


Acronyms

- ATO - Authorization to Operate
- CAC - Common Access Card
- FISMA - Federal Information Security Management Act
- ISA - Information Sharing Agreement
- HHS - Department of Health and Human Services
- MOU - Memorandum of Understanding
- NARA - National Archives and Record Administration
- OMB - Office of Management and Budget
- PIA - Privacy Impact Assessment
- PII - Personally Identifiable Information
- POC - Point of Contact
- PTA - Privacy Threshold Assessment
- SORN - System of Records Notice
- SSN - Social Security Number
- URL - Uniform Resource Locator

**General Information**

<b>PIA Name:</b>	OS - TCH - QTR3 - 2022 - OS1218360	<b>PIA ID:</b>	1481506
<b>Name of Component:</b>	OS - OS - Think Cultural Health	<b>Name of ATO Boundary:</b>	Think Cultural Health
<b>Overall Status:</b>		<b>PIA Queue:</b>	
<b>Submitter:</b>		<b># Days Open:</b>	91
<b>Submission Status:</b>	Submitted	<b>Submit Date:</b>	9/7/2022
<b>Next Assessment Date:</b>	N/A	<b>Expiration Date:</b>	11/7/2025
<b>Office:</b>		<b>OPDIV:</b>	OS
<b>Security Categorization:</b>		<b>OpDiv PIA ID:</b>	OS1218360
<b>Legacy PIA ID:</b>		<b>Make PIA available to Public?:</b>	No
<b>1:</b>	Identify the Enterprise Performance Lifecycle Phase of the system.		Operations and Maintenance
<b>2:</b>	Is this a FISMA-Reportable system?		Yes
<b>3:</b>	Does the system have or is it covered by a Security Authorization to Operate (ATO)?		No
<b>4:</b>	ATO Date or Planned ATO Date.		1/7/2020
<b>5:</b>	Is the system or electronic information collection, agency or contractor operated?		Contractor

**PTA**

**PTA**

<b>PTA - 2:</b>	Indicate the following reason(s) for this PTA. Choose from the following options.	New
<b>PTA - 2A:</b>	Describe in further detail any changes to the system that have occurred since the last PIA.	

PTA - 3:	Is the data contained in the system owned by the agency or contractor?	Both
PTA - 4:	Please give a brief overview and purpose of the system by describing what the functions of the system are and how the system carries out those functions.	The Think Cultural Health (TCH) website provides tools and resources to promote cultural competency in health care. The site can be used as a resource (researching information on cultural competency) and/or a source for e-learning Continuing Education Units (CEU) for health care professionals. Health care professionals can enroll online and successfully complete the various cultural competency modules. Health care professionals elect or volunteer to complete the educational programs as participation is not mandatory. In order to receive CEUs, the health care professional is required to register online and provide some identifying information
PTA - 5:	List and/or describe all the types of information that are collected (into), maintained, and/or shared in the system regardless of whether that information is PII and how long that information is stored.	Think Cultural Health collects the names and contact information for individuals that use the system to receive training and education. Contact information is defined to include: username, password, first name, last name, highest degree earned, certificate type, address, city, state, zip code, country, sex, age, phone number, ethnicity, race, primary language, how well English is spoken, job type, primary place of employment, level of seniority, how did they learn about Think Cultural Health, and how did they hear about National Culturally and Linguistically Appropriate Services (CLAS) Standards.  These information will be store indefinitely.
PTA - 5A:	Are user credentials used to access the system?	Yes
PTA - 5B:	Please identify the type of user credentials used to access the system.	Non-HHS User Credentials  Password
PTA - 6:	Describe why all types of information is collected (into), maintained, and/or shared with another system. This description should specify what information is collected about each category of individual.	<p><b>TCH PII data elements:</b></p> <p>Registrant’s email address and password (used to authenticate users)</p> <p>Registrant’s degree, certificate type, first name, last name, gender, age, address, ethnicity, race, primary language, how well they speak English, practice setting, level of seniority, primary role, Culturally and Linguistically Appropriate Services (CLAS) awareness , and how they heard about the program.</p> <p><b>Purpose for data collection:</b></p> <p>Use of the resources offered on the site is voluntary, but registration information is required to verify that the site is used by a variety of health professionals, representing different genders, races, skills, and demographic locations as required for HHS/OS/OMH to comply with Affordable Care Act (ACA).</p>
PTA - 7:	Does the system collect, maintain, use or share PII?	Yes
PTA - 7A:	Does this include Sensitive PII as defined by HHS?	Yes
PTA - 8:	Does the system include a website or online application?	Yes

<b>PTA - 8A:</b>	Are any of the URLs listed accessible by the general public (to include publicly accessible log in and internet websites/online applications)?	Yes
<b>PTA - 9:</b>	Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response.	<p>The Think Cultural Health (TCH) websites provide tools and resources to promote cultural competency in healthcare. The site can be used as a resource for e-learning opportunities for healthcare professionals. The professionals accesses the public URLs listed below and can elect to provide registration information to log in to their e-learning opportunities, as participation is not mandatory. In order to receive continuing education units, the professional is required to register and provide some identifying information.</p> <p>Thinkculturalhealth.hhs.gov</p> <p>Cccm.thinkculturalhealth.hhs.gov</p> <p>Ccnm.thinkculturalhealth.hhs.gov</p> <p>Cccdpcr.thinkculturalhealth.hhs.gov</p> <p>Oralhealth.thinkculturalhealth.hhs.gov</p> <p>Promotores.thinkculturalhealth.hhs.gov</p> <p>Hclsig.thinkculturalhealth.hhs.gov</p>
<b>PTA - 10:</b>	Does the website have a posted privacy notice?	Yes
<b>PTA - 11:</b>	Does the website contain links to non-federal government websites external to HHS?	Yes
<b>PTA - 11A:</b>	Is a disclaimer notice provided to users that follow external links to websites not owned or operated by HHS?	Yes
<b>PTA - 12:</b>	Does the website use web measurement and customization technology?	Yes
<b>PTA - 12A:</b>	Select the type(s) of website measurement and customization technologies in use and if it is used to collect PII.	Session Cookies - Does Not Collect PII
<b>PTA - 13:</b>	Does the website have any information or pages directed at children under the age of thirteen?	No
<b>PTA - 13A:</b>	Does the website collect PII from children under the age thirteen?	
<b>PTA - 13B:</b>	Is there a unique privacy policy for the website and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
<b>PTA - 14:</b>	Does the system have a mobile application?	No
<b>PTA - 14A:</b>	Is the mobile application HHS developed and managed or a third-party application?	
<b>PTA - 15:</b>	Describe the purpose of the mobile application, who has access to it, and how users access it. Please address each element in your response.	
<b>PTA - 16:</b>	Does the mobile application/ have a privacy notice?	
<b>PTA - 17:</b>	Does the mobile application contain links to non-federal government websites external to HHS?	
<b>PTA - 17A:</b>	Is a disclaimer notice provided to users that follow external links to resources not owned or operated by HHS?	

<b>PTA - 18:</b>	Does the mobile application use measurement and customization technology?	
<b>PTA - 18A:</b>	Describe the type(s) of measurement and customization technologies or techniques in use and what information is collected.	
<b>PTA - 19:</b>	Does the mobile application have any information or pages directed at children under the age of thirteen?	
<b>PTA - 19A:</b>	Does the mobile application collect PII from children under the age thirteen?	
<b>PTA - 19B:</b>	Is there a unique privacy policy for the mobile application and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
<b>PTA - 20:</b>	Is there a third-party website or application (TPWA) associated with the system?	No
<b>PTA - 21:</b>	Does this system use artificial intelligence (AI) tools or technologies?	No

**PIA**

**PIA**

<b>PIA - 1:</b>	Indicate the type(s) of personally identifiable information (PII) that the system will collect, maintain, or share.	<ul style="list-style-type: none"> <li>Certificates</li> <li>User Credentials</li> <li>Email Address</li> <li>Education Records</li> <li>Mailing Address</li> <li>Name</li> <li>Phone numbers</li> <li>Employment Status</li> <li>Other - Free text Field - sex, age, ethnicity, race</li> </ul>
<b>PIA - 2:</b>	Indicate the categories of individuals about whom PII is collected, maintained or shared.	<ul style="list-style-type: none"> <li>Employees/ HHS Direct Contractors</li> <li>Members of the public</li> <li>Vendors/Suppliers/Third-Party Contractors (Contractors other than HHS Direct Contractors)</li> </ul>
<b>PIA - 3:</b>	Indicate the approximate number of individuals whose PII is maintained in the system.	Above 2000
<b>PIA - 4:</b>	For what primary purpose is the PII used?	The information is used to enroll individuals and

		<p>deliver training and education to them; to provide information required to receive and maintain site accreditation from the accreditor, Cine-Med; to provide reports on the use of the system as described above; and to contact interested parties with information about programs in which they may be interested. Office of Public Health and Science (OPHS) and Office of Minority Health (OMH) authorized staff use the data collected to call users' attention to OMH programs of interest, report continuing education fulfillment to Cine-Med (the accrediting agency), as required by subpoena, court order or other legal process, and provide products or services requested by the user. The site can be used as a resource (looking up information on cultural competency) and/or a source for e-learning continuing education credits.</p>
<b>PIA - 5:</b>	Describe any secondary uses for which the PII will be used (e.g. testing, training or research).	Not Applicable. PII is not used for secondary purposes.
<b>PIA - 6:</b>	Describe the function of the SSN and/or Taxpayer ID.	
<b>PIA - 6A:</b>	Cite the legal authority to use the SSN.	
<b>PIA - 7:</b>	Identify legal authorities governing information use and disclosure specific to the system and program.	It supports the Office of Minority Health within the Office of the Secretary of the Department of Health and Human Services (HHS/OS/OMH) in complying with the cultural competency requirements of the Patient Protection and Affordable Care Act of 2010 (ACA) (P.L.111-148, see especially Section 5307: Cultural Competency, Prevention, and Public Health and Individuals with Disabilities Training), as well as the Secretary's Plan to Reduce Racial and Ethnic Health Disparities, the National Stakeholder Strategy for Achieving Health Equity, Healthy People 2020, the Secretary's Strategic Plan priorities, and the Assistant Secretary for Health's Public Health Quality agenda.
<b>PIA - 8:</b>	Are records in the system retrieved by one or more PII data elements?	Yes
<b>PIA - 8A:</b>	Please specify which PII data elements are used to retrieve records.	Name, Email Address
<b>PIA - 8B:</b>	Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development.	Think Cultural Health, 09-90-1202
<b>PIA - 9:</b>	Identify the sources of PII in the system.	<p>Directly from an individual about whom the information pertains</p> <ul style="list-style-type: none"> <li>Online</li> <li>Government Sources <ul style="list-style-type: none"> <li>Within the OPDIV</li> </ul> </li> <li>Non-Government Sources <ul style="list-style-type: none"> <li>Members of the Public</li> <li>Private Sector</li> </ul> </li> </ul>
<b>PIA - 10:</b>	Is there an Office of Management and Budget (OMB) information collection approval number?	Yes
<b>PIA - 10A:</b>	Provide the information collection approval number.	OMB No. 0990-0407

<b>PIA - 10B:</b>	Identify the OMB information collection approval number expiration date.	6/30/2022
<b>PIA - 10C:</b>	Explain why an OMB information collection approval number is not required.	Not Applicable
<b>PIA - 11:</b>	Is the PII shared with other organizations outside the system's Operating Division?	Yes
<b>PIA - 11A:</b>	Identify with whom the PII is shared or disclosed.	Other Federal Agency/Agencies Private Sector Within HHS
<b>PIA - 11B:</b>	Please provide the purpose(s) for the disclosures described in PIA - 11A.	Private Sector: The information for disclosure is to provide information required to receive and maintain site accreditation from the accreditor, Cine-Med, National Board for Certified Counselors (NBCC), and American Academy of Physician Assistants (AAPA). Within HHS: To provide reports on the use of the system, demographics of who is using the system, and the courses they have completed. Other Federal Agency: The information for disclosure is to provide information required to receive and maintain site accreditation from the accreditor, Indian Health Services (IHS). Office of Public Health and Science (OPHS) and OMH authorized staff use the data collected to call users' attention to OMH programs of interest, report continuing education fulfillment to Cine-Med, et. al., (the accrediting agency), as required by subpoena, court order or other legal process, and provide products or services requested by the user.
<b>PIA - 11C:</b>	List any agreements in place that authorizes the information sharing or disclosure (e.g., Computer Matching Agreement (CMA), Memorandum of Understanding (MOU), or Information Sharing Agreement (ISA)).	As the continuing education accrediting agency, the recipient has a legal/statutory right to the data collected for continuing education licensing requirements and information is used to ensure correct reporting of continuing education units to the accrediting agency. No other agreements are executed.  Sharing of PII is not subject to an agreement and there are no CMAs, MOUs, or ISAs. Accreditation agencies, such as Cine-Med, provide healthcare accreditations for the Think Cultural Health sites. Think Cultural Health registrants complete the e-learning programs and receive continuing education credits to apply to their licensing body (medical, nursing, etc). The licensing body validates that the credits were earned through the accreditation agency (e.g. Cine-Med). The accreditation agencies do not have or require MOUs or ISAs for the validation efforts.
<b>PIA - 11D:</b>	Describe process and procedures for logging/tracking/accounting for the sharing and/or disclosing of PII. If no process or procedures are in place, please explain why not.	The Think Cultural Health website policy for routine and non-routine disclosures (see <a href="http://www.justice.gov/opcl/privstat.htm">http://www.justice.gov/opcl/privstat.htm</a> for more

		<p>details) is covered in the Think Cultural Health Websites Security Plan under Section 8 Privacy &amp; Confidentiality. Adherence to the Privacy &amp; Confidentiality Policy is covered in Rules of Behavior Policy &amp; Confidentiality Agreements (signed by all employees). Failure in the procedure for accounting of non-routine disclosures is addressed in the Incident Response Plan and reviewed yearly in the Incident Response Plan table top tests. Inappropriate disclosures are not anticipated. If such a disclosure were to occur, the procedure for handling unanticipated disclosures includes reporting the disclosure within 1 hour of the incident (see Section 5 of the TCH Incident Response Plan for the communication chain). Then, the unanticipated disclosure is categorized based on severity. The response team would act appropriately to limit the damage, remediate the issue, escalate the unanticipated disclosure per severity designation, and notify the individual reporting the issue of the outcome.</p>
<b>PIA - 12:</b>	Is the submission of PII by individuals voluntary or mandatory?	Voluntary
<b>PIA - 12A:</b>	If PII submission is mandatory, provide the specific legal requirement that requires individuals to provide information or face potential civil or criminal penalties.	
<b>PIA - 13:</b>	Describe the method for individuals to opt-out of the collection or use of their PII. If there is no option to object to the information collection, provide a reason	Individuals are aware of what information is being collected because they choose to supply it themselves, on a voluntary basis. There is further information in the link to the OMH privacy policy at the bottom of each web page as well as a notification on every new or edited registration form, describing the process of collecting personal information. Individuals know how their information will be used because it is optional to register and use the e-learning/newsletter, to enroll in online courses and receive information. Users may opt-out by refusing to provide their personal information.
<b>PIA - 14:</b>	Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). Alternatively, describe why they cannot be notified or have their consent obtained.	No major changes to the system that would affect individuals' rights and interests are anticipated, but if there were such changes, individuals would learn of them through changes in the SORN, or if necessary, could be informed via the contact information they supply when registering for online educational courses (phone and e-mail). This is not a mandatory program. Users can choose to opt out of program participation and any future emails.
<b>PIA - 15:</b>	Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not.	Contact email links are provided that go to the technical help desk and are answered within 24 business hours.
<b>PIA - 16:</b>	Describe the process in place for periodic reviews of PII contained in the system to ensure the data's integrity, availability, accuracy and relevancy. Please address each element in your response. If no processes are in place, explain why not.	The Think Cultural Health website is provided as an e-learning tool and information is collected directly from the website registrants/users by completing a universal online website registration form used by all

individuals who wish to register to receive a monthly newsletter through the site or to complete training offered on the site. Information being collected is voluntarily provided by registrants/users. This site is offered to interested parties as a service, and is not updated because the receipt of the benefit (e-learning/receiving information) of the system does not necessarily depend on accurate information; or, if proof of completion is needed, people benefiting from the system will be responsible for making sure their information is entered accurately (and can correct or update it). Processes are in place to assure that PII is reviewed and maintains its integrity, availability, accuracy and relevancy. Monthly scanning is performed that technically challenges the database. Monthly tests are performed on the TCH system with automated vulnerability scanning and manual vulnerability scanning to determine any weak areas for hackers to access the data. If issues are found, the issues are investigated, remediation completed, and tested prior to the next round of monthly tests. Access to the production environment is limited to only those who require access, and in the case of the developers/engineers who are assisting with trouble shooting issues, the access must be requested, approved then logged. Only those users who are on the help desk or data analytics teams can access the Administrative tool, which allows viewing of aggregate or individual data. For public users, who elect to complete the TCH programs, their personal information is available to update and modify at any time, and they have the ability to alter their own registration information whenever they need. The PII entered by the user is validated only by the specific field for the database entries. Fields may be masked for specific purposes such as a date field or a free text field. For example, the user cannot enter alphabets in a date field. The content of the registration questions are reviewed yearly for relevancy and updated as needed.

<b>PIA - 17:</b>	Identify who will have access to the PII in the system.	Administrators  Developers  Contractors
<b>PIA - 17A:</b>	Select the type of contractor.	HHS/OpDiv Direct Contractors
<b>PIA - 17B:</b>	Do contracts include Federal Acquisition Regulation (FAR) and other appropriate clauses ensuring adherence to privacy provisions and practices?	Yes
<b>PIA - 18:</b>	Provide the reason why each of the groups identified in PIA - 17 needs access to PII.	Users Reasoning: Administrators Reasoning: Access to Administrators Tool for reporting/data collection and for trouble shooting help desk inquiries Developers Reasoning: Database access for reporting/data collection and for trouble shooting help desk inquiries Contractors Reasoning: Indirect contractors (No HHS credentials) have database access for reporting/data collection
<b>PIA - 19:</b>	Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access	Only those users with Administrative authorization and have been approved to create a username and



	<p>PII.</p>	<p>password are authorized to access PII. Administrative authorization is limited to select group of individuals who work on the Think Cultural Health project and also work on the help desk support team or the data analytics team. The Think Cultural Health technical project manager individually invites the help desk support person or data analytics team member to register for access to the Administrative tool. An email is sent to the team member that includes a token to create their own password for the Administrative tool. No other users, including the technical project manager has access to the passwords created for the Administrative tool. The passwords are stored in the TCH database and are encrypted. The developers only have database access when trouble shooting help desk issues are needed. The developers are required to send the technical project manager and system administrator an email indicating the need for database access and the reason. The request is reviewed and if determined that access is needed, the access is granted. As soon as the issue has been resolved, the database access for that developer is revoked and access to the database and revoking of access is logged in the live database file.</p>
<p><b>PIA - 20:</b></p>	<p>Describe the technical methods in place to allow those with access to PII to only access the minimum amount of information necessary to perform their job.</p>	<p>The Administrative tool is used to allow the least amount of access to the authorized user at the time of set up. The rights to access the Administrative tool is limited and only those people who are on the help desk team or the data analytics team are granted access to the data available in the Administrative tool. When the user is invited to register for the Administrative tool, the technical project manager creates the user in the Administrative tool and an email token is sent to the user to create their own password. At the time the technical project manager enters the new username in the Administrative tool, the technical project manager determines the access points needed to that user based on their job role. For example, there is one access point that is only available to the technical project manager and that is for the adding of users to the Administrative tool. Only the technical project manager has the ability to add new users to the Administrative tool. The access points granted to the help desk team and data analytics team are aggregate reports and individual reports.</p>
<p><b>PIA - 21:</b></p>	<p>Identify the general security and privacy awareness training provided to system users (system owners, managers, operators, contractors and/or program managers) using the system to make them aware of their responsibilities for protecting the information being collected and maintained.</p>	<p>Each Think Cultural Health employee undergoes annual HHS Learning Management System (LMS), including Information Security Awareness, Privacy Awareness, and Role-based Training.</p>
<p><b>PIA - 22:</b></p>	<p>Describe the training system users receive (above and beyond general security and privacy awareness training).</p>	<p>Each employee must sign Confidentiality agreements and the Think Cultural Health team conducts yearly table top tests and policy and procedure reviews.</p>
<p><b>PIA - 23:</b></p>	<p>Describe the process and guidelines in place with regard to the retention and destruction of PII. Cite specific National Archives and Records Administration (NARA) records retention schedule(s) and include the retention period(s).</p>	<p>The National Archives and Records Administration (NARA) retention schedule for this system is as follows: Records Schedule Number: DAA-0514-2013-0002 Disposition Authority Number:</p>

DAA-0514-2013-0002-0001 Retention period: Destroy/delete 6 years after the discontinuance of the system. Also, the accreditation of eLearning continuing education credits (Cine-Med) requires retention for 6 years after discontinuance of the system)

**PIA - 24:**

Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response.

TCH uses the technical, operational, and physical security controls as required by the National Institute of Standards and Technology (NIST) guidance in order to minimize the overall risk to PII. If a security incident does occur, it will be immediately reported to the Chief Information Security Officer (CISO) and then to the Department of Health and Human Services (HHS) Privacy Breach Response Team. They will analyze the incident, determine its impact, limit its damage, and restore normal processing. Administrative controls include going through the OS Cybersecurity Certification and Authorization process yearly, including Authority to Operate review. Also included is yearly auditing by the OS Cybersecurity team, and the TCH System Security Plan is updated yearly, including all the required supporting documentation. TCH stringently follows a File Back Up Plan where the Web servers and media servers are backed up daily using incremental backups. Full backups are performed every two weeks. Backups are performed to tape. Every six weeks, tapes are overwritten and every sixth month, tapes are grandfathered. All databases are backed up daily and transaction logs are backed up every 15 minutes to disk on a separate server. Transaction logs are kept for two days on the servers. Full database backups are moved off site every night to the development center and stored on disc. Every month, those backups will be archived to tape and kept for two years. For training, all staff complete yearly LMS training including Information Security Awareness, Privacy Awareness and Role Based Training as well as additional training. Contractors adhere to privacy provisions and practices. All contractors complete LMS training including Information Security Awareness, Privacy Awareness and Role Based Training as well as additional training such as Incident Response Plan review and table top tests yearly. Only specific employees are permitted access to the production and staging server databases and administrative tools. Access to production servers are granted by the technical project manager and system administrator on a case by case basis, and all grants are logged. PII retention and destruction: HHS wide policies and guidelines with regard to retention and destruction of individual information in identifiable form (IIF) will be followed. The Technical Controls for TCH include user identification, passwords, updated firewalls, encryption. The Physical Controls in the TCH production environment include guards, cipher locks, biometrics and closed circuit television. The Physical Controls in the TCH development environment include key cards and visitor logs.

## Review & Comments

### Privacy Analyst Review

<b>OpDiv Privacy Analyst Review Status:</b>	Approved	<b>Privacy Analyst Review Date:</b>	9/8/2022
<b>Privacy Analyst Comments:</b>	<p>Vanessa, this PIA is ready for your review.</p> <p>All necessary questions have been answered.</p> <p>Thank you,</p> <p>Jon</p>	<b>Privacy Analyst Days Open:</b>	

### SOP Review

<b>SOP Review Status:</b>	Approved	<b>SOP Signature:</b>	
<b>SOP Comments:</b>		<b>SOP Review Date:</b>	9/9/2022
		<b>SOP Days Open:</b>	2

### Agency Privacy Analyst Review

<b>Agency Privacy Analyst Review Status:</b>	Approved	<b>Agency Privacy Analyst Review Date:</b>	11/8/2022
<b>Agency Privacy Analyst Review Comments:</b>	<p>Reviewer: Jim Laskowski</p> <p>This PIA has already been approved outside of the tool, the sync issues have been fixed and we can now approve within Archer.</p>	<b>Agency Privacy Analyst Days Open:</b>	60

### SAOP Review

<b>SAOP Review Status:</b>	Approved	<b>SAOP Signature:</b>	Archer Signature_Bridget Guenther.docx
<b>SAOP Comments:</b>		<b>SAOP Review Date:</b>	11/8/2022
		<b>SAOP Days Open:</b>	0

### Supporting Document(s)

Name	Size	Type	Upload Date	Downloads
OS1218360-Think Cultural Health_9-21-2022_signed.rtf	861836	.rtf	9/22/2022 9:21 AM	0

### Comments

Question Name	Submitter	Date	Comment	Attachment
PIA - 1	Data Feed Service, piafrmos	8/16/2022	Please also select 'user credentials' and	

select 'other - free text field' and include: sex, age, ethnicity, race as this information was included in the PTA.

PIA - 4	Data Feed Service, piafrmos	8/16/2022	Please define/spell out 'OMH' on first use in this response.
PIA - 11B	Data Feed Service, piafrmos	8/16/2022	<p>This response should be reworked, please see the entry from the author's handbook on how to approach the question:</p> <p>For each type selected in PIA-11A:</p> <ul style="list-style-type: none"> <li>• Name or describe the entities or individuals that have direct access to or receive PII directly from the system; and</li> <li>• Explain why and for what purpose PII is shared with each entity or individual.</li> </ul> <p>For example, select "Other Federal Agency/Agencies" then write "The Internal Revenue Service has access to PII in the system to determine whether an individual's reported income is below the maximum allowable amount to receive benefits."</p>
PIA - 11C	Data Feed Service, piafrmos	8/16/2022	<p>If PII in the system that is shared or disclosed is subject to one or more agreements, describe the sharing and disclosures that are permitted under each agreement (Please list the agreements in the response whether they are CMAs, MOUs, or ISAs). If sharing or disclosure of PII in the system is not subject to an agreement, explain:</p> <ul style="list-style-type: none"> <li>• What sharing or disclosures are occurring without an agreement; and</li> <li>• Why no agreement is required or in place.</li> </ul>
PIA - 1	LASKOWSKI, JAMES	9/12/2022	Please provide a brief overview and purpose of the system for PTA-4.
PIA - 11B	LASKOWSKI, JAMES	9/12/2022	The reviewer noted the typo "HIS." Please change to IHS.

### Admin Section

Is OpDiv Privacy Analyst Approved ?:	1	Is OpDiv Privacy Analyst Return ?:	0
		Is SOP Return ?:	0
Is Agency Privacy Analyst Approve ?:	1	Is Agency Privacy Analyst Return ?:	0
Is SAOP Approved?:	1	Is SAOP Return ?:	0

Total Approved: 4

Total Return: 0

Total Approval  
Required: 4

### Miscellaneous Fields

Last Updated: 11/8/2022 5:12 PM

History Log: [View History Log](#)