and the ROB will instruct moderators to reject any comments that contain PIA.

Disseminate: Comments – Once approved, comments will be shown to the public.

None of the above information contains IIF.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/8/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Public Web Portal (PWP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/18/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-06-02-0610-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1628

**7. System Name (Align with system Item name):** Public Web Portal (PWP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Fred Smith

**10. Provide an overview of the system:** PWP is a general support system (GSS) which hosts CDC static web sites and externally facing Java-based applications. The PWP also provides functionality such as search, printer friendly version, and content syndication.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The public web portal collects no information directly from the user except for terms used to search within the content. Search terms are not IIF.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/18/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Publications Management System (PUBS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/25/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 226

**7. System Name (Align with system Item name):** Publication Management System (PUBS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Charmaine J. Graves

**10. Provide an overview of the system:** The Publications Fulfillment system is a publication inventory management system which currently resides on the several ITSO controlled consolidated servers and is conduit for CDC's division in distributing health-related publications to the general public and health partners. The system is an in-house designed data repository and inventory management system to over 4,500 different CDC and ATSDR publication titles which are ordered from CDC-INFO national contact center, CDC's programs, health partners, general public and disseminated by the warehouse.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The CDC-INFO Publication Fulfillment collects information from users so that requests for hard-copy health related publications can be distributed. Information collected from users include organization, full name, mailing address, city, state, zip code and country and the requested publication(s).

2) This information collected is core to the function of CDC-INFO Publication Fulfillment requests so that publications are distributed to the general public and health partners.

3) The information collected is considered PII

4) Submission of the PII is completely voluntary. The information collected will only be utilized by the CDC-INFO technical steward and staff working to view and fulfill the orders.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** 1) Users will be notified of any major changes to the PUBS system and/or policies through a notification posted on the PUBS homepage (<http://www.cdc.gov/publications>), as well as written correspondence to the user (this acceptable use is listed in the PUBS privacy policy).

2) The PUBS privacy policy will be displayed to individuals before any information is collected and users have the ability to either enter personal information.

3) The PUBS privacy policy (displayed electronically on the PUBS homepage) explicitly states that information collected will be used for only two purposes: to fulfill orders and to notify users of major changes to the system. It further states that information will not be published, shared, or otherwise disclosed without written consent from the user.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Access to the IIF information is only permitted to individuals granted elevated permissions to the account. These permissions are tied to their Active Directory accounts. The servers for this application are located within the DMZ and are only accessible to individuals with the appropriate credentials.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC PWS/AT Automated Routing [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 1516

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1516

**7. System Name (Align with system Item name):** Management Consultation and Technical Assistance Contract Tracking (MCTA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John G. Goodson (hso2)

**10. Provide an overview of the system:** The Performance Work Statement/Agency Tender (PWS/AT) Change Request System is an electronic navigational tool to aid Most Efficient Organization (MEO) project officers and program managers through the process of documenting growth and/or reductions of a MEO. This system allows for all changes and supporting justification to be documented and approved electronically. The system expedites the review and approval process and also facilitates centralized records management for the MEO Implementation Advisor and the CDC Contract Officer.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII = No

EAL = N/A

Risk Analysis Date = 01/03/2011

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/19/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC QA Complete (N/A)  
[System]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/3/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** QA Complete

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Pollock

**10. Provide an overview of the system:** QAComplete provides

- Requirements Management
- Advanced Test Management
- Release Management
- Integrated automated testing with TestComplete
- Defect Management / Absolute Traceability
- Allows our QA and development teams to take a more proactive approach to testing and release management activities. Understand differences in planned versus actual coverage and eliminate redundant or insufficient testing with at-a-glance views.
- Prioritize testing based on the importance of customer requirements and risk, plan coverage, and control test execution..
- Centralized Test Library
- Allows the Program to store, modify, and reuse test scripts

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
QAComplete does not contain any PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The data collected consists of change requests, release dates, defects and dispositions of the NHSN application as well as requirements and use cases. The data is linked to automated test scripts for functional and regression testing. No PII data is stored in this application

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) QAComplete will not contain any PII.

3.) The information will be used internally by the Program and not shared.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** QAComplete does not contain any PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/3/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Q-Bank [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9421-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** 0920-222

**6. Other Identifying Number(s):** ESC ID: 1528

**7. System Name (Align with system Item name):** Q-Bank

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kristen Miller with Aaron Maitland as alternate.

**10. Provide an overview of the system:** Q-Bank was designed as a unique analytical and research tool for researchers and survey professionals. It contains no personal information or personal identifiable information (no PII is stored in Q-Bank) other than Principal Investigator and Investigator/Interviewer Team Names, which are already published and released publicly by NCHS in the final report. While these names are PII they do not need to have their confidentiality protected.

Q-Bank is used by, and receives data and funding from, various government agencies including The Bureau of the Census, The National Science Foundation, The National Cancer Institute, and The Bureau of Labor Statistics, as well as CDC/NCHS. Q-Bank's development and management is under the direction of a Project Manager, an NCHS Project Officer, and an Inter-Agency Steering Committee. While Q-Bank is in an Operational/Maintenance Phase enhancements and modifications are being made to Q-Bank at the direction of the Inter-Agency Steering Committee and the Project Officer.

The Q-Bank application stores survey questions which have been tested to determine the effectiveness of the question. It also contains the Researchers final report, including findings and recommendations based upon the analysis conducted. Questions are indexed and searchable by some 26 categories and endless combinations. Common terms and a common definition of terms and formats across participating agencies were developed to ensure the integrity, common understanding, and effective categorization of the data. No answers are contained in the data.

Q-Bank consists of five modules. The Q-Bank database which is hosted in ITSO SQL in Atlanta, the Q-Bank GUI which is hosted in the MTDC in Atlanta, Q-Bank Admin which is

hosted in ITSO DSS in Atlanta, the Registration Module which is hosted in the MTDC in Atlanta, and the Q-Notes module which is hosted in the MTDC in Atlanta. Q-Bank was originally developed using Sybase's database and PowerBuilder products. It was then transitioned to Microsoft SQL Server and .net products at the request of NCHS OIT.

Data elements in the Q-Bank database and Q-Bank admin include:

Survey Title  
Survey Year  
Evaluation Type  
Test Date  
Sponsor  
Testing Agency  
Universe  
Mode  
Field Mode  
Documentation  
Global Instructions  
Separate Instructions  
Target Population  
Question Topic  
Question Type  
Information Type  
Index Status  
Flash Card  
Introductory Text  
Sequence Number  
Core Question  
Response Text  
Response Category  
Response Error  
Where Error Occurs  
Keywords

The Q-Notes module supports standardized collection of QDRL project investigators and interviewers notes. This module contains the Principal Investigator and Investigator/Interviewer names which are similar to Federal or business contact information. These individuals are limited to a known and defined researcher/interviewer population as determined or required by each QDRL project. Confidentiality of these names do not need to be protected in this

application. A second URL in support of this data collection will be utilized, in addition to sign on and password controls.

Data elements for this notes module (Q-Notes) are:

Date

Project Name

Principal Investigator

Respondent ID (random numeric identifier)

Interviewer Name

Narrative Notes

Meta Notes

The registration module collects contact information from individuals who are interested in attending Q-Bank conferences. This module collects basic information from attendees in order to establish contact with them and provide information on Q-Bank events of interest. We collect basic business information like names, mail addresses, phone numbers, and other relevant information for attending an event or providing information about events. This information does not require any special protection since such business contact information is routinely released publicly in order to encourage communication.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
NO PII Stored in Q-Bank with the exception of the Principal Investigator and Investigator Team names, which are already publicly released in the Final Report.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Q-Bank was designed as a unique analytical and research tool for researchers and survey professionals.

Q-Bank is used by, and receives data and funding from, various government agencies including The Bureau of the Census, The National Science Foundation, The National Cancer Institute, and The Bureau of Labor Statistics, as well as CDC/NCHS. Q-Bank's development and management is under the direction of a Project Manager, an NCHS Project Officer, and an Inter-Agency Steering Committee. While Q-Bank is in an Operational/Maintenance Phase enhancements and modifications are being made to Q-Bank at the direction of the Inter-Agency Steering Committee and the Project Officer.

The Q-Bank application stores survey questions which have been tested to determine the effectiveness of the question. It also contains the Researchers final report, including findings and recommendations based upon the analysis conducted. Questions are indexed and searchable by some 26 categories and endless combinations. Common terms and a common definition of terms and formats across participating agencies were developed to ensure the integrity, common understanding, and effective categorization of the data. No answers are contained in the data.

Q-Bank consists of five modules. The Q-Bank database which is hosted in ITSO SQL in Atlanta, the Q-Bank GUI which is hosted in the MTDC in Atlanta, Q-Bank Admin which is hosted in ITSO DSS in Atlanta, the Registration Module which is hosted in the MTDC in Atlanta, and the Q-Notes module which is hosted in the MTDC in Atlanta. Q-Bank was originally developed using Sybase's database and PowerBuilder products. It was then transitioned to Microsoft SQL Server and .net products at the request of NCHS OIT.

Data elements in the Q-Bank database and Q-Bank admin include:

Survey Title  
Survey Year  
Evaluation Type  
Test Date  
Sponsor  
Testing Agency  
Universe  
Mode  
Field Mode  
Documentation  
Global Instructions  
Separate Instructions  
Target Population  
Question Topic  
Question Type  
Information Type



Index Status  
Flash Card  
Introductory Text  
Sequence Number  
Core Question  
Response Text  
Response Category  
Response Error  
Where Error Occurs  
Keywords

The Q-Notes module supports standardized collection of QDRL project investigators and interviewers notes. This module contains the Principal Investigator and Investigator/Interviewer names which are similar to Federal or business contact information. These individuals are limited to a known and defined researcher/interviewer population as determined or required by each QDRL project. Confidentiality of these names do not need to be protected in this application. A second URL in support of this data collection will be utilized, in addition to sign on and password controls.

Data elements for this notes module (Q-Notes) are:

Date  
Project Name  
Principal Investigator  
Respondent ID (random numeric identifier)  
Interviewer Name  
Narrative Notes  
Meta Notes

The registration module that collects business contact information from individuals who are interested in attending Q-Bank conferences. This module collects basic business information from attendees in order to establish contact with them and provide information on Q-Bank events of interest. We collect basic business information like names, e-mail addresses, phone numbers, and other relevant information for attending an event or providing information about events. Although the registration module collects PII in the form of business names and contact information, this information does not require any special protection since such information is routinely released publicly in order to encourage communication of research findings amongst users of NCHS and Q-Bank data.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The Project Investigation Team including Principal Investigator and Investigator (Interviewer) names are included in publically released reports supporting the findings of the Project or Investigation. They provide points of contact and reference, as well as credibility, to the investigation and published reports and the contents contained in the reports.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** While data in Q-Bank is used by a targeted audience of researchers and investigators it is not restricted from the public. The only PII being the names of the Project Investigation Team, which are included in publicly released reports, there is no requirement or intent to secure this PII. The fourth module (Q-Notes) does have a unique URL (separate from the first three modules of Q-Bank), and sign-on and password controls, however this is not intended to protect PII as much as facilitate the Investigation Team members, collection, grouping, accessing, and dissemination of notes.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC QPR [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/26/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1539

**7. System Name (Align with system Item name):** QPR (QPR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Tuten

**10. Provide an overview of the system:** QPR is a COTS application which helps COTPER track progress against its organizational excellence assessment (OEA) measures and initiatives.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is in the system

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Performance measures, goals, objectives, narrative descriptions of projects for each organizational unit in the center. No PII is in the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) NA - No PII is in the system

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** NA - No PII is in the system

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/26/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Qualitative Research Inventory [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DNPA GA - DNPA Qualitative Research Inventory

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides information about qualitative research that has been conducted in the fields of nutrition, physical activity, and other related fields.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational

institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Quality Project Information Data System (QPIDS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/4/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Quality Project Information and Dashboard System (QPIDS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dan Tuten

**10. Provide an overview of the system:** QPIDS is a combination of several applications within HP BTO suite incorporates several products offered by HP. This suite offers three applications (referred by HP as Centers) that OPHPR has defined a need of use across divisions. HP Service Management Center, HP Quality Center, and HP Project and Portfolio Management Center.

Quality Center manages and governs quality processes and automates software testing across the application environment. It arms the application with the capabilities needed to manage the release process and make more informed release decisions. By using consistent, repeatable and standardized processes, HP Quality Center can help to reduce costs and risk, increase quality and produce more frequent releases.

Service Management Center is a comprehensive and fully integrated IT service management suite that helps you decrease the time it takes to resolve problems. ITIL-based best practices and a highly scalable service-oriented architecture let you deploy consistent, integrated processes throughout your IT organization. This software enables you to automate all your IT service management capabilities. Service level management and catalog-based service request capabilities add further value. HP Service Management Center helps your organization orchestrate and automate key IT processes across process domains, enable the complete financial management of IT, ensure that each identity has the right entitlement and access at all times to support the business, drive rapid tactical and strategic decisions based on quantitative business intelligence. Service Management also offers asset manager software used to record and track software in use and/or available for use within organization entities.

HP Project Portfolio Management has several different modules that give value individually but are also integrated to give an end-to-end capability on an SDLC level. The modules that are included are Deployment Management, Oracle E-business Object Migrator, Demand Management, Portfolio Management, Program Management, Project Management, and

Administrator and Configuration. These modules give the ability to track an IT effort from initiation to closure and provide full traceability of all events associated with the issues or event. Provide the ability to Identify and focus on IT projects that are strategic to the business, Leverage top-down planning capabilities that are supported with detailed project plans, Gain early indications of budget deviations, Achieve governance through secure, automated checkpoints and approvals and gain real-time visibility and control over project processes, issues, risks, resources and dependencies

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will only collect individuals professional federal contact information. This information will be used to contact the individual in regards to the information being requested or submitted to the system. No PII data is included in the system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None; no PII data is included

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**



**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is contained within the system.

E-Authentication Assurance Level = N/a

Risk Analysis Date = November 24, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 1/5/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Quarantine Activity Reporting System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/13/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 2007 - 009-20-01-02-02-9721-00-110-246

2008 - 009-20-01-02-02-9721-00

2008 - 009-20-01-02-02-9721-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0171

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1390

**7. System Name (Align with system Item name):** Quarantine Activity Reporting System (QARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nina Marano

**10. Provide an overview of the system:** The Division of Global Migration and Quarantine (DGMQ) commissioned the development of a Quarantine Activity Reporting System (QARS) as a subset of the DGMQ Intranet project, as a way to boost internal communications within the division and enable the DGMQ to track the activities recorded in this application.

Currently, each of the eight quarantine stations produces a daily activity report (DAR) of the significant activities occurring at their stations. These reports are then sent to DQ Headquarters, where DGMQ personnel review and consolidate all reports into a Quarantine Activity Daily Report for distribution at the CDC center level and above.

The quarantine stations are involved on a daily basis in various activities, including responding to reports of ill passengers, inspecting imported shipments of nonhuman primates, and monitoring the arrival of immigrants and refugees. These activities are recorded and summarized individually at each quarantine station. Monthly, counts of activities are submitted by each station for a monthly activity report.

The QARS will allow the Quarantine Station personnel to enter their daily activities in an electronic standardized format, using controlled vocabulary. The QARS allows both Quarantine Station personnel and Headquarters personnel to enter follow-up reports to responses and investigations, as well as information gathered after the creation of the initial report. The QARS will enable DGMQ personnel to generate the Quarantine Daily Activity Report in a timelier and uniform manner. The information collected on a daily basis will be collated and stored in a database that can be utilized to generate reports on a monthly, quarterly, and annual basis. The system will facilitate the provision of required data for the Office of Management and Budget. The QARS will allow for the assessment of the volume and type of activities that the quarantine stations perform in order to better allocate resources and personnel. The information gathered through the illness investigation reports will enable the quantification and analysis of the information acquired during illness responses and investigations.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** DGMQ quarantine station public health officers, medical officers, head quarter staff to perform duties as required by regulations.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information submitted is mandatory. Information is used to follow up with ill passengers, trace contacts or inform exposed persons of possible exposure.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) There is currently no process in place and no notification or consent is being obtained. DGMQ has a legal mandate to do an illness or death investigation when a case of an ill or deceased passenger is reported. This information is then entered into QARS and is then used for contact investigation if there is a concern it is an infectious disease of public health concern. This system maintains records on the conduct of

activities (e.g., quarantine, isolation) that fulfill HHS's and CDC's statutory authority under sections 311, 361-368 of the Public Health Service Act to prevent the introduction, transmission and spread of communicable diseases.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** ITSO Controlled

Only those individuals that need to see information in order to perform duties have access to the IIF. All others see blanks or initials if that is required to prevent miscommunication.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Radiation Studies Bibliographic Database [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1600

**7. System Name (Align with system Item name):** Radiation Studies Bibliographic Database (RSBD)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Robert (Bob) Whitcomb

**10. Provide an overview of the system:** Radiation Studies Bibliographic Database (RSBD) is a web-based system designed to identify potentially harmful environmental exposures (such as radiation effects from nuclear weapons exposures) and examine all health risks that are associated with the identified exposures.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. RSBD does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** (1) The RSBD system is comprised of three (3) different areas as indicated below:

Los Alamos National Laboratory (LANL): The historical records contain unclassified information and the system does not contain any sort of Personable Identifiable Information (PII). The users of this system consist of the general public and members of the Department of Energy (DOE).

Idaho National Engineering and Environmental Laboratory (INEEL): The database contains detailed information about all of the documents identified by the CDC scientists or CDC contractors as being relevant to dose reconstruction regarding radiation and chemical exposures. In addition, the information is considered to be open in nature, and therefore, does not contain any sort of Personable Identifiable Information (PII).

Savannah River Site (SRS): The database contains detailed information about all of the documents used in the Savannah River Site Environmental Dose Reconstruction Project Phase II. This consists of the source term calculation and the Ingestion Pathway Retrieval Evaluation of materials released from the Savannah River Site report. In addition, the information is considered to be open in nature, and therefore, does not contain any sort of Personable Identifiable Information (PII).

The database also includes the full names of Authors and information regarding their works (abstract, document name, etc.). This information is public knowledge and is considered business information only and is not subject to the Privacy Act.

(2) The purpose for collecting the data:

Los Alamos National Laboratory (LANL): The LANL system is designed to locate and review all historical records that may contribute information about pass off-site radionuclide and chemical releases from the LANL.

Idaho National Engineering and Environmental Laboratory (INEEL): The INEEL system designed to provide the general public, Health Physicists, and the DOE with the ability to examine the study of dose reconstruction. This involves identifying the release of chemicals and radioactive materials since the INEEL site opened and assist in determining those exposures that would have the highest potential of health effects of these releases on the community.

Savannah River Site (SRS): The SRS system is designed to provide CDC Health Physicists, Department of Health and Human Services (HHS), and the DOE with the ability to study the estimated quantities of radioactive and chemical material release from the Savannah River Site between the years of 1954 through 2002.

(3) RSBD does not contain PII.

(4) N/A. RSBD does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A. RSBD does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. RSBD does not contain PII.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Rapid Data Collector [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9421-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1349

**7. System Name (Align with system Item name):** Rapid Data Collector (RDC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol E. Waller

**10. Provide an overview of the system:** Rapid Data Collection (RDC) is a web-based generic survey form and data collection system created for the purpose of analyzing emergency situations, possible natural disasters, possible acts of terrorism, and general data collection purposes. RDC was designed to allow scientists and epidemiologists to collect data and input data into the RDC system through the use of user created forms and general surveys while working in the field. State health agencies collect information (through interviews and surveys), and are granted access to RDC only on an individual basis. The information supplied to RDC is submitted on a voluntary basis. The information collected from the forms and general surveys eliminates the need for programming and database expertise when creating and changing data collection forms, and is used to assist the CDC for reviewing data trends.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. RDC does not contain PII.



**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) RDC collects non-sensitive data.

(2) RDC was designed to allow scientists and epidemiologists to collect data and input data into the RDC system through the use of user created forms and general surveys while working in the field. State health agencies collect information (through interviews and surveys), and are granted access to RDC only on an individual basis. The information collected from the forms and general surveys eliminates the need for programming and database expertise when creating and changing data collection forms, and is used to assist the CDC for reviewing data trends, analyzing emergency situations, possible natural disasters, possible acts of terrorism, and general data collection purposes, etc.

(3) No, RDC does not contain PII.

(4) N/A. RDC does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. RDC does not contain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. RDC does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Reagent Inventory (N/A) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1781

**7. System Name (Align with system Item name):** ReagentInventory

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lisa Bulkow

**10. Provide an overview of the system:** This is a database for use by one of AIPs labs to manage their inventory of pneumococcal reagents, which will be used by a few people in the lab. The lab receives large vials of the reagents, which are then aliquoted into smaller vials for each of the people working on the lab. The inventory system will allow for tracking of these aliquots.

Microsoft Access is used as a front-end for this database. The only reason the application was moved to the SQL server was to provide better views and stored procedures to create a more user-friendly front-end. This system is not web-based.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No**

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

The system does not contain any PII.

Risk Analysis Date = 2/4/2010

E-Authentication Assurance Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Records Management System [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 5/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 382

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC System ID: 382

**7. System Name (Align with system Item name):** Records Management System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tim Day (tgd2)

**10. Provide an overview of the system:** The Records Management Database facilitates the management of approximately 50,000 retired CDC/ATSDR records located in five Federal Records Centers across the US. The system tracks use and reference of records by lawyers, staff, public, scientists, and others. It also assists in the destruction process and transfer of permanent records to NARA, preserves metadata, and indicates closure and access data on the records.

This database has been expanded to better track the individual activities and statistics of CIOs. It will allow virtually all records retirement processes such as disposals, reference, tracking, destruction, donation, and permanent transfer processes to be conducted in a completely automated format. The search and report capability of the system have been expanded so that individual CIO's can print out reports on their records, perform complex boolean searches, and use the database without major training from MASO. There is no PII stored in this system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No PII

Risk Analysis date: 3/19/2008

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Regulatory Affairs Information Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1760

**7. System Name (Align with system Item name):** Regulatory Affairs Information Management System (RA IMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Hye-Joo Kim

**10. Provide an overview of the system:** The users have requested that a web-based system be developed to track all submission packages to FDA and all incoming correspondences. Access to the system would be restricted to authorized users and would be role-based. The system would allow for tracking of the submissions, storing of documents within the submissions, storing of correspondence received from FDA for each submission and assigning meta-data to the applications and submissions for each search and retrieval. The system would also generate electronic notifications and provide role-based accurate and up-to-date reporting. The system would be available 24/7 and that would allow for easy tracking by users and managers.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** RA IMS will track regulatory submission packages mailed to the FDA.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A (No PII)

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = October 21, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/2/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Remote Usability Testing Platform (UZ) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Remote Usability Testing Platform (UZ)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nick Sabadosh

**10. Provide an overview of the system:** CDC Remote Usability Testing Platform (UZ) is a web browser-based tool for creating unmoderated remote usability tests, surveys, and other evaluation methods for web sites, mobile sites, social media, and other electronic media. The data gathered with UZ will be used to improve the user experience, user satisfaction, and effectiveness of CDC's digital media products. The data will also provide CDC with essential information for understanding users of its electronic media products.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC Remote Usability Testing Platform (UZ) is a web browser-based tool for creating unmoderated remote usability tests, surveys, and other evaluation methods for web sites, mobile sites, social media, and other electronic media. The data gathered with UZ will be used to improve the user experience, user satisfaction, and effectiveness of CDC's digital media products. The data will also provide CDC with essential information for understanding users of its electronic media products. There is no PII data in the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Requisition and Purchase Information Database [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1611

**7. System Name (Align with system Item name):** Requisition and Purchase Information Database (RAPID)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kathleen Caldwell

**10. Provide an overview of the system:** Requisition And Purchase Information Database (RAPID) is a web-based purchase order management application that receives requests for purchases from users, presents the requests to authorized reviewers, and, if the purchase is approved, provides the purchasing staff with the information required to complete the purchases. The users designated for the RAPID systems includes laboratory scientists, administrative staff, team leaders, quality control staff, study managers, and the branch manager of the Inorganic Radiological and Analytical Toxicology (IRAT) Branch, Division of Laboratory Sciences (DLS). The RAPID application allows users to enter purchase requests by filling out online forms.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The information contained within the purchase request(s) includes the following: purchase justification, purchase dates, items, quantities, prices and details of the complete review process, changes to the original request, receipt of purchased items delivery and pickup dates, temporary storage locations, vendor information and names of sales staff, internal requestor information.

(2) RAPID is used for purchase order management.

(3) RAPID does not contain PII.

(4) N/A. RAPID does not collect PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. RAPID does not collect PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. RAPID does not collect PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 12/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Research Data Center [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/20/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9421-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N\A

**5. OMB Information Collection Approval Number:** N\A

**6. Other Identifying Number(s):** ESC ID: 1530

**7. System Name (Align with system Item name):** Research Data Center (RDC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Peter Meyer

**10. Provide an overview of the system:** The National Center for Health Statistics (NCHS) Research Data Center (RDC) is a research program through which approved data users are provided access to data that are not available through NCHS public use releases. The restricted data files contain information such as lower levels of geography (state, county, or lower), but do not contain direct identifiers (name or social security number). These data elements carry no disclosure risk in isolation but can increase disclosure risk when compiled together. An example would be adding together data elements for race/ethnicity, family structure, occupation, state of residence, and sex. Using these data elements together could add to the make a Black female dentist with five children in South Dakota identifiable. On the other hand a researcher may have a legitimate question that requires the use of these elements together. An example would be estimating the prevalence of hepatitis in dentist by state and race/ethnicity.

In order to reduce the risk of disclosure, access to these data is controlled through a formal proposal review committee that includes RDC staff, representatives from the program that produces the data, and the NCHS Confidentiality Officer. The committee may grant three types of access to these data: 1) Onsite, 2) Remote, and 3) Census RDC. Each of these access methods uses different types of information technology to control what data elements user can access.

The term access is very specific to the operations of the RDC. Researchers may work with the data but they are not permitted remove it from the controlled environment. When the proposed research and analysis are complete, they may take the results of their analysis away from the RDC after it undergoes a disclosure risk avoidance examination by RDC staff. No micro data or data sets are permitted to leave the RDC.

Descriptions of the RDC's three access methods follow:

· On-site Access:

Researchers may be provided access to sensitive data through the RDC secure laboratory on-site at NCHS. There are two labs that house stand alone computers that are not part of the CDC network and have had all of their media ports disabled. These computers are not part of any internal or external network and do not have access to Internet, email, printers or any other communication device. When researchers arrive at the RDC they surrender cell phones, PDA's and any other device that could be used to copy or transmit data. When researchers have completed their analysis the results and output are subject to disclosure review by the RDC analyst assigned to the project. All approved output are then sent via email to the researcher or provided via some other form of electronic media. Printers are not used to create paper copies of analytic results created in the RDC.

· Remote Access:

ANDRE (Analytical Data Research by Email) is the RDC Remote Access system that supports statistical analytical requests of researchers from academic institutions and other government agencies (Federal, State, and local), etc. via Microsoft Outlook email. It authenticates users, runs a pre-analysis disclosure risk algorithms, executes analytical models, runs post-analysis disclosure risk algorithms, and provides the approved results to the researchers. Output from ANDRE is periodically flag for review by RDC staff analysts. The researchers never get to see the micro data and run their programs against a data set that they specify in their research proposal. The users only see output which is summary or aggregate measures that cannot be used to identify individuals.

Email Server

ANDRE

Processing Computer

· Census RDC Access:

Researchers may access NCHS data through the Census RDC system. Data are transferred through an approved CDC Secure Data Network (SDN) which is located in a secure environment in the NCHS RDC. This is a single purpose file server that is used exclusively

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No IIF shared or disclosed

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) The RDC does not collect data or maintain data after a research project is complete. The data disseminated are from Federal surveys or administrative sources. There is no contact data.

2) We make the data available to the research community for statistical purposes, e.g., rates for how many people suffered traumatic brain injury in a given year.

3) There is no PII.

4) No PII Collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII data.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/20/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Reservation (RESV) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Reservation (RESV)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Mary Fernandezv

**10. Provide an overview of the system:** Reservation allows only Fort Collins users to reserve division vehicles for checkout and use. The system keeps historical records to track damage and/or loss to the equipment. Users enter the dates and times they want to reserve a vehicle and are presented with a listing of available vehicles. Once the user selects a vehicle an email is sent notifying the vehicle pool that a reservation is pending. The user must also check the vehicle back in using the system when he/she is done with the vehicle. If the user neglects to check in the vehicle an email is generated reminding them to check the vehicle in.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system collects reservation data pertaining to vehicle reservations. The data collected –UserID, Notify, Manufacturer, Model, VIN, VTAB, Description, Color, Odometer, site location, physical location, DateIn, DateOut, SchedOutDate, SchedInDate, Purpose, Destination, Notes, and Name is not disseminated or shared. Information is used to track the usage and maintain historical data concerning the use of Division vehicles by division employees. The data is mandatory in that the system will not allow the users to reserve a vehicle if they do not enter the information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) RESV does not contain any PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Response Content Administration Tool (RCAT) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/4/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 964

**7. System Name (Align with system Item name):** Response Content Administration Tool (RCAT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Paige Acker

**10. Provide an overview of the system:** RCAT is a content development tool that provides a means to author, review, and maintains formatted responses for the CDC-INFO call center. After review and approval by the CDC, the responses and their meta-data are submitted via FTP for loading into the call center's CRM system, the Siebel Desktop, as well as for loading into the CDC-INFO reporting system, Oracle Business Intelligence data warehouse.

RCAT resides on a web server and database server, both of which are virtual server instances running on VM Ware ESX. The web server is Windows IIS running in a Windows 2003 environment and the application's web pages are developed in ColdFusion MX 8.0 by Adobe. The database server where RCAT resides is a Microsoft SQL 2000 running on Windows 2003.

The information being processed in RCAT is content that is to be distributed to the general public via the CDC-INFO call center by phone, fax, email, or web publication.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = June 01, 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC RightFAX (N/A) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/9/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CDC RightFax

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Doug McClelland

**10. Provide an overview of the system:** Open Text Fax Server (RightFax) allows users to send and receive faxes directly from the desktop. Fax Server automates the flow of fax, paper, and electronic documents, which helps deliver information securely and efficiently from virtually any application. RightFax is a fax software application that makes sending and receiving a fax as easy as sending e-mail.

The CDC Implementation of RightFax will:

- Provide the ability to send and receive faxes via Microsoft Outlook.
- Provide the functionality of sending faxes via email
- Provide the functionality of an inbound fax number that allows the receipt of faxes via email

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 6/16/2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Role-Based Access Control [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 9/18/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0052

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1636

**7. System Name (Align with system Item name):** Role Based Access Control (RBAC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Morris Campbell

**10. Provide an overview of the system:** Roles-Based Access Control (RBAC) is a central roles-based authorization system that can store roles and assign admin code ranges to people.

The database system has been expanded to allow for authorization proxies (in support of TASNED) as well as to lift the limitation it had when assigning access ranges to roles.

The web service interface has expedited the use of RBAC as our centralized roles system and most all MISO's applications use it and depend on it.

RBAC allows the assigning of proxies and other new functionality by using web service interfaces. In line with the direction of a service-oriented architecture, a new interface and expansion of the web service methods is required to support integration with other systems including those external to MISO such as PHINDir and PWMS. RBAC will have a web-based and role-based .Net 2.0 framework interface to better support the establishment of access control for applications and services.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system checks employee access and roles against a database to allow access to other systems. It also allows an employee to assign another person (proxy) to perform certain functions on their behalf.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Name, UserID, and roles of individuals.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is secured using the following controls:

Employee names and roles are maintained according to CDC's record control schedule and record control policy. The IIF is secured by using the CDC/IS Active Directory authentication process and the RBAC system.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges and key card restrictions.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = September 9, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/21/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC RSA SecurID System (RSA) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/14/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** RSA SecurID (RSA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** RSA SecurID System (RSA) is a request application for acquiring an RSA key fob. The RSA method is a two factor authorization process that provides the ability to access remote connection to CDC systems via CITGO.cdc.gov, and systems such as webmail.cdc.gov. This token based authentication uses time-synchronization as opposed to event driven synchronous systems. A user is issued a key fob that displays a six digit number that changes every few minutes. This number along with a personal four digit pin that is supplied by the individual user/customer provides the necessary information to acquire authorization to connect to a CDC system. For accessing CDC systems the RSA key fob is used as a second form of authentication.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

“No” IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 7/22/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/18/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Rwanda IT Infrastructure (Rwanda GAP) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02--1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC NCHHSTP GAP Rwanda GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Ethiopia and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF is collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = October 23, 2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ScanScope (ScanScope) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/6/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1479; IRB# 4694

**7. System Name (Align with system Item name):** ScanScope

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth Unger

**10. Provide an overview of the system:** The ScanScope system is intended to convert pathology microscope slides into digital images and make them available for evaluation to pathologist through a standard web browser. The system includes only image files and a randomly generated eight digit number as a sample identifier. No personal data or identifiers of any kind are included.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII information is

collected. System is completely anonymous. Image data will be shared with Early Detection Research Network (EDRN) investigators seeking to develop or validate biomarkers for cervical cancer screening.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** ScanScope does not contain PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – ScanScope does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/6/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Scheduled Reporting [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Scheduled Reports

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** John O'Connor

**10. Provide an overview of the system:** Schedule Reports is a web-based, database driven system available on the CDC intranet. It is designed to facilitate scheduled communication between individuals or groups. An organization can define a report in Scheduled Reports, including the sections of their report, defining any number of steps in the reporting to a final report (e.g. Group A sends to Group B Mondays by 5; Group B sends to Group C Wednesday by 4), who is responsible to review and accept the reports at each step, and under what conditions email reminders should be sent.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No IIF is collected.**

E-Authentication Assurance Level = N/A

Risk Analysis Date = November 6, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC School Health Education Resources [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DASH GA - School Health Education Resources

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides user-friendly access to the myriad school health education offerings available from the U.S. Department of Health and Human Services' Centers for Disease Control and Prevention (CDC).

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC School Health Index [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DASH GA - SHI

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Self-assessment and planning tool that schools can use to improve their health and safety policies and programs.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC School Health Policies and Practices Study [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1898

**7. System Name (Align with system Item name):** School Health Policies and Practices Study (SHPPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen/Tom Tep

**10. Provide an overview of the system:** The School Health Policies and Practices Study (SHPPS) is a national survey periodically conducted to assess school health policies and practices at the state, district, school, and classroom levels. The SHPPS information systems will be used to manage SHPPS 2012 recruitment efforts, data collected from classrooms, schools, districts, and States. At a high level, the system will consist of an integrated contact management system (CMS), computer-aided personal interviewing (CAPI) workstations, and Web survey components.

The data from all components will be housed in an integrated data repository composed of three linked relational database management system (RDBMS) databases: the CMS database, central CAPI database, and Web survey database.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. The system maintains contact information on state and local government officials responsible for the school system, contact information on school officials (such as Principals); it collects CAPI and web survey information regarding school health policies and practices, including information about the facilities present at specific schools.

2. The contact information is collected to facilitate performing CAPI and web surveys regarding the state government, local government, and individual school health policies and programs. CAPI and web survey information is collected to assess the nature and type of health policies and programs that are implemented in schools nationwide.

3. There is no PII in the system. The system does collect the names, work telephone numbers, and work addresses of state and local government officials and school officials. This information is publicly available and not subject to the Privacy Act.

Participation in the study is voluntary and publicly available contact information is required by the participant.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Scientific Resources Online (SCIRESON) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/30/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1680

**7. System Name (Align with system Item name):** Scientific Resources Online (SCIRESON)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gary Cobb

**10. Provide an overview of the system:** Scientific Resources Online (SCIRESON) will enable the Division of Scientific Resources (DSR) to implement an automated Supply Chain Management solution for daily operations, domestic and international public health emergencies, reporting, information archival, and resource alignment. This effort tightly integrates Procurement and Grant Office (PGO) and Financial Management Office (FMO) functions and processes into DSR functions and activities.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** SCIRESON will contain data related to ordering, stocking and delivering of laboratory supplies that are generally used throughout CCID and other CDC Centers. The supplies are distributed upon request.

Approximately 30 – 40 orders are received and processed each work day. The contact information contained in this system only represents federal contact data. No PII is involved.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII is collected.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII is collected.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/30/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC SDL Trados (N/A)  
[SYSTEM]**

**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/10/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1800

**7. System Name (Align with system Item name):** SDL Trados

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Peter Jenkins

**10. Provide an overview of the system:** The Trados 2009 software is a client/server application allowing CDC Multilingual Services Team to share Translation Memories (TM) and centralized glossary of terms and helps define the workflow of analyzing, assigning, and reporting translations for CDC staff using the Trados 2009 (and Trados 2007) environment from the app-v-nchm-trad server

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No Pii

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No Pii

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No Pii

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC SDQ Child Mental Health Calibration Study [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0164

**5. OMB Information Collection Approval Number:** 0920-0884

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** SDQ Child Mental Health Calibration Study (SDQ)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Catherine Simile

**10. Provide an overview of the system:** The SDQ Child Mental Health Calibration Study (SDQ) is hosted in RTI's data centers within the Enhanced Security Network (ESN). There are three methods for accessing data within the ESN: (1) designed to Call Center Citrix, (2) ESN Citrix, and (3) Juniper's Secure Virtual Workspace (SVW). These methods are designed to restrict user's capability of moving data outside of the ESN and all require two-factor authentication. Interviewers will use tablet computers and Call Center computers to access and process the study data. Tablet computers will be used to collect age, gender and mental health interview information which will be provided to Duke using secure methods. The Call Center computers will be used to by the interviewers via Call Center Citrix to access CATI within the ESN to collect additional study data, including personally identifiable information (PII). Other project personnel, including project management and programmers, will use either ESN Citrix or the SVW to access project data within the ESN.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system receives PII from the NHIS.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PII that will be utilized from NHIS includes:

- Child Name
- Child Date of Birth
- Parent NHIS Participant Name
- Mother's Maiden Name
- Personal Mailing Address
- Personal Phone Numbers

The purpose for using this information is for scientific research. The information contained within the system includes PII. Confirmation of personal information is mandatory if an individual wants to participate in the study and receive their incentive.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** The Census Bureau (responsible for NHIS data collection) will deliver the names, telephone numbers, and addresses for households identified for follow-up to the National Center for Health Statistics (NCHS). The NCHS will provide this contact information to RTI. During the general NHIS consent process for participation in the NHIS surveys, participants were told that they may be contacted in the future for additional studies related to the NHIS. Specifically, at the very end of the NHIS interview, the interviewer tells the respondent "The United States Public Health Service may wish to contact the respondent again to obtain additional health related information." The first step in the contact process for the calibration piece of the NHIS will be to send a lead letter with a \$5.00 token of appreciation to the selected household. This lead letter includes a description of the study. An experienced RTI Call Center Participant Recruiter will follow-up the letter with a call to explain the study and recruit the participant. Once a household is recruited for the study, the Call Center Participant Recruiter will schedule an appointment for the CAPA/PAPA interviewer to call the respondent to obtain informed consent, assent if necessary, and complete the interview.

An advance letter will be sent addressed to the parent or guardian who responded to questions about their child's mental health on the NHIS. This advance letter includes a description of the study. CAPA/PAPA interviewers will contact the household by telephone and ask for the parent

who completed the NHIS interview. The consent process will proceed as follows: (1) all Parents or guardians of children aged 4-17 years will be asked for their consent to an interview about their child's mental health; (2) parents or guardians of children aged 12-17 years will be asked for their consent to interview their children; and finally, (3) children aged 12-17 years will be asked to give their assent for an interview about their own mental health.

The verbal telephone script with interviewer certification of acceptance is the official informed consent. Permission of all respondents will also be requested to record the interviews so that the coding of responses may be verified. If permission to record is not given, the interviewer will not record the interview.

The consent process also includes informing participants about conditions under which their participation in the study and/or PII may be disclosed (i.e., for mandatory reporting purposes such as child abuse or if there is an imminent threat or danger to the participant).

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII is stored on servers within RTI's Enhanced Security Network (ESN), which was designed to meeting the NIST guidelines for FIPS moderate impact level systems. Administrative controls include a system security plan, security assessments, user manuals, and vulnerability scans. Technical controls include user identification, passwords, a firewall, and encryption. Physical controls include guards, identification badges, key cards and environmental controls.

#### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Secure Access Management Services (SAMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/7/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0581-00-404-140

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-5

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 581

**7. System Name (Align with system Item name):** Secure Access Management System (SAMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Anderson Hughes

**10. Provide an overview of the system:** Secure Access Management Services (SAMS) is a collection of COTS security products and custom technologies providing enterprise-level user identity management and access control services for CDC's sensitive external-facing (Extranet) application systems. SAMS is a CDC general support system (designed to replace SDN) available to support any CDC program in need of user management and access control functions for sensitive / non-public extranet-facing web applications. As part of this function, external users from a wide array of public health practice areas (Federal, State, Local, Tribal governments, Health Practitioners, vendors, laboratories, etc.) are invited to register for a SAMS account. SAMS requests a limited amount of PII from each applicant / user as part of the registration process and in keeping with the requirements of NIST SP-800-63 r1 including name, address, organization, organization address, and phone numbers. This information is shared with a limited number of badged CDC workers designated by their respective Programs in order to assist them in making access control determinations for their application(s). In addition, SAMS collects Date of Birth and Legal documents (copies of Driver's License, passport, utility bills, employer ID cards, etc.) through a fax system. These documents are used for Id-proofing in accordance with NIST 800-63.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**



**other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
SAMS applicant's input the following data elements as part of user registration for purposes of identity proofing (as required by NIST SP 800-63) and application access adjudication:

- Name
- Home Address
- Organization Affiliation (e.g. Employer)
- Organizational Role
- Organization Address
- Contact Email
- Contact Phone Numbers
- 

The following information is also provided in paper format for the purposes of identity proofing for EAAL-2 and 3 (as required by NIST SP 800-63):

- Date of Birth
- Legal Documentation (copies of Driver's License, passport, utility bills, employer ID cards, etc.)

Applicant's name, contact phone numbers, and contact email are returned via email to the applicant within their pre-populated identity proofing materials for reference purposes.

SAMS provides this information via a secured application interface to program-designated badged CDC workers (Activity Administrators or 'AAs') who review the data and use it to make application access adjudication determinations.

Subsets of this information may also be made available to these same AAs allowing them to perform user reconciliation and response reporting activities.

The information collected via hard copy during the identity proofing process is only shared with the internal CDC Proofing Authority team and the SAMS management team for proofing and audit purposes.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) SAMS collects and stores:

- Name

- Home Address & Country
- Organizational Affiliation
- Organization Role
- Organization Address & Country
- Contact Phone Numbers
- Email Address
- Date of Birth (Hard Copy only, not electronic)
- Legal Documents (Hard copies of Driver's License, passport, utility bills, employer ID cards, etc.)

2) Data is used to perform identity proofing of users in accordance with NIST 800-63 as well as help CDC Activity Administrators make application authorization decisions

3) System contains PII as defined above

4) Submission is voluntary but incomplete or non-submission will result in denial of system access

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) 1) Users of the system are required to maintain active contact information in SAMS – change notifications (if any) can be sent in electronic or hard copy form to these addresses.

2) Users directly supply their own information and retain full control of any and all updates. Any required disclosure can be presented within the associated online collection / update forms.

3) Information is used to perform identity proofing of users in accordance with NIST 800-63 as well as for system access adjudication decisions by CDC Activity Administrators. Users consent to supply their information when they voluntarily register online with SAMS.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of SAMS network connections are encrypted using FIPS 140-2 compliant TLS. Data is stored in the SAMS database repository which is located on CDC premises and secured and maintained in accordance with all relevant CDC administrative, operational, and technical policies – e.g. enterprise standards, system hardening, intrusion detection, firewalls, vulnerability scans, etc.

Only authorized and authenticated individuals (SAMS operations personnel and program-designated AA's) can access and view applicant / user PII inside of the SAMS system. Only formally program-designated CDC administrators may receive subsets of user information for reconciliation purposes. This information is limited to only what is required and relevant for the program, is marked as controlled information, and is exchanged only within the CDC network.

The PII received in hardcopy format is stored in accordance with the CDC record retention policies. The hardcopy documents are accessible only by authorized SAMS operations personnel. This information is stored in a locked cabinet in an office. The office door remains locked during non-working hours. Access to the building is controlled through access cards and is policed by a contract guard service.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/7/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Secure Data Network (SDN) [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. **Date of this Submission:** 6/28/2011

2. **OPDIV Name:** CDC

3. **Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0581-00-404-140

4. **Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

5. **OMB Information Collection Approval Number:** N/A

6. **Other Identifying Number(s):** ESC ID: 581

7. **System Name (Align with system Item name):** Secure Data Network (SDN)

9. **System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jerry Sanders

10. **Provide an overview of the system:** The Secure Data Network (SDN) is a collection of technologies that support strong authentication services, user authorization, Extranet services, and two-way file transfers. SDN also provides supporting services for CDC-wide digital certificate enrollment, management, and revocation for both SDN and non-SDN systems. SDN provides a shared framework of services to over sixty (60) program applications administered by various Centers, Institutes and Offices (C/I/O's). Many of these applications use SDN services to facilitate the exchange of information with external partners such as state and local health agencies, hospitals and clinics. CDC's Public Health Partner Extranet (Extranet) provides controlled electronic access to sensitive public health information and application systems. Extranet users include CDC workers as well as persons in other Federal agencies (Federal Bridge users), state and local governments, and private healthcare organizations (external users). The Extranet is comprised of several major components including an application hosting environment, a web portal interface, and supporting systems for user identity proofing, credential management, authentication, and access control.

13. **Indicate if the system is new or an existing one being modified:** Existing

17. **Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system discloses business contact information with VeriSign for the purpose of digital certificate enrollment. The system deletes this information after completion of the enrollment process. The system maintains business contact information in an encrypted SQL database that is accessible to SDN staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system contains business information only, and no personal information on applicants. The information collected is mandatory in order to issue a digital certificate.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** Any changes to the SDN itself do not impact the end user, since SDN stores only user certificate information in a encrypted SQL database. Each PDCA notifies their digital certificate holders if their specific system changes impact the users. SDN shares PII with VeriSign in order to issue digital certificates, after which all personal data is deleted.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** SDN stores user certificate information in an encrypted SQL database. Access to this database is restricted to specific SDN personnel. The server that hosts the database is in a secured room with limited access. The room is in a building that is protected by contracted guard force.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/28/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Secure FTP (WS FTP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/5/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02--1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0113

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CDC Secure FTP (WS FTP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lane Burris

**10. Provide an overview of the system:** The system will provide a secure method of allowing states to upload PII data to a secure server located within the CDC DMZ. Each state/user will have a private folder that is not accessible by anyone other than administrators of the system and the appropriate personnel within the CDC. This is an application/service installed onto an existing fileserver within the CDC DMZ.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system will be accessible by internal CDC personnel for the purpose of responding to the current Swine Flu response by the CDC. Each state/user will have a private folder to upload their data into. No state/user can see any other state/users data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: To be determined

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The system will provide a secure method of allowing states to upload PII data to a secure server located within the CDC DMZ. Each state/user will have a private folder that is not accessible by anyone other than administrators of the system and the appropriate personnel within the CDC. This is an application/service installed onto an existing fileserver within the CDC DMZ.

IIF Collected.

E-Authentication Assurance Level = 2

Risk Analysis Date = 27 Apr 09

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Security Awareness Training System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1691

**7. System Name (Align with system Item name):** Security Awareness Training

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Knowles

**10. Provide an overview of the system:** The Security Awareness Training system provides a single system for all required information security awareness training including the annually required Refresher and Entire courses, and HHS role based training courses which are required every 36 months for users with significant security responsibility.

The user navigates via a web browser to the contents page of the Security Awareness Training system. The user is logged in using Windows Authenticated Integration. The user must complete all required modules of the required training. When all modules have been completed, the user clicks the Course Completion Acknowledgement link. The Course Completion Acknowledgement link in the Refresher and Entire courses provides the user with two options to choose from: 1) if the logged in user is the same person that completed the coursework, the user clicks the certification statement and the system electronically logs in the database as completing the training; or 2) if the user is not the logged in user, the user clicks a link to be able to print out a form that is completed and faxed to the OCISO, where the information is entered manually through the SAT Management module.

Users who are required to complete one of the HHS role based training courses can enter a certification waiver which exempts them from taking the course. A list of approved certifications is included on the course landing page for each of the HHS role based training courses. The user enters a certification waiver by clicking on the "Enter a Course Waiver" button. The user is required to enter the Certification Provider, Certification Title, Certification Number, Date Received, Expiration Date, and upload a copy of the certification and any other supporting documentation for the certification on the certification waiver screen. Once all required fields are complete, the user clicks on the "Submit Certification Waiver" button to send the waiver to OCISO for approval. The Certification Number data element is not considered PII. However, some certificates and/or supporting documentation could include PII (e.g. mailing address). This element is saved to the SAT database but cannot be queried through the

application and it is not displayed on any SAT reports.) OCISO must approve all certification waivers through the SAT Management module. OCISO staff must search for users by name or user ID in the SAT Management module and then click on the HHS RBT course name to view the certification waiver. Additionally, OCISO can enter a certification waiver for a user through the SAT Management module.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The information collected in this system is mandatory and the training must be completed annually by all federal and contract personnel. The system collects name, UserID, and Certificate information. However, the disclosure of Security Certificate information to support a waiver is voluntary. User always has the option to take the training offered.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** There is no process to notify and obtain consent from individuals when major changes occur, rather this is an annual requirement and in order to get credit and gain access to the system each user enters their name and UserID or certificate number. The information is not shared with other systems but is collected and maintained as a record of completion within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges.

Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Security Patch Schedule Exception System (SPSES) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/25/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** Security Patch Schedule Exception System (SPSES)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** The Security Patch Schedule Exception System provides a procedure for requesting the exception or exclusion for routine Microsoft monthly patching. The user submits a new request through the web application. First, each user has to accept the "Rules Of Behavior" for the scheduling exceptions. Next, the user selects the computer that the exception or exclusion is for followed by the request type, the exception or exclusion type, and the expiration date for the exception or exclusion. To complete the request, the user writes a justification for the request and submits the information to the system. All information is review by the user's manager, ISSO and TSE for approval.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/26/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Sensaphone [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/17/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-8121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1538

**7. System Name (Align with system Item name):** Sensaphone

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joseph Dell

**10. Provide an overview of the system:** The Sensaphone is used by the Division of Strategic National Stockpile (DSNS) to measure and monitor the temperature specified by the manufacture of stored product as a safe storage temperature. The purpose behind this system is to allow the assets to be in the federal Shelf Life Extension Program (SLEP), increasing the effective shelf life of the materiel. This monitoring system ensures the SNS Program staff is able to ensure that conditions of materiel comply with SLEP guidelines.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Sensaphone is used by the Division of Strategic National Stockpile (DSNS) to measure and monitor the temperature

specified by the manufacture of stored product as a safe storage temperature. This system does not contain IIF nor PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None. No PII is contained within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is contained within the system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/17/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Sequoia [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/11/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-1411-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0018

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1411

**7. System Name (Align with system Item name):** Centralized Information Management System (CIMS) aka Sequoia

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Keith West

**10. Provide an overview of the system:** Sequoia is the result of reengineering ATSDR's HazDat system. HazDat, initiated in 1988, is ATSDR's scientific and administrative database developed to provide rapid access to information on the release of hazardous substance from Superfund sites and other events. It provides information on the effects of hazardous substances on the health of human populations. ATSDR's business requirements have changed dramatically over the last few years, during which major development on HazDat was frozen. As a result, HazDat has become increasingly less useful to ATSDR staff, and Sequoia has been created to update the functionality of HazDat. Phase I of Sequoia includes functionality provided by the Site & Event, Cost Recovery, and ASA (Activities) modules of HazDat. Taken together, these modules provide users with the ability to track environmentally damaging events and cleanup activities, plus the recording of supporting information on the activities performed during those events to support efforts to recover cleanup costs for the federal government.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes



**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Sequoia does not share or disclose any IIF data. The SSN data that is used for in a query with the UFMS payroll data is stored in a Sequoia data table in encrypted format & is only unencrypted via a SQL function whose access is limited. The SSN is unencrypted in order to match data contained in several related tables from the MISO database. SSN is not printed on any reports or displayed on any screens.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information on environmentally damaging events and cleanup activities, plus the recording of supporting information on the activities performed during those events to support efforts to recover cleanup costs for the federal government. ATSDR uses this system to identify patterns of release of hazardous substances, facilitate the development and creation of health studies, and expand the capacity for information sharing between divisions and offices. ATSDR's products include health assessments, health consultations, supporting documentation for more than 5,000 sites, and toxicological profiles. Sequoia can be used to identify similarities in sites and events, such as populations, contaminants, and media; obtain site histories; rapidly access toxicology information; and analyze comprehensive site, substance, and health effects data.

No IIF from users is collected. Sequoia has a time sheet entry that is used with the Cost Recovery module to correlate payroll data. CDC employee names are visible. CDC employees social security numbers are encrypted in the system, but are not displayed in the system. This information is housed on the Sequoia intranet server.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative Controls: In order to ensure least privilege and accountability, read-only access is given by default. Additional access must be requested by the user's manager/supervisor and granted by the system administrator.

Technical Controls: integrated with AD for login, SQL server security including encryption.  
Physical Controls: Guards, ID badges, key cards, locked offices, locked server rooms.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alice M. Brown

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/23/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Sexually Transmitted Disease Project Measures System (STDPMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/7/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1301

**7. System Name (Align with system Item name):** CDC NCHHSTP Sexually Transmitted Disease Project Measures System (STDPMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darien Ognurn

**10. Provide an overview of the system:** The primary purpose for implementing a web-based application to evaluate Sexually Transmitted Disease Performance Measures System (STDPMS) is to improve STD prevention in the United States. Performance measures are important and useful tools for program management. They facilitate the comparison of programmatic efforts over time, encourage project areas to implement "Best Practices," and make explicit what STD prevention programs are trying to accomplish. The implementation and evaluation of performance measures will be a continual, dynamic process. Over time, the systematic evaluation of performance measures will allow for the refinement and establishment of new measures to meet national, state, and local program prevention needs.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A**

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A**

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/12/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Sexually Transmitted Disease Project Tracking System (STDPTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/7/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** POAM Item

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 371

**7. System Name (Align with system Item name):** CDC NCHSTP Sexually Transmitted Disease Project Tracking System (STDPTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darien Ognurn

**10. Provide an overview of the system:** The primary purpose for implementing STDPTS is to improve the efficiency of tracking project funding, project status and project goals for STD research and studies. The application will maintain a database of project information accessible by the division's staff; therefore it acts as a collaborative tool to enable the staff to identify and organize projects and project tasks, to coordinate efforts in shared projects, to share project results, and to enable division leadership to efficiently respond to the congressional inquiries. The features of STDPTS are to:

- Capture project information
- Track the progress of the projects with regard to their goals
- Provide NCHSTP and Project Officers the ability to report on project findings
- Catalogue division projects
- Determine what STD-related issues are being addressed by the division
- Help the division respond to external inquiries

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A No PII

Risk Analysis Date = December 29, 2008

E-Authentication Assurance Level = 1

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/12/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC SharePoint 2010 – External (SP2010-E) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/9/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** SharePoint 2010 – External (SP2010-E)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** David Ausefski

**10. Provide an overview of the system:** This system provides the infrastructure for the CDC implantation of Microsoft SharePoint 2010 - External. This system provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

- Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

This system supports the production Site Collections and Sites for the C/IOs of the CDC.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system.**

**This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/9/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC SharePoint 2010 (SP2010) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** SharePoint 2010 (SP2010)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dave Ausefski

**10. Provide an overview of the system:** SP2010 provides a single, integrated location where employees can efficiently collaborate with team members, find organizational resources, search for experts and corporate information, manage content and workflow, and leverage business insight to make better-informed decisions.

- Collaboration and Social Computing - Allow teams to work together effectively, collaborate on and publish documents, maintain task lists, implement workflows, and share information through the use of wikis and blogs.
- Enterprise Content Management - Create and manage documents, records, and Web content by using workflow and information rights management.
- Portals - Create a personal My Site portal to share information with others and personalize the user experience and content of an enterprise Web site based on the user's profile.
- Business Process and Forms - Design business forms that are accessible directly in a Web browser and integrate them with databases or other business applications.
- Enterprise Search - Quickly and easily find people, expertise, and content in business applications.
- Business Intelligence - Allow information workers to easily access critical business information, analyze and view data, and publish reports to make more informed decisions.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**

**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**

No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Single Computer Model Exception Tool (SCE) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/8/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Single Computer Model Exception Tool (SCE)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan Shaver

**10. Provide an overview of the system:** The Single Computer Model Exception Tool is a web based application (tool) that will be hosted on the ITSO Tools Intranet Server. The SCE Tool allows CDC Staff to request an exception to the Single Computer Model. The request follows an approval process from the customer's manager then to a LVL1 and a LVL2 approver that are designated for the customer's Admin code. The system allows IT staff and customers to view the requests and reports to determine Single Computer Model exceptions.

The application is only available on the CDC Intranet. The website is secured using Active Directory and Groups Authentication as well as application security roles based on user categorization. Everything is presented to users dynamically by the application. Any unauthorized users will be detected and routed to an error page instead of the requested page.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/8/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Smoking and Health Resource Library [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/2/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC CoCHP Intranet Platform OSH GA - Smoking and Health Resource Library

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The CoCHP Internet Platform provides dynamic web content to internal CDC staff in support of the Coordinating Centers for Health Promotion. The platform also hosts several applications for other Coordinating Centers.

Searchable abstracts of published tobacco-related articles; intranet version has access to full-text pdf files.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Business Contact information is shared with internal staff.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** There are several applications that maintain business contact data.

The data is used in routine administrative tasks.

The PII is a requirement of employment at CDC and therefore mandatory.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No processes in place.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Platform follows all NIST administrative, technical, and physical controls as required under the moderate EMSSP.

IIF Collected = Yes

E-Authentication Assurance Level =

Risk Analysis Date = 12/10/08

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 1/5/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Smoking Attributable Mortality and Morbidity Evaluation of Cost [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9024-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC OSH SAMMEC

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy allen

**10. Provide an overview of the system:** Calculates economic impacts of smoking.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** nformation contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of



the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Social Media Management Tool (CSMMT) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/1/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2075

**7. System Name (Align with system Item name):** CDC Social Media Management Tool (CSMMT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Amy Burnette

**10. Provide an overview of the system:** CDC Social Media Management Tool (CSMMT) is licensable enterprise social media management software, from Shoutlet Inc., that will let the CDC control our presence on Facebook, Twitter, YouTube, and other social sites from a single dashboard. It's a tool that will allow OADC to manage multiple pages at one time, while maintaining some aspects of centralized control. The platform gives brands and agencies the tools to create, manage, and measure their entire social media communications. Organizations using Shoutlet's unique platform are able to better design and implement highly targeted campaigns and ultimately improve the business impact of their social media communications. This system will be managed on the web, via Shoutlet, Inc. website, and will not have any external users accessing. Only CDC Social Media profile Admins will have access to this system. There will be no use of PII in the system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. CSMMT platform will give CDC the tools to create, manage, and measure their entire social media communications.  
2. CDC will be able to better design and implement highly targeted campaigns and ultimately improve the business impact of our social media communications.  
3. No PII  
4. N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**  
(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 3/1/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Software Request Tool (SRT) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** Software Request Tool (SRT)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Wayne Knight

**10. Provide an overview of the system:** This system will provide day to day operational tools for ITSO to address:

1. Listing of active Level I and Level II software.

2. Management of Level I and Level II software.

3. Reporting of Level I and Level II software for application packaging and CITGO packaging

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = Feb 5, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/22/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC SoundEx Search (N/A) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 11/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0113

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** SoundEx Search

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Timothy Green

**10. Provide an overview of the system:** The SoundEx Search application enables the DHAP user support group at the CDC to assist State/Local health organizations using the eHARS application to identify existing HIV/AIDS case participants and the states from which participants have previously obtained services. This is accomplished when State entities call the DHAP user support group and provide the group with an individual's SoundEx code and date of birth. The DHAP user support group then searches its application database (a subset of the eHARS database) to determine if there is any previous case activity and which state/states, if any, provided services. This information is provided to the state entity via phone. The CDC user support group can search for existing HIV/AIDS case status information using a last name soundex (code) created within the eHARS application for each HIV/AIDS service recipient by the state/local health organizations. The soundex value is created to prevent CDC from obtaining access to individuals' names. The SoundEx application only reads (selects) SoundEx data elements (refreshed quarterly by the CDC eHARS application. The SoundEx application only has search (select/read) functionality against the SoundEx database.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** State health organizations to assist them in locating case records from other states in order to treat individuals.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The SoundEx Search results screen displays the following information for all existing HIV/AIDS cases that matched the input criteria:

1. State – State of HIV/AIDS case. 2 character state abbreviation.
2. State No – Unique state identifier assigned to the case. Up to 35 alphanumeric characters uniquely identifies case within state health system
3. Last Name Sndx – Soundex of the last name of the person assigned to case. Alpha character followed by 3 digits
4. Sex – Birth sex of the person assigned to case. M or F
5. HIV Status – HIV status assigned to the case. 2 character code
6. AIDS Status – AIDS status assigned to the case. 2 character code
7. Birth Date – Person Date of birth in YYYYMMDD format.

Results of search is provided to the state health organizations, which is where the data originated. SoundEx Database will be refreshed every quarter from the data collected from the eHARS system.

The data remains static until the next quarter. Only Soundex Search application uses (reads) the database.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) CDC does not collect PII from individuals. The DOB is collected from individuals by the State health organizations.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Password protected Windows authentication, access controls and host housed in secure CDC computer room.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 11/1/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Speaker Request Tracking System (SPRTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/8/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0160

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Speaker Request Tracking System (SPRTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Marilyn Duffoo

**10. Provide an overview of the system:** The system is a web-based application that operates on the CDC Intranet, and its core functionality is to assist Speaker's Bureau (SB) personnel with fulfilling requests for public speakers.

Specifically, the system receives requests from a web form on the external CDC website, and this information is then sent to personnel operating in the Speaker's Bureau via email. The information is reviewed, and then the information is manually transferred to an Intranet backend which stores information about Public Speakers, and Public Speaking opportunities for these speakers. SB Staff then cross-references public speakers' expertise and availability with these speaking events.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
General contact information of requestors and speakers are stored in the SPRTS database. This

general information includes full name, mailing address, telephone number, and email address. This information facilitates the core function of the SPRTS system- to connect public speakers to user requested events.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The below information facilitates the core function of the SPRTS system- to connect public speakers to user requested events:

Speaker contact information: First name, last name, title, address, city, state, zip, degrees, availability, email, phone, division, years of service, average audience size, expertise, interest, special notes,

Presentation Information: Type of Organization, Topic of Presentation, Audience and Key Participants, Audience Size, and Travel Expenses Covered

Host Organization Contact Information: Name and Brief Description of Host Organization Name and Brief Description of Host Organization, Web Address, Contact Name, Street Address ½, City, State/Territory, zip, Country, Email Address, Daytime Phone, and Expected Media Coverage

Logistical Information: Event Title, Event Date and Time, Objective of Event/Conference, Event Location, Street Address ½, City, State/Territory, zip, Country, Type of Presentation, Length of Requested Presentation, Audiovisual Capabilities, and Special Notes/Instructions

Agenda

All the information will be used to fill requests for CDC Speakers.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The Business Steward will send a notification to the user through email, and in addition will post a notification of the change to the Speaker's Bureau website. This information is provided to the user in the Privacy Policy.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative control is implemented by only allowing those approved by their division/group/organization within CDC needing read/write administrative access to the system with an administrator's user-id & password. The business steward will bi-annually review system access and ensure that only appropriate personnel retain access.

Technical security will be implemented via a valid Administrative user-id and password, to protect access to users' and system data.

Server room will remain locked and only accessed by approved ITSO personnel. Controlled by a card key access and monitored by CC TV.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/8/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Special Bacterial Resource Laboratory (SBRL) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/1/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0106

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1479

**7. System Name (Align with system Item name):** Special Bacterial Resource Laboratory (SBRL)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Theresa L. Smith

**10. Provide an overview of the system:** Currently the Bacterial Zoonotic Branch has conducted phenotypic test on specimens for 30+ years. The results of these tests are recorded on a Lab Results Card as the tests are performed. When completed the results are matched to previous test results and stored on a Cross File card that allows multiple specimen tests to be recorded. This small system will allow the entry of the historic tests as well as entry of new and future tests. The specimens that the CDC receives are sent in from Physicians across the country. They are responsible for the collection of information and notification to patients.

This electronic system will be internal to the CDC only. There will be no accessibility to data outside of the CDC. Using a SQL Server 2005 database on the Sqp-con2/qsrv1 a Microsoft Access front end client link to the database. The MS Access front end will have data entry forms that allow viewing and data entry. The data entered will be the results of Phenotypic tests on specimens sent to the CDC. This system will be used internally to the CDC only.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The agency maintains a database of test results on specimens. These specimens are collected by physicians and sent in to the CDC at their request. The specimens are forwarded to the CDC with information at the discretion of the Physician.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A, physicians are responsible for consent forms.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative controls: The data will be secured by logical access controls. Technical controls: Access to the data is controlled by user ID and password, firewall. Internal physical controls include security guards, ID badges, and cardkeys.

IIF Collected.

E-Authentication Assurance Level = (0) N/A

Risk Analysis Date = 10/07/2007

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/7/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC State and Local Area Integrated Telephone Survey (SLAITS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/6/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0164

**5. OMB Information Collection Approval Number:** 0920-0406

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** State and Local Area Integrated Telephone Survey (SLAITS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Julian Luke

**10. Provide an overview of the system:** The State and Local Area Integrated Telephone Survey (SLAITS) collects important health care data at State and local levels. This data collection mechanism was developed by the National Center for Health Statistics (NCHS) of the Centers for Disease Control and Prevention (CDC). It supplements current national data collection strategies by providing in-depth State and local area data to meet various program and policy needs in an ever-changing health care system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
All information collected in the SLAITS will be held in strict confidence according to law [Section 308(d) of the Public Health Service Act (42 United States Code 242m (d) and the Confidential Information Protection and Statistical Efficiency Act (PL 107 – 347)]. Aside from NCHS employees, the only parties that can receive PII are the data collection contractor (NORC at the University of Chicago) and SLAITS collaborators who have worked as our full partners

from the earliest stages of the survey. These parties, who will use this information for statistical research only and to carry out this survey, are contractually bound by strong restrictions designed to guarantee privacy.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Much data exists at national and regional levels but are not available at State and local levels. National data are useful for establishing public health priorities for the country; however, much demographic and geographic diversity exists throughout the Nation. Data specific to certain groups or populations are useful in answering certain questions, as well as measuring strengths and weaknesses within programmatic areas at subnational levels. SLAITS provides a mechanism to collect data quickly on a broad range of topics at the national, State, and local levels.

SLAITS data are used to support policy and decision makers in developing sound laws, regulations, and programs at all levels of government; develop population-based prevalence estimates on a variety of health-related topics; advance scientific knowledge through original research; monitor progress toward Healthy People 2010 objectives as well as other national and State health and well-being indicators; provide reliable estimates and contextual data; and improve the health status of the Nation.

PII is collected as described in Q. 23. Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** (1) SLAITS policy does not permit disclosure rule changes and/or data use changes after the time of data collection and consent. The consent procedures in place for a given survey continue to guide the use of the data in subsequent surveys. Any desired changes in data uses or disclosure must be put in place prior to data collection and apply only to that survey's data collection. At no point has any disclosure change or data use change occurred in any SLAITS surveys after the time of data collection and consent.

(2) Consent and disclosure procedures regarding the collection of all information including PII are applied prior to survey participation. At this time respondents will be told all elements of informed consent. All respondents are informed about the purpose of the survey, the survey content and expected duration, the confidentiality of their responses, the authorizing legislation, and the voluntary nature of the survey. The verbal script is the official informed consent.

(3) As part of the informed consent script respondents are told they may choose not to answer any questions they don't wish to answer or end the interview at any time. In addition,



respondents are told that SLAITS is required by Federal laws to develop and follow strict procedures to protect their information and use their answers only for statistical research.

In addition, the NCHS Ethical Review Board (ERB) reviews SLAITS content in each survey, as an advocate for the potential respondent.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** It is the responsibility of all employees of NCHS, including in house contract staff to protect, preserve, and secure all SLAITS data (this includes all oral or recorded information in any form or medium) from unauthorized persons and uses. All NCHS employees as well as all contract staff have received appropriate training and made a commitment to assure confidentiality and have signed a "Nondisclosure Statement". Staffs of collaborating agencies are also required to sign this statement and outside agencies are required to enter into a more formal agreement with NCHS before access to identifying or identifiable information is permitted. It is understood that protection of the confidentiality of records is a vital and essential element of the operation of NCHS, and that Federal law demands that NCHS provide full protection at all times of the confidential data in its custody. Only authorized personnel are allowed access to confidential records and only when their work requires it. When confidential materials are moved between locations, the items are tracked to insure that there is no loss in transit and when confidential information is not in use, it is stored in secure conditions.

It is the Center's policy to make public use data files available to the public via the Internet so that additional analyses can be made of these data for the benefit of the U.S. population. Confidential data will never be released to the public. For example, all personal identifiers are removed from the file; i.e., name, address, location number, sample person number, etc. A concerted effort is made to avoid any disclosures, such as detailed geographic information that may allow a researcher to go back and find individuals in the general population. Data must be approved for release by the NCHS Confidentiality Officer and NCHS Disclosure Review Board.

Procedural Safeguards: All employees of NCHS and contractor personnel with access to SLAITS records are required, as a condition of employment, to sign an affidavit binding them to nondisclosure of individually identifiable information and to view an NCHS video tape addressing confidentiality and systems security. Periodic correspondence is sent to staff to reinforce confidentiality regulations, guidelines, and procedures. Protection for computerized records both on the mainframe and the CIO Local Area Network (LAN) includes programmed verification of valid user identification code and password prior to logging on to the system, mandatory password changes, limited log-ins, virus protection, and user rights/file attribute

restrictions. Password protection imposes user name and password log-in requirements to prevent unauthorized access. Each user name is assigned limited access rights to files and directories at varying levels to control file sharing. There are routine daily backup procedures and Vault Management System for secure off-site storage for encrypted backup tapes. Additional safeguards may be built into the program by the system analyst as warranted by the sensitivity of the data.

Risk Assessment Dated: 6/8/10

E-Auth = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC State Cancer Facts [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DCPC GA - State Cancer Facts

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Shows information for new cancer cases and deaths by state for the most common cancers.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC State Surveyor Information System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 10/18/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9324-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1423

**7. System Name (Align with system Item name):** State Surveyor Information System (SSIS) v2.0

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Elizabeth Weirich

**10. Provide an overview of the system:** The State Surveyor Information System (SSIS) v2.0 is a joint project under an inter-agency agreement between the Division of Laboratory Science and Standards (DLSS) at the Centers for Disease Control and Prevention (CDC) and the Division of Laboratory Services at the Centers for Medicare and Medicaid Services (CMS) to support the mandated laboratory evaluation functions of the Clinical Laboratory Improvement Amendment of 1988 (CLIA). Laboratories that do not perform moderate and high complexity laboratory tests are allowed to operate under a Certificate of Waiver. To ensure compliance, CMS performs annual audits of laboratories nationwide that operate under a Certificate of Waiver. State Public Health Laboratory Inspectors visit a random sample of laboratories that operate under a Certificate of Waiver within their jurisdiction to perform the inspection. During inspection, the inspectors survey the laboratories and collect data that CMS then will use to enforce compliance. The inspection data is then entered into SSIS. Annually, there are approximately 2000 laboratory inspections, which represent approximately 2% of laboratories that operate under a Certificate of Waiver.

The purpose of SSIS is to provide state officials with information from the CMS Online Survey Certification and Reporting (OSCAR) and proficiency testing monitoring databases to assist them in evaluating laboratory performance. The system provides State Surveyors the ability to enter inspection data pertaining to CLIA Certificate of Waiver laboratories; it provides a multi-step approval workflow for State and CMS Regional officers to review and approve the inspection data entered by State Surveyors for their respective labs; the system electronically sends notification in immediate jeopardy instances; and the system provides a reporting tool for CMS, State officials and CDC personnel.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 10/18/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Stockpile In Motion Across the Nation (SIMAN) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Stockpile In Motion Across the Nation (SIMAN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Donnie McDaniel

**10. Provide an overview of the system:** DSNS performs a minimal of one exercise per year; by implementing SIMAN DSNS will have the ability to simulate the movement of assets and personnel, as well as the simulation of key functions, without the cost of actual movement. This software will also provide the ability to provide training to staff on a regular basis. The simulation function of the training will provide a more effective and realistic scenario preparing staff with the ability to respond efficiently in a real event.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The SIMAN system is used by the Division of Strategic National Stockpile (DSNS) to perform event simulations. This system does not contain IIF nor PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A; no PII is contained within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A; no PII is contained within the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Stockpile Resource Planning (SRP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 2/23/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-01-1352-00-110-246

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1352

**7. System Name (Align with system Item name):** Stockpile Resource Plan (SRP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Donnie McDaniel

**10. Provide an overview of the system:** The Division of the Strategic National Stockpile (DSNS) program provides pharmaceuticals, vaccines, medical supplies, and medical equipment to augment depleted state and local resources during response to terrorist attacks or other emergencies. DSNS is responsible for ensuring that the CDC can provide the state with the critical medical assets to the site of a national emergency. To meet its responsibilities, DSNS stockpiles medical supplies and creates push packages (containerized shipments), which can be transported to the states for exercises and emergencies. DSNS uses an automated system, Stockpile Resource Planning (SRP), to track the procurement and storage of these medical supplies while they are under CDC control. The SRP system is essential to the DSNS mission as it is the primary system that is used to procure, store, manage, and deploy the stockpiled medical supplies to the site of the national emergency.

No Personally Identifiable Information (PII) is contained within the system.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – no PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1) Information on the quantity, type & location of medical supplies to be used in an emergency, 2) The DSNS mission is to deliver critical medical assets to the site of national emergency & SRP is used to manage these assets, 3) The information contained in the system includes no PII, 4) There is no personal information contained in the system

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – no PII is contained within the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – no PII is contained within the system.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/23/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## 06.3 HHS PIA Summary for Posting (Form) / CDC Strategic Performance Management [System]

### PIA SUMMARY AND APPROVAL COMBINED

#### *PIA Summary*

Is this a new PIA 2011? Yes

If this is an existing PIA, please provide a reason for revision:

1. Date of this Submission: 3/24/2009

2. OPDIV Name: CDC

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): ESC ID: 1523

7. System Name (Align with system Item name): Strategic Performance Management (SPM)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Gary Sentelle

10. Provide an overview of the system: The Strategic Performance Management (SPM) Reporting Solution supports decision making by providing the needed "Right Time" business information to the leadership of the Procurement and Grants Office (PGO). By providing better access to information for meetings prescribed by the PGO Management Control & Reporting System (MCRS), the solution provides the basis for actionable recommendations to address problems and implement changes that further the mission of PGO. This visibility into the PGO process performance is accomplished by the use of systemic data feeds, data quality rules, and automated scorecards to ensure PGO has common, accurate and timely data.

The SPM solution focuses on the scorecards used by the PGO Director's Office and Branch Chiefs in support of the methodologies and behaviors outlined in the PGO MCRS. The information does not contain Privacy data.

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The Strategic Performance Management (SPM) Reporting Solution supports decision making by providing the needed "Right Time" business information to the leadership of the Procurement and Grants Office (PGO). By providing better access to information for meetings prescribed by the PGO Management Control & Reporting System (MCRS), the solution provides the basis for actionable recommendations to address problems and implement changes that further the mission of PGO. This visibility into the PGO process performance is accomplished by the use of systemic data feeds, data quality rules, and automated scorecards to ensure PGO has common, accurate and timely data.

The SPM solution focuses on the scorecards used by the PGO Director's Office and Branch Chiefs in support of the methodologies and behaviors outlined in the PGO MCRS. SPM will automate the feeds from the various PGO Operational systems.

SPM currently captures:

- 1) The names of Performance measures (example: "New Award FOA Cycle Time")
- 2) Branch names (no people names) (example: PGO Director, Branch I, etc)
- 3) Summarized statistics on cycle time, and planned times. (examples: Avg YTD cycle time = 54, Planned Cycle time = 52)
- 4) Descriptions of Performance measures including formulas, source system for data, frequency of update, etc

SPM does not currently capture Privacy Impact Information, such as:

- 1) Grant number or contract number
- 2) CDC people's names
- 3) Vendor names (people or company names)
- 4) No privacy info (like Social Security number, phone numbers, TIN, bank account numbers)

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/26/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Strategic Recruitment Tracking System (SRTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/14/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC 1647

**7. System Name (Align with system Item name):** Strategic Recruitment Tracking System (SRTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Victoria Hunter

**10. Provide an overview of the system:** Strategic Recruitment Tracking System (SRTS) is a web-based system that will serve as a repository of resumes/Curriculum Vitae (CVs) of individuals who can potentially be brought onboard utilizing non-competitive hiring authorities. Examples include Persons with Disabilities (Schedule A), Returning Peace Corps Volunteers (RCPVs), Veteran's, Commissioned Corps Officers, students, etc. Managers will be able to query the database for individuals having the education and/or experience desired, and to identify those that they want to possibly interview for employment. The system is intended to facilitate the person-job match and reduce time to hire by utilizing non-competitive hiring mechanisms. A link will be developed to the Online Recruitment Guide (ORG) system to promote utilization of SRTS. This system will collect PII in association with information collected on a resume or job application such as name, CDC UserID, phone numbers, mailing address, email address, Web URL's, certificates, education records, foreign activities, cell phone number and military status.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Managers – to identify who is to be selected for interviews for employment.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will collect PII in association with information collected on a resume or job application such as name, CDC UserID, phone numbers, mailing address, email address, Web URL's, certificates, education records, foreign activities, cell phone number and military status. IIF in the system is only used to contact potential qualified candidates, and possibly make a job offer. Users are advised that their contact information will be stored to contact potential qualified candidates.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system uses standard ITSO controls. The server is located in a locked room. AD access is required to access the information, and the administrator periodically reviews information as it is logged in.

IIF is collected to complete job applications so that selection process and screening can occur smoothly within the recruitment center and by the recruiting manager.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 12/04/2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden



**Sign-off Date:** 12/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Streaming IPTV Services (IPTV) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/2/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01--1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** Streaming IPTV Services

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jeffery Lobaugh

**10. Provide an overview of the system:** Replace External Helix Real Media Server with Adobe Flash Media Services for Internet presence streaming media. The servers will provide Flash Media Streaming content to the Internet as well as to the CDC internal Network. The system will also provide 508 Compliant XML files that are processed for captioning of the Flash videos. For video accessible to the public, the contents of the video must be approved for public consumption before the video or caption file is published. A full audit log is maintained in the Database (not accessible from the DMZ) to document video content approval.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A No PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/2/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Surveillance Trends Reporting System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DDT Surveillance Trends Reporting System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Documents trends in diabetes incidence, prevalence and mortality, identifies high-risk groups and evaluates progress in diabetes prevention and control.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Survey & Audit Tracking System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/6/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 1544

**7. System Name (Align with system Item name):** Survey and Audit Tracking System (SATS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dana Jones

**10. Provide an overview of the system:** SATS records safety survey results obtained by individuals in OHS and local safety committee reps performing inspections. The system stores the date of the survey, the user ids of the individuals performing the survey, the location surveyed, and any findings (fire hazards, improper storage of materials, wires exposed - anything not in compliance with OSHA & other safety standards). The system also stores all corrective actions subsequently performed to correct each finding. The system emails findings and door signs for each location to the appropriate local safety committee rep and the section chief. The door signs are posted in the space surveyed so that all safety issues are clearly visible to visitors to that space.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 2 July 2009

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/10/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Survey TA [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DASH Survey TA

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Provides technical assistance services to state and local fundees who are doing the Youth Risk Behavior Survey and the School Health Profiles studies in their state or localities.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of



the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Surveyor (N/A) [System]**  
**PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Surveyor

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dave Ausefski

**10. Provide an overview of the system:** This server is the network management point for the surveyor power management agents (installed to all desktops/laptops excluding those with patching exceptions) to communicate with to get power management policy (schedules). 2 systems on each subnet are designated (internally by the server) as proxies for the subnet to send WOL Magic Packets to target systems to wake them up for software deployments and/or patch installation.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Syndemics Prevention Network [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/9/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - Syndemics

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Listing of health professionals involved with Syndemics research.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC System for Analysis of Intramural and Extramural Funds [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/20/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** (FY08) 009-20-01-01-02-1000-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** GCS (Grants Central Station) Saief360

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nancy Haban

**10. Provide an overview of the system:** Saief360 is used throughout the Agency by CIO's and Divisions to effectively manage its financial resources. The system is used to provide a common system for tracking extramural funds. Saief360's Extramural module tracks the funding of projects using the most commonly mechanisms i.e. contracts, announcements, memorandums of agreement etc.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** Saief360 will contain information pertaining to

- CAN Code
- Doc no
- Admin code
- Announcement Name
- Announcement Number
- Grantee Name (organization name)
- Grant Year
- Budget Year
- Award number
- Contract no
- Contract Master title
- Contractor Name (company name)
- Option Date
- Contract Year
- contract mod number
  
- MIM No [Memoranda of Understanding (MOU), Interagency Agreements (IAG), and Memoranda of Agreement (MOA)]
- MIM title
- Program
- Transaction type
  
- CAN
- Cost Center
- Allowance
- Project code
- Budget activity
- Description

This application does not contain IIF.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This application does not contain IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This application does not contain IIF.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/19/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC System for Event Notification Distribution (CDC SEND) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/21/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1936

**7. System Name (Align with system Item name):** CDC System for Event Notification Distribution (CDC SEND)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James E. Schwendinger

**10. Provide an overview of the system:** CDC SEND utilizes Dialogic Communicator NXT, which is a flexible, scalable alerting system which can rapidly send alerts via multiple modalities (email, land-line, cell phone, alpha and numeric paging, SMS, and fax) with the capability to adapt to emerging communication modalities as they become available. The CDC SEND (Dialogic Communicator NXT) system is available via web interface, but can also be utilized via other programs, such as Epi-X. Epi-X will use CDC SEND (Dialogic Communicator NXT) for all calls, text messages and E-mails. The web interface will be used for programs such as Health Alert Network (HAN) and Clinician Outreach and Communication Activity (COCA) to send alerts manually.

CDC SEND (Dialogic Communicator NXT) is a secure directory where contacts can be updated individually or by group administrators via web, groups can be static or dynamic – based on custom attributes (e.g. all State Epidemiologists in the Southeast region), and security is multi-level and granular, allowing for detailed maintenance of contacts and groups. CDC SEND (Dialogic Communicator NXT) can also accept a list of recipients in a text file.

CDC SEND (Dialogic Communicator NXT) provides multi-modal communication within each scenario. Scenarios can be scheduled or activated via web or remotely by phone. There are built in reports on real-time scenario data, including detailed contact status.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This**

question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system shares PII with State & Local Public Health Officials in order to alert individuals who may be affected by a public health emergency related to global travel

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Personal contact information such as name, address, and email address.

(2) This information will be used to alert individuals who may be affected by a public health emergency related to global travel.

(3) This information is PII.

(4) Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Information is voluntarily submitted

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The CDC SEND (Dialogic Communicator NXT) system will be secured by use of 128-bit SSL certificates for access to the web portal (Dialogic Communicator NXT). The CDC SEND (Dialogic Communicator NXT) system has virus protection, ACL, and other technical security measures as provided by DSS; it is behind the CDC firewall. In addition, it is located in the DSS and subject to the DSS physical controls.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/21/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Tanzania IT Infrastructure (GAP-Tanzania) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/9/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02--1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Tanzania GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Haiti and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/9/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Telework Management System (TMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/29/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0055

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC 1893

**7. System Name (Align with system Item name):** Telework Management System (TMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Allison Tanner

**10. Provide an overview of the system:** Telework Management System (TMS) is a web-based application designed to provide the CDC with an automated solution to better track telework request approvals, request renewals, and telework participation by the office. This application will provide the CDC with the ability to respond to annual Office of Personnel Management (OPM) and Health and Human Services (HHS) data calls, and it will better position the CDC to respond to Comptroller General, GSA, Congressional and other data requests about telework participation at the CDC. Users of this application will have the ability to submit a telework request, edit an existing request, or request to terminate an approved agreement. This system collects CDC employee name, DOB, personal email address, personal mailing address, personal phone number, medical records number, and medical notes (such as medical reason for telework request). It should be noted that TMS stores the medical documentation only as an attachment.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, data is disclosed to employees' 1st and 2nd line supervisors along with their organizational Management Officials and the Deputy Chief Operating Officer to ensure agency compliancy with telework policies.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Telework Management System (TMS) is a web-based application designed to provide the CDC with an automated solution to better track telework request approvals, request renewals, and telework participation by the office. This application will provide the CDC with the ability to respond to annual Office of Personnel Management (OPM) and Health and Human Services (HHS) data calls, and it will better position the CDC to respond to Comptroller General, GSA, Congressional and other data requests about telework participation at the CDC. Users of this application will have the ability to submit a telework request, edit an existing request, or request to terminate an approved agreement. This system collects CDC employee name, DOB, personal email address, personal mailing address, personal phone number, medical records number, and medical notes (such as medical reason for telework request). It should be noted that TMS stores the medical documentation only as an attachment.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Employees' are able to view their PII data when they accept the terms and agreements when submitting telework requests to their supervisors for approval. PII data is captured and kept up-to-date from HHS's Capital HR system. PII Medical documentation is kept in the application as an attachment that is only viewable by authorized approvers based upon roles and access ranges. Medical documentation is required for employees requesting approval to work from home due to an illness or injury. Personal mailing address information is captured from CDC Neighborhood which is kept up-to-date by employees' validating their own information in that application.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges.

Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

Administrative: Records are maintained according with CDC's record control schedule and record control policy. The grantee info is secured using the CDC/IS Active Directory authentication process and role-based application control.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges, and key card restrictions

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/29/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Text Messaging System (CTMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/6/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** None

**7. System Name (Align with system Item name):** CDC Text Messaging System (CTMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Carol Crawford

**10. Provide an overview of the system:** The CDC Text Messaging System (CTMS) uses the 3Ci Switchblade service to provide CDC public health related information to consumers via the U.S. wireless carriers using SMS text messaging.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is shared or disclosed.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1. cell number and carrier ID

2. Mobile marketing campaigns

3. Yes

4. Voluntary

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) 1. Individuals will opt in (consent) pursuant to mobile messaging guidelines

2. Users opt in to the SMS service and acknowledge use of their mobile number to receive messages.

3. Information is not shared

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** CTMS will undergo the authorization process to meet the FISMA and CDC requirements, which includes a change management process, annual security assessment, and BCP updates.

3Ci employs industry best practices for protecting our systems and our security practices are based on PCI standards. Our infrastructure is monitored 24x7x365 and includes intrusion detection software. All system access is permission based and we use VPNs for accessing the production environment and limit access to only Operations personnel. All critical components of our infrastructure are behind a firewall with servers not being accessible from the public Internet. Developers are excluded from the production environment and off site back ups are encrypted before shipping.

3Cinteractive's platform is designed using a Service Oriented Architecture (SOA). The infrastructure is hosted in two, diverse data centers (Boston and Detroit). All key systems are housed in an active/active environment, with secondary systems in an active/passive environment. Additionally, 3Cinteractive has designed our platform with N+1 engineering to ensure that we minimize points of failure within our infrastructure and network.

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Beverly Walker

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/6/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Thailand IT Infrastructure (GAP Thailand) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/24/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Thailand IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Thailand and provides a file server, exchange server, webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/24/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC The Epidemic Information Exchange (Epi-X) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/26/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-02-0335-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 90-20-0171

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 335

**7. System Name (Align with system Item name):** CDC NCPHI CCHIS Epidemic Information Exchange (Epi-X)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** James E. Schwendinger

**10. Provide an overview of the system:** EPI-X collects health-related data provided by epidemiologists and by the Department of Global Migration and Quarantine (DGMQ). This data is used to report vital public health events that are of national importance, including outbreaks, disasters, and possible terrorism reports. Data provided by epidemiologists includes information not yet released to other sources about epidemics or potential public health events. Data provided by DGMQ is voluntarily-supplied airline passenger data to be used in tracking potential transmission of contagious diseases communicated in flight.

Epi-X is CDC's secure, moderated, bi-directional method of communicating outbreak and terrorist information to state and local health departments, other Federal agencies and selected international groups and organizations. It is also the preferred method of notifying users of vital public health information. CSTE (Council of State and Territorial Epidemiologists) passed a resolution to establish secure, moderated communications for the rapid exchange and notification of outbreaks, disasters and possible terrorist acts.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system shares IIF with State & Local Public Health Officials in order to alert individuals who may be affected by a public health emergency related to global travel

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Personal contact information such as name, address, and email address

(2) This is submitted by international travelers who wish to be notified if they are exposed to disease while onboard aircraft. Collection is performed by DGMQ and information is automatically submitted to EPI-X by DGMQ.

(3) This information is PII.

(4) Submission of personal information is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]

1. Information is voluntarily submitted

2. Information is voluntarily submitted

3. The system shares IIF with State & Local Public Health Officials in order to alert individuals who may be affected by a public health emergency related to global travel

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The Epi-X system has an ATO and is assessed for risk whenever significant changes occur. The system authentication is performed through SDN, and the system has virus protection, ACL, and other technical security measures as provided by MTDC; it is behind the CDC firewall. In addition, it is located in the MTDC and subject to the MTDC physical controls.

E-Auth level-2.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/26/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC The National Web-based HIV Behavioral Surveillance System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/2/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** The National Web-based HIV Behavioral Surveillance System

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Dawn Gnesda

**10. Provide an overview of the system:** The National Web-based HIV Behavioral Surveillance System (WHBS) does not collect, store, process or transmit PII or SSN information. The purpose of the WHBS system is to develop and implement a national, web-based HIV behavioral surveillance system among Men who have Sex with Men (MSM) in 65 jurisdictions funded by CDC. Data will be collected annually and will be used to describe HIV risk behaviors and exposure to prevention services among internet-using MSM in the U.S. MSM will be recruited through a direct marketing method that utilizes selective placement of banner advertisements on non-profit and privately owned websites. Men are eligible to take the 15 minute survey if they are 18 years of age or older, are a resident of one of the 65 jurisdictions, have ever reported oral or anal sex with a man, and are able to complete the survey in English or Spanish.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Survey data related to MSM behaviors.

CDC conducts HIV/AIDS behavioral surveillance to identify populations who are at risk of HIV infection, and helps state and local health departments monitor selected behaviors and access to prevention services among these populations.

No PII will be collected.

Submission of information on the survey is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 8/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC The Study to Understand the Natural History of HIV and AIDS in the Era of Effective Therapy [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** Initial PIA Migration to ProSight

**1. Date of this Submission:** 2/25/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Discovere Registries (SUN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Paul Weidle

**10. Provide an overview of the system:** CDC Sponsored Multi-site prospective observational cohort study designed to better understand the incidence and etiology of metabolic and other complications related to effective HIV treatment and longer survival. The SUN Study is also providing a platform to evaluate behavioral interventions designed to reduce HIV transmission through prevention counseling in routine care.

Effective antiretroviral therapy has significantly improved and prolonged the lives of HIV-infected persons. However, antiretroviral use has also been associated with a diverse array of "unnatural" metabolic complications and other adverse medical conditions. These problems, together with subsequent longer survival, have increased patients' risk for developing renal, hepatic, cardiovascular, neurological, rheumatologic, and other end-organ diseases, and cancers. Longer survival is also increasing the pool of HIV-infected persons capable of transmitting the virus, which could accelerate the pace of the U.S. epidemic; however, the ability of physicians caring for HIV-infected persons to incorporate prevention into their clinical practices and the effectiveness of this intervention has not been extensively evaluated.

The goals of the Study to Understand the Natural History of HIV and AIDS ("SUN" Study) are: to monitor the incidence of metabolic and other medical complications related to the treatment of HIV infection and attendant prolonged survival,

to identify risk factors associated with the development of these metabolic and other medical complications,

to monitor the contribution of these complications and other conditions to the morbidity and mortality of HIV infection, and

to evaluate the efficacy of a structured program of prevention activities, which are integrated into the routine medical

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The SUN Study is designed to enroll and follow for 5 or more years a cohort of up to 1,000 HIV-infected adults at HIV specialty care centers in four U.S. cities: Denver, Minneapolis, Providence, and St. Louis. Data will be gathered through longitudinal real-time chart review, biannual physical examination (e.g., body mass index [BMI], blood pressure), repeated non-invasive imaging (e.g., dual energy x-ray absorptiometry [DEXA] scanning, carotid ultrasonography) and regularly scheduled laboratory testing (e.g., serum lipids, pap smears, and urinary microalbumin). Data collection and quality control are managed by Cerner Corporation via the Cerner Discovere web site, <https://discovere.cernerasp.com>.

After sufficient enrollment, (circa 200 persons per site) a structured prevention program to reduce HIV transmission was introduced. The impact of this intervention was evaluated both subjectively (e.g., self-reported change in behavior on an audio computer-assisted self-interviewing [ACASI] questionnaire) and objectively (e.g., change in sexually transmitted disease [STD] incidence).

No PII data is collected, stored, or processed.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII

E-Authentication Assurance Level = 1

Risk Analysis Date = December 15, 2010

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC TigerPaw (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/3/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** TigerPaw

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Bill McHarg

**10. Provide an overview of the system:** The CMS Team uses a software application called TigerPaw for inventory management of PC parts ordered for equipment at CDC

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original**

collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/3/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Time and Attendance (TAS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** OPM/GOVT-1

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 264

**7. System Name (Align with system Item name):** Time and Attendance (TAS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ned Humphrey's

**10. Provide an overview of the system:** TAS 4.0 is a project developed to enhance the existing functionality to include all activities for employees, timekeepers, timeclerks and supervisors. The system allows employees and supervisors the capability to enter, approve, track and transmit employees' exception hours via the intranet.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Discloses identity information to users, supervisors, time clerks and timekeepers and HHS payroll office.

For the purpose of processing payroll data.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**



**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Name; SSN information. This information is mandatory and SSN is necessary because these are shared and matched with HHS PSC and this is sent to DFAC for processing and SSN is the only number this system (DFAC) recognizes. The information sent is exception hours only.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Nothing is currently in place, this is mandatory in order for exception hours to be processed. Notification of how info is going to be used is done at the Capital HR level.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** IIF is secured using the following controls:

Administrative: Records are maintained according with CDC's record control schedule and record control policy. The IIF is secured using the CDC/IS Active Directory authentication process and roll based application control via FAME.

Technical: Monitored by the Network and IT security controls which administered by OCISO and ITSO.

Physical: Controls are managed by guards, ID badges and key card restrictions.

Yes IIF

Risk Analysis Date: February 4, 2010

E-Auth level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC TimeClock Plus (TCP) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/10/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1759

**7. System Name (Align with system Item name):** TimeClock Plus (TCP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Joseph Dell

**10. Provide an overview of the system:** TCP automates the management, collection, and distribution of employee hours in undelayed real-time, offering a snapshot-in-time of any company for labor control, payroll and employee self-service. It is used by the Response branch during events and exercises for all participating individuals to clock in and out.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No PII is contained within the system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No PII is contained within the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) None.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is contained

Risk Analysis Date = December 2, 2009

E-Authentication Assurance Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/13/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Tobacco Ingredient & Nicotine Reporting System (TINRS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1573

**7. System Name (Align with system Item name):** Tobacco Ingredient and Nicotine Reporting System (TINRS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** The Tobacco Ingredient and Nicotine Reporting System provides Web forms to support the Office on Smoking and Health's entry and tracking of data mandated by two Federal acts regarding the added ingredients to cigarettes and smokeless tobacco, and test data regarding nicotine analysis. The system is comprised of two modules: (1) Cigarettes and Smokeless Tobacco Ingredients, and (2) Nicotine Analysis.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** 1) Information collected in the Cigarettes and Smokeless Tobacco Ingredients module include: company and company representative information, brand name & description, product name & description, CAS number, type & verification, and chemical name. Information collected in the Nicotine Analysis module includes: flavor name & description, sub-brand name & description, sample date, manufacture date, testing date, lot number & size, sample fraction, product age, nicotine milligram per gram, nicotine dry weight percent, unionized nicotine percent, unionized nicotine milligram per gram, pH value, final mean value with 95% confidence interval, low and high range, confidence interval deviation, and estimated mean.

2) Information contained within this system is for the purpose of documenting and tracking compliance with two federal acts regarding the added ingredients to cigarettes and smokeless tobacco, and test data regarding nicotine analysis. The Office on Smoking and Health is the primary user of the information. Any information disseminated outside OSH is aggregate summary level information.

3) The information collected is not PII. Business contact information is collected.

4) Business contact information is required.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E. Walker

**Sign-off Date:** 4/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Training and Continuing Education On-line (web system) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/20/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** Component of CDC PH Communications for Workforce & Career Development (system UID # 1310)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0161

**5. OMB Information Collection Approval Number:** 0920-0017 exp. 3/31/2013

**6. Other Identifying Number(s):** ESC# 380

**7. System Name (Align with system Item name):** Training and Continuing Education Online (TCEO)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Nancy Gathany (NTC1)

**10. Provide an overview of the system:** TCEO system allows health professionals to register and complete requirements to receive continuing education credits. Participants access only their own records. It is a web-based registration system offering continuing education that addresses core competencies, public health issues, public health preparedness and timely updates via distance education and live training events. TCEO includes the following learner support features for participants:

- Technical support through the toll-free 800 number, email box, and on-line information
- Ability to select a downlink site for the satellite broadcast
- Registration for the training event
- Access to the standard course evaluation and exam online
- Ability to view and print transcript and continuing education certificate

TCEO also allows downlink site administration staff to identify and register downlink sites and monitor participant registration.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the**



**character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Participants can access only their own records (for data entry and updates), while only CDC/OD/OWCD Administrators can access login information and reset passwords and view participant contact information (for administration and coordination).

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Name, Mailing Address, Phone Numbers, e-Mail Address, Military Status, Employment Status (all IIF). Collected for providing participants access to training events. All submissions of data are voluntary, and participants only access their own records.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No such changes are contemplated. Should they ever be contemplated, CDC/OD/OWCD Administrators would contact and obtain consent as appropriate by both written and electronic notice.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Users only have access to their own records. This is through their own login name and strong password. CDC/OSELS/SEPDPO Administrators can access login information and reset passwords and view participant contact information.

Technical - Located in DMZ, encryption of passwords.

Physical - Mid-tier Data Center under ITSO controls.

CDC common controls and appropriate individual controls from the NIST SP 800-53 are employed to protect PII that exists in the system.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/20/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Travel Report Writer [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-4

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1742

**7. System Name (Align with system Item name):** Travel Report Writer (TRW)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Geoff Crider

**10. Provide an overview of the system:** Travel Report Writers (TRW) is a CDC/IS application that allows users to design and produce ad hoc travel reports, using data from the now retired CDC IS Travel System for the years 1989 through 2006, and the GovTrip Travel System from 2006 to the present. Users can specify filters to determine the group of trips that are included in each report; and outputs to determine the specific information to be displayed in reports for each trip. In addition, users can schedule the reports they have created to run on a daily, weekly, monthly, quarterly, or yearly basis. The travel reports can be printed hardcopy or they can be delivered to users via email, as Excel spreadsheets.

Both the old CDC IS Travel System and the GovTrip System capture and store SSN's of traveler's, along with their names, official offices, and CDC UserID's. SSN's are required because the Travel System transmit transactions to the HHS Financial Accounting System. However, TRW does not allow SSN's to be referenced or displayed in any reports in any capacity.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** HHS Financial Management Office and individuals in the offices where travelers are assigned.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data about individual trips are received from the GovTrip System(COTS) from Northrop Grumman. In general, data is collected about the travelers, their itineraries, funds obligated and expended, and specific arrangements for transportation, lodging, meals, and other necessities.

The PII elements include Name, SSN, office and location, home address and phone number, employment status financial account information, personal email address, and foreign activities.

The purpose of collected this information is to support the various processes or authorizing and traveling individuals on temporary duty at the behest of, and paid by, CDC. SSN information is required for travel-related financial transactions by the financial accounting system(UFMS). The PII elements are mandatory and required as a condition of traveling for the government. The decision to accept these requirements and travel for the CDC is voluntary for non-employees, but may be required of employees as a negotiated condition of employment.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) There is no formal process, however, for employees, the notification and consent is given at the time and as a condition for employment with the CDC with the originating center of hire.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is located in a secured environment with Guards at the entrance to the building and key cards required for access. Basic role-based application services are made available through the CDC/IS portal. Users must be specifically authorized to access the application and in addition, they must be assigned to various roles in order to gain access to specific functionality within the application. Role designations

are qualified by a set of authorized offices, which limit access to travel data, owned by those offices.

Yes IIF

Risk Analysis Date = February 3, 2010

E-Auth level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Tuberculosis Epidemiologic Studies Consortium (TBESC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/5/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0090

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Tuberculosis Epidemiologic Studies Consortium (TBESC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Denise Garrett

**10. Provide an overview of the system:** The Division of Tuberculosis Elimination (DTBE) of the Centers for Disease Control and Prevention (CDC) established the Tuberculosis Epidemiologic Studies Consortium (TBESC) in order to strengthen, focus, and coordinate tuberculosis (TB) research. The TBESC was founded in 2001 to conduct research relevant to tuberculosis (TB) epidemiology, control, diagnosis, and prevention. In its first ten years, TBESC has conducted 32 studies related to TB and latent tuberculosis infection (LTBI) control. The TBESC is designed to build the scientific research capacities of state and metropolitan TB control programs, participating laboratories, academic institutions, hospitals, and both non- and for-profit organizations.

It is intended that the TBESC will strengthen the scientific underpinnings of future TB control efforts and help the United States achieve the goal of eliminating TB.

The study results from TBESC-II would have a major impact on the CDC recommendations on detecting LTBI patients and TB disease. It would also have an impact on diagnosis guidelines and management of TB patients.

To meet TBESC's complex data management needs, sites and CDC have relied on at least 10 different and incompatible data entry and management systems. None of these systems has had the flexibility and capacity to meet all TBESC needs; the result has been difficulties and delays in analysis and dissemination of study findings. The data management system for the new TBESC will allow for:

Flexibility

Reliability

Adaptability

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The system does not share or disclose PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** TBESC maintains a database of study participants. TBESC study will target participants with a high-risk of developing TB. Participants are asked if they want to participate. If they accept, the study entry form is completed to enroll in the study. Information collected on the form is Name, DOB, mailing address, phone number, medical notes, employment status, foreign activities, ethnicity, height, weight, and gender. Submission is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) IIF will be obtained from Vendors and TBESC study participants by using the TBESC Pre-Enrollment/Study task forms. Disclaimer statement is added to the task form stating form will be kept confidential and will not be shared with anyone outside the study. If they have additional questions, they can contact their coordinator who assisted them with the study form.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Records are maintained in agency for five years. Disposal methods include erasing computer tapes, burning, or shredding paper materials or transferring records to the Federal Records Center when no longer needed for evaluation and analysis.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/5/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Tuberculosis Information Management System (TIMS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/5/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 270

**7. System Name (Align with system Item name):** Tuberculosis Information Management System (TIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jose Becerra, MD, MPH

**10. Provide an overview of the system:** The Tuberculosis Information Management System (TIMS) is a Windows-based, client-server application that helps health departments and other facilities manage tuberculosis patients, conduct tuberculosis surveillance activities, and manage tuberculosis programs overall. It is used for surveillance and case management by TB programs throughout the United States, the District of Columbia, and U.S. reporting areas in the Pacific and Caribbean regions. TIMS software is used locally for data analysis of the data that is transmitted from the reporting areas. The data is analyzed to identify potential outbreaks, multi-drug resistant cases and extensively-drug resistant cases.

Data is collected on RVCT (Report of Verified Case of Tuberculosis) forms. The RVCT forms are transmitted to the CDC via modem, email (encrypted format) or to the CDC SFTP site (encrypted format).

RVCT forms are submitted to CDC from TB control programs in all states, and U.S. Territories and Commonwealths. The surveillance information requested by CDC consists of detailed reports of persons with TB, including information on the individual's HIV serostatus, demographics (e.g.,

homelessness, correctional institution, or long-term care facility), alcohol and drug use, drug therapy, and drug susceptibility results. The data are used by U.S. Public Health Service scientists and cooperating state and local health officials to help understand and control the spread of TB.

RVCT forms should never be mailed to CDC. All TB surveillance records are reported to CDC via modem, email (in an encrypted format), or the SFTP site using CDC's Tuberculosis Information Management System (TIMS) software. Although TIMS allows for the collection and storage of personal identifiers such as names and street addresses for local and state TB surveillance purposes, these identifiers are not transmitted to CDC.

TIMS has replaced former DTBE software (SURVS-TB and TBDS) and provides for electronic transmission of tuberculosis surveillance data and program management reports.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** E-Authentication Assurance Level = N/A

Risk Analysis date = 10/24/2008

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felivia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/8/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Tuberculosis Trials Consortium (TBTC) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9122-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 926

**7. System Name (Align with system Item name):** Tuberculosis Trials Consortium Client Server (TBTC CS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lorna Bozeman

**10. Provide an overview of the system:** The function of the application is to store study data for the clinical trials done by the TB Trials Consortium. The TB Trial consortium is a group of hospitals/research institutions/academic institutions funded by CDC to carry out trials for treating TB patients with new drugs. The applications also provide other modules which facilitate drug distribution, manage drug inventory levels and/or reorder drugs for the trial sites in a timely fashion. Some other reports like labels, patient visit schedules, patient enrollment count at different sites and reports for missing data are also generated

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 5/8/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Tuskegee Health Benefits System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/25/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-09-02-1000-00-402-125 (Part of larger system - NCHSTP Admin Systems)

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0096

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 271

**7. System Name (Align with system Item name):** Finance Tuskegee Health Benefits System (THBS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Darien Ogburn

**10. Provide an overview of the system:** THBS was incorporated into the CDC operations in the fall of 1994. It was developed internally by the Participant Health Benefits Program at the CDC to track medical expenses and payments. The primary purpose of the system is to automate the recording of money paid on claims submitted by beneficiaries of the Tuskegee Health Benefits Program.

THBS maintains a database of vendors who provide services to THBS beneficiaries. It also maintains a database of original study participants and their survivors with tracking information for each individual including their SSN, name, address, city, start and end date of service, and due date status. This information is mandatory for paying claims submitted by beneficiaries.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Yes, Vendors/Health Care providers submit invoices for beneficiary medical payments (Vendor banking information is transmitted such as company name, SSN, Taxpayer ID and checking/savings routing and account name/number). The CDC makes payments to vendors for beneficiary claims after invoices are received (Beneficiary name and SSN included).

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Yes. Vendors/Health Care providers submit invoices for beneficiary medical payments (Vendor banking information is transmitted such as company name, SSN, Taxpayer ID and Checking/Savings routing and account name/number).

The CDC makes payments to vendors for beneficiary claims after invoices are received (Beneficiary name and SSN included).

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) THBS maintains a database of vendors who provide services to THBS beneficiaries. THBS also maintains a database of original study of participants and their survivors with tracking information for each individual including their SSN, name, address, city, state, end date of service, and due date status. Submission is mandatory if beneficiaries wish to receive payments for medical services.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Records are maintained in agency for five years. Disposal methods include erasing computer tapes, burning, or shredding paper materials or transferring records to the Federal Records Center when no longer needed for evaluation and analysis.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Uganda IT Infrastructure (GAP-Uganda) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/18/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-02--1104-00-114-042

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** CDC-Uganda GAP Site

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Henry Kabuye

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Uganda operations. The IT infrastructure provides file server, exchange server and webmail server. Authentication is performed by a locally administered Active Directory for authenticating local users only. Failover is to local AD at the site. Local does not send or receive information from the main HHS/CDC Active Directory.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** None

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

No IIF Collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 02/10/09

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/24/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC UHF Radio System (UHF) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/31/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** UHF Radio

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jonathan Trapp & Bruce Jue

**10. Provide an overview of the system:** The ASTRO® 25 Master Site equipment and the four associated RF sites will support the communications mission of the Centers for Disease Control and Prevention (CDC) in Atlanta, GA and in Fort Collins, CO. The ASTRO 25 Master Site equipment will be located at the Clifton Road (Atlanta, GA) location, in addition to one co-located RF Site. The Master Site will interface with three remote RF Sites (Lawrenceville, GA; Chamblee, GA; and Fort Collins, CO) via CDC provided dedicated T1 / Fractional T1 lines. The local RF site at Clifton Road will have four UHF channels, and the other three RF sites will each have three UHF channels. The proposed Motorola system is software-based and will readily accept software enhancements from the System Management Terminal (SMT) co-located with the Master Site at the Clifton Road facility.

Motorola's System Management Terminal (SMT) is a part of Motorola's Network Management System. This SMT will be used to diagnose, monitor, program, maintain, and perform security audits of the ASTRO 25 system. Motorola will also include a color printer to produce system reports. Operating in a client/server fashion, system management features are accessible across the IP network. This provides CDC with the flexibility to add additional SMT clients at any location in the future. The SMT functions as a centralized point from which software updates, enhancements, and upgrades can be pushed out to all sites on this end-to-end encrypted IP network.

All radios will be programmed identically with all talk group and zones uploaded into each handheld excluding the PSB LEADR talk group. Special access restrictions are controlled via the MC console. Initial users of the equipment are: Facilities, Security, Emergency Mngmt, OHS, and OID.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is contained

Risk Analysis Date = November 1, 2010

E-Authentication Assurance Level = N/A

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Beverly Walker

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P.Madden

**Sign-off Date:** 3/31/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ULO Tracking & Management [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/27/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No Business PII

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1750

**7. System Name (Align with system Item name):** ULO Tracking & Management

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Chare Brown

**10. Provide an overview of the system:** The ULO Tracking & Management system is designed to support the process of tracking and managing Unliquidated Obligations at CDC. The system is composed of a main website, document libraries, and contact lists.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):** Selected users within CDC have access to the ULO Tracking & Management. Names and email addresses are used for document and item tracking purposes.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The only IIF collected by the system are user names and email addresses. This information is collected via the Active

Directory Global Catalog. The system is only used within CDC and the names and email addresses are readily available to all CDC employees through other systems.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The IIF is being collected from the Active Directory Global catalog because that's the appropriate centralized resource for obtaining this information. It is being maintained because there are often inaccuracies in the names maintained on a system-wide directory, and we would like to be able to address these inaccuracies locally when our users identify them. Also, there are situations when our system would like to override the centralized information. For example, a user might have an alternate email address at which he or she would like to receive system notifications.

IIF will stay internal to individuals with network passwords at the agency.

IIF is obtained from the Active Directory Global Catalog. Consent to list this information in the Active Directory Global Catalog is given when the user requests a CDC network password.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The IIF is secured by numerous methods including, firewalls and password authentication. The data is kept within a controlled access facility, which includes security guards, card key access, and identification badges.

No IIF collected

EAAL = N/A

Risk assessment date August 24, 2009

### ***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/17/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Underground Coal Mining System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/18/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9522-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0149

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1046

**7. System Name (Align with system Item name):** Underground Coal Mining System (UCMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Janet Hale

**10. Provide an overview of the system:** The system allows NIOSH to study the causes and consequences of coal-related respiratory disease and, in cooperation with the Mine Safety and Health Administration (MSHA), to carry out a program for early detection and prevention of coal workers' pneumoconiosis.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
MSHA - to carry out a program for early detection and prevention of coal workers' pneumoconiosis.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) Coal Miner

Demographics data, x-ray results data and autopsy data along with supporting data such as mine information, x-ray facility information, and physician information.

(2) Federal Coal Mine Health and Safety Act of 1969 (as amended by the Federal Mine Safety and Health Act of 1977) is intended to protect the health and safety of underground coal miners. This Act directs NIOSH to study the causes and consequences of coal-related respiratory disease and, in cooperation with the Mine Safety and Health Administration (MSHA), to carry out a program for early detection and prevention of coal workers' pneumoconiosis. These activities are administered through the Coal Workers' Health Surveillance Program (CWHSP), as specified in the Federal Regulations, 42 CFR 37, "Specifications for Medical Examinations of Underground Coal Miners."

(3) There is PII data collected in this process. Miner and Physician demographics data is collected.

(4) The submission of PII data is voluntary but most miners provide their personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) The purpose of the system has not changed and the use of the data has not verified from the inception of the program which started in 1970; however, in the event of a major change, letters would be sent to all participating miners notifying them of the change.

(2) The miner's sign the form they complete.

(3) All notices to the miners are in written form.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Administrative - The software is only installed on computers for users that require access to the system.

Technical - The system uses SQL server and Windows authentication to verify users. The system resides on the CDC network, inheriting all of the common controls of the network.

Physical – The server and computers reside in a secured facility which requires badge access. Additionally, the server is in a secured server room with limited access.

E-Authentication Assurance Level = N/A

Risk Analysis Date = 12/19/2008

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P. Kittles OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/18/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Universal Data Collection Lab Tracking Reporting (UDC-LTR) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/25/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 274

**7. System Name (Align with system Item name):** Universal Data Collection – Laboratory Tracking and Reporting System (UDC-LTR)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Universal Data Collection – Laboratory Tracking and Reporting System (UDC-LTR) system provides the capability to accept data from other systems using the importing and uploading tools developed in house, allows user to query the database, and provides reports generating function. It has a web interface that connects to the SQL database hosted by CDC ITSO. The core of the system is intranet only except the report generating. Only authorized project partners can access the reporting function if they have appropriate login information. The system collects de-identified specimen information for persons with hemophilia and other bleeding disorder diseases. The collected data will be used for surveillance purpose for blood safety and public health research.

The system does NOT collect any Personally Identifiable Information (PII). Each participant is assigned a unique identifier by the participating treatment site. All data reported to CDC have a unique identifier. CDC can NOT link the study IDs to the patients.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** (1) The system collects de-identified specimen and immunization information for persons with hemophilia and other bleeding disorders.

(2) Conduct blood safety surveillance and promote public health for persons with hemophilia and other bleeding disorders.

(3) The system does NOT collect PII.

(4) N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

PII no

E-Auth = 1

Risk Analysis date: 6/4/2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Vaccine Adverse Event Reporting System (VAERS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 6/5/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-02-01-1050-02

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0136

**5. OMB Information Collection Approval Number:** System is Exempt

**6. Other Identifying Number(s):** ESC# 276

**7. System Name (Align with system Item name):** Vaccine Adverse Event Reporting System (VAERS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Frank Destefano

**10. Provide an overview of the system:** VAERS information system supports vaccine adverse event reporting, collection, management, and analysis. VAERS constituents include CDC, FDA, other Federal public health service entities, and non-governmental entities, including health professionals and academic researchers, and the public at large. Primarily, VAERS supports public health surveillance consistent with CDC's mission, and supports safety monitoring consistent with FDA's mission. VAERS contains a searchable database of adverse reactions following vaccine administration for CDC and FDA under the auspices of the Immunization Safety Branch. VAERS does the following:

1. Coordinates a national surveillance program for monitoring vaccine safety, in collaboration with the FDA, which itself participates in trials of new and combined vaccines.
2. Collects, analyzes, and evaluates passive vaccine safety surveillance data.
3. Prepares and distributes surveillance information about the monitoring of adverse events following immunization.
4. Conducts ad-hoc studies and investigations about adverse events following immunization.
5. Coordinates studies in collaboration with CDC studies using large linked databases to evaluate possible causal relationships between vaccination and specific health outcomes.
6. Collaborates with other CDC Centers, Institutes, and Offices (C/I/O), the FDA, the National Institute of Allergy and Infectious Diseases, the Health Resources and Services Administration, the Department of Defense, and the National Vaccine Program Office in development and execution of a coordinated national plan to improve immunization safety.
7. Assists the National Vaccine Injury Compensation Program in analyzing data from cases seeking compensation.

8. Provides consultation to state and local health departments about monitoring and reporting of adverse events following immunization.
9. Prepares articles, based on findings of studies, for publication in professional journals and presentation at professional conferences.
10. Participates in international and domestic vaccine safety research activities.
11. Conducts research and evaluates alternative approaches for administering vaccines to enhance safety.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Food and Drug Administration (FDA) for collaborative purposes

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Patient data will be collected for statistical analysis and PII information is voluntarily submitted.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** The VAERS system, as a Public Health Authority, does not require consent from the individual whose PII is in the system. Reports (and follow-up information) are submitted to VAERS on a voluntary basis by the public and is mandatory for vaccine manufacturers. There are no processes in place to notify individuals regarding data use. Non-identifiable data are posted on the VAERS website and accessible through the CDC WONDER search tool for the general public. Government staff/researchers also utilize VAERS data for public health surveillance and research purposes.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes



**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Only authorized users are allowed access to the VAERS system. Role-based access control is enforced. User access is limited by use of individual, unique system user ID and password combinations. SRA applies file level encryption to control and enforce access of more sensitive PII data utilizing Microsoft Encrypting File System (EFS) technology and Symantec PGP Whole Disk Encryption (WDE). The database server is housed in a facility which is guarded by human. Access to the facility requires the ID badges and key cards that provides a higher level of security for PII collected.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/5/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Vaccine Safety Advice Network (VSAN) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Vaccine Safety Advice Network (VSAN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Frank Destefano

**10. Provide an overview of the system:** The purpose of the VSAN project is to establish a pilot for providing vaccine related information to community providers who administer vaccines. The pilot will involve community providers from Tennessee. Providers will be given a link that they will be able to use to report unusual reactions to vaccines. The link will take them to a survey that is hosted in the REDCap application. Upon submission of the survey, the public health nurse will be notified and will follow up with the provider if necessary to gather additional information which will be entered into the data entry portion of REDCap. The public health nurses work for the Tennessee State Department of Health under a directive from the CDC to provide this service. Nurses will be issued RSA tokens to authenticate to the REDCap environment. The public health nurses will contact vaccine experts to gather advice and information that they will enter into REDCap and communicate with the provider. Communication between the public health nurses and the community providers, and vaccine experts will occur over the phone or in standard email. No personally identifiable or confidential information will be transmitted over email.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**

No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No – VSAN does not contain PII

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system will contain the following information. The data will contain business contact information for community providers for the purpose of following up with them on vaccine events and providing recommended actions. The system will not contain personally identifiable information about the individuals who are experiencing the vaccine event. The submission of personally identifiable information is not allowed, and if it was detected in the system, it would be removed.

1. date of referral
2. healthcare provider name and business contact information
  - a. name
  - b. name of practice
  - c. address, phone, fax number
  - d. email address
  - e. preferred contact method (phone, email, fax)
3. basic patient demographics
  - a. age (years and months)
  - b. sex
  - c. ethnicity (Hispanic yes/no)
  - d. race (dropdown)
  - e. co-morbidities (yes/no, then list)
  - f. concomitant medications (yes/no, then list)
  - g. known allergies (yes/no, then list)
4. description of the adverse event
  - a. could utilize a dropdown to identify as local or systemic; this can be modified as the project matures
  - b. description of the event (timing, location, exacerbating factors, etc.)
  - c. date and approximate time of onset
  - d. date of resolution (if applicable)
  - e. medications provided
  - f. laboratory findings (if applicable)
  - g. radiology findings (if applicable)
5. description of the vaccine
  - a. name of vaccine (will provide a dropdown with an other category)

- b. date and approximate time of administration
- c. site of administration
- d. history of previous receipt of the vaccine (yes/no, then dates if known)

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) 1) No – VSAN does not contain PII

2) VSAN does not contain PII

3) Information will not be used or shared

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No – VSAN does not contain PII

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Vendor Supplied Training System (VSTS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Vendor Supplied Training System (VSTS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ron Lake

**10. Provide an overview of the system:** The Vendor Supplied Training System provides the ability:

To create and track Training Requests/Orders

- To track financial obligations associated with course offerings including individual learners' CAN information.
- To process learners' information including their name; office phone number; work email; and any special needs (how individuals will pay for taking the course; no credit card information is stored)
- To track the course offering including the course title; dates; times; tuition; books and misc cost; per diem; and travel cost.
- To certify the individual learns and the course offering

The Training Specialist will enter the required information including: the name of the course offering date and time; the vendor information, how the course will be paid for; the Human Resource codes; and the name of the learners taking the course. The Training Request is then submitted outside the system for approval. Once it's been approved, it is sent back to the VSTS where the learners and the Training Order are certified after the course offering dates. After certifying, the Training Order is submitted outside the system to Financial Management Office (FMO) for receiving. All training specialists within the CDC will have access to this system. This system contains Federal information only. Therefore, this system will not contain any Personable Identifiable Information (PII) of any sort.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A - The system does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system will store the process learner's name, CDC office phone number, CDC email address, and any special needs comments (how the course will be paid for; no credit card information is stored).

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A - This system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 2/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Vessel Sanitation Program [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/22/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9221-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 536

**7. System Name (Align with system Item name):** Vessel Sanitation Program (VSP)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jaret Ames

**10. Provide an overview of the system:** Vessel Sanitation Program (VSP) is a set of several surveillance tools utilized by CDC\ONDIEH\NCEH\DEEHS\VSP staff to inspect cruise ships and the cruise line industry to report illness and deaths on cruise ships. The data may be entered by inspectors on cruise ships, cruise lines reporting illness and death to CDC, or CDC support personnel. Once the data is saved into the database, the user may edit, or delete data. The system provides reports to the inspectors, cruise ships, and the public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
The System does not contain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**



**submission of personal information is voluntary or mandatory:** (1) VSPIRS collects information regarding illness and deaths on cruise ships. The system provides reports to inspectors, cruise ships, and the public. Vessels will be required to maintain a standardized chronological log by date of the total amount of passengers and crewmembers that have reported gastrointestinal illness (3 loose stools in 24 hours). Only aggregated number of each illness is reported per vessel.

(2) This information is used for conducting inspections, investigating outbreaks, scheduling, gastrointestinal illness reporting, web page updating, billing, and tracking all activities.

(3) No, the system does not contain any PII.

(4) N/A. The system does not contain any PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A. The system does not contain any PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. The system does not contain PII.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/22/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Vietnam IT Infrastructure (GAP Vietnam) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** No

**7. System Name (Align with system Item name):** Vietnam IT Infrastructure

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Calvin Johnson

**10. Provide an overview of the system:** This is a general office support system for CDC GAP Vietnam and provides file servers, application server, exchange server, and webmail server; authentication is performed via CDC Active Directory with a failover to local host.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g.,**

disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC ViewLinc 3.3 (N/A) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/26/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1763

**7. System Name (Align with system Item name):** ViewLinc 3.3

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kanwar Bedi

**10. Provide an overview of the system:** ViewLinc is a Temperature/Humidity Monitoring & Alarming application to monitor/view all data points of temperature data loggers. This client/server system monitors and record temperature data for critical Biologics equipment. Data logger's record temperature data points, if the temperature is out of range it will let the user know of the discrepancy. Data loggers are connected to a point server which is connected to the CDC network. A main server communicated with the point server for acquisition of the temperature data points. The security settings are configured to protect against unauthorized access. ViewLinc gives you secure records and an audit trail of all system and security events, including alarm acknowledgements, setting changes and corrective actions. It also sports an escalating multi-level alarm to trigger an alert at the first sign of a problem. It also has the capability to select where alarm notifications are sent: cell phone, pager, or desktop. It can operate as a primary system, or a failsafe back-up. The application can also leverage Window's security features by using existing Usernames and passwords, or use its internal security system.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** N/A

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = February 23, 2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 6/14/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Violence Education Tools Online (VetoViolence) [Systems]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 2/15/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2076

**7. System Name (Align with system Item name):** Violence Education Tools Online (VetoViolence)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jennifer Middlebrooks

**10. Provide an overview of the system:** Violence Education Tools Online (VetoViolence) is the National Center for Injury Prevention and Control's (NCIPC) award winning public health website ([www.VetoViolence.org](http://www.VetoViolence.org)) invented and created by Banyan Communications and inspired by CDC's vision of a nation free of violence. CDC/NCIPC targeted violence because of its burden on our nation and because of scientific evidence that NCIPC could make progress toward violence free communities; reducing the incidence of violence on the general population and particularly on groups suffering from health disparities. Banyan studied NCIPC's situation, recommending an online resource that would streamline and facilitate CDC's ability to communicate knowledge and technical assistance because limited staff time and resources kept NCIPC from reaching all the communities in need.

Banyan worked closely with NCIPC's scientists, Subject Matter Experts (SMEs) and stakeholders to determine the key knowledge required by communities to create effective and sustainable, evidence based prevention plans. We studied the characteristics of CDC's Grantees and Partners and the primary audiences which it needed to influence. Together we established learning objectives in order to build an online destination where Grantees and Partners could gather a common understanding of violence prevention and strategies to integrate the principles into their programs.

VetoViolence is the outcome of our formative work together with CDC/NCIPC/DVP. The Web site communicates knowledge of the impact of violence on our nation and all five of the DVP focused violence types (child maltreatment, intimate partner violence, sexual violence, and suicide and youth violence.) It conveys the knowledge in accredited courses using videos of real scenarios and real practitioners, motion graphics, and sound to reinforce the learning concepts

and with interactive features that allows users to practice. It also includes features that guide users how to record their stories of success useful for PR and fund raising which are also included in the online archive for viewing by their peers, if approved by CDC; and how to capture information which will indicate a community's capacity for taking on a prevention program.

VetoViolence allows NCIPC to capture information on health problems, share best practices and showcase impact to share with users. Through the NCIPC VetoViolence project, we can perform the following:

Share lessons learned, best practices, and increase the knowledge-base across the field

Gain support for successful injury research and programs

Furthermore, VetoViolence will show purpose and benefit for users including NCIPC, its grantees, and partners by:

- 1) providing a NCIPC Web portal that will be utilized as a tool to create cross-cutting violence prevention and response-focused success stories that can be used by various audiences
- 2) providing grantees with training tools within the portal that builds their skills and enhances their ability to communicate about the impacts and successes of their activities
- 3) supporting the translation of injury prevention science into effective practice

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. VetoViolence does not share or disclose PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:**

- 1) The website will collect and maintain organizational contact information (Name, address, city, state, zip code, phone, email address, and website address). The website will disseminate violence prevention information, success stories, resources, training tools, and videos. Federal contact data only
- 2) The organizational information is collected for notification purposes. The disseminated information is used to aid in the prevention of pain and suffering associated with violence.
- 3) VetoViolence does not contain PII.

4) N/A. VetoViolence does not contain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) NA. VetoViolence does not collect, share or disclose PII. Business Contact information only

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A.**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 2/15/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Visiting Fellows Payroll System (ACCPAC) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/12/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-01-02-0281-00-403-132

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0018

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 281

**7. System Name (Align with system Item name):** Visiting Fellows Payroll System (ACCPAC)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kelly Cook

**10. Provide an overview of the system:** ACCPAC is an ERP solution from Sage Software designed to produce payroll functions. The system is used to:

- Process payments for Visiting Fellows and EIS Officers,
- Calculate federal, state, and FICA taxes,
- Create manual checks for taxes paid for Permanent Change of Station,
- Create year end W-2's for tax purposes,
- Produce electronic W-2s for magnetic filing to the Social Security Administration,
- Generate earnings statements, check register, state tax report, reverse checks and other payroll related reports

The System also includes in-house developed stored procedures referred to as the ACCPAC Preprocessor. The purpose of the Preprocessor is to validate the Visiting Fellow and EIS Officer payroll information with Purchase Order information, downloaded from the Unified Financial Management System (UFMS), and to reformat the data to prepare if for transfer to UFMS.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

**through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
PII will be shared with the Social Security Administration (SSA), as we are required by law to report W-2 information.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** 1)social security numbers, names, and addresses. The system also includes salary payment information for each Visiting Fellow/EIS Officer. 2) The information is only used for the purpose of processing the payroll for CDC's Visiting Fellows and EIS Officers. 3)The system does collect PII, 4)The Visiting Fellows and EIS Officers voluntarily provide the PII as a condition of employment.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) PII will only be shared with the Social Security Administration (SSA), as is required by law. The Visiting Fellows and EIS Officers are notified regarding the use of this information when they agree to employment with CDC.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** PII is retained in the system indefinitely. Printed reports that include PII are retained on site for at least two years, before being transferred to the Federal Records Center. All documents containing PII are shredded before being disposed. The ACCPAC data is stored in a MS SQL 2008 database and is protected using Transparent Data Encryption (TDE), which enables data encryption by using AES encryption algorithms. The system encrypts all information when written to disk and decrypts information when read from disk. When taking the database offline or shutting down the server, the database remains encrypted and secure.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/12/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Visitor Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 6/23/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** GSA/GOVT-4

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1432

**7. System Name (Align with system Item name):** Visitor Management System (VMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tamara Hamaty

**10. Provide an overview of the system:** Visitor Management System (VMS) is a web based process designed to process two types of visitors entering CDC; both Domestic and International. All VMS data is stored at the Roybal campus VMS Database. The VMS database is accessed through Virtual Visual Studio views based on the role the system user performs. The types of roles within the VMS system are Sponsors, Security Specialists, Security Access Officers, and International visitors.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Yes, Federal Bureau of Investigations. To maintain a listing of all CDC Personnel and Visitors.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** VMS will collect information, such as Name, DOB, Mailing Address, Phone Numbers, Email Addresses, Employment Status/Records, and Passport and Visa information and Country of Issurance.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) The Privacy Statement included on the web form where PII is collected states in general terms that the information may be shared with other government agencies and private organizations. The generalization will cover any changes that may occur in disclosing of PII. The submitting of PII is voluntary.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured using encryption and Active Directory authentication for specific users.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 6/23/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC VPN Request Tool (VPNRT) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 8/24/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** VPN Request Tool

VPN Request Tool

VPN Request Tool

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan Shaver

**10. Provide an overview of the system:** The VPN Request tool will allow CDC users to request VPN access to the CDC network. The system will control all routing and approvals as well as renewals and auditing of existing and new VPN requests.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Data collected,

disseminated, and/or collected pertains to network information, ADP information, and CDC user information without any distinguishing identifiable information

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No IIF is collected, disseminated, or maintained in the system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No Information in Identifiable Form is collected or transmitted.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = August 12, 2009

### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 8/25/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Water Fluoridation Reporting System (WFRS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/26/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC DOH WFRS

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Collects water fluoridation information from public water treatment systems.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that



disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Web Content Management System (WCMS) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/14/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Web Content Management System (WCMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Scott Mullins

**10. Provide an overview of the system:** The CDC Web Content Management System (WCMS) provides a centralized content management system for web content used on CDC websites. WCMS provides a standardized, enterprise-wide solution that replaces disparate custom solutions implemented by various centers, institutes, and offices within the organization.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** CDC web developers will

use the WCMS to manage static, publicly available content used on www.cdc.gov. There is no PII or IIF collected in the system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A**

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/14/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Web Enabled Analysis Tool (BRFSS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/8/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** DACH GA - BRFSS WEAT

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** Web Enabled Analysis Tool - Cross tabulation and logistic analysis for BRFSS.

These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this**

**description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Web-based Injury Statistics Query and Reporting System (WISQARS) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:** PIA Validation

**1. Date of this Submission:** 4/6/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-04-00-0897-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC ID: 626

**7. System Name (Align with system Item name):** Web-based Injury Statistics Query and Reporting System (WISQARS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Kevin Webb

**10. Provide an overview of the system:** WISQARS is an interactive web base system that utilizes non-PII Public Use Data to provide violent and injury-related mortality and morbidity statistics useful for research and for making informed public health decisions. The system allows users to get basic counts and rates information on violent deaths, mortality deaths, and morbidity injuries. Users can select report criteria to generate specific reports.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A. WISQARS contains no PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether**

**submission of personal information is voluntary or mandatory:** (1) WISQARS allows users to select report criteria information (ex. race, sex, age group and state) to generate reports and to get basic counts and rates information on violent deaths, mortality deaths, and morbidity injuries.

(2) WISQARS utilizes non-PII Public Use Data to provide violent and injury-related mortality and morbidity statistics useful for research and for making informed public health decisions.

(3) WISQARS contains no PII.

(4) N/A. WISQARS contains no PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) N/A. WISQARS does not contain PII.

(2) N/A. WISQARS does not contain PII.

(3) N/A. WISQARS does not contain PII

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A. WISQARS does not contain PII.

Risk Analysis date: 2/8/2010

E-Auth Level = N/A

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 4/6/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC WEBi Business Intelligence Reporting (WEBi) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 12/21/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0024

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** WEBi Business Intelligence Reporting

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Daniel J Hardee

**10. Provide an overview of the system:** The WEBi Business Intelligence Reporting Solution is a SAP Business Objects Reporting tool that provides a reporting portal to access financial and non-financial CDC information. The vast majority of the reports generated by the tool do not contain Social Security Number or any PII. However, Social Security Numbers are used in this system in a limited fashion and are viewable by a limited number of users. The few reports containing Social Security Numbers found in WEBi are used to collectively manage vendor or individual information and support financial reporting, validation and correction of invoice and payment information in the Unified Financial Management System (UFMS). This ensures CDC issues proper payments to vendors and individuals in a timely fashion. The correct Social Security Number is required by the Prompt Payment Act (5 CFR 1315.9) and the Treasury Offset Program (31 CFR 285.5). When making payments through UFMS, the taxpayer identifying number (TIN) is a required data element for a proper payment record (5 CFR 1315.9 (b) (vii)). For an individual, the taxpayer identifying number is generally the individual's social security number. Additionally, to ensure that CDC complies with the Debt Collection Act and Debt Collection Improvement Act, which allows for the offset of Federal payments to collect debts owed to the United States, a tax identifying number (TIN) is one of the primary data matching elements (5 CFR 285.5)''

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or**



**other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
OCOO/FMO/Financial Services Branch – Day to day operations with individuals and vendors related to invoicing and payment information

OCOO/FMO/Financail Systems Branch – Report developers, DBA's and Systems Accountants providing IT support for FMO

OCOO/FMO – Select users within FMO for research, auditing and analysis

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The system contains financial accounting information and PII data related to vendors or individuals that includes SSN, EIN, names, address, and banking information. Information is used to support the management of the HHS Unified Financial Management System (UFMS) for CDC. WEBi does not accept or receive submissions of personal information. It only reports on already collected information in the day to day management of UFMS.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Notification and consent is managed external to WEBi as a result of the day to day management of UFMS. WEBi does not collect PII from vendors or individuals.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII is secured using active directory authentication for specific users. PII can only be accessed by authenticated users behind the firewall. Access is limited by user roles and access ranges. Physical access to the hardware is monitored and controlled according to ITSO Network policies and procedures.

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 12/21/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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**06.3 HHS PIA Summary for Posting (Form) / CDC Websense (N/A) [System]  
PIA SUMMARY AND APPROVAL COMBINED**

*PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/27/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** CDC Websense

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vijande Burr

**10. Provide an overview of the system:** The Websense Security Gateway product provides URL and Protocol filtering for all outbound traffic over ports 21, 80, 443, and 8080 to the Internet. The service allows CDC to configure and enforce an acceptable use policy for Internet usage. Also, this service can be configured on remote systems (laptops) with Anti-Virus scanning in the actual web traffic

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system is not designed to store or retain PII.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system is not designed to store or retain PII.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system is not designed to store or retain PII.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system is not designed to store or retain PII.

PIA not found

E-Authentication Assurance level: N/A

Date of most recent security assessment: 04/20/2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/27/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC WebSense Exemption Tool (WSET) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/4/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** WebSense Exemption Tool (WSET)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ryan Shaver

**10. Provide an overview of the system:** The WebSense Exemption Tool is a web based application (tool) that will be hosted on the ITSO Tools Intranet Server. The SCE Tool allows CDC Staff to request an exemption to a category on the WebSense server. The request follows an approval process from the customer's manager then to a TSE for approval. The system allows IT staff and customers to view the requests and reports to determine the status of the WebSense exemptions.

The application is only available on the CDC Intranet. The website is secured using Active Directory and Groups Authentication as well as application security roles based on user categorization. Everything is presented to users dynamically by the application. Any unauthorized users will be detected and routed to an error page instead of the requested page.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The end-user enters their userid, web content type, duration and justification for the exception that they are requesting.

The WebSense Exception Tool is a web based exception request tool, where the requestor asks for access to normally unauthorized web sites for a set period of time. This request requires Manager, ISSO and TSE approval to grant the exception. No PII

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A No PII Collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 4/4/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC WHO Collaborating Laboratories - United States [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9621-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 284

**7. System Name (Align with system Item name):** WHO Collaborating Laboratories

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Lynnette Brammer

**10. Provide an overview of the system:** Approximately 85 U.S. WHO collaborating laboratories report weekly the number of specimens tested for influenza and the number positive by virus type/subtype and patient age group. Labs may transmit summary information via the WCL website or by fax.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Approximately 85 U.S. WHO collaborating laboratories report weekly the number of specimens tested for influenza and

the number positive by virus type/subtype and patient age group. Labs may transmit summary information via the WCL website or by fax. No PII is collected.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected.

E-Authentication Assurance Level = 1

Risk Analysis Date = August 16, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Wide Area Network (WAN) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 1/19/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01--1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 620

**7. System Name (Align with system Item name):** CDC Wide Area Network (WAN)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Vijande Burr

**10. Provide an overview of the system:** The WAN provides connectivity, network, and communications support to the CDC community. It enables CDC scientific and administrative systems to operate by providing a communications mechanism and is the underlying shared technology on which CDC information systems rely.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect,

maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) This system does not collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 1/19/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Winnable Battle Risk Factors and Health Indicators (WBRF) [SYSTEM]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/24/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Winnable Battle Risk Factors and Health Indicators (WBRF)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Locola Hayes

**10. Provide an overview of the system:** The CDC Winnable Battle Risk Factors and Health Indicators site is an interactive web based .Net/Silverlight application using Health Indicator Data provided to OADPG by the National Center for Health Statistics (NCHS). Data and lists will be sortable by column and provide the user with the ability to view aggregation by national, regional and state. The solution would also include a map view with allows the user to interactive with a map and view sortable data by geography that they selected. Results in map view would mimic the list/data views. Data will be stored in dBase (DBF) files hosted in the application folder of the web server. The application will be available to the public.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system utilizes published CDC data to disseminate state level data related to prevalence and incidence of behavior risk factors and indicators of health status related to CDC Winnable Battle areas. All information used in this system is federal data that has been verified by the appropriate federal agency. Primarily, the data used in this system was compiled from data existing data collected by CDC utilizing existing mechanisms including but not limited to NCHS Vital Statistics and the Behavior Risk Factors Survey System (BRFSS). This system does not collect any information or require any personal identification information from users. The system is intended to serve as a resource for States in order to use sound data in the promotion of policy, system, and environmental changes related to CDC's winnable battle focus areas.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 7/24/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC Wireless Public Access Network (WPAN) [System]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 7/20/2009

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-02-00-01-1152-00-404-139

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 620

**7. System Name (Align with system Item name):** CDC Wireless Public Access Network

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Jeff Lobaugh

**10. Provide an overview of the system:** The CDC Wireless Public Access Network will support wireless Internet access for guests and employees at CDC facilities.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
This system does not collect, maintain (store, disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** This system does not collect, maintain (store, disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.])** This system does not collect, maintain (store, disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** This system does not collect, maintain (store, disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system.

No IIF collected.

E-Authentication Assurance Level = N/A

Risk Analysis Date = June 19, 2009

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Felicia P Kittles

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 7/21/2009

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Worker Injury Management System (WIMS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/7/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0005

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 1556

**7. System Name (Align with system Item name):** Worker Injury Management System (WIMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Frances Hardy-Bennett

**10. Provide an overview of the system:** This is a CDC intranet application that enables enterprise-wide entry of injury data and includes a reporting mechanism supporting the collected data. Users are able to enter/modify incident report information including employee data, injury data, medical data, Workers' Comp information, investigation data, and comments.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Occupational Health & Safety Administration (OSHA)

-Track work-related injuries and illnesses in order to help prevent them in the future.

-Use injury and illness data to identify problem areas.

-Administer safety and health programs with accurate records.

-Increase employee awareness about injuries, illnesses, and hazards in the workplace.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information collected:

- (1) Name, DOB, Personal Mailing Address, Personal Phone Numbers, Medical Notes, Personal Email
- (2) Used to track incident reports, injury data, medical data, workers comp and investigation data.
- (3) IIF is being collected.
- (4) Personal information is voluntary but is required in order to file Worker Injury Reports

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) Users are notified via a general OD announcement when changes occur in the system. Users are also asked to update and validate their information on a yearly basis. A privacy notice opens when the user first accesses their contact information.

- (2) IIF is collected and maintained by CDC.
- (3) IIF may be used to search for individual records, but never disclosed except by signed authorization.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** Admin controls – All users must be approved by OHS; users are removed when they leave CDC or no longer require access to the system. There are periodic reviews of system users and their permissions, users are assigned appropriate roles by the system administrator in consultation with worker injury management staff. Technical controls – The system uses role based access controls which limit user's access to data. Physical controls – ID Badges, Key Cards and CCTV

IIF Collected

E-Authentication Assurance Level = N/A

Risk Analysis Date = 6 January 2010

***PIA Approval***



**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L Carter

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 5/10/2010

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Workforce Contingency Planning System (WCPS) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/7/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** Workforce Contingency Planning System (WCPS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Sandy Chapman

**10. Provide an overview of the system:** Workforce Contingency Planning System (WCPS) is a web-based system designed to allow CDC managers to designate the furlough category for CDC staff members according to the Office of Management & Budget (OMB) criteria – (needed and continuously in the event of the possible absence of federal appropriations).

WCPS will collect the following CDC Business information only: Employee Name, User ID, CIO, Admin Code, Occupational Series, Occupational Series Description, Work location, Person Category, Exception Reason, Exception Reason Modified Date, Grade, and Pay Plan. Please note this system will not contain any Personable Identifiable Information (PII) of any sort.

Finally, the WCPS system would provide the CDC managers with the capability to perform the following functions:

Provide defined reports to support workforce management and planning activities associated with preparation for a possible absence of federal appropriations

Provide an automated solution to manage business rules related to furlough management

Integrate time and attendance data (TASnet) to support furlough activities

Allow exceptions reasons for each individual staff member at the CDC

Group CDC staff members by employee, contractor, and affiliate

The ability to produce defined reports and provide advanced search functionality

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the**

**individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A – No PII is collected

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Workforce Contingency Planning System (WCPS) is a web-based system designed to allow CDC managers to designate the furlough category for CDC staff members according to the Office of Management & Budget (OMB) criteria – (needed and continuously in the event of the possible absence of federal appropriations).

WCPS will collect the following CDC Business information only: Employee Name, User ID, CIO, Admin Code, Occupational Series, Occupational Series Description, Work location, Person Category, Exception Reason, Exception Reason Modified Date, Grade, and Pay Plan. Please note this system will not contain any Personable Identifiable Information (PII) of any sort.

Finally, the WCPS system would provide the CDC managers with the capability to perform the following functions:

Provide defined reports to support workforce management and planning activities associated with preparation for a possible absence of federal appropriations

Provide an automated solution to manage business rules related to furlough management

Integrate time and attendance data (TASnet) to support furlough activities

Allow exceptions reasons for each individual staff member at the CDC

Group CDC staff members by employee, contractor , and affiliate

The ability to produce defined reports and provide advanced search functionality

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A – No PII is collected

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** N/A – No PII is collected

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 9/7/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Workforce Information Zone [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 3/1/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-90-0018

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 401

**7. System Name (Align with system Item name):** Workforce Information Zone (WIZ)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ned Humphreys

**10. Provide an overview of the system:** The Workforce Information zone is a web based application originally programmed and designed to query CDC personnel data. It produces detailed employee information including personnel actions on file, statistics and personnel forecasting. Supervisors have access to resource personnel data on file and each CDC FTE has access their personal personnel data by default.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** The agency doesn't collect

PII through this system, but obtains IIF (such as name, gender, grade, job series..., etc) through other source systems (CAPHR). The agency uses the information for human capital management and diversity planning.

The PII information is provided through other source systems. The submission of the personal information is voluntary under public law 104 - 134.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]** 1. There is a disclaimer in place in the system for anyone to properly use the system.

2. Employees are able to view their own data. A process is in place in the system to allow an employee to submit a message for incorrect data or any complaint.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** The PII in this system is secured using the following mechanism:

Administrative control – a contingency (or backup) plan is in place. The file is backed up regularly, backup files are stored offsite. Users are aware of their responsibilities. Access to the system is controlled by Windows authentication and role based authorization system.

Technical Control – through userID, password, firewall, VPN, and IDS.

Physical Control – through security guard, ID badge and keycard.

IIF collected

E-Authentication Assurance level = N/A

Risk Analysis Date = Jan 20, 2010

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Alan Olson

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 3/2/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC World Trade Center Program Management and Administration [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 5/22/2012

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** 09-20-0147

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC# 2022

**7. System Name (Align with system Item name):** World Trade Center Program Management and Administration (WTC-PMA)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Ken McKneely

**10. Provide an overview of the system:** No SSN's will be collected by this system. This change is to implement the James Zadroga 9/11 Health and Compensation Act of 2010 .

The attacks at the World Trade Center (WTC) on September 11, 2001 exposed rescue and recovery workers, as well as residents/non-responders, to unprecedented risks for job-related injury, illness, and death. Funds were appropriated by Congress to the Centers for Disease Control and Prevention's (CDC's) National Institute for Occupational Safety and Health (NIOSH) to provide medical screening and monitoring for these workers starting in FY 2002 and additional funds for medical treatment of this population was appropriated in FY 2006. In response to Congressional appropriations language, NIOSH implemented health programs for responders and non-responders (workers, residents, and others in the vicinity of the WTC site).

In 2008, in response to a legislative mandate to provide screening, monitoring, referral, and treatment for residents, students, and others in the community directly affected by the 2001 WTC disaster, NIOSH awarded a three-year grant to provide funds for healthcare for 3,000 more people. CSC will collaborate with NIOSH to jointly review the reports that prove all of the operational directives in the WTC Act are met. For example, CSC will collect data and produce reports on the financial performance, transactional data on claims processing, and key program administrative process performance, such as call center volume, response and satisfaction, claims payments summaries, beneficiary satisfaction surveys, program membership reports, etc.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This**



**question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** Yes

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Disclosure to the Department of Justice specifically, the Federal Bureau of Investigation (FBI) and its contractors provide terrorist screening support in accordance with NIOSH's statutory obligation to determine whether an individual is on the 16 "terrorist watch list" as specified in Section 3311 and Section 3321 of the Zadroga Act and is eligible and qualified to be enrolled or certified in the WTC Health Program as specified by statute. Disclosure will be limited to only the information that is necessary to determine eligibility and qualification under the statute.

Disclosure of personally identifying information to applicable entities for the purpose of reducing or recouping WTC Health Program payments made to individuals under a workers' compensation law or plan of the United States, a State, or locality, or other work-related injury or illness benefit plan of the employer of such worker or public or private health plan as required under Title XXXIII of the Public Health Service Act.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** PII is obtained voluntarily for billing, processing payments, tracking applicant status, research studies and eligibility determinations. WTC Health Program Records are obtained from individual applicants and enrollees, from medical providers who have treated eligible individuals, and from data centers that are repositories of demographic and clinical information about WTC responders and survivors. The potential claimants will fill out a voluntary eligibility worksheet at their local providers office voluntarily and the information will be stored by CSC throughout the process with safeguards in place as addressed in the SSP. While waiting on an eligibility determination, the potential claimants can track their claim via their provider's office. This process keeps all PII data within the confines of the accreditation boundary after potential claimant submission.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Patients consent and patient authorization is handled at the service providers' level, locally. The patients are required to sign consent forms notifying

the patient of PII and HIPAA data use for patient tracking and beneficiary's changes. All changes to the use of the applicants data or the way it is stored requires an update to the PIA and the SORN. For changes to the data sets of addition of records and or fields used for data collection by the system a system notification of proposed, altered, or updated system of records will follow the FISMA, HIPAA and NIST guidance for updates or revisions to the SORN and or PIA. All approved updates will be maintained by the Privacy Office and viewable by the public as requested.

When The Department of Health and Human Services (HHS) proposes to alter System, the update request will be sent to the Privacy Office for approval. The SORN will be, "Occupational Health Epidemiological Studies and EEOICPA Program Records, HHS/CDC/NIOSH" In accordance with the requirements of the Privacy Act, the Centers for Disease Control and Prevention (CDC) will publish notice of the amendment of the categories of individuals covered by the system of records; the categories of records; the authorities; and the purposes for maintenance of the system of records. In addition, the National Institute for Occupational Safety and Health (NIOSH) is complying with the Privacy Act in executing its responsibilities under the James Zadroga 9/11 Health and Compensation Act of 2010 found at Title XXXIII of the Public Health Service Act, 42 U.S.C. 300mm – 300mm-61 (Title XXXIII).

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** There are a number of controls from different families that combine to protect the WTCPMA system. These include:

- All encryption in use is FIPS 140-2 validated and/or certified.
- Connect Direct (IBM proprietary encrypting file transfer software) for transferring information to and from CMS.
- Pragma Systems Fortress SSH server for encrypted file transfers to and from Emdeon and other partners.
- SSL VPN with FIPS certificates for remote access for non-CSC users.
- Citrix Service Delivery Support Architecture with RSA SecureID two factor authentication for CSC remote users.
- Documented procedure for requesting and creating user IDs for the WTCPMA system.
- WTCPMA system is hosted in a secure data center, with guards, CCTV, fire controls, and strict access control policies and procedures.
- CDC-provided security training is required for all new users of the system.
- PII data is encrypted in storage (SAN encryption).

- Role Based Access Control has been implemented within Active Directory; roles have been created and defined.
  - WTCMPA system implements concept of “least privilege”, with users only allowed to do the functions required to complete their assigned tasks.
  - Firewalls separate the WTCMPA system from the Internet.
  - Firewalls separate the web facing components from the rest of the system.
  - VMware VShield is used to secure the multi-tenancy VMware environment hosting the WTCMPA system.
  - A Network Intrusion Prevention System with regular signature updates has been implemented.
  - Audit logging is centralized for the WTCMPA solution.
  - A Security Incident and Event Management system has been implemented.
  - Security Operations Center Audit Log Assurance services are in place.
  - Vulnerability scanning of the WTCMPA system is done on a regular basis.
- Antivirus software is installed and configured for regular updates of AV signatures.

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:**

**Sr. Official for Privacy Name:** Beverly E Walker

**Sign-off Date:** 5/22/2012

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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### **06.3 HHS PIA Summary for Posting (Form) / CDC XyVision (XyVision) [SYSTEM]**

#### **PIA SUMMARY AND APPROVAL COMBINED**

##### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/22/2011

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-05-02-9421-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** ESC ID: 1580

**7. System Name (Align with system Item name):** XyVision

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Tommy C. Seibert

**10. Provide an overview of the system:** This system takes existing documents and packages them for printing at GPO or publication on CDC's internet server. Original data and graphics are entered into the system by three users. These users use the system to edit and prepare a final form electronic document that is packaged into a pdf or other format document and then is manually sent by the user's email to its' final destination.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No IIF is collected,

processed, stored or transmitted by the system. System is used strictly for Public document preparation and release.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No IIF collected

EAAL = N/A

Risk Analysis Date = March 21, 2011

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:**

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 9/22/2011

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC Yellow Fever Registry (YF) [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** No

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 4/5/2010

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:**

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** No

**5. OMB Information Collection Approval Number:** No

**6. Other Identifying Number(s):** ESC# 1180

**7. System Name (Align with system Item name):** Yellow Fever Vaccination Clinic Database (Yellow Fever Registry, YF)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Gary Bucket

**10. Provide an overview of the system:** The Yellow Fever Registry is a web based system that acts as a directory of state certified yellow fever stamp owners and the facilities with which the owners are affiliated. Each state/territory has a coordinator who issues the yellow fever stamp, which authorizes the stamp owner to order the yellow fever vaccine and administer it. The registry has two purposes: 1) it allows the state coordinator to update, add to, or delete the information for their stamp owners/facilities; and 2) it gives the public a resource to identify facilities in their area where someone can receive the yellow fever vaccine. The data in the registry consists of physician/facility names, addresses, phone and fax numbers, facility type, email address (not visible to public) and website, and whether or not they wish to be posted to the public website. All users (state coordinators, CDC administrators) who have access to this registry have a userID and password. The registry can be accessed at any location with an internet connection. The CDC administrators have access to update all state data as well as state coordinator information, and the state coordinators have access to update their state's data and their personal user information.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass**

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Only Business IIF.  
Business addresses and phone numbers of Yellow Fever stamp owners (information found in phone book); Yellow Fever vaccine stamp numbers from states. The purpose is to authorize the stamp owner to order the yellow fever vaccine and administer it. No PII is involved.  
Submission is voluntary.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**  
Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:**

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):**

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No PII.

Risk Analysis date: 2/8/2010

E-Auth Level = 2

*PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Kerey L. Carter OCISO C&E PM

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P Madden

**Sign-off Date:** 4/6/2010

**Approved for Web Publishing:** Yes

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## **06.3 HHS PIA Summary for Posting (Form) / CDC YRBSS Survey Data Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/3/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9121-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** N/A

**5. OMB Information Collection Approval Number:** N/A

**6. Other Identifying Number(s):** N/A

**7. System Name (Align with system Item name):** YRBSS Survey Data Management System (SDMS)

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** SDMS is the data processing system for the Youth Risk Behavior Survey (YRBS) and the Global School Based Student Health Survey (GSHS). It is used to manage questionnaire documents, edit scanned responses, and generate tabulations and graphs for reports to funded sites. SDMS is a Visual Basic application that accesses a SQL server database; it uses Microsoft Office automation to create reports and graphs, creates and executes SAS and SUDAAN programs for statistical processing, and Crystal Reports to present tabulated results. It is accessible only by authorized personnel and all data reside on a LAN drive or SQL server also accessible only by authorized personnel. No personal identifiers are used in any part of processing or data collection.

**13. Indicate if the system is new or an existing one being modified:** New

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
No

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
No

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** No

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

**(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]**) No

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):** No

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** No

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** No

***PIA Approval***

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 7/28/2008

**Approved for Web Publishing:** Yes

**Date Published:** <<Date approved for Web Publishing>>

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## **06.3 HHS PIA Summary for Posting (Form) / CDC YTS/GYTS Data Management System [System]**

### **PIA SUMMARY AND APPROVAL COMBINED**

#### *PIA Summary*

**Is this a new PIA 2011?** Yes

**If this is an existing PIA, please provide a reason for revision:**

**1. Date of this Submission:** 9/10/2008

**2. OPDIV Name:** CDC

**3. Unique Project Identifier (UPI) Number:** 009-20-01-03-02-9023-00

**4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4):** n/a

**5. OMB Information Collection Approval Number:** n/a

**6. Other Identifying Number(s):** n/a

**7. System Name (Align with system Item name):** OSH GA - GYTS Datasets

**9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:** Cindy Allen

**10. Provide an overview of the system:** These are authenticated applications on the CoCHP Internet Platform. The logins or user account information contains business IIF. The CoCHP Internet Platform provides dynamic web content to the general public and public health partners in support of the Coordinating Centers for Health Promotion.

**13. Indicate if the system is new or an existing one being modified:** Existing

**17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):**  
Yes

**21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4):** No

**23. If the system shares or discloses IIF please specify with whom and for what purpose(s):**  
Some of the applications provide business contact information for public officials.

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:** Information contained within this system is for the purpose of providing dynamic Web sites to the general public, state

and local health departments, prevention research centers, public health officials, and educational institutions in support of CoCHP programs. The platform is designed to host applications that disseminate Low-category, public data and information; provide interactive features to users of the public Web site; and collect Low-category, public-domain data and information from CoCHP's funded and unfunded partners. All IIF used within applications on this platform are business-related contact information of public officials that are readily available through a variety of public mechanisms and do not compromise an individual's personal information.

**31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.**

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No uniform process in place. Several applications have a process in place to inform users of major changes to the system.

Users are aware of the IIF collected and how it is being used. Users must volunteer their IIF.

**32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII):**

Yes

**37. Does the website have any information or pages directed at children under the age of thirteen?:** No

**50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):** Yes

**54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.:** All of the data, including the IIF, follow the security controls of the EMSSP.

#### *PIA Approval*

**PIA Reviewer Approval:** Promote

**PIA Reviewer Name:** Michael W. Harris

**Sr. Official for Privacy Approval:** Promote

**Sr. Official for Privacy Name:** Thomas P. Madden

**Sign-off Date:** 8/25/2008

**Approved for Web Publishing:** Yes

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