

Acronyms

- ATO - Authorization to Operate**
- CAC - Common Access Card**
- FISMA - Federal Information Security Management Act**
- ISA - Information Sharing Agreement**
- HHS - Department of Health and Human Services**
- MOU - Memorandum of Understanding**
- NARA - National Archives and Record Administration**
- OMB - Office of Management and Budget**
- PIA - Privacy Impact Assessment**
- PII - Personally Identifiable Information**
- POC - Point of Contact**
- PTA - Privacy Threshold Assessment**
- SORN - System of Records Notice**
- SSN - Social Security Number**
- URL - Uniform Resource Locator**

General Information

PIA Name:	HRSA - HSMED - QTR3 - 2019 - HRSA367973	PIA ID:	1078737
OpDiv:	HRSA	Title:	HRSA - Healthy Start Monitoring and Evaluation Data
Legacy PIA ID:	P-2978945-064581		

PTA

PTA - 1A:	Identify the Enterprise Performance Lifecycle Phase of the system	Disposition
PTA - 1B:	Is this a FISMA-Reportable system?	Yes
PTA - 2:	Does the system include a website or online application?	No
PTA - 2A:	Are any of the URLs listed accessible by the general public (to include publicly accessible log in and internet websites/online applications)?	

URL Details

Type of URL	List Of URL	
No Records Found		
PTA - 3:	Is the system or electronic collection, agency or contractor operated?	Agency
PTA - 3A:	Is the data contained in the system owned by the agency or contractor?	
PTA - 5:	Does the system have or is it covered by a Security Authorization to Operate (ATO)?	No
PTA - 5A:	If yes, Date of Authorization	
PTA - 5B:	If no, Planned Date of ATO	12/12/2018
PTA - 8:	Please give a brief overview and purpose of the system by describing what the functions of the system are and how the system carries out those functions?	The purpose of the system is to collect data, based on the new OMB-approved six screening tools: Demographic, Pregnancy History,

		<p>Preconception, Prenatal, Postpartum, and Interconception Parenting, from Healthy Start Program grantees, and to allow authorized HRSA staff (e.g., Maternal and Child Health Bureau [MCHB] Project Officers) to access reports on that data once it has been collected.</p>
PTA - 9:	<p>List and/or describe all the types of information that are collected (into), maintained, and/or shared in the system regardless of whether that information is PII and how long that information is stored.</p>	<p>The information to be collected is that required by the Healthy Start Program's Demographic, Pregnancy History, Preconception, Prenatal, Postpartum, and Interconception Parenting form. This comprises of de-identified data at the client-level. - The name of the person for whom the information is collected (Healthy Start program clients) is not collected or stored by HSMED. - The names of the grantee organizations are not collected. They are stored in HSMED database based on grant information provided by HRSA MCHB. - The names of system administrators are collected and stored. The date of birth and email address collected are in the Demographic Form. The system will have a registration/login application that limits system access to authorized users with a user ID and password that meets HRSA security requirements. Once logged into the HSMED system, grantee users will access a page with an interface designed to facilitate the upload of Healthy Start-related data via the use of an XML file formatted to MCHB program specifications. System administrators, after being authenticated with their user ID and password, will have access to the de-identified records for purposes of system support</p>
PTA - 9A:	<p>Are user credentials used to access the system?</p>	
PTA - 9B:	<p>Please identify the type of user credentials used to access the system.</p>	
PTA - 10:	<p>Describe why all types of information is collected (into), maintained, and/or shared with another system. This description should specify what information is collected about each category of individual</p>	<p>The Healthy Start Monitoring and Evaluation Data (HSMED) system is a data collection system to be hosted in the Amazon Cloud on a Federal Risk and Authorization Management Program (FedRAMP) compliant environment. The system will have a registration/login application that limits system access to authorized users with a user ID and password that meets HRSA security requirements. Once logged into the HSMED system, grantee users will access a page with an interface designed to facilitate the upload of Healthy Start-related data via the use of an XML file formatted to MCHB program specifications. When the user uploads the data file, the user will be checked for compliance with the schema and error messages, if applicable. Compliant data files will be parsed and loaded into the HSMED system Amazon Cloud. Along with data collection, the HSMED will feature system capabilities to generate standard reports. The data/information to be collected is that required by the Healthy Start Program's Demographic, Pregnancy History, Preconception, Prenatal, Postpartum, and Interconception Parenting form. This information comprises of de-identified data at the client-level. System administrators, after being authenticated with their user ID and password, will have access to the de-identified records for purposes of system support</p>
PTA - 10A:	<p>Are records in the system retrieved by one or more PII data</p>	<p>Yes</p>

	elements?	
PTA - 10B:	Please specify which PII data elements are used.	
PTA - 11:	Does the system collect, maintain, use or share PII?	Yes

PIA

PIA - 1:	Indicate the type of PII that the system will collect or maintain
PIA - 2:	Indicate the categories of individuals about whom PII is collected, maintained or shared
PIA - 3:	Indicate the approximate number of individuals whose PII is maintained in the system
PIA - 4:	For what primary purpose is the PII used?
PIA - 5:	Describe any secondary uses for which the PII will be used (e.g. testing, training or research)
PIA - 7:	Identify legal authorities, governing information use and disclosure specific to the system and program
PIA - 8:	Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development.
PIA - 9:	Identify the sources of PII in the system
PIA - 9A:	Identify the OMB information collection approval number or explain why it is not applicable.
PIA - 9B:	Identify the OMB information collection expiration date.
PIA - 10:	Is the PII shared with other organizations outside the system's Operating Division?
PIA - 11:	Describe the process in place to notify individuals that their personal information will be collected. If no prior notice is given, explain the reason
PIA - 12:	Is the submission of PII by individuals voluntary or mandatory?
PIA - 13:	Describe the method for individuals to opt-out of the collection or use of their PII. If there is no option to object to the information collection, provide a reason
PIA - 14:	Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). Alternatively, describe why they cannot be notified or have their consent obtained
PIA - 15:	Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not
PIA - 16:	Describe the process in place for periodic reviews of PII contained in the system to ensure the data's integrity, availability, accuracy and relevancy. Please address each element in your response. If no processes are in place, explain why not
PIA - 17:	Identify who will have access to the PII in the system and the reason why they require access
PIA - 18:	Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access PII
PIA - 19:	Describe the technical methods in place to allow those with access to PII to only access the minimum amount of information necessary to perform their job

PIA - 20:	Identify training and awareness provided to personnel (system owners, managers, operators, contractors and/or program managers) using the system to make them aware of their responsibilities for protecting the information being collected and maintained
PIA - 21:	Describe training system users receive (above and beyond general security and privacy awareness training).
PIA - 23:	Describe the process and guidelines in place with regard to the retention and destruction of PII. Cite specific NARA records retention schedule(s) and include the retention period(s)
PIA - 24:	Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response
PIA - 25:	Describe the purpose of the web site, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response
PIA - 26:	Does the website have a posted privacy notice?
PIA - 27:	Does the website use web measurement and customization technology?
PIA - 27A:	Select the type of website measurement and customization technologies is in use and if it is used to collect PII
PIA - 28:	Does the website have any information or pages directed at children under the age of thirteen?
PIA - 28B:	Is there a unique privacy policy for the website and does the unique privacy policy address the process for obtaining parental consent if any information is collected?
PIA - 29:	Does the website contain links to non-federal government websites external to HHS?